

# **AGENDA**

## **REMOTE MEETING NOTICE**

To address concerns relating to COVID-19, this meeting will be accessible by remote video conferencing. The public may participate in this meeting remotely via zoom as set forth below.

### **INSTRUCTIONS FOR USING ZOOM**

- Join the meeting using the link below.
- You must have audio and microphone capabilities on the device you are using to join the meeting.
- When you join the meeting make sure that you join the meeting with audio and follow the prompts to test your speaker & microphone prior to joining the meeting.

### **TO SPEAK ON AN ITEM USING ZOOM**

- The Board President will call the item and staff will begin the staff report.
- Click on the Raise Hand icon if you would like to speak on the item.
- Your name will be called on when it's your turn to speak.
- When your name is called, you will be prompted to unmute yourself.
- When your time is up, you will be muted.
- You will repeat this process for each item you want to speak on.

### **FOR OPEN SESSION PARTICIPATION**

Join Meeting Electronically at:

#### **Join Zoom Meeting**

<https://us02web.zoom.us/j/89316965911?pwd=NUNmUDI5RWVWVaG5KbWxSOUYyZXhvdz09>

**Meeting ID: 893 1696 5911**

**Passcode: 152871**

**A G E N D A**  
REGULAR MEETING OF THE GOVERNING BOARD  
OF THE GOLETA SANITARY DISTRICT  
A PUBLIC AGENCY

One William Moffett Place  
Goleta, California 93117

February 6, 2023

**CALL TO ORDER:** 6:30 p.m.

**ROLL CALL OF MEMBERS**

**BOARD MEMBERS:** Sharon Rose  
Edward Fuller  
Jerry D. Smith  
Steven T. Majoewsky  
George W. Emerson

**CONSIDERATION OF THE MINUTES OF THE BOARD MEETING**

The Board will consider approval of the Minutes of the Regular Meeting of January 16, 2023.

**PUBLIC COMMENTS** - Members of the public may address the Board on items within the jurisdiction of the Board.

**POSTING OF AGENDA** – The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District’s web site 72 hours in advance of the meeting.

**BUSINESS:**

1. UPDATE ON THE COLLECTION SYSTEM COMPETENCY BASED TRAINING PROGRAM (CBT)
2. REVIEW AND CONSIDERATION OF RECENT UPDATES TO DISTRICT’S ADMINISTRATIVE CODE  
(Board may take action on this item.)
3. AUTHORIZATION TO ISSUE LETTER OF INTENT FOR PURCHASE OF SEWER CLEANING TRUCK  
(Board may take action on this item.)

4. GENERAL MANAGER'S REPORT
5. LEGAL COUNSEL'S REPORT
6. COMMITTEE/DIRECTOR'S REPORTS AND APPROVAL/RATIFICATION OF DIRECTOR'S ACTIVITIES
7. PRESIDENT'S REPORT
8. ITEMS FOR FUTURE MEETINGS
9. CORRESPONDENCE  
(The Board will consider correspondence received by and sent by the District since the last Board Meeting.)
10. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT  
(The Board will be asked to ratify claims.)

## **ADJOURNMENT**

*Persons with a disability who require any disability-related modification or accommodation, including auxiliary aids or services, in order to participate in the meeting are asked to contact the District's Finance & H.R. Manager at least 3 hours prior to the meeting by telephone at (805) 967-4519 or by email at [info@goletasanitary.org](mailto:info@goletasanitary.org).*

*Any public records which are distributed less than 72 hours prior to this meeting to all, or a majority of all, of the District's Board members in connection with any agenda item (other than closed sessions) will be available for public inspection at the time of such distribution at the District's office located at One William Moffett Place, Goleta, California 93117.*

# MINUTES

**MINUTES**  
REGULAR MEETING OF THE GOVERNING BOARD  
GOLETA SANITARY DISTRICT  
A PUBLIC AGENCY  
DISTRICT OFFICE CONFERENCE ROOM  
ONE WILLIAM MOFFETT PLACE  
GOLETA, CALIFORNIA 93117

January 16, 2023

- CALL TO ORDER:** President Rose called the meeting to order at 6:33 p.m.
- BOARD MEMBERS PRESENT:** Sharon Rose, Edward Fuller, Jerry D. Smith, Steven T. Majoewsky, George W. Emerson,
- BOARD MEMBERS ABSENT:** None
- STAFF MEMBERS PRESENT:** Steve Wagner, General Manager/District Engineer, Rob Mangus, Finance and Human Resources Manager/Board Secretary and Richard Battles, Legal Counsel from Howell Moore & Gough LLP.
- OTHERS PRESENT:** Tom Evans, Director, Goleta Water District  
Craig Geyer, Director, Goleta West Sanitary District
- APPROVAL OF MINUTES:** Director Majoewsky made a motion, seconded by Director Smith, to approve the minutes of the Special Board meeting of 01/06/23. The motion carried by the following vote:
- (23/01/2296)
- |          |   |   |
|----------|---|---|
| AYES:    | 5 | Rose, Fuller, Smith, Majoewsky, Emerson |
| NOES:    |   | None                                    |
| ABSENT:  |   | None                                    |
| ABSTAIN: |   | None                                    |
- POSTING OF AGENDA:** The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District's website 72 hours in advance of the meeting.
- PUBLIC COMMENTS:** None

**BUSINESS:**

1. **CONSIDERATION AND ACTION REGARDING GOLETA SANITARY DISTRICT STANDING COMMITTEES AND APPOINTMENT OF GOVERNING BOARD MEMBERS TO SERVE ON DISTRICT STANDING COMMITTEES**  
Mr. Wagner gave the staff report.

Director Smith made a motion, seconded by Director Emerson, to keep the same Standing Committee assignments as approved last year, for the coming year, which are as follows:

	<b>Engineering Committee</b>	<b>Finance Committee</b>	<b>Personnel Committee</b>	<b>Outreach &amp; Public Education Committee</b>
Member:	Majoewsky	Fuller	Majoewsky	Rose
Member:	Smith	Smith	Emerson	Fuller
Alternate:	Fuller	Rose	Smith	Emerson

The motion carried by the following vote:

(23/01/2297)

AYES: 5            Rose, Fuller, Smith, Majoewsky, Emerson  
 NOES:            None  
 ABSENT:        None  
 ABSTAIN:        None

2. CONSIDERATION AND ACTION REGARDING ATTENDANCE AT MEETINGS OF OUTSIDE AGENCIES BY GOVERNING BOARD MEMBERS

Mr. Wagner gave the staff report.

Director Majoewsky made a motion, seconded by Director Fuller, to keep the assignments, as assigned last year, for the coming year.

The motion carried by the following vote:

(23/01/2298)

AYES: 5            Rose, Fuller, Smith, Majoewsky, Emerson  
 NOES:            None  
 ABSENT:        None  
 ABSTAIN:        None

The outside agency assignments are as follows:

<b>AGENCY</b>	<b>REPRESENTATIVE</b>	<b>ALTERNATE</b>
Goleta Water District	Director Majoewsky	Director Fuller
Goleta West Sanitary District	Director Rose	Director Smith

3. STATUS REPORT ON FY23 ACTION PLAN

Mr. Wagner presented the staff report on this status update item, no Board action was taken.

4. GENERAL MANAGER'S REPORT

Mr. Wagner gave the report.

5. LEGAL COUNSEL'S REPORT

Mr. Battles had no report.

6. COMMITTEE/DIRECTORS' REPORTS AND APPROVAL/RATIFICATION OF DIRECTORS' ACTIVITIES

Director Smith – No report.

Director Fuller – No report.

Director Emerson – No report.

Director Majoewsky – Reported on the Goleta Water District meeting he attended.

7. PRESIDENT'S REPORT

President Rose – No report.

8. ITEMS FOR FUTURE MEETINGS

No Board action was taken to return with an item.

9. CORRESPONDENCE

The Board reviewed and discussed the list of correspondence to and from the District in the agenda.

10. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT

Director Majoewsky made a motion, seconded by Director Smith, to ratify and approve the claims, for the period 01/07/23 to 01/16/23 as follows:

Running Expense Fund #4640	\$ 374,727.05
Capital Reserve Fund #4650	\$ 475,574.24
Depreciation Replacement Reserve Fund #4655	\$ 64,397.03
Retiree Health Insurance Sinking Fund #4660	\$ 12,865.70



The motion carried by the following vote:

(23/01/2299)

AYES:	5	Rose, Fuller, Smith, Majoewsky, Emerson
NOES:		None
ABSENT:		None
ABSTAIN:		None

**ADJOURNMENT**

There being no further business, the meeting was adjourned at 7:24 p.m.

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Sharon Rose  
Governing Board President

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Robert O. Mangus, Jr.  
Governing Board Secretary

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Edward Fuller

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Jerry D. Smith

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Steven T. Majoewsky

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George W. Emerson

# **AGENDA ITEM #1**

**AGENDA ITEM: 1**

**MEETING DATE: February 6, 2023**

**I. NATURE OF ITEM**

Update on the Collection System Competency Based Training Program (CBT)

**II. BACKGROUND INFORMATION**

District Collection System Manager Luis Astorga will present an update on the Collection System Competency Based Training (CBT) Program. The CBT Program was initiated in January of 2020 under contract with DKF Solutions for the development and implementation of a web-based Competency Based Training program for sixteen (16) of the more frequently performed job assignments and duties performed by the District Collection System staff. Each of the sixteen tasks now has a web-based interactive course, a web-based eSOP which is accessible through the individual work stations and the District cell phones. The third component of the CBT program is the hands-on training and demonstration/documentation of competency. Implementation was initially delayed due to COVID restrictions and the ability of DKF Solutions staff to conduct initial assessments and document the District equipment and vehicles for the program. These delays have been overcome and the program has been completed and placed into effect for the training of all Collection System staff. Other training topics are currently being evaluated for incorporation into Phase II of the CBT program.

**III. COMMENTS AND RECOMMENDATIONS**

This report is for information purposes only. As such, no formal Board action is required at this time.

**IV. REFERENCE MATERIAL**

Collection System Competency Based Training Program Status Report February 2023

# **Goleta Sanitary District Collection System Competency Based Training Program Status Report February 2023**

## **INTRODUCTION AND BACKGROUND**

The District has traditionally had a strong training program with written procedures; staff lead monthly safety/skill development training. Standard Operating Procedures (SOPs) have been developed and maintained for most tasks routinely done by staff. Initial training was usually conducted in a group setting with follow up training being conducted by a lead or senior worker. Competency was demonstrated by completing a task within a set time frame and/or by repetition of the task. Documentation of the training was completed via sign-in sheets and check lists.

This system has worked well for many years as documented by the historic low injury work rate and the numerous safety awards presented to the District from CWEA and CSRMA along with Plant and Collection System of the Year awards where safety and training are a large component of the award consideration.

In an effort to enhance this system, District staff have reviewed several presentations on the concept of Competency Based Training (CBT) as a way to enhance the District training program and to incorporate learning tools and methodology which had not previously been optimized. The methodology of CBT incorporates separating a given task into specific skill sets which are taught or reinforced and then individually demonstrated by the trainee as part of the learning process. Rather than have an individual attempt to learn a new procedure all at once, the procedure is broken down into a series of steps which are individually assessed. This allows for the full comprehension of what is being done, why it is being done and understanding the consequences of what may happen if the task is done incorrectly. The tools used as part of the CBT process include web-based training programs and electronic SOPs which are readily accessible via work stations, cell phones and tablets. In January of 2020, the District contracted with DKF Solutions to develop the components of a CBT program for the Collection System based upon sixteen (16) of the more frequently performed Collection System tasks. DKF Solutions provides risk control consulting/ CalOSHA and California State Water Resources Control Board compliance services to a variety of public agency risk pools including CSRMA and California Joint Powers Risk Management Authority. Project completion was anticipated to be approximately 18-24 months.

## **CBT DEVELOPMENT**

The sixteen tasks include Emergency Overflow Response, Vector Operation, Fall Protection, Confined Space Entry, Bypass Pumping and Lift Station Operation/Emergency Response among others.

The first component of the CBT program is a web-based interactive training course which is hosted on the District's Vector Solutions web site. The courses are tailor-made for the District using District staff and equipment to conduct a step-by-step learning course for each task. Each step has a number of questions which must be correctly answered in order to advance to the next step. Tasks are assigned by a program administrator or the department supervisor/manager. Progress is tracked and certificates of completion are awarded upon successful completion of the course. The second component is the electronic Standard Operating Procedure (eSOP) for each of the tasks. The existing written SOP for each task was updated and reformatted to match the corresponding Vector Solutions course and includes pictures and illustrations to guide the user through the steps of the task. The tasks are maintained in the District SmartSOP computer program and are accessible via individual work stations, tablets and cell phones. The third component is the assessment, hands-on training and documentation of competency. Once the task course is completed, each staff member can demonstrate their working knowledge of the task or is walked through the steps of the task until they can demonstrate their ability to perform the task. Many of the tasks are relatively short in duration and can be gauged for competency rather quickly. Some tasks, such as Vactor operation, may require extensive hands-on training and the competency evaluation will take weeks to complete for new employees with no prior Vactor operation experience.

### **CBT IMPLEMENTATION**

Work began early in February of 2020. It was decided that District staff would focus on the biggest procedure, Emergency Overflow Response as it ranked high in importance, it would set the guidelines on how to complete the remaining tasks most efficiently and would also make the task completion progressively easier. DKF staff was able to conduct several site visits and begin the documentation process. Unfortunately, the COVID restrictions initially shut down all travel and on-site visits. Work on the program was gradually allowed to resume as restrictions were mostly lifted by early 2021. As planned, the Emergency Overflow Response Plan was the first CBT task completed. This established a template of how the other tasks were to be reviewed and implemented into the program in an efficient manner. Most of the sixteen tasks were completed by the summer of 2022. The last two tasks were reviewed and approved by District staff in December of 2022. The training sequence has been implemented with existing Collection System staff as the part of the annual review for each task. New employees are introduced to the program as part of their initial training. These are the sixteen Collection System tasks within the CBT program:

- Bypass Pumping
- Confined Space Entry
- Lift Station Operation
- Sanitary Sewer Overflow (Emergency Response)
- Sewer Line Cleaning (Vactor Operation)

- Televising a Sewer Line
- Chainsaw Operation
- Easement Machine Operation
- Manhole Safety
- Easement Maintenance
- Excavation
- Fall Protection
- Manhole Raising
- Traffic Control/Flagging
- Plugging a Sewer Line
- Trailer Safety

In addition to the CBT program, DKF staff conducted classroom training on Confined Space Entry, Excavation and Competent Person, Traffic Control, Fall Protection and Sewer Overflow Emergency Response. This supplemented the in-house training being conducted by District staff.

### **CBT CURRENT USE AND PLANNED EXPANSION**

The implementation of the CBT format has been well received by Collection System staff. The format of the training has largely eliminated inconsistencies, lack of documentation, lack of training references and the mind set of “learn as you go, you will know when something goes wrong” which can often leave the operator ill-trained and unprepared for an emergency. The availability of the eSOPs and the SmartSOP program on the cell phones has been very useful as a ready-reference for many tasks. The Vector Solutions website is user friendly and fosters a positive learning environment. In addition to the District CBT courses, the Vector Solutions site has literally hundreds of other training courses which can be assigned to staff for job specific tasks, such as Lock Out/Tag Out for machinery and electrical safety, Pneumatic Tool Safety and Defensive Driving. The site also had many professional development courses such as, Collections Math and Collection Systems 101, Computer Skills/WORD and Excel courses, leadership development and condition assessment/evaluation courses.

Staff is currently reviewing other tasks and duties which can be expanded from their written format into the CBT format. Existing DKF Solutions and CSRMA courses on the Vector Solutions site are being incorporated into the training program as previously mentioned. In addition to the CBT program, DKF Solutions is currently revising the existing Emergency Overflow Response Plan and CBT course as the new Spill Emergency Response Plan to meet the requirements of the 2022 State Water Board WDR Order for Collection Systems. Staff will continue with the implementation of the CBT program and expand its successes by the inclusion of additional Collection System tasks to help ensure the efficient training of staff, the improved operation of equipment and vehicles and the overall productivity of the department.

# **AGENDA ITEM #2**

**AGENDA ITEM: 2**

**MEETING DATE: February 6, 2023**

**I. NATURE OF ITEM**

Review and Consideration of Recent Updates to District's Administrative Code

**II. BACKGROUND INFORMATION**

The Goleta Sanitary District's Governing Board adopted an Administrative Code on July 21, 2008. The Administrative Code sets forth the statutes, ordinances, regulations, resolutions, policies, procedures and administrative provisions applicable to and/or promulgated by the District, and provides the District's Board and staff with instructions and policies to implement the Sanitary District Act of 1923 as set forth in California Health and Safety Code Section 6400 et seq.

The Administrative Code is updated from time to time as necessary to reflect changes in the law and actions taken by the Board. At such time as the Administrative Code is updated, the revisions are reviewed by the Board and the Board is provided with replacement pages and/or a new version of the entire Administrative Code reflecting the updates.

The last update to the Administrative Code was in January of 2022. At its meeting on January 3, 2022, the Board took action to accept the updated Administrative Code and to confirm that it correctly reflected the various resolutions adopted by the District during the preceding year.

The Administrative Code has again been updated as of February 6, 2023, to reflect the adoption of the following resolutions:

- Resolution No. 22-679 adopted 03-21-22 authorizing the investment of District money in the Local Agency Investment Fund
- Resolution No. 22-680 adopted 03-21-22 designating persons authorized to act on District accounts
- Resolution No. 22-685 adopted 06-06-22 approving debt policies
- Resolution No. 22-687 adopted 06-06-22 approving an Environmentally Preferable Purchasing Policy (EPPP)
- Resolution No. 22-694 adopted 09-19-22 approving Policies and Procedures for Providing Priority Service to Affordable Housing Projects Pursuant to Government Code Section 65589.7
- Resolution No. 22-695 adopted 11-21-22 authorizing investment in the California Cooperative Liquid Assets Securities System Prime Cash Fund



- Resolution No. 22-696 adopted 11-21-22 approving a revised Investment Policy

The redlined pages from the Administrative Code that are included with this agenda report show the foregoing revisions.

### **III. COMMENTS AND RECOMMENDATIONS**

It is recommended that the Board take action to confirm that (i) it has reviewed the most recent revisions to the Administrative Code as shown on the redlined pages included with this agenda report, and (ii) the changes correctly reflect the adoption of the resolutions listed above. Thereafter, staff will distribute a clean version of the updated Administrative Code to the Board members in digital form and, if requested, in hard copy form as well.

### **IV. REFERENCE MATERIAL**

Redlined pages showing updates to Administrative Code

# **TITLE 3 - FINANCE**

## **Chapter 2. District Funds**

### **Article 1. Investment of Funds Not Needed Immediately**

#### **SECTION 3-2.1.1. STATEMENT OF INVESTMENT POLICY**

Pursuant to California Government Code Section 53646, the Board has approved the following statement of investment policy:

##### **(a) Scope**

This investment policy shall apply to all funds of the District which are not required for the immediate needs of the District, with the exception of (i) deferred compensation plan investments, which are held in trust and not carried on the District's books; (ii) California Public Employees' Retirement System ("CalPERS") contributions, which are invested pursuant to the CalPERS program; and (iii) retiree health benefits, which are held in the California Employees Retirement Benefit Trust (CERBT).

##### **(b) Delegation**

All decisions regarding the investment of District funds pursuant to this policy shall be made by the District's Board. The Board has established a Finance Committee which is responsible for monitoring and reviewing the District's investments and making recommendations to the Board. Subject to the requirements for calling for committee meetings as set forth in Section 6 of Ordinance No. 78, as it may be amended from time to time, the Finance Committee shall meet as often as necessary to carry out its responsibilities, and shall make reports to the Board on at least a quarterly basis. Notwithstanding the foregoing, it is recognized that neither the Finance Committee nor the Board participate in or have responsibility for decisions regarding the specific investments made with respect to District funds deposited with the Local Agency Investment Fund ("LAIF"), the California Cooperative Liquid Assets Securities System Prime Cash Fund (the "CLASS Prime Fund"), or other pooled investment funds and that all such decisions are made by the fund managers.

The District's General Manager shall serve as the Treasurer for the District. The Treasurer shall carry out the Board's investment decisions and shall make periodic reports to the Board as provided herein. Pursuant to Health and Safety Code Section 6801 and District Resolution No. 93-266, the Treasurer shall be responsible for the deposit and withdrawal of District funds. A surety bond shall be obtained on the Treasurer in the amount of \$100,000.

##### **(c) Prudent Investor Standard**

In making investment decisions pursuant to this policy, the District's Board is a trustee and therefore a fiduciary subject to the prudent investor standard. When investing, reinvesting, purchasing, acquiring, exchanging, selling and managing the District's funds, the Board shall act with the care, skill, prudence and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the District, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the District. Within the limitations set forth in this

section and considering individual investments as part of an overall strategy, the Board is authorized to acquire investments as authorized by law and by this policy.

**(d) Objectives**

As set forth in Government Code Section 53600.5, when investing, reinvesting, purchasing, acquiring, exchanging, selling and managing the District's funds, the primary objective shall be to safeguard the principal of the funds. The secondary objective shall be to meet the liquidity needs of the District. The third objective shall be to achieve a return on the funds.

**(e) Diversification**

The District shall maintain a portfolio of authorized investments with diversified maturities, issuers and security types to avoid the risks inherent in over-investing in any one sector. The District shall evaluate or cause to have evaluated each potential investment, seeking quality of issuer and underlying security or collateral. The District shall consider market volatility and shall diversify the portfolio to minimize risks with respect to the invested funds.

**(f) Authorized Investments**

All funds of the District shall be invested in compliance with Government Code Sections 53600 through 53686. The District shall make only those investments authorized under Government Code Sections 53601, 53601.8, 53635, 53635.8, 53684 and 16429.1, as said sections may be amended from time to time, subject to the limitations and requirements set forth therein. The District shall not make any of the prohibited investments specified under Government Code Section 53601.6, as said section may be amended from time to time.

**(g) Quarterly Reports**

It is the policy of the District that the Treasurer render quarterly reports to the District's Board within 30 days following the end of the quarter covered by the report. Except as provided below, the report shall include the type of investment, issuer, date of maturity, par and dollar amount invested in all securities, investments and moneys held by the District, and shall additionally include a description of any funds, investments, or programs that are under the management of contracted parties, including lending programs. With respect to all securities under management of any outside party that is not also a local agency, LAIIF, or the CLASS Prime Fund ~~or the State of California Local Agency Investment Fund~~, the report shall also include the current market value as of the date of the report, and shall include the sources of this same valuation.

The quarterly report shall state compliance of the portfolio with this statement of investment policy, or the manner in which the portfolio is not in compliance.

The quarterly report shall include a statement denoting the ability of the District to meet its expenditure requirements for the next six months, or provide an explanation as to why sufficient money shall, or may, not be available.

In the quarterly report, a subsidiary ledger of investments may be used in accordance with accepted accounting practices.

The quarterly report shall include whatever additional information or data may be required by the District's Board.

The Board may elect to have the report specified above be made on a monthly basis instead of quarterly.

For District investments which have been placed in (i) LAIF, (ii) the CLASS Prime Fund~~the Local Agency Investment Fund created by Government Code Section 16429.1, in~~ (iii) Federal Deposit Insurance Corporation-insured accounts in a bank or savings and loan association, ~~in~~ (iv) a county investment pool, or (v) any combination of these foregoing, the Treasurer may supply to the Board the most recent statement or statements received by the District from these institutions in lieu of the information required above.

#### **(h) Annual Review**

It is the policy of the District that the Treasurer annually render to the District's Board a statement of investment policy, which the Board shall consider at a public meeting. Any changes in the policy shall also be considered by the Board at a public meeting.

[Adopted as Resolution No. ~~22-696 16-606~~ on ~~September~~ November 21, 2022~~19, 2016~~.]

## **Article 2. Savings Deposit and Checking Accounts**

### **SECTION 3-2.2.1. DEPOSITS**

(a) Pursuant to Government Code Section 16429.1, the Board has authorized the deposit of District funds in the Local Agency Investment Fund ("LAIF"). The persons holding the following District offices shall be authorized to order (i) the deposit of ~~money funds into~~ LAIF, and (ii) the ~~transfer of funds between LAIF and other accounts maintained by the District with various financial institutions~~ withdrawal of money from the Local Agency Investment Fund:

- President of the Governing Board
- General Manager/District Engineer/Treasurer
- Finance and Human Resources Manager/Board Secretary

[Adopted as Resolution No. ~~18-624~~22-679 on ~~February 16, 2018~~March 21, 2022]

(b) The Board has authorized the deposit of District funds in the California Cooperative Liquid Assets Securities System Prime Cash Fund (the "CLASS Prime Fund"). The persons holding the following District offices shall be authorized to order (i) the deposit of funds into the CLASS Prime Fund, and (ii) the transfer of funds between the CLASS Prime Fund and

other accounts maintained by the District with various financial institutions, including the account maintained by the District with the Local Agency Investment Fund (LAIF):

- President of the Governing Board
- General Manager/District Engineer/Treasurer
- Finance and Human Resources Manager/Board Secretary

[Subsection (b) was adopted as Resolution No. 22-695 on November 21, 2022]

## Article 4. Disbursements

### SECTION 3-2.4.1. PAYMENT OF DISTRICT EXPENSES

(a) A check register showing the check number, payee, amount, the fund upon which it is drawn and the purpose of each check, shall be maintained by or under the direction of the General Manager. Invoices and other supporting documents shall be available with the checks at Board meetings for inspection by any Director.

~~(b) — With respect to the various accounts maintained by the District with financial institutions for the deposit of District funds and the payment of District expenses (“District Accounts”), the persons holding the positions listed in the table below shall have the authority indicated in said table to (i) sign checks to make payments from District Accounts (“Signing Authority”), (ii) make deposits into and transfers between District Accounts (“Transfer Authority”), and (iii) approve direct deposit transactions for District payroll payments (“Payroll Authority”). The persons holding the positions listed below are designated as authorized signers on behalf of the District on all District bank accounts:~~

- ~~(i) — Governing Board President~~
- ~~(ii) — Governing Board President Pro Tem~~
- ~~(iii) — General Manager~~
- ~~(iv) — Plant Operations Manager~~

<u>TRANSACTION</u>	<u>SIGNING AUTHORITY</u>	<u>TRANSFER AUTHORITY</u>	<u>PAYROLL AUTHORITY</u>
<u>Checking Account Transactions</u>	<ul style="list-style-type: none"> <li>• <u>Governing Board President</u></li> <li>• <u>Governing Board President Pro Tem</u></li> <li>• <u>General Manager</u></li> <li>• <u>Plant Operations Manager</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>Governing Board President</u></li> <li>• <u>Governing Board President Pro Tem</u></li> <li>• <u>General Manager</u></li> <li>• <u>Plant Operations Manager</u></li> <li>• <u>Finance and Human Resources Manager/Board Secretary</u></li> </ul>	<u>N/A</u>
<u>Petty Cash Transactions</u>	<ul style="list-style-type: none"> <li>• <u>General Manager</u></li> <li>• <u>Plant Operations Manager</u></li> <li>• <u>Finance and Human</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>General Manager</u></li> <li>• <u>Plant Operations Manager</u></li> <li>• <u>Finance and Human Resources</u></li> </ul>	<u>N/A</u>

	<u>Resources Manager/Board Secretary</u>	<u>Manager/Board Secretary</u>	
<u>ACH Zero Balance Account Transactions</u>	<ul style="list-style-type: none"> <li>• <u>General Manager</u></li> <li>• <u>Plant Operations Manager</u></li> <li>• <u>Finance and Human Resources Manager/Board Secretary</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>General Manager</u></li> <li>• <u>Plant Operations Manager</u></li> <li>• <u>Finance and Human Resources Manager/Board Secretary</u></li> </ul>	<u>N/A</u>
<u>Payroll Direct Deposit Processing</u>	<u>N/A</u>	<u>N/A</u>	<ul style="list-style-type: none"> <li>• <u>General Manager</u></li> <li>• <u>Finance and Human Resources Manager/Board Secretary</u></li> <li>• <u>Administration Supervisor</u></li> <li>• <u>Accounting Technician</u></li> </ul>

[Section (b) was adopted as Resolution No. ~~21-66422-680~~ on March ~~21+5~~, 2022+.]

## Article 5. District Debt

### SECTION 3-2.5.1. DEBT POLICIES

(a) Findings. The policies set forth herein (the “Debt Policies”) are intended to comply with Government Code Section 8855 and shall govern all debt issued by the District. The Debt Policies establish guidelines for the issuance of new debt and the management of outstanding debt, and parameters which recognize the District’s specific capital requirements, its ability to repay financial obligations, and existing legal, economic, financial and debt market conditions. The Debt Policies are intended to assist the District with respect to the following:

- Maintaining the District’s sound financial position;
- Evaluating debt issuance options;
- Ensuring the District has the flexibility to respond to changes in future service priorities, revenue levels, and operating expenses;
- Ensuring that all debt is structured in order to protect both current and future customers of the District;
- Maintaining appropriate capital infrastructure to meet the District’s present and future needs;
- Ensuring that the District’s debt is consistent with the District’s planning goals and objectives and capital improvement program or budgets, as applicable;
- Protecting and enhancing the District’s credit rating; and
- Ensuring an effective system of internal controls and disseminating accurate and timely financial information.

(b) Administration. The District’s General Manager is the designated administrator of the Debt Policies. The District’s Finance and Human Resources Manager shall

have responsibility and authority for the structuring and the day-to-day implementation and management of the District's debt and finance program.

**(c) Purposes For Which Debt May Be Issued**

**(1) Long-Term Debt.** Long-term debt may be issued to finance the construction, acquisition, and rehabilitation of capital improvements and facilities, equipment, and land to be owned and operated by the District.

(a) Long-term debt financing is appropriate when one or more of the following conditions exist:

- When the project to be financed is necessary to provide basic services.
- When the project to be financed will provide benefit to the District and its customers over multiple years.
- When total debt does not constitute an unreasonable burden to the District or its customers.
- When the debt is used to refinance outstanding debt in order to produce debt service savings or to realize the benefits of a debt restructuring.

(ii) Long-term debt financing will not generally be considered appropriate for current operating expenses and routine maintenance expenses.

(iii) Long-term debt shall be structured in accordance with the following considerations:

- *Final Maturity* - The final maturity of the debt shall not exceed, and preferably be less than, the remaining useful life of the assets being financed. To comply with Federal tax regulations, the average life of a financing shall not exceed 120% of the average life of the assets being financed.
- *Debt Service* - Payments should be structured with level debt service payments over the life of the debt. The District may also structure the amortization of principal to wrap around existing obligations or backloaded to achieve other financial planning goals. In general, deferring the repayment of principal should be avoided except in select instances where it will take a period of time before project (dedicated) revenues are sufficient to pay debt service.
- *Method of Sale* - Working in conjunction with its financial advisor, the District will select a method of sale (e.g., competitive sale, negotiated sale, or private placement). The District will determine the most appropriate method taking into account

size/structure/credit considerations, current capital market conditions, and other financial, transaction-specific, and policy considerations.

- *Lien Structure* - Senior and subordinate liens will be utilized in a manner that will maximize the most critical constraint, either cost or capacity, thus allowing for the most beneficial leverage of revenues.
- *Capitalized Interest* - The District may elect to fund capitalized interest in connection with the construction of certain projects when revenues from the project will not be available until completion.
- *Reserve Funds* - A debt service reserve fund (“DSR”) may be required for rating or marketing reasons. If available, a DSR can be funded with a surety policy, from the proceeds of a debt issue, or from the reserves of the District. A cash reserve fund will be invested pursuant to the investment restrictions associated with the respective financing documents. For each debt issue, the District will evaluate net borrowing cost of the financing with a DSR or surety policy, taking into consideration the investment of the DSR over the life of the issue.
- *Redemption Provisions* - The District shall seek to structure each issue with an optional redemption or call provision, unless the final maturity is less than 10 years. Redemption provisions will be established on a case-by-case basis, taking into consideration market conditions and the results of a call option analysis prior to the time of sale. Because the issuance of non-callable debt may restrict future financial flexibility, cost will not be the sole determinant in the decision to issue non-callable debt.
- *Ratings* - The District’s objective is to maintain or improve its credit ratings as a way of reducing financing costs. The General Manager shall be responsible for implementing and managing the District’s credit rating agencies relations program. This effort shall include providing the rating agencies with the District’s annual budget, financial statements, and other information they may request. The District should coordinate periodic meetings with the rating agencies and communicate with them prior to each debt issuance.
- *Credit Enhancement* - Bond insurance will be used when it provides an economic advantage to a particular debt maturity or the entire issue. The District will evaluate the availability and cost/benefit of credit enhanced debt versus unenhanced debt prior to issuing any debt.



- Variable Rate Debt - The District shall seek to utilize long-term fixed rate bonds. However, the District may consider issuing variable rate bonds. In managing its variable rate debt, the District will regularly monitor the market for credit enhancement, particularly liquidity facilities provided by credit enhancement providers and alternative variable rate products and the use of alternative variable rate instruments that do not require credit enhancement. The District should seek to diversify its exposure to banks when selecting institutions to provide liquidity or credit enhancement for variable rate debt.

(2) Short-Term debt. Short-term debt may be issued to provide financing for the District's operational cash flows in order to maintain a steady and even cash flow balance. Short-term debt may also be used to finance short-lived capital projects. For example, the District may undertake lease-purchase financing for equipment.

(d) Types of Debt. For purposes of these Debt Policies, "debt" shall be interpreted broadly to mean loans, bonds, notes, certificates of participation, financing leases, or other financing obligations, but the use of such term herein shall be solely for convenience and shall not be interpreted to characterize any such obligation as an indebtedness or debt within the meaning of any statutory or constitutional debt limitation where the substance and terms of the obligation comport with exceptions thereto. The following types of debt are allowable under these Debt Policies:

- General obligation bonds
- Bond or grant anticipation notes
- Lease revenue bonds, certificates of participation, and lease-purchase transactions
- State or federal loans
- Loans and lines of credit with banks and other long-term financial institutions
- Refunding of any of the prior listed obligations or other long-term prior financial commitments

The District may from time to time find that other forms of debt would be beneficial to further its public purposes and may approve such debt without an amendment of these Debt Policies.

Debt shall be issued as fixed rate debt unless the District makes a specific determination as to why a variable rate issue would be beneficial to the District in a specific circumstance.

(e) Relationship of Debt to Capital Improvement Program and Budget

The District shall strive to fund the upkeep and maintenance of its infrastructure and facilities due to normal wear and tear through the expenditure of available operating revenues.

The District shall avoid the use of debt to fund infrastructure and facilities improvements that are the result of normal wear and tear.

The District shall integrate its debt issuances with the goals of its budget (and capital improvement costs) by timing the issuance of debt to ensure that proceeds are available when needed in furtherance of the District's public purposes.

The District shall seek to avoid the use of debt to fund ongoing operational expenditures.

The District shall seek to issue debt in a timely manner to avoid having to make unplanned expenditures for capital improvements or equipment from its general fund.

**(f) Policy Goals Related to Planning Goals and Objectives**

The District is committed to long-term financial planning, maintaining appropriate reserve levels, and employing prudent practices in governance, management, and budget administration. The District intends to issue debt for the purposes stated in these Debt Policies and to implement policy decisions incorporated in the District's annual operations budget.

It is a policy goal of the District to protect its customers by utilizing conservative financing methods and techniques so as to obtain the highest practical credit ratings (if applicable) and the lowest practical borrowing costs.

The District will comply with applicable state and federal law as it pertains to the maximum term of debt and the procedures for levying and imposing any related taxes, assessments, rates and charges.

When refinancing debt, it shall be the policy goal of the District to do so either for the purpose of realizing debt service savings or for the purpose of restructuring debt in a manner which is in the best financial interests of the District.

Any refinancing of debt for the purpose of realizing debt service savings should seek achieve a minimum net present value debt service savings equal to or greater than 3.0% of the refunded principal amount. This 3.0% threshold should serve as a guideline only. The District may refinance outstanding bonds in order to meet certain policy/financial objectives, such as removing restrictive covenants, reshaping debt profile, achieving budgetary/cash flow relief, taking advantage of unique financial circumstances or historically low interest rates, and limiting term to maturity.

**(g) Internal Control Procedures**

In accord with the Government Finance Officers Association (GFOA) recommendation, the District should retain an independent registered municipal advisor (financial advisor) when it is contemplating the issuance of bonds (during the initial planning phase). The financial advisor shall assist the District in evaluating all financing options, assembling the other members of the financing team, and facilitating the bond issuance process. The financial advisor shall provide objective advice and analysis, maintain confidentiality of District financial plans, and fully disclose any potential conflicts of interest.

The District will comply with all financing covenants to maintain the validity of the issuance of debt, including, but not limited to tax-exemption, arbitrage rebate compliance, insurance provisions, and reporting and monitoring requirements. The District will ensure compliance with all continuing disclosure requirements as part of its ongoing debt program. Any instance of noncompliance will be reported to the Board.

The District will periodically review the requirements of and will remain in compliance with (i) any continuing disclosure undertakings under SEC Rule 15c2-12, (ii) any federal tax compliance requirements, including without limitation arbitrage and rebate compliance, related to any prior bond issues, and (iii) the District's investment policies as they relate to the investment of bond proceeds.

It is the policy of the District to ensure that proceeds of debt are spent only on lawful and intended uses. Whenever reasonably possible, proceeds of debt will be held by a third-party trustee and the District will submit written requisitions for such proceeds.

The District shall seek to borrow tax-exempt proceeds that can be reasonably spent within the IRS spending requirement of approximately 85% within 3 years.

The District will submit a requisition only after obtaining the signature of the General Manager. In those cases where it is not reasonably possible for the proceeds of debt to be held by a third-party trustee, the Treasurer of the District shall retain records of all expenditures of proceeds through the final payment date for the debt.

The Board acknowledges that changes in the capital markets and other unexpected events may, from time to time, create situations and opportunities that are not contemplated by these Debt Policies and may require adjustments or exceptions to the guidelines of the Policies. In such circumstances, the ability of the District to be flexible is important. However, any authorization granted by the Board to proceed with a financing or financial product not expressly permitted by these Debt Policies must be accompanied by an acknowledgement of the Board that the actions to be taken are not specifically authorized by the Debt Policies in force at that time. The Debt Policies shall be reviewed by the Board annually. Future updates to the Debt Policies require the approval by the Board.

[Adopted as Resolution No. 22-685 on June 6, 2022.]

# TITLE 4 - BIDDING REQUIREMENTS

## Chapter 1. Acquisition and Sale of Materials, Supplies, Equipment and Other Personal Property

### Article 2. Environmentally Preferable Purchasing Policy

#### SECTION 4-1.2.1. FINDINGS

The District has a stated strategic goal of maintaining green business certification through the Santa Barbara County Green Business Program. The District has been a part of the Green Business Program for many years and has been certified since 2019. Certification as a Green Business requires the ongoing implementation of numerous sustainability measures including but not limited to the procurement of goods and services that have a reduced impact on human health and the environment compared to competing products serving the same purpose. This is an essential part of the District's search for high quality products and services at competitive prices that include consideration of one or more of the following criteria:

- Postconsumer recycled content
- Energy efficiency
- Durability
- Low/zero air emissions
- Low/zero hazardous substances
- Water efficiency
- Easy, nonhazardous maintenance
- End-of-life management keeps materials out of landfills (e.g., reuse, recycling, return to manufacturers)
- Low life-cycle cost
- Responsible manufacturing
- Packaging and distribution efficiency

#### SECTION 4-1.2.2. POLICY

It is the policy of the Goleta Sanitary District to maintain its certification as a Green Business through the implementation of the above listed procurement practices as part and/or in addition to of the requirements of the Santa Barbara County Green Business Program.

[Adopted as Resolution No. 22-687 on June 6, 2022.]

# **TITLE 12 - AFFORDABLE HOUSING**

## **Chapter 1. Priority Service to Affordable Housing Projects**

### **Article 1. Policies and Procedures**

#### **SECTION 12-1.1.1. FINDINGS**

Government Code Section 65589.7, as amended by Senate Bill 1087 (Chapter 727, Statutes of 2005), requires public agencies that provide sewer services to adopt written policies and procedures with specific objective standards for providing priority service to developments that include housing units affordable to lower income households. Said policies and procedures are required to be adopted not later than July 1, 2006, and at least every five (5) years thereafter.

#### **SECTION 12-1.1.2. POLICIES AND PROCEDURES**

(a) In the event the District experiences service limitations due to collection system or treatment plant capacity constraints or regulatory restrictions, the District shall, to the extent reasonably feasible, grant priority to proposed developments that include housing units affordable to lower income households, as defined in Government Code Section 65589.7(d).

(b) The District shall devote its best efforts to plan and provide for sewer connections for proposed developments that include housing units affordable to lower income households, taking into account (i) the housing element of the general plan adopted by each county or city within the District's boundaries, and (ii) other plans, documents, and information that provide a reasonable basis for making service determinations.

(c) Applications for proposed developments that include housing units affordable to lower income households shall not be denied, nor shall conditions be imposed thereon or services which are applied for be reduced, unless the District makes specific written findings that the denial, condition, or reduction is necessary due to the existence of one or more of the following:

(1) Insufficient sewer treatment or collection capacity exists, as demonstrated by a written engineering analysis and report on the condition of the treatment or collection works, to serve the needs of the proposed development;

(2) A Regional Water Quality Control Board order prohibits new sewer connections; or

(3) The applicant has failed to agree to reasonable terms and conditions relating to the provision of service.

(d) The District shall not discriminate in any manner when processing and considering requests for sewer service for developments that include housing units affordable to lower income households.

(e) At least every five (5) years after the adoption of these policies and procedures, the District shall adopt written policies and procedures with specific objective standards for the provision of sewer service on a priority basis with respect to developments that include housing units affordable to lower income households.

[Adopted as Resolution No. 22-694 on September 19, 2022.]

# **AGENDA ITEM #3**

**AGENDA ITEM: 3**

**MEETING DATE: February 6, 2023**

**I. NATURE OF ITEM**

Authorization to Issue Letter of Intent for Purchase of Sewer Cleaning Truck

**II. BACKGROUND INFORMATION**

The District currently owns two sewer cleaning trucks. They are the 2008 Vac-Con and 2017 Vactor combination trucks (jet-rodding and vacuum). Due to Air Pollution Control District (APCD) regulations, the 2008 truck is restricted to very limited use and is prohibited from off-plant use (effective January 2023). The 2017 Vactor was purchased with consideration to these regulations and is fully compliant with the APCD standards.

Staff has been tracking these restrictions and had originally planned to seek authorization for a replacement vehicle as part of Fiscal Year 2023-24 (FY 2023-24) budget process. Because of the increased purchase costs of the vacuum trucks and the decreased need for the vacuum system, staff has been reviewing the potential of using a flusher unit (sewer jetting only) as a replacement vehicle for the 2008 Vac-Con. Combination units (sewer jetting and vacuum) are currently in the price range of \$750,000- \$800,000 with a minimum 10-month delivery time frame. The extended delivery time is due primarily to the shortage of chassis from the truck manufacturers and the lingering effects of COVID-related backlogs of equipment. Jetter units are approximately \$350,000 - \$400,000 and the delivery time is shorter as the vacuum components are not required.

In this past year, staff has evaluated Jetter trucks from Haaker Equipment Company of La Verne, CA (Vactor), Municipal Maintenance Equipment Company of Norwalk, CA (Vac-Con) and Nixon-Egli Equipment/Sewer Equipment Company of America of Ontario, CA (Model 800). Municipal Maintenance also demonstrated a truck which uses rechargeable battery packs to operate the hose reel and water pump. However, this vehicle was deemed unsatisfactory due to limited run time of the battery packs, limited cleaning ability of the ½ inch hose, manual wind of the hose reel and the 3-4 hour run time of the batteries. District cleaning crews typically spend 6-8 hours per day performing lines-cleaning operations.

Each of the above-referenced dealers demonstrated a Jetter truck at the District. District staff were able to test drive and operate each truck to clean a variety of sewer lines and to gauge the overall performance of each truck. All of the trucks were comparably equipped with similar sized truck chassis, water tanks, length of sewer cleaning hose and nozzle selections. Nixon-Egli and Vac-Con have rear-mounted hose reels. Vac-Con will have a front mount reel model available late



spring/early summer of this year. Vactor has a front-mounted reel with the option to order a rear mount reel.

All utilize plunger type water pumps except for the Vactor, which has a single piston pump. All pumps are rated at between 60-70 gallons per minute at 2,000 – 3,000 psi. All of the truck vendors have maintenance and repair shops in the greater Los Angeles area. Plumbers Depot (GapVax) declined to demonstrate a truck.

During the evaluation of the trucks, the following observations were noted by staff:

The Nixon-Egli and Vac-Con trucks are equipped with a rear-mount hose reel. The reel is mounted higher than the operator. This resulted in staff having to reach for controls and being in a position where water from the reel will drip onto the operator. The reel range of movement is limited when it is rear-mounted. Front-mount reels are easier to operate as the center of the reel is approximately 4' off ground level, the reels have 180-degree range of motion and the front-mount allows easier positioning of the truck by the driver.

The Nixon-Egli and Vac-Con trucks were noted as being very loud during operations, making communication difficult and creating a potential safety hazard. The Nixon-Egli truck controls and gauges are not mounted together and are difficult to read.

The Vac-Con truck is equipped with a gasoline powered pony motor to operate the water pump. The extra engine will add to fuel and maintenance costs and is subject to additional APCD regulations. The single-engine power take-off configuration for water pump operation is preferred and is standard with the other trucks.

Vac-Con has the water pump speed controls set at fixed intervals and cannot be adjusted by the operator. The Vac-Con reel controls are set up in opposite operation as the District Vactor, which caused much initial confusion when demonstrated. The Vac-Con manually operated ball valves hinder the operation of the District's most commonly used lines cleaning "switcher nozzle" which is best used with an electronically controlled water pump as equipped on the Vactor.

The vendors and their Jetter truck quotes are listed below:

<u>Dealer</u>	<u>Cost</u>
Haaker (Vactor RamJet)	\$368,867.04
Haaker w/o surcharge amount	\$342,867.04
Municipal Maintenance (Vac-Con VJT1500)	\$380,949.28

Nixon-Egli SEA (Model 800)	\$326,056.17
Municipal Maintenance Electric Pipe Hunter	\$353,194.23
Plumbers Depot (GapVax)	unresponsive

### III. COMMENTS AND RECOMMENDATIONS

It is anticipated that the time required to prepare a proposal and set a sealed bid opening date with FY 2023-24 dedicated budget funding would place bidding into the summer of 2023 and truck delivery date into summer of 2024. The District has been informed by several vendors that vehicle delivery time is anticipated to remain in the 10-12 month timeframe for another year or two before it returns to the pre-COVID levels of 4-6 months.

Alternatively, the District has the option to purchase a truck through Sourcewell (formerly known as National Joint Powers Alliance or NJPA). Sourcewell is a national government purchasing entity which provides public agencies the ability to direct purchase vehicles and equipment. The program is similar to the California State Contracts program which the District has utilized to purchase several of its utility trucks. Several local agencies have recently used Sourcewell to purchase sewer cleaning trucks: Goleta West Sanitary District purchased a Vactor through this program in 2022 and the City of San Luis Obispo has procured two sewer cleaning trucks.

The Haaker proposal includes the use of Sourcewell for the purchase of the Vactor truck. This provides for a 3% price discount. Additionally, Haaker is willing to accept a Letter of Intent to Purchase from the District until funding for a purchase order is authorized at the beginning of FY 2023-24 on July 1, 2023. This will reduce by half the time required from purchase to truck delivery as opposed to purchasing through the sealed bidding process conducted after the start of the fiscal year.

One of the issues that all vendors have faced during COVID and the economy slowdown is surcharges from the chassis and equipment manufacturers during the truck build process, after the purchase order has been issued. This is part of the reason for recent price increases from all of the sewer cleaning truck vendors. The Haaker quote includes a buffer of \$26,000 to cover any surcharges from the chassis or equipment suppliers. If there are no surcharges, the District's cost will be \$26,000 lower than stated on the quote. There is an attached Haaker letter which describes this in detail.

While all trucks were rated capable for lines cleaning operations, the Vactor truck was unanimously deemed the most efficient, with ease of operation, relative quietness of the engine and overall layout of tool and equipment storage for routine tasks. The hose reel and water pump controls are identical to the District Vactor, which eliminates any confusion and possible injury caused by having multiple operating systems between different vehicles. The Vactor includes

sturdier construction materials such as a stainless-steel water tank vs. the plastic water tanks of the Vac-Con and Nixon-Egli trucks.

Procurement of the Vactor truck will simplify maintenance and parts inventory as the Vactor RamJet truck uses the same pump and many of the same parts as the District's Vactor. Equipment and parts inventory is a requirement to be addressed as part of the 2022 State Water Board Order for collection systems Sewer System Management Plans. Having a centralized parts center for the cleaning trucks streamlines this requirement and simplifies the District's efforts for maintenance. Additionally, Haaker has a well-established service record with the District and has been very responsive to District needs for parts and repair services.

Should the Board authorize the issuance of a Letter of Intent to Haaker for the purchase of the Vactor RamJet truck and the use of Sourcewell, the District could anticipate having a replacement truck to be delivered by December 2023 versus waiting until funding is available in FY 2023-24, soliciting sealed bids and awarding purchase to the low-bidder. This would place delivery of the replacement truck well into the summer of 2024.

Staff recommends the issuance of a Letter of Intent to Haaker to initiate the purchase of a 2024 Vactor RamJet truck, as submitted on the bid dated January 31, 2023 from Haaker Equipment Company, La Verne, CA for the purchase price, including tax and delivery, in the amount not to exceed \$368,867.04

#### **IV. REFERENCE MATERIALS**

Sewer Cleaning Truck Quotes and Brochures

# HAAKER

EQUIPMENT COMPANY

2070 N White Ave.  
La Verne, CA 91750  
(909) 598-2706  
Fax (909) 598-1427  
www.haaker.com

February 1, 2023

Valued Customer,

We know this is a difficult time in procurement but we don't want it to be when buying from Haaker Equipment Company. We are firmly committed to be easy to do business with and strive for a positive customer experience.

In these turbulent times we are all facing pricing challenges and changes like never before. We are having prices raised on us by vendors long after we submitted a purchase order and continuous pricing surcharges. Haaker has absorbed many of these surcharges in order to honor the pricing to our customers and keep our commitment to you the customer.

We are now at a point that we need to plan for these pricing changes and will now include in our quotes moving forward a buffer to avoid going back to the customer with a new price after the purchase order was given. All outstanding quotes before the date of this letter will need to be revised to include this buffer that will vary across product lines.

Our commitment to our customers is to be transparent and fair. The buffer will be in the amount of \$26,000. If the manufacturer surcharges are higher we won't change the pricing. If the surcharges are lower we will invoice based only on what was risen. We will communicate before invoicing where the unexpected fees landed and to maintain transparency share all manufacturer surcharge communication if requested.

It will help all of us if we receive a communication from you the customer to share with the manufacturers that your price is unwavering and any changes will result in cancellation of the purchase order. This will help all of us put more of the power back into the hands of the consumers and save you money.

We hope this new way of quoting will provide you with more trust in procuring from Haaker Equipment Company and enable you the customer to reduce surprises after the purchasing decision.

Sincerely,



Michael Frias  
Division Sales Manager  
Haaker Equipment Co  
Cell: 909-222-5031  
[michael.frias@haaker.com](mailto:michael.frias@haaker.com)

# HAAKER

## EQUIPMENT COMPANY

4490 South K Street, Tulare, California 93274

(559) 220-8987 ~ haaker.com



### PROPOSAL

January 31, 2023

**TO:** GOLETA SANITARY DISTRICT  
One William Moffett Place  
Goleta, CA 93117

**ATTN:** Mr. Luis Astorga  
805-967-4519  
Email: [lastorga@goletasanitary.org](mailto:lastorga@goletasanitary.org)

In Accordance With Your Request, We Are Pleased To Submit The Following Proposal For Your Consideration And Approval Based On The [Sourcewell Cooperative Purchasing Agreement - Contract #101221-VTR.](#)

### **ONE (1) NEW VACTOR RAMJET JET RODDER**

1500 Gallon Capacity, 70 Gpm/3000 PSI Jet Rodder Pump,

Mounted On A 2024 International HV607 SBA Single Axle, 270 Hp Chassis With Allison Automatic Transmission And EPA21 Emissions. Equipped With All Standard And Optional Equipment Listed:

#### **STANDARD EQUIPMENT:**

- 2-1/2" X 25' Fill Hose W/ Connections Street Side And Curb Side
- 304 Stainless Steel Tank W/Baffle W/10 Yr. Warranty
- Intuitouch Electronic Package
- Hydrant Wrench
- Un-Shrouded
- Color Coded Sealed Electrical System
- Aluminum Rear Fenders W/ Mud Flaps And Anti-Sail Brackets
- Hydraulic Oil Sight Gauge
- Electric Back-Up Alarm
- 10'-6" Low Profile (Based On Vactor Stock Chassis Spec)
- Multi-Flow Water System
- Hydraulic Manifold Hose Reel Controls
- Low Water Alarm With Water Pump Flow Indicator
- 3" Y-Strainer Filter In Water Tank Fill W/ Cleanable Filter Accessible At Ground Level
- Flexible Hose Guide
- 3" Y-Strainer Filter In Jet Rodder Pump Suction W/ Cleanable Filter Accessible At Ground Level
- Rodder System Accumulator - Jack Hammer On/Off Control W/ Manual Valve
- 1" Water Relief Valve
- Side Mounted Jet Rodder Pump W/ Two Yr. Warranty
- Road Side Hazard Kit
- Fire Extinguisher 5 Lbs.
- Digital Hose Footage Counter
- Hydraulic Tank Suction Shutoff Valves
- Hydraulic Oil Temp Alarm W/Indicator And Shutdown
- Tachometer / Chassis Engine W/ Hour Meter / Oil Pressure / Coolant Temperature / Hour Meter
- Circuit Breakers
- LED Lights, Clearance, Backup, Stop, Tail, & Turn
- Tow Hooks, Rear
- Tow Hooks, Front
- Over Fender Toolbox- Passenger Side
- Camera System, Rear Only
- Hydraulic Extending 15", Rotating Hose Reel, 1" X 800' Capacity

- Digital Water Pressure Gauge
- Rear Basket
- 70 GPM @ 3000 PSI water pump

- Module Paint, Dupont Imron Elite - Wet On Wet
- 1" X 10' Leader Hose W/1" Nozzle Pipe
- Vactor Manual, Partial Manual And USB Version

**OPTIONAL EQUIPMENT:**

- Air Actuated Main Hose Reel Ball Valve
- Rodder Hose Pinch Roller
- High Pressure Hose Reel
- Digital Water Level Indicator
- Wireless Remote W/Hose Reel Controls - Belly-Pack
- 3/4" X 600' Piranha Sewer Hose, 3000 PSI
- Long Handle Tool Storage
- Behind Cab Toolbox
- Over Fender Tool Tray - Driver Side
- Over Rodder Pump Tool Tray
- Toolbox, Front Bumper Mounted, 16 X 12 X 18 W/(2) Led Side Markers

- Laval Water Separator At Fill
- Rodder Hose Pinch Roller
- Rodder Pump Drain Valves
- Worklight, Operators Station
- Worklight, Hose Reel Manhole
- Side Work Lights
- Worklights (2), Rear
- Hose Reel Wrapped For Delivery
- Safety Cone Storage Rack
- Additional Safety Cone Storage Rack
- Door Stripe Material, Reflective Tape
- Whelen Light Package Includes Led Light Bar, Strobes, Led Traffic Advisor

Sourcewell Unit Price: ..... \$ 348,384.00  
 Sourcewell Discount: ..... (\$ 6,048.00)  
 Subtotal: ..... \$ 342,336.00  
 Sales Tax (7.75%) ..... \$ 26,531.04

**TOTAL UNIT PRICE, FOB: GOLETA, CA: ..... \$ 368,867.04**

THE PROPERTY HEREIN IS GUARANTEED BY MANUFACTURER'S WARRANTY ONLY AND SELLER MAKES NO WARRANTY EXPRESSED OR IMPLIED, OF MERCHANTABILITY OR OTHERWISE, OR OF FITNESS FOR ANY PARTICULAR PURPOSE, THAT EXTENDS BEYOND THE ABOVE DESCRIPTION OF THE EQUIPMENT.

**NOTE:** Price is good until 15 Days. Cost increases due to the addition of Government mandated safety or environmental devices incurred after the date of this proposal, will be charged to you at our cost. Proof of such costs, if any, will be documented.

**TAXES:** SALES TAX applicable at time of delivery will be shown on our invoice. FEDERAL EXCISE TAXES, if applicable, will require payment unless a properly executed Exemption Certificate is submitted.

**DELIVERY:** 300-350 Days

**TERMS:** Net 30

We appreciate the opportunity to present this proposal and look forward to being of further and continued service.

**HAAKER EQUIPMENT COMPANY**

**ACCEPTED BY:** \_\_\_\_\_

BY: Bryan Fox  
**BRYAN FOX,**  
**SALES REPRESENTATIVE**

**DATE:** \_\_\_\_\_

## Configurations

Model/Drive System	Pump	Hose Reel Location	Shroud	GPM Available	Water Tank Size
F (hydraulic PTO)	Vactor	Front (standard)	Not available	60/80/100	1,500/2,000/2,500 gal
V (hydraulic PTO)	Vactor	Rear	Standard	60/80/100	1,500/2,000 gal
D (diesel)	Triplex	Rear	Standard	65/80	1,500/2,000 gal
P (direct drive PTO)	Triplex	Rear	Standard	65/80	1,500/2,000 gal

Example: A model V8020 has a Vactor pump, rear-mounted reel, pump rated at 80 gallons per minute and a 2,000 gallon water tank.  
Note: 2,000+ gallon water systems require a tandem axle chassis.

## General Specifications

### Water Pump

- 3 in Y-strainer (Vactor pump only)
- Water relief valve
- Engage/disengage at operator station

### Water Tank

- #304 stainless steel, 10 gauge with 3/16 in end plates
- High strength elliptical design
- Stainless steel baffles
- 24 in dia. inspection port
- 4 in air gap with anti-splash valve
- 25 ft x 2-1/2 in water fill hose with couplers
- 2 in drain valve at rear of unit
- Water level sight gauge
- Ladder on tank (curbside)

### Storage

- Tool tray, curbside and streetside
- Security door with canopy at rear (shrouded units only)
- Weatherproof toolbox (36 in x 18 in x 18 in)

### Washdown Equipment

- Handgun with 35 ft x 1/2 in hose and coupler
- Rear handgun connection
- Midship connection with front reel

### Standard Accessories

- 30° sanitary nozzle
- 15° sand nozzle
- Flexible hose guide
- Nozzle pipe assembly
- Jetter Operations, Parts Manual
- Hydrant wrench
- #5 fire extinguisher

### Lighting and Paint

- D.O.T. lighting system
- White enamel
- Optional paint colors available

### Options

- Dual fill system
- Winter package (air purge, recirculation system)

## Warranty

The Vactor Ramjet is warranted against defects in material or workmanship for a period of 12 months from the date of delivery to the original purchaser, with a 10-year warranty on the water tank. Optional extended warranty packages are available. Consult your Vactor dealer for complete warranty information.

## Your Vactor Dealer is:

Specifications subject to change without notice.  
Vactor® and Ramjet® are registered trademarks of Vactor Manufacturing.  
Effective 9/09 P/N 00055D ©2009 Federal Signal Corporation  
Federal Signal Corporation is listed on the NYSE by the symbol FSS.

**VACTOR**  
Subsidiary of Federal Signal Corporation

Vactor Manufacturing, Inc.  
1621 South Illinois Street  
Streator, Illinois, U.S.A. 61364  
(815) 672-3171 Phone  
(815) 672-2779 Fax  
www.vactor.com



**VACTOR**®  
Subsidiary of Federal Signal Corporation

## Vactor Ramjet®

The Vactor Ramjet is the perfect machine for faster, safer response to blockages, as well as regular preventive line maintenance. A single operator can operate the Ramjet and get the job done quickly and effectively. With your choice of hose reel locations, unique productivity-enhancing options and a variety of accessories, the Ramjet can be configured to exactly match your needs.

### Hose Reel Options



#### Rear-Mounted Hose Reel

- 800 ft x 1 in (244 m) hose capacity
- Engage/disengage at operator station
- Rotating reel in lieu of fixed reel without shroud
- Auto-wind guide (standard)

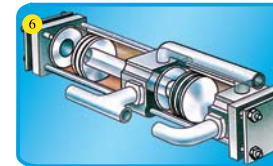


#### Front-Mounted Hose Reel

- Telescoping, rotating design (optional): telescopes 15 in (381 mm), rotates 270°
- 600 ft x 1 in (183 m) hose capacity
- Engage/disengage at operator station
- Auto-wind guide (optional)

### Additional Options

- 1 Auto-Wind Hose Guide**  
Allows hands-free operation from the control panel, preventing hose from tangling as it is rewound. Standard on the rear mount, optional on the front mount.
- 2 Alternative Fuel (CNG)**  
The Vactor Ramjet is now available powered by clean burning compressed natural gas (CNG). This option includes a 56.4 gallon diesel equivalent fuel capacity held in four aluminum core, carbon fiber 4500 psi fast fill gas cylinders. It meets all of the North American and international NGV system standards.
- 3 Telescoping, Rotating Hose Reel (front mount only)**  
Telescopes and rotates for easy operator setup in a multitude of applications. Allows cleaning of multiple inlets and reduces hose damage due to misalignment.
- 4 Drive Options**  
Drive options include hydrostatic, direct drive PTO or auxiliary diesel engine.
- 5 Door Options**  
Roll-up doors available.
- 6 Available Vactor Jet Rodder Pump**  
Choose the exclusive Vactor Jet Rodder pump with Jack Hammer® action that scours pipes clean. Multi-flow available. Triplex pump also available.
- 7 Stainless Steel Water Tank**  
More durable than tanks made of steel or plastic material. Provides years of rust-free service; prevents cracking in cold weather. Available in three capacities – 1500 / 2000 / 2500 gallons.



#### Genuine Vactor Quality & Service

Designed, built and backed by the world's #1 sewer cleaner manufacturer. Includes technical support via toll-free 24-hour hotline.





CSLB #980409  
DIR 1000004282  
www.source-mme.com  
Toll Free 1-888-484-9968

June 22, 2022

Goleta Sanitary District  
One William Moffett Place  
Goleta, CA 93117

Tel: 805-967-4519  
lastorga@goletasanitary.org

Attention: Luis Astorga, Collection System Manager

We are pleased to provide the following budget quotation on the Underground PipeHunter Truck Mounted Jetter for your review.

One (1) Each PipeHunter Model 10E33TM Truck Mounted Jetter complete with the following features:

Water Tank:

- 1,000 Gallon High-Density Polyethylene Tank
- Single Filler Assembly
- Main Tank Water Sight Gauge
- 25' Fill Hose with Storage Rack
- 2" Aux. Drain Valve – Street Side Rear
- Baffle Balls

Water Pump:

- 2,500 PSI @ 40 GPM Giant Water Pump
- Washdown System-43H with Gun and 25' Hose
- Air Purge
- Multi-Flow Valve

Electric Drive:

- (4) Battery Packs Electric Dive 3-5 Thousand Charging Cycles Approx. 160 Minutes Run Time @ Full Capacity
- 20 AMP Charger System
- Battery Level Indicator @ Operator Station Power ON/OFF Control Panel
- BMS Systems to Protect Electric Drive System
- Main Power Shut Off
- Control Panel Mounted Speed Control Knob for Flow & Pressure Control

Single Jet Hose Reel:

- 800' x 3/4" Jet Hose Reel
- Articulating Reel Option Hydraulic Powered Articulation Worm Gear
- Double Roller Level Wind 45 Degree
- Manual Footage Counter 3/4"



June 22, 2022  
Goleta Sanitary District  
PipeHunter Truck Mounted Jetter Budget Quotation  
Page 2

Hose Options:

- 15' x 3/4" Leader Hose
- 700' x 3/4" Piranha Brand Jet Hose
- Tiger Tail (1) STD 3"

Nozzles:

- Nozzle Rack
- 15 Degree Nozzle
- 30 Degree Nozzle
- Nozzle Skid Assy. 6"

Electrical Options:

- LED Arrowstick with Control Box & Mounting Bracket
- Qty (4) Light – Strobes Flat One Each Corner of Truck
- Strobe Light with Limb Guard
- LED Panel Light
- LED Work Light - Control Panel
- LED Work Light - Arrowstick DS
- LED Work Light - Arrowstick PS

Tool Boxes:

- (2) Locking, Above Frame – Aluminum 14" x 14" x 60"
- (2) Locking, Below Frame – Aluminum 18" x 18" x 36"

Accessories:

- Hydrant Wrench
- Manhole Hook
- Deck Mount Traffic Cone Rack
- Side Ladder with Handles – Passenger's Side Front

Manuals:

- PipeHunter Operator's Manual CD
- Engine Operator's Manual CD

Frame:

- 6" Frame
- DOT Lighting Package
- Chassis Fuel Tank Tie In or 15 Gallon Aux. Tank
- Back Up Camera (Rear) In Cab Monitor

Color:

- PipeHunter Speed Liner Frame and Reel – in Your Choice of Factory Standard Colors



June 22, 2022  
Goleta Sanitary District  
PipeHunter Truck Mounted Jetter Budget Quotation  
Page 3

Mounted on a new 2023 Ford F-750 Gasoline chassis complete with the following features:

- 7.3 Engine
- 2 WD
- 120 CA

Budget Price F.O.B. Goleta, CA	\$328,543.00
7.5% Estimated Sales Tax	24,640.73
CA Tire Fee (6 @ \$1.75 Each)	<u>10.50</u>
Total	\$353,194.23

- Quotation includes delivery and on-site training.
- Normal delivery 150-240 days A.R.O., depending on truck chassis and component availability during production.
- Sales tax applicable at time of delivery will be shown on invoice.
- Terms Net 15.
- Quotation valid for 15 days.

Thank you for your interest in this fine product line. Should you have any questions or need additional information, please let us know. We look forward to being of service.

Respectfully,  
Municipal Maintenance Equipment, Inc.

Cory Powers,  
Territory Sales Manager

# Electric Truck & Trailer Mount Jetting



## Overview



format. Silently jet the streets of your town with nothing more than electricity. Save the diesel, just jet quietly wherever you desire. Inquire with your local PipeHunter dealer to find out more.

## Features

- Trailer or Truck Mounted packages
- 12 GPM up to 35 GPM (with pressures up to 4,000 PSI)
- Direct-driven E-drive water pump
- Managed battery packs with Low-voltage detection
- Speed Control with instant torque
- Same, trusted hydraulic-driven reel
- Giant Triplex Water Pump
- Digital display for battery status and estimated runtime
- Simple Charging system (Level 1, 2 & 3 available) overnight, or regenerate while driving.
- Multiple battery pack configurations available
- Enough power to run several tanks of water per day (@ full pressure/flow)

## Options

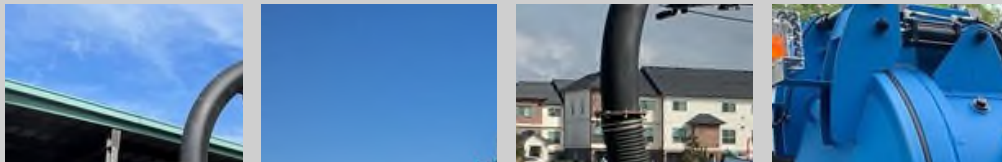


110VAC Power Outlets for noiseless power

- Hydraulic Power Pack Options, Power your hydraulic tools onsite

HAVE SOMEONE CONTACT ME REGARDING THIS EQUIPMENT

Connect with us on Instagram:



RED DAWG NOZZLES NEW EQUIPMENT CONTACT US

PipeHunter is a registered trademark of Texas Underground, Inc.

- S. CALIFORNIA: 2044 South Vineyard Avenue, Ontario, CA 91761 • (909) 930-1822 • FAX (909) 923-2356
- N. CALIFORNIA: 800 East Grant Line Road, Tracy, CA 95376 • (209) 830-8600 • FAX (209) 830-8884

Goleta Sanitary District  
1 WM L Moffett Pl  
Goleta, CA 93117

January 26, 2023

Attention: Shamus O' Donnell  
Reference: Secoa 800-HF-ECO  
Nixon Quote# 100748

Dear Shamus,

We are pleased to provide you a quotation on a new **Secoa 800-HF-ECO Series IV Truck Mounted High Pressure Sewer Cleaner** with all standard equipment including:

### **Rear Compartment Options:**

- "Giant 65 GPM @ 2000 PSI w/30 Min Run Dry Capability"
- Hydrostatic Drive Via Trans Power PTO
- Lighted NEMA 4 Control Panel
- Tachometer / Hour Meter
- Air Purge Valve
- Recirculation System
- "Painted Steel Shroud Enclosure w/3 Roll-Up Doors"
- 80,000 BTU Compartment Heater
- Midship Water Manifold System
- Consolidated Water Drain System

### **Hose Reel & Hose Option:**

- Telescoping & Rotating Safety Reel  
w/700' Capacity of 1" Hose

### **Tank & Fill:**

- 1500 Gallon Duraprolene™ (Black) Water Tank w/10 Year Warranty
- 2.5" Fill System

### **Truck:**

- Mounting to Approved Chassis
- Mudflaps & Aluminum Side Skirting
- Bumper / Hitch Receiver
- Aluminum Underbody Toolboxes (2) Per Side, (1) Rear
- D.O.T. Approved LED Lighting



- S. CALIFORNIA: 2044 South Vineyard Avenue, Ontario, CA 91761 • (909) 930-1822 • FAX (909) 923-2356
- N. CALIFORNIA: 800 East Grant Line Road, Tracy, CA 95376 • (209) 830-8600 • FAX (209) 830-8884

## **Nozzles & Accessories:**

- 10' Leader Hose
- BB Hose Guide
- Tri-Star (Chisel Point) Nozzle
- DD (High Flow) Nozzle
- Finned Nozzle Extension
- Nozzle Rack
- 25' Fill Hose
- Washdown Gun w/25' Ext. Hose
- Upstream Pulley Guide
- Paper Operator / Owner Manual

## **Additional Options Included in Pricing Below:**

- Upgrade Water System to Giant 55 GPM @ 3000 Psi Plunger Style Triplex Water Pump W/30 Min Run Dry Capability
- Automatic Levelwind with Hydraulic Up/Down Action
- Digital 'Smart Counter' Footage Meter +/- 3% Accuracy
- Footage Meter (Mounted on Jet Hose Reel)
- Hydraulic Pressure Gauge
- 700' Sewer Hose (3/4" I.D. X 3000 Psi Operating Pressure) Per Ft.
- Washdown System W/50' Retractable Hose Reel
- Fill Hose Storage Rack
- Tank Access Ladder
- Engine/Water Pump Compartment Light
- Led Flood Light (Factory Standard)
- Led Strobe Light (Factory Standard)
- Led Arrow Board (Factory Standard)
- (4) Work Flood Light Package – (2) Rear Mounted Above Shroud Door, (1) Driver (4) Work Flood Light Package – (2) Rear Mounted Above Shroud Door, (1) Drivers Side Mounted Above Water Pump Door, (1) Passenger Side Mounted Above Water Manifold Door, Switch on Cab Box
- (8) Led Flush Mounted Strobe Package – (2) Grill Mounted, (2) Drivers Side Shroud, (2) Passenger Side Shroud, (2) Rear of Shroud.
- Wireless Remote Pendant Control (With Hose Reel Payout/Retrieve, Throttle Up/Down, Water On/Off, Water Pressure Up/Down, Hose Reel Speed Up/Down, Water Pressure Display, And Kill Switch)
- Rear Back Up Camera W/7 Inch Color Monitor Mounted in Cab
- Cone Holder With (6) 28" D.O.T. Safety Cones
- 25' X 3/4" Leader Hose (In Lieu Of Standard 10')
- Additional Paper Operator's Manual
- Additional USB Operator's Manual

## **Chassis:**

- Freightliner M2 Standard Cab Diesel Powered

- S. CALIFORNIA: 2044 South Vineyard Avenue, Ontario, CA 91761 • (909) 930-1822 • FAX (909) 923-2356
- N. CALIFORNIA: 800 East Grant Line Road, Tracy, CA 95376 • (209) 830-8600 • FAX (209) 830-8884

<b>Unit Price:</b>	<b>\$300,748.19</b>
<b>Sales Tax (Santa Barbara County 7.75%):</b>	<b>\$23,307.98</b>
<b>Outbound Freight:</b>	<b>\$2,000.00</b>
<b>Total Price:</b>	<b>\$326,056.17</b>

Freight will be via driveaway service.

This quote is valid for 30 days.

Thank you for the opportunity to quote on your equipment needs. If you have any further questions, please feel free to contact me.

Sincerely,

**NIXON-EGLI EQUIPMENT CO.**

Christian Velasco

Municipal Area Manager

# SEWER EQUIPMENT CO. of AMERICA

SEWER EQUIPMENT  
CO. of AMERICA



## MODEL 800 SERIES

# BEST PRODUCTS, BEST LOCAL SUPPORT



## 800 TRUCK Series

The Model 800-HPR from Sewer Equipment CO. of America has been the gold standard truck jet by which all others in the industry are measured. The on-going innovation and evolution of this truck has forced others to imitate, but never duplicate the features that make the Model 800 truly superior.



The 800 truck jet series offers a number of different configurations based on the needs of each customer, with the most popular being the 800-HPR ECO. This configuration offers both triplex and single piston water pump options with a host of unique design features, making it the most productive, environmentally-friendly and safest truck-mounted jetter on the market. Additionally, we offer multitude of available options, making this truck a perfect fit for a wide range of customers and applications.

Maximizing run time is the best way to improve the performance of your cleaning crew, and the 800 ECO is designed with this in mind. A single axle package with 1500 gallons of standard water capacity provides operators with an easy-to-maneuver footprint while maximizing cleaning footage between water refills. If more is better, our exclusive Duraprolene® water tanks allow capacities of 2000, 3000 and 4500 gallons. With water on board, our climate controlled environmental chamber with 80,000 BTU heater keeps the pump, rear hose reel and plumbing warm during cold weather applications.

The unique construction of the Duraprolene® tank includes a fully-baffled interior, eliminating dangerous surges of water when stopping or turning with thousands of pounds of water on board. Additionally, the fully-extendable rotating hose reel allows operators to position themselves with minimal exposure to traffic and other potential hazards. For additional safety, operators can option their truck with our wireless remote pendant which provides the operator full control of jetting operations from up to 1500 feet away.



The exclusive ECO operating system combines the simplicity and efficiency of a PTO hydrostatic pump drive with a power plant that operates at lower RPM than traditional designs. Trucks equipped with ECO options experience less engine wear and lower fuel consumption, saving operators time and money.

One of the greatest dangers facing cleaning crews today is the intrusion of utilities into sewers; particularly natural gas lines. The addition of televising capabilities allow operators to positively identify such obstructions before using aggressive cleaning nozzles or root cutters. Many other options, such as a rear backup camera, various pump configurations, safety lighting packages, wash down system and toolboxes can be chosen to maximize operator safety and productivity.

### *Model 800 Series IV*

The newest version of the 800 series is the Model 800 Series IV, offering the same all the same features as the 800-HPR ECO but with a host of new options to meet the growing needs of the market, which is an all-weather truck jet. The Series IV comes standard with a full closing rear door while the hose reel is extended, providing maximum heat retention inside the environmental enclosure where vital water components are stored. A retractable canopy protects the operator from sun and inclement weather. The hot water heater delivers hot water to the wash down reel, lateral reel and jetting circuits for cold weather applications. Additionally, this series offers the ability for winter-time recirculation of the water system at highway speeds.

The dual reel configuration touts two hose reels in one location with the secondary reel allowing for the addition of a televising jet pod or small line sewer hose, giving operators the ability to perform multiple applications using only one truck. The addition of multiple operator stations increases operator efficiency and safety, with one station located between the dual hose reels and a second at midship. While you may be able to find these features and options spread across offerings by various manufacturers, the 800 Series IV is the only truck jet that can deliver them all in a single truck. The 800 Series IV just raised the bar again.

# TOLL FREE 800.323.1604

# MODEL 800 SERIES

**ROTATING HOSE REEL:** This unique design allows for storage of the hose reel in the temperature controlled chamber when not in use. During operation, the rear roll-up door opens and the hose reel extends out and is now able to rotate a total of 190°. This allows maximum access to remote manholes, reduces operator fatigue, as well as minimizes operator exposure to "high traffic zones".



**CLIMATE CONTROLLED ENVIRONMENTAL ENCLOSURE:** Our unique, heated enclosure allows safe operation in temperatures well below freezing without worries of damaged pumps or plumbing. With a fully-welded one piece steel or all aluminum construction available, your truck will still look great inside and outside after years of exposure to the elements. Regardless of your climate, the locking roll up doors offer unequaled security for your truck and the equipment you carry to your job site.



**ECO OPERATING PLATFORM:** Operating lower RPM's than the competition, the 800 Series truck jet consumes considerably less fuel than traditional designs, adding to your bottom line each year. Perhaps more importantly, low RPM's equate to low noise emissions. Complaints from residents are minimized, and operators recognize a higher level of safety when they can hear each other and the traffic around them.



**OPERATOR CONTROL STATION:** After simply parking the truck in neutral and engaging the parking brake, all jet functions are controlled from the operator control station via four switches moving from left to right:

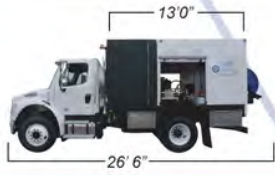
1. Work Mode - ON
2. Water Valve - ON
3. Jet - High/Low
4. Throttle - Up/Down

All controls are housed in a NEMA-4 box with washdown rated switches and gauges. All wiring is color coded and each manual contains a wiring diagram specific to your truck's serial number.

**DURAPROLENE® WATER TANKS:** Our exclusive Duraprolene® water tank design has a multitude of benefits. Unlike aluminum or steel, Duraprolene® is 100% immune to long term damage caused by water and deicers, such as magnesium chloride and common road salt. The square design holds more water per linear foot than round tanks, which is a major key to building a truck with a 1500 gallon standard water capacity that is under 23' in length. With driver safety in mind, each tank is fully baffled to eliminate water surge while turning and stopping.



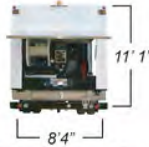
# BEST PRODUCTS, BEST LOCAL SUPPORT



MODEL	LENGTH	WIDTH	HEIGHT	STANDARD WATER CAPACITY	AXLE CONFIGURATIONS	MAX HOSE CAPACITY	HOSE SIZE
<b>800-HPR</b>	26'6"	8'4"	11'1"	1500 gallon	Single or Tandem Axle	1000'	1/2" - 1"



MODEL	LENGTH	WIDTH	HEIGHT	STANDARD WATER CAPACITY	AXLE CONFIGURATIONS	MAX HOSE CAPACITY	HOSE SIZE
<b>800-HPRTV</b>	26'6"	8'4"	11'1"	1500 gallon	Single or Tandem Axle	1000'	1/2" - 1"



MODEL	LENGTH	WIDTH	HEIGHT	STANDARD WATER CAPACITY	AXLE CONFIGURATIONS	MAX HOSE CAPACITY	HOSE SIZE
<b>800-H</b>	22'6"	8'4"	11'1"	1500 gallon	Single or Tandem Axle	1000'	1/2" - 1"



MODEL	LENGTH	WIDTH	HEIGHT	STANDARD WATER CAPACITY	AXLE CONFIGURATIONS	MAX HOSE CAPACITY	HOSE SIZE
<b>800-HF</b>	28'8"	8'4"	11'1"	1500 gallon	Single or Tandem Axle	1000'	1/2" - 1"



MODEL	LENGTH	WIDTH	HEIGHT	STANDARD WATER CAPACITY	AXLE CONFIGURATIONS	MAX HOSE CAPACITY	HOSE SIZE
<b>800 Series IV</b>	28'1"	8'4"	11'1"	1500 gallon	Single or Tandem Axle	1000'	1/2" - 1"


**TOLL FREE 800.323.1604**

# MODEL 800 SERIES

	800-HPR ECO	800-HPRTV ECO	800-H	800-HF	800 SERIES IV	800 SERIES IV TV
<b>Engine &amp; Pump</b>						
Triplex Plunger Water Pump w/ 30 Minute Run-Dry: 65gpm @ 2000psi	S	S	S	S	S	S
Hydrostatic Drive via World Trans	S	S	S	S	S	S
80gpm @ 2000psi	O	O	O	O	O	O
80gpm @ 2500psi	O	O	O	O	O	O
55gpm @ 3000psi	O	O	O	O	O	O
65gpm @ 2500psi - Single Piston Pump	O	O	O	O		
80gpm @ 2500psi - Single Piston Pump	O	O	O	O		
Accumulator for Single Piston Pump	O	O	O	O		
Environmental Friendly Hydraulic Fluid	O	O	O	O	O	O
<b>Hose Reel &amp; Hose</b>						
Rear-Mounted Safety Hose Reel 700' x 1" Hose capacity	S				S	
Front-Mounted Safety Hose Reel 700' x 1" Hose capacity				S	O	
Rear-Mounted Fixed Hose Reel 700' x 1" Hose capacity			S		O	
Hydraulically Powered Rotating Hose Reel	O	O				
Automatic Level Wind with Hydraulic Up/Down Action	O	O	O	O	O	O
Digital Smart Counter	O	O	O	O	O	O
Footage Meter (Mounted to Jet Hose Reel)	O	O	O	O	O	O
Footage Meter (Mounted on Manual Level Wind)	O	O	O	O	O	
Upgrade to 1000' Capacity Hose Reel	O	O	O	O	O	S
Sewer Hose 1" x 2500psi	O	O	O	O	O	O
Sewer Hose 1" x 3000psi	O	O	O	O	O	O
Sewer Hose 3/4" x 2500psi	O	O	O	O	O	O
Sewer Hose 3/4" x 3000psi	O	O	O	O	O	O
25'x1" Leader Hose	O	O	O	O	O	O
Hydraulic Manifold Reel Control	O	O	O	O	S	S
Manual Diverter Valve	O	O	O	O		
Hydraulic Tool Circuit	O	O	O	O	O	O
<b>Dual Reel Configurations</b>						
Automatic Level Wind with Hydraulic Up/Down Action	O				O	
Smart Counter (for Dual Reel)	O	O			O	S
Centralized Control Panel for Dual Reel					S	S
Dual Telescoping Rotating Safety Reel:						
<b>Standard Capacity</b>						
Main Reel: 600' x 1" OR 900' x 3/4"	O	O			O	
Aux Reel: 600' x 3/4" OR 1000' x 5/8" OR 1300' x 1/2"						
<b>High Capacity</b>						
Main Reel: 1000' x 1" OR 1500' x 3/4"	O	O			O	
Aux Reel: 1000' x 3/4" OR 1500' x 5/8" OR 1000' x 1/2"						
<b>TV System</b>						
Self-Leveling Color TV Camera		S				S
4" Jet Pod Skid		S				S
Color Monitor		S				S
DVR with Removable SD Card		S				S
Additional Self-Leveling Camera Head		O				O
Additional 4" Jet Pod for Camera		O				O
Jet Pod Skids from 6"-18"		O				O
Dual Telescoping Rotating Safety Reel: TV Configurations						
<b>TV Reel</b>						
Main Reel: 700' x 1" or 1000' x 3/4"	O	S				S
Aux Reel: 500' of Umbilical Hose						
<b>TV Crew Reel</b>						
Main Reel: 700' x 1" or 1000' x 3/4"	O	O			O	
Aux Reel: Platform for Aftermarket Camera System						

	800-HPR ECO	800-HPRTV ECO	800-H	800-HF	800 SERIES IV	800 SERIES IV TV
<b>Tank &amp; Fill System</b>						
1000 Gallon Water Tank	O	O	O	O	O	O
1500 Gallon Duraprolene® Water Tank	S	S	S	S	S	S
2000 Gallon Duraprolene® Water Tank	O	O	O	O	O	O
2500 Gallon Duraprolene® Water Tank	O	O	O	O	O	O
3000 Gallon Duraprolene® Water Tank	O	O	O	O	O	O
10'x6" Low Profile	O	O	O	O	O	O
1500 Gallon Water Tank	O	O	O	O	O	O
2.5" Fill System	S	S	S	S	S	S
Fill Hose Storage Rack	O	O	O	O	O	O
Tank Access Ladder	O	O	O	O	O	O
Duraprolene® Water Tank Warranty for 10 years	S	S	S	S	S	S
<b>Rear Compartment</b>						
Lighted NEMA 4 control panel	S	S	S	S	S	S
Tachometer & Hour Meter	S	S			S	S
Tachometer	S	S	S	S	S	S
Hour Meter	S	S	S	S	S	S
Air Purge Valve	S	S	S	S	S	S
Recirculation System	S	S	S	S	S	S
Painted Steel Shroud (3 Roll-Up Doors)	S	S			S	S
Painted Steel Shroud (2 Roll-Up Doors)			S	S		
Drain Valves for Water Pump	O	O	O	O	O	O
Lateral Line Cleaning Kit w/ Electrical Rewind	O	O	O	O	O	O
Lateral Line Cleaning Kit w/ Electrical Variable Rewind					O	O
Washdown System with Retractable Reel & 50ft of Hose	O	O	O	O	O	O
Upgrade to Aluminum Shroud	O	O	O	O	O	O
80,000 BTU Enclosure Heater	S	S	S	S	S	S
400,000 BTU Water Heater					O	O
800,000 BTU Water Heater					O	O
Winterization System					O	O
Midship Water Manifold					S	S
<b>Pendant Controls</b>						
Master Pendant	O	O	O	O	O	O
Wireless Pendant	O	O	O	O	O	O
<b>Lighting</b>						
D.O.T. Approved LED Lighting	S	S	S	S	S	S
Compartment Lighting	O	O	O	O	O	O
LED Flood Light	O	O	O	O	O	O
LED Rotating Beacon	O	O	O	O	O	O
LED Strobe Light	O	O	O	O	O	O
LED Arrow Stick	O	O	O	O	O	O
LED Arrow Board	O	O	O	O	O	O
Handheld Wireless Spotlight	O	O	O	O	O	O
<b>Truck Mounting and Tool Storage</b>						
Air Purge System	O	O	O	O	O	O
Hydrostatic Front Crankshaft	O	O	O		O	O
Rear Gauge Cluster	O	O	O	O	S	S
Spray Bar (Front Frame Mounted)	O	O	O	O	O	O
Fixed Overhead Canopy	O	O		O	O	O
Steel Skirting and Steel Toolboxes	O	O	O	O	O	O
Steel Skirting and Aluminum Toolboxes	O	O	O	O	S	S
Skirting Only	O	O	O	O	O	O
Long Handled Tool Storage	O	O	O	O	O	O
Long Handled Tool Storage Tray					O	O
Roll-Out Root Cutter Maintenance Box	O	O	O	O	O	O
Rear Back-Up Camera System	O	O	O	O	O	O
Reese Style Hitch	O	O	O	O	O	O
Combination Heavy Duty Ball Hitch	O	O	O	O	O	O
(6) 28" D.O.T. Safety Cones and Holder	O	O	O	O	O	O
Electric Awning					O	O
<b>Truck</b>						
Mounting to Approved Chassis	S	S	S	S	S	S
Mud Flaps	S	S	S	S	S	S
Bumper	S	S	S	S	S	S
Hitch Receiver	S	S	S	S	S	S

Legend	
Standard	S
Optional	O



# SEWER EQUIPMENT CO. of AMERICA

Last Revised 6/2020

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Products subject to change without notification.

Actual products may not be an exact match to product as shown.

**800.323.1604**  
**[www.sewerequipment.com](http://www.sewerequipment.com)**







CSLB #980409  
DIR 1000004282  
www.source-mme.com  
Toll Free 1-888-484-9968

January 3, 2022

Goleta Sanitary District  
One William Moffett Place  
Goleta, CA 93117

Tel: 805-967-4519  
sodonnell@goletasanitary.org

Attention: Shamus O'Donnell, Collection System Supervisor

We are pleased to provide the following budget quotation on the Vac-Con Truck Mounted High Pressure Sewer Jetter for your review.

One (1) Each Vac-Con Model VJ1500 complete with the following standard equipment:

- Rear Mounted Telescoping & Rotating Hose Reel, Capacity 600' of Sewer Hose
- 400' of 1" @ 2000 PSI Sewer Hose
- 20' of 1" Leader Hose
- Hose Rewind Guide - Manual
- NEMA 4 Control Panel
- Recirculation System @ Highway Speed
- Airtronic Compartment Heater
- Air Purge System Powered by Chassis
- Hydrostatic Drive Via FEPTO
- Aluminum Shroud Enclosure (White) w/3 Roll Up Doors
- Powder Coated Steel Tool Box Pkg. (5 Total) 2 Each Side & 1 Rear
- 1,500 Gallon Tank
- 2.5" Fill System Dual Fill
- Low Water Alarm
- Reel/Water Pump Compartment LED Light - Qty. 2
- Rear LED Work Lights - Qty. 2
- Rear Mounted LED Arrow Stick - Qty 1
- Beacon/Strobe Light - Qty 1
- LED Strobe Light (Qty 6) 1 Each Side, 2 Rear, 2 Front
- LED Work Light Located Below The Reel - Qty 2
- Rear Back Up Camera w/7 Inch Color Monitor in Cab
- Penetrator Nozzle - ENZ
- Cleaning Nozzle - ENZ
- Finned Nozzle Extension
- 25' Fill Hose
- Washdown Gun w/25' Extension Hose & Reel
- USB Operators/Owner's Manual
- LED Stop/Tail/Turn Lights
- Mud Flaps
- Bumper
- Hitch Receiver
- ICC Approved Lighting

4634 Mayhew Road  
Sacramento, CA 95827  
Office: 916-922-1101  
Fax: 916-922-1034

4750 Caterpillar Road, #D  
Redding, CA 96003  
Office: 530-243-4856  
Fax: 530-243-1447

1913 Nancita Circle  
Placentia, CA 92870  
Office: 714-528-8770  
Fax: 714-528-8744

1930 W. Winton Avenue, #1  
Hayward, CA 94545  
Office: 510-670-0230  
Fax: 510-670-9003

6230 Greyhound Lane, #K  
Las Vegas, NV 89122  
Office: 888-484-9968  
Fax: 916-922-1034



January 3, 2022

City of Goleta

Vac-Con Model VJT1500 Truck Mounted High Pressure Sewer Jetter Budget Quotation

Page 2

Including the following selected features:

Main Information:

- Jet Rodder Hose: 800' x 3/4" Piranha Brand Jetter Hose
- Water System: 60 GPM / 3000 PSI
- Water Pump: FMC

Water System:

- Power Guide Auto Level Wind
- Water Level Indicator – Control Panel

Misc. Machine Options:

- Canopy – Electric
- (2) Cone Storage Racks
- Long Handle Storage / PVC (2) Rear

Lighting:

- (2) Front Mounted LED Arrow Sticks – Strobe Whelen TAM85
- LED Midbody Flood Lights with Guards – Whelen NP6BB (Driver's Side and Curbside)
- LED Rear Mounted Flood Lights with Limb Guard – Whelen NP6BB Worklight
- (2) LED Strobe with Limb Guard, Rear Debris Tank Mounted – Whelen L21HAP LED Beacon with Whelen BGH Branch Guard
- (2) Mirror Mounted LED Beacon / Strobe Light with Limb Guard – Whelen L21HAP LED Beacon with Whelen BGH Branch Guard

Misc. Accessories:

- Wireless Remote Control

Spare Nozzle:

- 3/4" Nozzle Rack

Leader Hose:

- 3/4" x 25" Length Leader Hose

Tool/Storage Box:

- Upgrade to Aluminum Tool Box Package (5) 2 Each Side, 1 Rear



January 3, 2022

City of Goleta

Vac-Con Model VJT1500 Truck Mounted High Pressure Sewer Jetter Budget Quotation

Page 3

Mounted to a new California CARB Freightliner 108SD 4x2 truck chassis complete with the following features:

- ISL270 Engine
- Allison 3000RDS Automatic Transmission
- 37,000 GVWR
- Driver's and Passenger's Side Air Seats
- Bogie Roll
- Air Conditioning
- AM/FM
- Painted White

Budget Price F.O.B. Goleta, CA	\$353,539.47
7.75% Estimated Sales Tax	27,399.31
CA Tire Fee (6 @ \$1.75 ea.)	10.50
Total	<u>\$380,949.28</u>

- Quotation includes delivery and on-site training.
- Normal delivery 150-210 days A.R.O., depending on availability at time of order.
- Sales tax applicable at time of delivery will be shown on invoice.
- Terms: Net 30
- Quotation valid for 15 days.

Thank you for your interest in this fine product line. Should you have any questions or need additional information, please let us know. We look forward to being of service.

Sincerely,  
Municipal Maintenance Equipment, Inc.

Cory Powers,  
Territory Sales Manager



# VJT1500

---

**A compact enclosed jetter truck offering simple operation and the most accessibility on the market**

## **FEATURES**

- More standard features included than anyone on the market
- Easiest enclosed jetter truck on the market to operate
- 30 to 80 GPM @ 2,000 to 3,000 PSI

# VJT1500

**A compact enclosed jetter truck offering simple operation and the most accessibility on the market**

## WHY VJT1500

Height adjustable control panel for ideal operator ergonomics.

Operator station at hose reel and another at midship.

LED rear flood lights for working area and reel.

Backup camera.

Full host of strobes – front, rear, and sides.

5-tool box package.

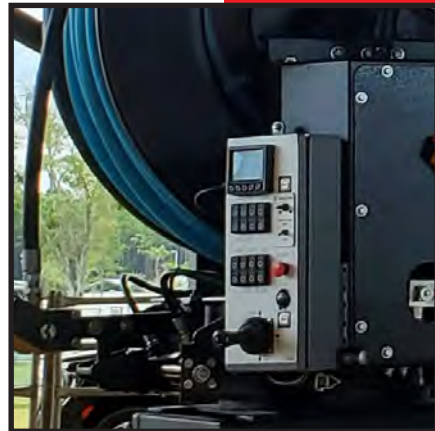
All aluminum enclosure.

6-ft. wide door openings on three sides provides the most accessibility on the market to perform service and maintenance.

1500-gallon water capacity.

Hose reel rotates 190-degrees. 95-degrees to curb and 95-degrees to driver's side.

Winter recirculation at idle or at highway speeds.



# **GENERAL MANAGER'S REPORT**

## **GOLETA SANITARY DISTRICT GENERAL MANAGER'S REPORT**

The following summary report describes the District's activities from January 17, 2023, through February 6, 2023. It provides updated information on significant activities under three major categories: Collection System, Treatment/Reclamation and Disposal Facilities, and General and Administration Items.

### **1. COLLECTION SYSTEM REPORT**

#### **LINES CLEANING**

Staff has been conducting routine lines cleaning in the area of Calle Real and Vega Drive west of N. Fairview Avenue.

#### **CCTV INSPECTION**

Staff has been conducting routine Closed-Circuit Television (CCTV) inspections in the area of Cathedral Oaks Road and N. Fairview Avenue.

#### **COMPETENCY BASED TRAINING**

Staff will make a separate presentation on the status of the Competency Based Training Program.

#### **GREASE AND OIL INSPECTIONS**

Staff had begun the 2023 Grease and Oil Inspection program. To date, twenty (20) Food Service Establishments have been inspected. All passed inspection.

#### **PROFESSIONAL DEVELOPMENT**

Collection System Maintenance Technician II Braden Stribling attended a Cues training course on CCTV camera diagnostics and repair. This was a two-day training held in Tampa, FL which focused on troubleshooting and repairs of the CCTV camera. This training will allow District staff the ability to effect common repairs on the District CCTV camera which are currently done by the Cues repair facility in the Los Angeles area. It should be noted that Braden was recognized by Cues staff for successfully completing the end-of-course diagnostic and repair test well ahead of the rest of the class.

### **2. TREATMENT, RECLAMATION AND DISPOSAL FACILITIES REPORT**

Plant flows for the month of January 2023 were 5.2 MGD. We accumulated 10.7 inches of rain at the Plant. The Reclamation Plant is still offline due to the continuing rain events, and Goleta Water District will be filling the reservoir with potable water. High concentrations and loadings during the weekends continue to cause intermittent challenges and various levels of plant interference.

On January 3, 2023, we sent our second sampling session to the Hydro Solutions lab. We received the report back and have decided to conduct 2 more sampling efforts. One more with the nanobubbler offline and another with it online. We plan to sample the GWSD and GSD inflows separately to determine if there is a difference in surfactant loadings from the 2 collection systems.

The Influent Pump Station Rehabilitation project submittal and procurement process is still

ongoing due to supply chain issues. We had a meeting with UCSB and we will be getting ready for the next Knife Gate shutdown in mid-February, 2023.

Maintenance staff continue repairing air valves at the Lift Station, installing the air compressor for the weld shop, vehicle maintenance, and flow meters, and performing general plant maintenance.

### **3. GENERAL AND ADMINISTRATIVE ITEMS**

#### **Financial Report**

The District account balances as of February 6, 2023 shown below are approximations to the nearest dollar and indicate the overall funds available to the District at this time.

Operating Checking Accounts:	\$ 545,043
Investment Accounts:	<u>\$ 36,504,886</u>
Total District Funds:	\$ 37,049,929

The following transactions are reported herein for the period 01/13/22 – 02/06/23

Regular, Overtime, Cash-outs and Net Payroll:	\$ 242,676
Claims:	\$ 529,142
Total Expenditures:	\$ 771,818
Total Deposits (01/06/23-01/13/23):	\$ 98,740

Transfers of funds (01/06/23-01/13/23):

LAIF to Community West Bank Operational (CWB):	\$ - 0 -
CWB Operational to CWB Money Market:	\$ - 0 -
CWB Money Market to CWB Operational:	\$ 400,000
CWB Operational to CA-Class Investment Account	\$ - 0 -

The District's investments comply with the District's Investment Policy adopted per Resolution No. 16-606. The District has adequate funds to meet the next six months of normal operating expenses.

#### **Local Agency Investment Fund (LAIF)**

LAIF Monthly Statement – January, 2023

LAIF Quarterly Report – January, 2023

PMIA/LAIF Performance – December, 2022

PMIA Effective Yield – December, 2022

#### **CA-Class Investment Account**

CA-Class Investment Account – January, 2023

#### **Community West Bank (CWB)**

CWB Money Market Account – January, 2023



### **Deferred Compensation Accounts**

CalPERS 457 Deferred Compensation Plan – December, 2022  
Lincoln 457 Deferred Compensation Plan – January, 2023

### **Personnel**

Ronnie Perez will join our operations team on February 6, 2023 as a Treatment Plant Operator III and Reese Wilson will join us as a Senior Project Engineer on February 21, 2023. Fabian Torres was released from probation as a Collections System Maintenance Technician I (CSMT1) after informing us that he really didn't want to continue in his current position. Another qualified candidate from our prior CSMT1 recruitment, Richard Castillo, has accepted our offer to fill the vacancy and will be joining us in early March.

### **CASA Winter Conference January 25- 27, 2023**

The General Manager and Directors Emerson and Fuller recently attended the CASA Winter Conference in Palm Springs. A verbal update on the conference will be provided at the meeting.

### **Upcoming Special Meeting Proposed**

The next regular Board meeting is scheduled for Monday, February 20, 2023 which happens to coincide with the President's Day holiday. Staff is proposing a special meeting be held on Wednesday February 22, 2023 at 6:30pm.

### **Annual Employee Recognition Event Scheduled for Thursday February 23, 2023.**

This year's annual employee recognition event will be held at the Creekside restaurant from 5pm to 8:30pm on Thursday, February 23, 2023. As we have done in the past, this event is to acknowledge and recognize our employees for all their achievements, certificates and service in the prior year. All Board members are welcomed and encouraged to attend.

### **District Receives CSRMA Workers' Compensation Excellence Award for FY22**

The District received the CSRMA Workers' Compensation Excellence Award for FY22 for the second time in a row. The District last received this award for FY20 and was not eligible to receive the award in FY21. This award recognizes the District's focus on risk control and safe working best practices among special districts. The application process is extensive and requires documentation of identification by department of activities for high-risk of injury with recommendations for re-design and re-engineering to make the tasks safer, evidence of training for safe practices, physical demand assessments, and error prevention training and tools used by the District. The risk management programs that the District has in place improves our overall safety performance, reduces accidents and injuries and reduces our insurance premiums.

### **GWSD Project Notification Quarterly Meeting**

The first quarterly project notification meeting was held on Wednesday, January 18, 2023. A report on that meeting will be brought to the Board as an informational item at the next Board meeting.

**BESP Phase 1 Update**

The Coastal Development and Conditional Use permits for District's BESP Phase 1 project will be considered by the SB County Planning Commission on Wednesday, February 22, 2023. The General Manager along with representatives from our consultant teams will be present to answer questions. The project is being finalized and is scheduled to go out to bid in March. Assuming the bids come in as expected, a construction contract will be brought to the Board for consideration in June.

California State Treasurer  
**Fiona Ma, CPA**



Local Agency Investment Fund  
P.O. Box 942809  
Sacramento, CA 94209-0001  
(916) 653-3001

February 01, 2023

[LAIF Home](#)  
[PMIA Average Monthly Yields](#)

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GOLETA SANITARY DISTRICT

GENERAL MANAGER  
ONE WILLIAM MOFFETT PLACE  
GOLETA, CA 93117

[Tran Type Definitions](#)

Account Number: 70-42-002

January 2023 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Web Confirm Number	Authorized Caller	Amount
1/13/2023	1/12/2023	QRD	1721395	N/A	SYSTEM	10,649.44

**Account Summary**

Total Deposit:	10,649.44	Beginning Balance:	2,038,574.25
Total Withdrawal:	0.00	Ending Balance:	2,049,223.69



MALIA M. COHEN  
California State Controller

LOCAL AGENCY INVESTMENT FUND  
REMITTANCE ADVICE

Agency Name GOLETA SANITARY DISTRICT  
Account Number 70-42-002

As of 01/13/2023, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 12/31/2022.

Earnings Ratio		.00005680946709337
Interest Rate		2.07%
Dollar Day Total	\$	187,458,962.00
Quarter End Principal Balance	\$	2,038,574.25
Quarterly Interest Earned	\$	10,649.44



# PMIA/LAIF Performance Report as of 01/18/23



## PMIA Average Monthly Effective Yields<sup>(1)</sup>

December	2.173
November	2.007
October	1.772

## Quarterly Performance Quarter Ended 12/31/22

LAIF Apportionment Rate <sup>(2)</sup> :	2.07
LAIF Earnings Ratio <sup>(2)</sup> :	0.00005680946709337
LAIF Fair Value Factor <sup>(1)</sup> :	0.981389258
PMIA Daily <sup>(1)</sup> :	2.29
PMIA Quarter to Date <sup>(1)</sup> :	1.98
PMIA Average Life <sup>(1)</sup> :	287

## Pooled Money Investment Account Monthly Portfolio Composition <sup>(1)</sup> 12/31/22 \$199.6 billion

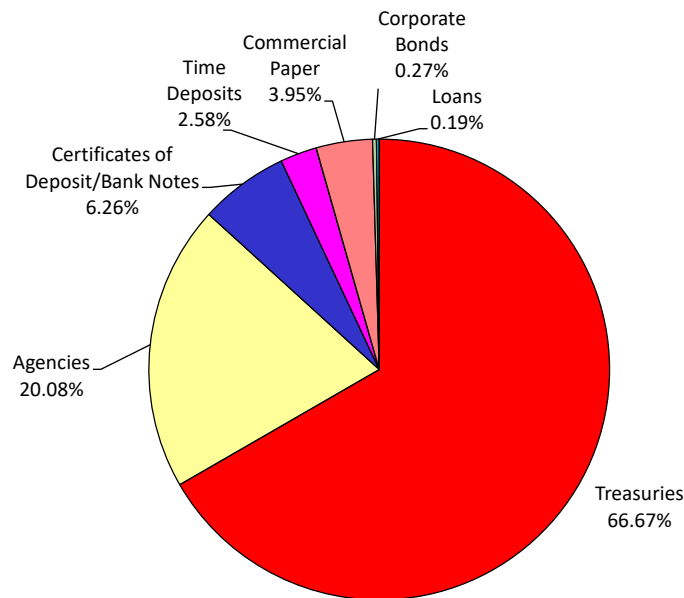


Chart does not include \$3,466,000.00 in mortgages, which equates to 0.002%. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

<sup>(1)</sup> State of California, Office of the Treasurer

<sup>(2)</sup> State of California, Office of the Controller



**POOLED MONEY INVESTMENT ACCOUNT**

02/02/23

Max: 12.844

**PMIA Average Monthly Effective Yields**

Min: 0.203

YEAR	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1982	11.683	12.044	11.835	11.773	12.270	11.994	12.235	11.909	11.151	11.111	10.704	10.401
1983	10.251	9.887	9.688	9.868	9.527	9.600	9.879	10.076	10.202	10.182	10.164	10.227
1984	10.312	10.280	10.382	10.594	10.843	11.119	11.355	11.557	11.597	11.681	11.474	11.024
1985	10.579	10.289	10.118	10.025	10.180	9.743	9.656	9.417	9.572	9.482	9.488	9.371
1986	9.252	9.090	8.958	8.621	8.369	8.225	8.141	7.844	7.512	7.586	7.432	7.439
1987	7.365	7.157	7.205	7.044	7.294	7.289	7.464	7.562	7.712	7.825	8.121	8.071
1988	8.078	8.050	7.945	7.940	7.815	7.929	8.089	8.245	8.341	8.397	8.467	8.563
1989	8.698	8.770	8.870	8.992	9.227	9.204	9.056	8.833	8.801	8.771	8.685	8.645
1990	8.571	8.538	8.506	8.497	8.531	8.538	8.517	8.382	8.333	8.321	8.269	8.279
1991	8.164	8.002	7.775	7.666	7.374	7.169	7.098	7.072	6.859	6.719	6.591	6.318
1992	6.122	5.863	5.680	5.692	5.379	5.323	5.235	4.958	4.760	4.730	4.659	4.647
1993	4.678	4.649	4.624	4.605	4.427	4.554	4.438	4.472	4.430	4.380	4.365	4.384
1994	4.359	4.176	4.248	4.333	4.434	4.623	4.823	4.989	5.106	5.243	5.380	5.528
1995	5.612	5.779	5.934	5.960	6.008	5.997	5.972	5.910	5.832	5.784	5.805	5.748
1996	5.698	5.643	5.557	5.538	5.502	5.548	5.587	5.566	5.601	5.601	5.599	5.574
1997	5.583	5.575	5.580	5.612	5.634	5.667	5.679	5.690	5.707	5.705	5.715	5.744
1998	5.742	5.720	5.680	5.672	5.673	5.671	5.652	5.652	5.639	5.557	5.492	5.374
1999	5.265	5.210	5.136	5.119	5.086	5.095	5.178	5.225	5.274	5.391	5.484	5.639
2000	5.760	5.824	5.851	6.014	6.190	6.349	6.443	6.505	6.502	6.517	6.538	6.535
2001	6.372	6.169	5.976	5.760	5.328	4.958	4.635	4.502	4.288	3.785	3.526	3.261
2002	3.068	2.967	2.861	2.845	2.740	2.687	2.714	2.594	2.604	2.487	2.301	2.201
2003	2.103	1.945	1.904	1.858	1.769	1.697	1.653	1.632	1.635	1.596	1.572	1.545
2004	1.528	1.440	1.474	1.445	1.426	1.469	1.604	1.672	1.771	1.890	2.003	2.134
2005	2.264	2.368	2.542	2.724	2.856	2.967	3.083	3.179	3.324	3.458	3.636	3.808
2006	3.955	4.043	4.142	4.305	4.563	4.700	4.849	4.946	5.023	5.098	5.125	5.129
2007	5.156	5.181	5.214	5.222	5.248	5.250	5.255	5.253	5.231	5.137	4.962	4.801
2008	4.620	4.161	3.777	3.400	3.072	2.894	2.787	2.779	2.774	2.709	2.568	2.353
2009	2.046	1.869	1.822	1.607	1.530	1.377	1.035	0.925	0.750	0.646	0.611	0.569
2010	0.558	0.577	0.547	0.588	0.560	0.528	0.531	0.513	0.500	0.480	0.454	0.462
2011	0.538	0.512	0.500	0.588	0.413	0.448	0.381	0.408	0.378	0.385	0.401	0.382
2012	0.385	0.389	0.383	0.367	0.363	0.358	0.363	0.377	0.348	0.340	0.324	0.326
2013	0.300	0.286	0.285	0.264	0.245	0.244	0.267	0.271	0.257	0.266	0.263	0.264
2014	0.244	0.236	0.236	0.233	0.228	0.228	0.244	0.260	0.246	0.261	0.261	0.267
2015	0.262	0.266	0.278	0.283	0.290	0.299	0.320	0.330	0.337	0.357	0.374	0.400
2016	0.446	0.467	0.506	0.525	0.552	0.576	0.588	0.614	0.634	0.654	0.678	0.719
2017	0.751	0.777	0.821	0.884	0.925	0.978	1.051	1.084	1.111	1.143	1.172	1.239
2018	1.350	1.412	1.524	1.661	1.755	1.854	1.944	1.998	2.063	2.144	2.208	2.291
2019	2.355	2.392	2.436	2.445	2.449	2.428	2.379	2.341	2.280	2.190	2.103	2.043
2020	1.967	1.912	1.787	1.648	1.363	1.217	0.920	0.784	0.685	0.620	0.576	0.540
2021	0.458	0.407	0.357	0.339	0.315	0.262	0.221	0.221	0.206	0.203	0.203	0.212
2022	0.234	0.278	0.365	0.523	0.684	0.861	1.090	1.276	1.513	1.772	2.007	2.173



# Summary Statement

January 31, 2023

Page 1 of 3

Investor ID: CA-01-0030

0000030-0000107 PDF 498139

**Goleta Sanitary District  
1 William Moffett Place  
Goleta, CA 93117**

## California CLASS

### California CLASS

Average Monthly Yield: 4.5158%

		Beginning Balance	Contributions	Withdrawals	Income Earned	Income Earned YTD	Average Daily Balance	Month End Balance
CA-01-0030-0001	Goleta Sanitary District	1,000,230.19	4,000,000.00	0.00	11,385.30	11,385.30	3,452,210.36	5,011,615.49
<b>TOTAL</b>		<b>1,000,230.19</b>	<b>4,000,000.00</b>	<b>0.00</b>	<b>11,385.30</b>	<b>11,385.30</b>	<b>3,452,210.36</b>	<b>5,011,615.49</b>



# Account Statement

January 31, 2023

Page 2 of 3

Account Number: CA-01-0030-0001

## Goleta Sanitary District

### Account Summary

Average Monthly Yield: 4.5158%

	Beginning Balance	Contributions	Withdrawals	Income Earned	Income Earned YTD	Average Daily Balance	Month End Balance
California CLASS	1,000,230.19	4,000,000.00	0.00	11,385.30	11,385.30	3,452,210.36	5,011,615.49

### Transaction Activity

Transaction Date	Transaction Description	Contributions	Withdrawals	Balance	Transaction Number
01/01/2023	Beginning Balance			1,000,230.19	
01/13/2023	Contribution	4,000,000.00			347
01/31/2023	Income Dividend Reinvestment	11,385.30			
01/31/2023	Ending Balance			5,011,615.49	





California CLASS

California CLASS

Date	Dividend Rate	Daily Yield
01/01/2023	0.00000000	4.2009%
01/02/2023	0.00000000	4.2009%
01/03/2023	0.000115620	4.2201%
01/04/2023	0.000119539	4.3632%
01/05/2023	0.000121733	4.4432%
01/06/2023	0.000368241	4.4803%
01/07/2023	0.00000000	4.4803%
01/08/2023	0.00000000	4.4803%
01/09/2023	0.000122197	4.4602%
01/10/2023	0.000123148	4.4949%
01/11/2023	0.000123806	4.5189%
01/12/2023	0.000124619	4.5486%
01/13/2023	0.000498976	4.5531%
01/14/2023	0.00000000	4.5531%
01/15/2023	0.00000000	4.5531%
01/16/2023	0.00000000	4.5531%
01/17/2023	0.000125018	4.5632%
01/18/2023	0.000124750	4.5534%
01/19/2023	0.000124922	4.5597%
01/20/2023	0.000377004	4.5869%
01/21/2023	0.00000000	4.5869%
01/22/2023	0.00000000	4.5869%
01/23/2023	0.000125646	4.5861%
01/24/2023	0.000125272	4.5724%
01/25/2023	0.000125478	4.5799%
01/26/2023	0.000126158	4.6048%
01/27/2023	0.000380268	4.6266%
01/28/2023	0.00000000	4.6266%
01/29/2023	0.00000000	4.6266%
01/30/2023	0.000126763	4.6268%
01/31/2023	0.000126001	4.5990%

Performance results are shown net of all fees and expenses and reflect the reinvestment of dividends and other earnings. Many factors affect performance including changes in market conditions and interest rates and in response to other economic, political, or financial developments. Investment involves risk including the possible loss of principal. No assurance can be given that the performance objectives of a given strategy will be achieved. **Past performance is no guarantee of future results. Any financial and/or investment decision may incur losses.**



445 Pine Avenue  
Goleta, CA 93117

## Statement Ending 01/31/2023

GOLETA SANITARY DISTRICT

Customer Number: XXXXXXXX5554

RETURN SERVICE REQUESTED

GOLETA SANITARY DISTRICT  
MONEY MARKET  
1 WILLIAM MOFFETT PL  
GOLETA CA 93117-3901

### Summary of Accounts

Account Type	Account Number	Ending Balance
PUBLIC AGENCY-MMDA	XXXXXXXX5554	\$29,844,047.22

### PUBLIC AGENCY-MMDA - XXXXXXXX5554

#### Account Summary

Date	Description	Amount		
12/31/2022	Beginning Balance	\$30,591,100.07	Average Ledger Balance	\$30,091,100.07
	1 Credit(s) This Period	\$52,947.15		
	1 Debit(s) This Period	\$800,000.00		
01/31/2023	Ending Balance	\$29,844,047.22		

#### Account Activity

Post Date	Description	Debits	Credits	Balance
12/31/2022	Beginning Balance			\$30,591,100.07
01/12/2023	Transfer to Ops	\$800,000.00		\$29,791,100.07
01/31/2023	INTEREST AT 2.0070 %		\$52,947.15	\$29,844,047.22
01/31/2023	Ending Balance			\$29,844,047.22

#### Daily Balances

Date	Amount	Date	Amount
01/12/2023	\$29,791,100.07	01/31/2023	\$29,844,047.22



# CalPERS 457 Plan

December 31, 2022

This document includes important information to help you compare the investment options under your retirement plan. If you want additional information about your investment options, you can go to <https://calpers.voya.com>.

A free paper copy of the information available on the website can be obtained by contacting:

Voya Financial  
Attn: CalPERS 457 Plan  
P.O. Box 389  
Hartford, CT 06141  
(800) 260-0659

## **Document Summary**

This document has two parts. Part I consists of performance information for the plan investment options. This part shows you how well the investments have performed in the past. Part I also shows the total annual operating expenses of each investment option.

Part II provides additional information concerning Plan administrative fees that may be charged to your individual account.

# CalPERS 457 PLAN

## Part I. Performance Information For Periods Ended December 31, 2022

<https://calpers.voya.com>

Table 1 focuses on the performance of investment options that do not have a fixed or stated rate of return. Table 1 shows how these options have performed over time and allows you to compare them with an appropriate benchmark for the same time periods<sup>1</sup>. Past performance does not guarantee how the investment option will perform in the future. Your investment in these options could lose money. Information about an investment option's principal risks is available on the website listed above.

Table 1 also shows the Total Annual Operating Expenses of each investment option. Total Annual Operating Expenses are expenses that reduce the rate of return of the investment option<sup>2</sup>. The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the U.S. Department of Labor's website for an example showing the long-term fees and expenses at <http://www.dol.gov/ebsa>. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals.

Table 1 - Variable Net Return Investments								
Name of Fund / Name of Benchmark	Performance		Annualized Performance				Total Annual Operating Expenses <sup>3</sup>	
	3 Month	1 Year	5 Years	10 Years	Since Inception	Inception Date	As a %	Per \$1000
<b>Equity Funds</b>								
State Street Russell All Cap Index Fund - Class I	7.17	-19.31	8.46	-	10.44	10/07/13	0.25%	\$2.50
<i>Russell 3000 Index</i>	7.18	-19.21	8.79	-	10.78			
State Street Global All Cap Equity ex-US Index Fund - Class I	14.64	-16.52	0.75	-	2.99	10/07/13	0.26%	\$2.60
<i>MSCI ACWI ex-USA IMI Index (net)</i>	14.15	-16.58	0.85	-	3.16			
<b>Fixed Income</b>								
State Street US ShortTerm Gov't/Credit Bond Index Fund - Class I	0.80	-4.01	0.53	-	0.45	10/07/13	0.26%	\$2.60
<i>Bloomberg US 1-3 yr Gov't/Credit Bond Index</i>	0.89	-3.69	0.92	-	0.90			
State Street US Bond Fund Index - Class I	1.61	-13.36	-0.31	-	1.05	10/07/13	0.25%	\$2.50
<i>Bloomberg US Aggregate Bond Index</i>	1.87	-13.01	0.02	-	1.36			
<b>Real Assets</b>								
State Street Real Asset Fund - Class A	7.07	2.83	5.97	-	3.66	10/08/13	0.38%	\$3.80
<i>State Street Custom Benchmark<sup>4</sup></i>	7.11	3.17	6.26	-	4.02			
<b>Cash (Cash Equivalents)</b>								
State Street STIF	0.92	1.54	1.11	-	0.73	09/02/14	0.27%	\$2.70
<i>BofA ML 3-month US T-Bill</i>	0.84	1.46	1.26	-	0.91			
<b>Target Retirement Date Funds<sup>5</sup></b>								
CalPERS Target Income Fund	4.45	-13.11	2.12	2.82	4.38	12/01/08	0.26%	\$2.60
<i>SIP Income Policy Benchmark<sup>6</sup></i>	4.53	-12.90	2.34	3.05	4.88			
CalPERS Target Retirement 2020	5.31	-13.96	2.73	3.88	5.99	12/01/08	0.26%	\$2.60
<i>SIP 2020 Policy Benchmark<sup>6</sup></i>	5.35	-13.77	2.93	4.11	6.47			
CalPERS Target Retirement 2025	6.37	-14.88	3.27	4.74	6.77	12/01/08	0.26%	\$2.60
<i>SIP 2025 Policy Benchmark<sup>6</sup></i>	6.36	-14.73	3.45	4.95	7.23			
CalPERS Target Retirement 2030	7.36	-15.66	3.64	5.44	7.54	12/01/08	0.26%	\$2.60
<i>SIP 2030 Policy Benchmark<sup>6</sup></i>	7.30	-15.54	3.88	5.68	8.00			
CalPERS Target Retirement 2035	8.47	-16.61	4.07	6.13	8.19	12/01/08	0.26%	\$2.60
<i>SIP 2035 Policy Benchmark<sup>6</sup></i>	8.36	-16.52	4.28	6.35	8.69			
CalPERS Target Retirement 2040	9.52	-17.38	4.47	6.73	8.67	12/01/08	0.26%	\$2.60
<i>SIP 2040 Policy Benchmark<sup>6</sup></i>	9.37	-17.33	4.68	6.95	9.14			
CalPERS Target Retirement 2045	9.71	-17.24	4.57	7.03	8.85	12/01/08	0.26%	\$2.60
<i>SIP 2045 Policy Benchmark<sup>6</sup></i>	9.54	-17.19	4.78	7.25	9.36			
CalPERS Target Retirement 2050	9.71	-17.24	4.57	7.03	8.92	12/01/08	0.26%	\$2.60
<i>SIP 2050 Policy Benchmark<sup>6</sup></i>	9.54	-17.19	4.78	7.25	9.36			
CalPERS Target Retirement 2055	9.71	-17.24	4.57	-	5.91	10/07/13	0.26%	\$2.60
<i>SIP 2055 Policy Benchmark<sup>6</sup></i>	9.54	-17.19	4.78	-	6.21			
CalPERS Target Retirement 2060	9.71	-17.24	-	-	6.94	11/01/18	0.26%	\$2.60
<i>SIP 2060 Policy Benchmark<sup>6</sup></i>	9.54	-17.19	-	-	7.15			
CalPERS Target Retirement 2065	-	-	-	-	-	12/01/22	0.26%	\$2.60
<i>SIP 2065 Policy Benchmark<sup>6</sup></i>	-	-	-	-	-			
<b>Broad-Based Benchmarks<sup>7</sup></b>								
<i>Russell 3000 Index</i>	7.18	-19.21	8.79	12.13	-	-	-	-
<i>MSCI ACWI ex-USA IMI Index (net)</i>	14.15	-16.58	0.85	3.98	-	-	-	-
<i>Bloomberg US Aggregate Bond Index</i>	1.87	-13.01	0.02	1.06	-	-	-	-

## Part II. Explanation of CalPERS 457 Plan Expenses

### December 31, 2022

<https://calpers.voya.com>

Table 2 provides information concerning Plan administrative fees and expenses that may be charged to your individual account if you take advantage of certain features of the Plan. In addition to the fees and expenses described in Table 2 below, some of the Plan's administrative expenses are paid from the Total Annual Operating Expenses of the Plan's investment options.

Table 2 - Fees and Expenses				
Individual Expenses <sup>8</sup>				
Service	Fee Amount	Frequency	Who do you pay this fee to?	Description
Loan Origination Fee	\$50	Per loan application	Voya	The charge covers the processing of your loan and applies each time you request a loan from your retirement account. This fee is deducted from your Plan account.
Maintenance Fee (For loans taken on or after April 1, 2020)	\$35 (\$8.75 assessed quarterly)	Annual	Voya	The charge covers the maintenance costs of your loan and applies on a quarterly basis. This fee is deducted from your Plan account.
Self-Managed Account (SMA) Maintenance Fee	\$50	Annual fee deducted monthly on a pro-rata basis	Voya	Schwab Personal Choice Retirement Account is available to you if your Employer has elected it as an option. This fee is deducted pro rata on a monthly basis from your core fund investments <sup>9</sup> in your CalPERS 457 account. For more information about SMAs, including a complete list of fees charged by Schwab for different types of investment transactions, please contact Schwab at (888) 393-PCRA (7272). Fees may also be incurred as a result of actual brokerage account trades. Before purchasing or selling any investment through the SMA, you should contact Schwab at (888) 393-PCRA (7272) to inquire about any fees, including any undisclosed fees, associated with the purchase or sale of such investment.
Self-Managed Account (SMA) Plan Administrative Fee	0.23% (\$2.30 per \$1,000)	Annual fee deducted monthly on a pro-rata basis	Voya	The SMA Plan Administrative fee pays for recordkeeping costs for assets in your SMA account. This fee is deducted pro rata on a monthly basis from your core fund investments in your CalPERS 457 account. The SMA Plan Administrative Fee is subject to change based on total Plan assets.

#### **Footnotes for Table 1 and Table 2:**

<sup>1</sup> Fund returns shown are net of investment management and administrative expenses and fees unless otherwise noted. Benchmark performance returns do not reflect any management fees, transaction costs or expenses. Benchmarks are unmanaged. You cannot invest directly in a benchmark.

<sup>2</sup> Historical annual operating expenses are not available. Reported annual operating expenses are estimated based on SSGA investment management, Voya recordkeeping, and SSGA capped operating expenses.

<sup>3</sup> Total annual operating expenses are comprised of investment management and administrative expenses and fees incurred by the funds.

<sup>4</sup> State Street Real Asset Fund has a custom benchmark comprised of 25% Bloomberg Roll Select Commodity Index, 25% S&P® Global Large MidCap Commodity and Resources Index, 10% Dow Jones US Select REIT Index, 20% Bloomberg US Government Inflation-Linked 1-10 Year Bond Index, and 20% S&P® Global Infrastructure Index.

<sup>5</sup> If the ending market value (EMV) falls to zero in any one month, the inception date resets to the next month with an EMV. Performance is then calculated from the new inception date.

<sup>6</sup> The benchmark for each Target Retirement Date Fund is a composite of asset class benchmarks that are weighted according to each Fund's policy target weights. The asset class benchmarks are Russell 3000 Index, MSCI ACWI ex-USA IMI Index (net), Bloomberg US Aggregate Bond Index, the SSGA customized benchmark for Real Assets (see footnote 4), and BofA ML 3-month US T-Bill.

<sup>7</sup> Broad-based benchmarks grouped here provide comparative performance standards for domestic equity, international equity and fixed income.

<sup>8</sup> The CalPERS Board of Administration periodically reviews the plan administrative fees and adjusts fees to reflect expenses incurred by the Plan. Participant fees are charged to reimburse CalPERS for actual administrative fees of the Plan.

<sup>9</sup> Core fund investments are listed in Table 1 above the Target Retirement Date funds. Core funds include: State Street Russell All Cap Index Fund (Class I), State Street Global All Cap Equity ex-US Index Fund (Class I), State Street US Short Term Government/Credit Bond Index Fund (Class I), State Street US Bond Fund Index (Class I), State Street Real Asset Fund (Class A), and State Street Short Term Investment Fund ("STIF").

# Performance Update

MultiFund

Quoted performance data represents past performance. Past performance does not guarantee nor predict future performance. Current performance may be lower or higher than the performance data quoted. Please keep in mind that double-digit returns are highly unusual and cannot be sustained.

Variable products are sold by prospectus. Consider the investment objectives, risks, charges, and expenses of the variable product and its underlying investment options carefully before investing. The prospectus contains this and other information about the variable product and its underlying investment options. Please review the prospectus available online for additional information. Read it carefully before investing.

Investment return and principal value of an investment will fluctuate so that an investor's unit values, when redeemed, may be worth more or less than their original cost.

## Monthly hypothetical performance adjusted for contract fees \*

Investment Options	Inception Date	Change from Previous Day 02/01/2023	YTD as of 02/01/2023	YTD as of 01/31/2023	Average Annual Total Return (%) as of 1/31/2023							
					1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	Since Incep.	
<b>Risk Managed</b>												
Fidelity® VIP Freedom 2055 Portfolio <sup>SM</sup> - Service Class <sup>5, 9</sup>	RM	04/11/2019	0.93	8.41	7.41	7.41	12.93	-9.16	6.55	N/A	N/A	7.64
Fidelity® VIP Freedom 2060 Portfolio <sup>SM</sup> - Service Class <sup>5, 9</sup>	RM	04/11/2019	1.03	8.41	7.31	7.31	12.86	-9.19	6.56	N/A	N/A	7.66
<b>Maximum Capital Appreciation</b>												
DWS Alternative Asset Allocation VIP Portfolio - Class A <sup>1, 4, 5, 6, 7</sup>	MCA	02/02/2009	0.22	3.83	3.60	3.60	5.80	-3.23	3.37	2.37	1.67	4.04
LVIP Baron Growth Opportunities Fund - Service Class <sup>2, 10</sup>	MCA	10/01/1998	1.60	11.80	10.03	10.03	8.86	-6.95	7.03	9.13	10.45	10.42

# Performance Update

MultiFund

## Monthly hypothetical performance adjusted for contract fees \*

Investment Options		Inception Date	Change from Previous Day 02/01/2023	YTD as of 02/01/2023	YTD as of 01/31/2023	Average Annual Total Return (%) as of 1/31/2023						
						1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	Since Incep.
LVIP Delaware SMID Cap Core Fund - Standard Class <sup>2, 3, 10</sup>	MCA	07/12/1991	1.37	10.39	8.89	8.89	8.36	-0.32	8.31	6.11	9.54	8.94
LVIP SSGA Emerging Markets 100 Fund - Standard Class <sup>1, 10, 22</sup>	MCA	06/18/2008	1.02	8.57	7.47	7.47	22.87	-8.07	3.73	-2.36	-0.03	1.94
LVIP SSGA Small-Cap Index Fund - Standard Class <sup>2, 10, 21</sup>	MCA	04/18/1986	1.48	11.27	9.64	9.64	4.66	-4.74	6.01	4.05	7.82	6.68
LVIP T. Rowe Price Structured Mid-Cap Growth Fund - Standard Class <sup>2, 10</sup>	MCA	02/03/1994	1.88	9.25	7.24	7.24	6.02	-8.15	5.45	7.83	10.97	6.58
<b>Long Term Growth</b>												
American Funds Global Growth Fund - Class 2 <sup>1</sup>	LTG	04/30/1997	1.21	9.37	8.06	8.06	15.45	-12.05	6.48	6.03	9.49	8.50
American Funds Growth Fund - Class 2	LTG	02/08/1984	1.42	12.19	10.62	10.62	8.23	-15.60	10.90	10.51	13.18	11.49
American Funds International Fund - Class 2 <sup>1</sup>	LTG	05/01/1990	1.14	10.34	9.10	9.10	17.84	-9.27	-0.70	-1.42	3.39	5.99
Delaware VIP Small Cap Value <sup>2, 3</sup>	LTG	12/27/1993	1.30	10.03	8.62	8.62	7.08	-1.74	8.35	4.10	8.12	8.95
Fidelity® VIP Contrafund® Portfolio - Service Class	LTG	01/03/1995	1.00	7.13	6.07	6.07	5.11	-15.37	7.20	7.47	10.37	9.77
Fidelity® VIP Growth Portfolio - Service Class	LTG	10/09/1986	1.38	8.07	6.60	6.60	5.05	-12.95	10.49	10.86	13.86	9.50
LVIP BlackRock Global Real Estate Fund - Standard Class <sup>1, 2, 9, 10, 13</sup>	LTG	04/30/2007	0.71	10.10	9.33	9.33	13.67	-18.12	-2.32	1.20	2.62	0.50
LVIP Delaware Mid Cap Value Fund - Standard Class <sup>2, 3, 10</sup>	LTG	12/28/1981	0.66	8.31	7.60	7.60	8.18	1.30	9.70	5.81	9.62	10.22
LVIP Dimensional U.S. Core Equity 1 Fund - Standard Class <sup>10</sup>	LTG	12/28/1981	1.07	8.09	6.94	6.94	6.49	-5.28	9.85	8.03	11.01	9.55
LVIP Mondrian International Value Fund - Standard Class <sup>1, 10</sup>	LTG	05/01/1991	0.92	9.10	8.10	8.10	20.69	-6.50	1.09	-0.71	2.96	4.97

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# Performance Update

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## Monthly hypothetical performance adjusted for contract fees \*

Investment Options		Inception Date	Change from Previous Day 02/01/2023	YTD as of 02/01/2023	YTD as of 01/31/2023	Average Annual Total Return (%) as of 1/31/2023						Since Incep.
						1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	
LVIP SSGA International Index Fund - Standard Class <sup>1, 10, 21, 23</sup>	LTG	04/30/2008	0.95	9.23	8.20	8.20	20.87	-4.48	3.46	1.07	3.67	1.33
LVIP SSGA S&P 500 Index Fund - Standard Class <sup>10, 21, 24</sup>	LTG	05/01/2000	1.04	7.28	6.17	6.17	5.42	-9.37	8.51	8.18	11.29	5.31
LVIP Vanguard Domestic Equity ETF Fund - Service Class <sup>5, 6</sup>	LTG	04/29/2011	1.20	7.79	6.52	6.52	5.50	-9.11	7.88	7.68	10.29	9.52
LVIP Vanguard International Equity ETF Fund - Service Class <sup>1, 5, 6</sup>	LTG	04/29/2011	0.98	9.64	8.58	8.58	19.96	-7.66	2.72	0.15	3.22	2.38
MFS® VIT Utilities Series - Initial Class <sup>13</sup>	LTG	01/03/1995	-0.06	-0.28	-0.23	-0.23	5.66	3.00	4.25	7.66	7.11	9.81
<b>Growth and Income</b>												
American Funds Growth-Income Fund - Class 2	GI	02/08/1984	0.86	6.54	5.63	5.63	7.70	-7.12	6.70	6.44	10.57	9.74
Fidelity® VIP Freedom 2020 Portfolio <sup>SM</sup> - Service Class <sup>5, 8</sup>	GI	04/26/2005	0.82	6.07	5.21	5.21	9.39	-9.36	2.66	3.06	5.19	5.04
Fidelity® VIP Freedom 2025 Portfolio <sup>SM</sup> - Service Class <sup>5, 8</sup>	GI	04/26/2005	0.83	6.56	5.68	5.68	10.13	-9.51	3.26	3.46	5.88	5.55
Fidelity® VIP Freedom 2030 Portfolio <sup>SM</sup> - Service Class <sup>5, 8</sup>	GI	04/26/2005	0.89	6.92	5.97	5.97	10.72	-9.49	4.02	3.86	6.60	5.83
Fidelity® VIP Freedom 2035 Portfolio <sup>SM</sup> - Service Class <sup>5, 8</sup>	GI	04/08/2009	0.95	7.68	6.66	6.66	11.79	-9.44	5.45	4.61	7.50	10.08
Fidelity® VIP Freedom 2040 Portfolio <sup>SM</sup> - Service Class <sup>5, 8</sup>	GI	04/08/2009	0.99	8.27	7.20	7.20	12.68	-9.27	6.49	5.20	7.87	10.42
Fidelity® VIP Freedom 2045 Portfolio <sup>SM</sup> - Service Class <sup>5, 8</sup>	GI	04/08/2009	0.98	8.39	7.33	7.33	12.87	-9.17	6.54	5.22	7.93	10.51
Fidelity® VIP Freedom 2050 Portfolio <sup>SM</sup> - Service Class <sup>5, 8</sup>	GI	04/08/2009	1.00	8.44	7.37	7.37	12.83	-9.16	6.54	5.22	7.95	10.60



# Performance Update

MultiFund

## Monthly hypothetical performance adjusted for contract fees \*

Investment Options		Inception Date	Change from Previous Day 02/01/2023	YTD as of 02/01/2023	YTD as of 01/31/2023	Average Annual Total Return (%) as of 1/31/2023						
						1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	Since Incep.
LVIP Delaware REIT Fund - Standard Class <sup>2, 3, 9, 10, 13</sup>	GI	05/04/1998	0.53	10.77	10.18	10.18	9.92	-12.14	0.56	4.19	4.84	7.03
LVIP Delaware Value Fund - Standard Class <sup>3, 10</sup>	GI	07/28/1988	0.28	3.43	3.14	3.14	4.78	-0.47	7.00	5.30	9.34	7.82
LVIP Delaware Wealth Builder Fund - Standard Class <sup>3, 4, 10</sup>	GI	08/03/1987	0.68	5.01	4.31	4.31	6.87	-6.10	2.08	2.40	4.41	5.67
LVIP JPMorgan Retirement Income Fund - Standard Class <sup>3, 4, 10</sup>	GI	04/27/1983	0.69	5.10	4.37	4.37	6.69	-7.47	0.42	1.30	2.97	6.11
<b>Income</b>												
LVIP BlackRock Inflation Protected Bond Fund - Standard Class <sup>1, 10, 14</sup>	I	04/30/2010	0.29	1.55	1.26	1.26	1.47	-3.69	0.70	1.58	0.02	1.33
LVIP Delaware Bond Fund - Standard Class <sup>3, 10, 14</sup>	I	12/28/1981	0.68	4.29	3.58	3.58	7.02	-9.37	-2.85	0.02	0.58	5.98
LVIP Delaware Diversified Floating Rate Fund <sup>3, 10, 14, 15, 16</sup>	I	04/30/2010	0.06	0.87	0.81	0.81	1.96	-0.07	-0.40	0.20	0.01	0.18
LVIP Delaware Diversified Income Fund - Standard Class <sup>3, 10, 14</sup>	I	05/16/2003	0.68	4.52	3.81	3.81	7.63	-9.36	-2.32	0.21	0.75	3.36
LVIP Delaware High Yield Fund - Standard Class <sup>3, 10, 14, 17</sup>	I	07/28/1988	0.31	4.39	4.07	4.07	4.78	-6.24	0.32	1.86	2.42	5.19
LVIP Global Income Fund - Standard Class <sup>1, 10, 11, 14</sup>	I	05/04/2009	0.74	3.55	2.78	2.78	8.59	-12.20	-5.47	-1.89	-1.01	0.92
LVIP SSGA Bond Index Fund - Standard Class <sup>10, 14, 21</sup>	I	04/30/2008	0.68	3.88	3.17	3.17	6.00	-9.62	-3.61	-0.44	0.09	1.35
PIMCO VIT Total Return Portfolio - Administrative Class <sup>14, 22</sup>	I	12/31/1997	0.65	3.96	3.28	3.28	6.84	-10.61	-3.43	-0.33	0.28	3.41
<b>Risk Managed - Asset Allocation</b>												

# Performance Update

MultiFund

## Monthly hypothetical performance adjusted for contract fees \*

Investment Options	Inception Date	Change from Previous Day 02/01/2023	YTD as of 02/01/2023	YTD as of 01/31/2023	Average Annual Total Return (%) as of 1/31/2023							
					1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	Since Incep.	
LVIP Global Conservative Allocation Managed Risk Fund - Standard Class <sup>1, 4, 5, 10, 19</sup>	RMAA	05/03/2005	0.78	4.39	3.58	3.58	5.56	-9.78	-0.78	0.77	2.65	3.86
LVIP Global Growth Allocation Managed Risk Fund - Standard Class <sup>1, 4, 5, 10, 19</sup>	RMAA	05/03/2005	0.99	5.93	4.90	4.90	6.04	-11.37	-0.10	0.35	2.88	3.57
LVIP Global Moderate Allocation Managed Risk Fund - Standard Class <sup>1, 4, 5, 10, 19</sup>	RMAA	05/03/2005	0.88	5.21	4.29	4.29	5.50	-10.77	-0.40	0.38	2.68	3.75
LVIP SSGA Global Tactical Allocation Managed Volatility Fund - Standard Class <sup>1, 4, 5, 10, 12</sup>	RMAA	05/03/2005	0.82	6.61	5.74	5.74	8.86	-7.42	2.40	1.39	2.86	3.20
<b>Preservation of Capital</b>												
LVIP Government Money Market Fund - Standard Class <sup>10, 20</sup>	PC	01/07/1982	0.01	0.24	0.23	0.23	0.63	0.61	-0.40	0.00	-0.44	2.61
<b>Asset Allocation</b>												
LVIP BlackRock Global Allocation Fund - Standard Class <sup>1, 4, 10</sup>	AsA	04/26/2019	0.81	6.03	5.18	5.18	8.08	-8.10	3.43	N/A	N/A	4.72
LVIP T. Rowe Price 2020 Fund (Standard Class) <sup>5, 8, 10</sup>	AsA	05/01/2007	0.83	6.03	5.16	5.16	7.73	-8.29	2.63	3.09	3.92	3.31
LVIP T. Rowe Price 2030 Fund (Standard Class) <sup>5, 8, 10</sup>	AsA	05/01/2007	0.95	7.04	6.04	6.04	8.83	-8.71	4.01	3.86	4.54	3.53
LVIP T. Rowe Price 2040 Fund (Standard Class) <sup>5, 8, 10</sup>	AsA	05/01/2007	1.07	7.99	6.85	6.85	9.71	-8.42	5.45	4.70	5.18	3.54
LVIP T. Rowe Price 2050 Fund (Standard Class) <sup>5, 8, 10</sup>	AsA	04/29/2011	1.10	8.24	7.06	7.06	9.95	-8.34	5.89	4.97	5.73	4.56
LVIP T. Rowe Price 2060 Fund - Standard Class <sup>5, 8, 10</sup>	AsA	04/30/2020	1.10	8.27	7.09	7.09	9.97	-8.24	N/A	N/A	N/A	11.88
<b>Risk Managed - US Large Cap</b>												

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# Performance Update

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## Monthly hypothetical performance adjusted for contract fees \*

Investment Options		Inception Date	Change from Previous Day 02/01/2023	YTD as of 02/01/2023	YTD as of 01/31/2023	Average Annual Total Return (%) as of 1/31/2023						Since Incep.
						1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	
LVIP BlackRock Dividend Value Managed Volatility Fund - Standard Class <sup>10, 11, 12</sup>	RMUSL	02/03/1994	0.36	5.46	5.08	5.08	6.81	0.93	8.31	4.94	6.35	6.63
LVIP Blended Large Cap Growth Managed Volatility Fund - Standard Class <sup>10, 11, 12</sup>	RMUSL	02/03/1994	1.53	8.32	6.69	6.69	3.46	-14.58	6.74	5.41	7.81	6.45
<b>Risk Managed - US Mid Cap</b>												
LVIP Blended Mid Cap Managed Volatility Fund - Standard Class <sup>2, 10, 11, 12</sup>	RMUSM	05/01/2001	1.62	8.58	6.85	6.85	5.85	-6.65	4.13	5.90	6.18	3.99
LVIP JPMorgan Select Mid Cap Value Managed Volatility Fund - Standard Class <sup>2, 10, 11, 12</sup>	RMUSM	05/01/2001	0.81	8.02	7.15	7.15	7.42	-0.53	8.47	3.88	5.83	5.97
<b>Risk Managed - Global/International</b>												
LVIP Franklin Templeton Global Equity Managed Volatility Fund - Standard Class <sup>1, 10, 11, 12</sup>	RMGI	08/01/1985	0.99	7.19	6.14	6.14	9.33	-4.76	6.82	2.71	3.94	6.81
LVIP SSGA International Managed Volatility Fund - Standard Class <sup>1, 5, 10, 12</sup>	RMGI	12/31/2013	0.95	9.23	8.19	8.19	19.72	-7.26	-0.51	-1.40	N/A	0.17
<b>ESG/Socially Conscious</b>												
AB VPS Sustainable Global Thematic Portfolio - Class B <sup>1</sup>	ESC	01/11/1996	1.61	8.02	6.31	6.31	11.47	-12.72	8.27	6.98	9.18	5.28
LVIP Delaware Social Awareness Fund - Standard Class <sup>3, 10, 18</sup>	ESC	05/02/1988	1.09	8.52	7.35	7.35	6.37	-9.21	8.21	8.17	10.79	9.56

\* These returns are measured from the inception date of the fund and predate its availability as an investment option in the variable annuity (separate account). This hypothetical representation depicts how the investment option would have performed had the fund been available in the variable annuity during the time period. It includes deductions for the M&E charge and the contract administrative fee. If selected above, the cost for the i4LIFE® Advantage feature or a death benefit will be reflected. The cost for other riders with quarterly charges is not reflected. No surrender charge and no annual contract charge is reflected.

# Performance Update

## 1: International

Investing internationally involves risks not associated with investing solely in the United States, such as currency fluctuation, political or regulatory risk, currency exchange rate changes, differences in accounting and the limited availability of information.

## 2: Small & Mid Cap

Funds that invest in small and/or midsize company stocks may be more volatile and involve greater risk, particularly in the short term, than those investing in larger, more established companies.

## 3: Macquarie Investment Management

Investments in Delaware VIP Series, Delaware Funds, Ivy Variable Insurance Portfolios, Ivy Funds, LVIP Delaware Funds or Lincoln Life accounts managed by Macquarie Investment Management Advisers, a series of Macquarie Investments Management Business Trust, are not and will not be deposits with or liabilities of Macquarie Bank Limited ABN 46 008 583 542 and its holding companies, including their subsidiaries or related companies, and are subject to investment risk, including possible delays in repayment and loss of income and capital invested. No Macquarie Group company guarantees or will guarantee the performance of the fund, the repayment of capital from the fund, or any particular rate of return.

## 4: Asset Allocation Portfolios

Asset allocation does not ensure a profit, nor protect against loss in a declining market.

## 5: Fund of funds

Each fund is operated as a fund of funds that invests primarily in one or more other funds, rather than in individual securities. A fund of this nature may be more expensive than other investment options because it has additional levels of expenses. From time to time, the Fund's advisor may modify the asset allocation to the underlying funds and may add new funds. A Fund's actual allocation may vary from the target strategic allocation at any point in time. Additionally, the Fund's advisor may directly manage assets of the underlying funds for a variety of purposes.

## 6: Exchange-traded funds

Exchange-traded funds (ETFs) in this lineup are available through collective trusts or mutual funds. Investors cannot invest directly in an ETF.

## 7: Alternative Funds

Certain funds (sometimes called "alternative funds") expect to invest in (or may invest in some) positions that emphasize alternative investment strategies and/or nontraditional asset classes and, as a result, are subject to the risk factors of those asset classes and/or investment strategies. Some of those risks may include general economic risk, geopolitical risk, commodity-price volatility, counterparty and settlement risk, currency risk, derivatives risk, emerging markets risk, foreign securities risk, high-yield bond exposure, index investing risk, exchange-traded notes risk, industry concentration risk, leveraging risk, real estate investment risk, master limited partnership risk, master limited partnership tax risk, energy infrastructure companies risk, sector risk, short sale risk, direct investment risk, hard assets sector risk, active trading and "overlay" risks, event-driven investing risk, global macro strategies risk, temporary defensive positions and large cash positions. If you are considering investing in alternative investment funds, you should ensure that you understand the complex investment strategies sometimes employed and be prepared to tolerate the risks of such asset classes. For a complete list of risks, as well as a discussion of risk and investment strategies, please refer to the fund's prospectus. The fund may invest in derivatives, including futures, options, forwards and swaps. Investments in derivatives may cause the fund's losses to be greater than if it invested only in conventional securities and can cause the fund to be more volatile. Derivatives involve risks different from, or possibly greater than, the risks associated with other investments. The fund's use of derivatives may cause the fund's investment returns to be impacted by the performance of securities the fund does not own and may result in the fund's total investment exposure exceeding the value of its portfolio.

# Performance Update

## 8: Target-date funds

The target date is the approximate date when investors plan to retire or start withdrawing their money. Some target-date funds make no changes in asset allocation after the target date is reached; other target-date funds continue to make asset allocation changes following the target date. (See the prospectus for the funds allocation strategy.) The principal value is not guaranteed at any time, including at the target date. An asset allocation strategy does not guarantee performance or protect against investment losses. A "fund of funds" may be more expensive than other types of investment options because it has additional levels of expenses.

## 9: REIT

A real estate investment trust (REIT) involves risks such as refinancing, economic conditions in the real estate industry, declines in property values, dependency on real estate management, changes in property taxes, changes in interest rates and other risks associated with a portfolio that concentrates its investments in one sector or geographic region.

## 10: Manager of managers funds

Subject to approval of the fund's board, Lincoln Investment Advisors Corporation (LIAC) has the right to engage or terminate a subadvisor at any time, without a shareholder vote, based on an exemptive order from the Securities and Exchange Commission. LIAC is responsible for overseeing all subadvisors for funds relying on this exemptive order.

## 11: Multimanager

For those LVIP funds that employ a multimanager structure, Lincoln Investment Advisors Corporation (LIAC) is responsible for overseeing the subadvisor(s). While the investment styles employed by the fund's subadvisors are intended to be complementary, they may not, in fact, be complementary. A multimanager approach may result in more exposure to certain types of securities risks and in higher portfolio turnover.

## 12: Managed Volatility Strategy

The fund's managed volatility strategy is not a guarantee, and the fund's shareholders may experience losses. The fund employs hedging strategies designed to reduce overall portfolio volatility. The use of these hedging strategies may limit the upside participation of the fund in rising equity markets relative to unhedged funds, and the effectiveness of such strategies may be impacted during periods of rapid or extreme market events.

## 13: Sector Funds

Funds that target exposure to one region or industry may carry greater risk and higher volatility than more broadly diversified funds.

## 14: Bonds

The return of principal in bond funds is not guaranteed. Bond funds have the same interest rate, inflation, credit, duration, prepayment and market risks that are associated with the underlying bonds owned by the fund or account.

## 15: Cash Management Funds

An investment in Cash Management Fund is not a bank deposit and is not insured or guaranteed by the FDIC or any other government agency. Although this option seeks to preserve the value of your investment, it is not managed to maintain a stable net asset value of \$1 per share and it is possible to lose money by investing in this investment option.

## 16: Floating rate funds

Floating rate funds should not be considered alternatives to CDs or money market funds and should not be considered as cash alternatives.

# Performance Update

## 17: High-yield or mortgage-backed funds

High-yield funds may invest in high-yield or lower rated fixed income securities (junk bonds) or mortgage-backed securities with exposure to subprime mortgages, which may experience higher volatility and increased risk of nonpayment or default.

## 18: ESG

An environmental, social, governance (ESG) standards strategy (also referred to as engagement, green, impact, responsible, social aware, sustainable) generally prohibits investment in certain types of companies, industries and segments of the U.S. economy. Thus this strategy may (i) miss opportunities to invest in companies, industries or segments of the U.S. economy that are providing superior performance relative to the market as a whole and (ii) become invested in companies, industries and segments of the U.S. economy that are providing inferior performance relative to the market as a whole.

## 19: Risk Management Strategy

The fund's risk management strategy is not a guarantee, and the funds shareholders may experience losses. The fund employs hedging strategies designed to provide downside protection during sharp downward movements in equity markets. The use of these hedging strategies may limit the upside participation of the fund in rising equity markets relative to other unhedged funds, and the effectiveness of such strategies may be impacted during periods of rapid or extreme market events.

## 20: Money Market Funds

You can lose money by investing in the fund. Although the fund seeks to preserve the value of your investment at \$1.00 per share (or, for the LVIP Government Money Market Fund, at \$10.00 per share), it cannot guarantee it will do so. An investment in the fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The fund's sponsor has no legal obligation to provide financial support to the fund, and you should not expect that the sponsor will provide financial support to the fund at any time.

## 21: Index

An index is unmanaged, and one cannot invest directly in an index. Indices do not reflect the deduction of any fees.

## 22: Emerging Markets

Investing in emerging markets can be riskier than investing in well-established foreign markets. International investing involves special risks not found in domestic investing, including increased political, social and economic instability, all of which are magnified in emerging markets.

## 23: MSCI

The fund described herein is indexed to an MSCI® index. It is not sponsored, endorsed, or promoted by MSCI®, and MSCI®; bears no liability with respect to any such fund or to an index on which a fund is based. The prospectus and statement of additional information contain a more detailed description of the limited relationship MSCI®; has with Lincoln Investment Advisors Corporation and any related funds.

## 24: S&P

The Index to which this fund is managed is a product of S&P Dow Jones Indices LLC (SPDJI) and has been licensed for use by one or more of the portfolio's service providers (licensee). Standard & Poor's®, and S&P® are registered trademarks of Standard & Poor's Financial Services LLC (S&P); Dow Jones® is a registered trademark of Dow Jones Trademark Holdings LLC (Dow Jones); and these trademarks have been licensed for use by SPDJI and sublicensed for certain purposes by the licensee. S&P®, S&P GSCI® and the Index are trademarks of S&P and have been licensed for use by SPDJI and its affiliates and sublicensed for certain purposes by the licensee. The Index is not owned, endorsed, or approved by or associated with any additional third party. The licensee's products are not sponsored, endorsed, sold

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# Performance Update

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## Important Disclosures

Variable products are issued by The Lincoln National Life Insurance Company, Fort Wayne, IN, distributed by Lincoln Financial Distributors, Inc., and offered by broker/dealers with an effective selling agreement. The Lincoln National Life Insurance Company is not authorized nor does it solicit business in the state of New York. **Contractual obligations are backed by the claims-paying ability of The Lincoln National Life Insurance Company.**

Limitations and exclusions may apply.

Lincoln Financial Group is the marketing name for Lincoln National Corporation and its affiliates. Affiliates are separately responsible for their own financial and contractual obligations.

## Asset Categories

RM	= Risk Managed
MCA	= Maximum Capital Appreciation
LTG	= Long Term Growth
GI	= Growth and Income
I	= Income
RMAA	= Risk Managed - Asset Allocation
PC	= Preservation of Capital
AsA	= Asset Allocation
RMUSL	= Risk Managed - US Large Cap
RMUSM	= Risk Managed - US Mid Cap
RMGI	= Risk Managed - Global/International
ESC	= ESG/Socially Conscious

**DISTRICT  
CORRESPONDENCE**  
Board Meeting of February 6, 2023



**Date:**                    **Correspondence Received From:**

1. 12/30/2022    CSRMA California Sanitation Risk Management Authority  
c/o Alliant Insurance Services, Inc.  
**Subject:** Pooled Liability Program Renewal  
PY37: December 31, 2022-December 31, 2023
  
2. 01/24/2023    County of Santa Barbara  
Planning and Development  
**Subject:** A Proposed Change in Policy in the SBC BSD Process of  
Obtaining Outside Agencies Approval of Building Permit Applications
  
3. 01/25/2023    United Way of Santa Barbara County  
**Subject:** United Way Campaign Thank You  
for Goleta Sanitary District's Involvement and Support  
Raising over \$10,000.

***Hard Copies of the Correspondence are available at the District's Office for review***