

AGENDA

REMOTE MEETING NOTICE

To address concerns relating to COVID-19, this meeting will be accessible by remote video conferencing. The public may participate in this meeting remotely via zoom as set forth below.

INSTRUCTIONS FOR USING ZOOM

- Join the meeting using the link below.
- You must have audio and microphone capabilities on the device you are using to join the meeting.
- When you join the meeting make sure that you join the meeting with audio and follow the prompts to test your speaker & microphone prior to joining the meeting.

TO SPEAK ON AN ITEM USING ZOOM

- The Board President will call the item and staff will begin the staff report.
- Click on the Raise Hand icon if you would like to speak on the item.
- Your name will be called on when it's your turn to speak.
- When your name is called, you will be prompted to unmute yourself.
- When your time is up, you will be muted.
- You will repeat this process for each item you want to speak on.

FOR OPEN SESSION PARTICIPATION

Join Meeting Electronically at:

Join Zoom Meeting

<https://us02web.zoom.us/j/83768119597?pwd=NkZPL3FuVGFYcFM1L3FiQIVpTzdEQT09>

Meeting ID: 837 6811 9597

Passcode: 752652

A G E N D A
REGULAR MEETING OF THE GOVERNING BOARD
OF THE GOLETA SANITARY DISTRICT
A PUBLIC AGENCY

One William Moffett Place
Goleta, California 93117

November 21, 2022

CALL TO ORDER: 6:30 p.m.

ROLL CALL OF MEMBERS

BOARD MEMBERS: Steven T. Majoewsky
George W. Emerson
Sharon Rose
Edward Fuller
Jerry D. Smith

CONSIDERATION OF THE MINUTES OF THE BOARD MEETING

The Board will consider approval of the Minutes of the Regular Meeting of November 7, 2022.

PUBLIC COMMENTS - Members of the public may address the Board on items within the jurisdiction of the Board.

POSTING OF AGENDA – The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District’s web site 72 hours in advance of the meeting.

BUSINESS:

1. CONSIDERATION OF DISTRICT PROJECT NOTIFICATION POLICY
(Board may take action on this item.)
2. CONSIDERATION AND ADOPTION OF RESOLUTION AUTHORIZING THE INVESTMENT OF DISTRICT MONEY IN THE CALIFORNIA COOPERATIVE LIQUID ASSET SECURITIES SYSTEM PRIME CASH FUND
(Board may take action on this item.)
3. CONSIDERATION AND ADOPTION OF RESOLUTION APPROVING REVISED STATEMENT OF INVESTMENT POLICY
(Board may take action on this item.)

4. CONSIDERATION OF SANTA BARBARA LOCAL FORMATION COMMISSION'S DRAFT MUNICIPAL SERVICE REVIEW FOR THE GOLETA SANITARY DISTRICT
(Board may take action on this item.)
5. GENERAL MANAGER'S REPORT
6. LEGAL COUNSEL'S REPORT
7. COMMITTEE/DIRECTOR'S REPORTS AND APPROVAL/RATIFICATION OF DIRECTOR'S ACTIVITIES
8. PRESIDENT'S REPORT
9. ITEMS FOR FUTURE MEETINGS
10. CORRESPONDENCE
(The Board will consider correspondence received by and sent by the District since the last Board Meeting.)
11. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT
(The Board will be asked to ratify claims.)

ADJOURNMENT

Any public records which are distributed less than 72 hours prior to this meeting to all, or a majority of all, of the District's Board members in connection with any agenda item (other than closed sessions) will be available for public inspection at the time of such distribution at the District's office located at One William Moffett Place, Goleta, California 93117.

MINUTES

MINUTES
REGULAR MEETING OF THE GOVERNING BOARD
GOLETA SANITARY DISTRICT
A PUBLIC AGENCY
DISTRICT OFFICE CONFERENCE ROOM
ONE WILLIAM MOFFETT PLACE
GOLETA, CALIFORNIA 93117

November 7, 2022

CALL TO ORDER:

President Majoewsky called the meeting to order at 6:33 p.m.

BOARD MEMBERS PRESENT:

Steven T. Majoewsky, George W. Emerson, Sharon Rose, Edward Fuller, Jerry D. Smith

BOARD MEMBERS ABSENT:

None

STAFF MEMBERS PRESENT:

Steve Wagner, General Manager/District Engineer, Rob Mangus, Finance and Human Resources Manager/Board Secretary and Richard Battles, Legal Counsel from Howell Moore & Gough LLP.

OTHERS PRESENT:

Tom Evans, Director, Goleta Water District
Craig Geyer, Director, Goleta West Sanitary District
Larry Meyer, Director, Goleta West Sanitary District

APPROVAL OF MINUTES:

Director Smith made a motion, seconded by Director Fuller, to approve the minutes of the Regular Board meeting of 10/17/22. The motion carried by the following vote:

(22/11/2273)

AYES: 5 Majoewsky, Emerson, Rose,
Fuller, Smith

NOES: None

ABSENT: None

ABSTAIN: None

POSTING OF AGENDA:

The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District's website 72 hours in advance of the meeting.

PUBLIC COMMENTS:

None

BUSINESS:

1. **DISCUSSION AND CONSIDERATION OF MEMORANDUM OF UNDERSTANDING WITH THE MOSQUITO AND VECTOR MANAGEMENT AGENCY FOR MOSQUITO CONTROL SERVICES**

Mr. Wagner gave the staff report.

Director Fuller made a motion, seconded by Director Rose to authorize the General Manager to execute the proposed memorandum of understanding with the Mosquito and Vector Management Agency for mosquito control services for FY22-23 and FY23-24.

The motion carried by the following vote:

(22/11/2274)

AYES: 5 Majoewsky, Emerson, Rose, Fuller, Smith
NOES: None
ABSENT: None
ABSTAIN: None

2. REVIEW AND CONSIDERATION OF DEPOSITING DISTRICT FUNDS IN THE CALIFORNIA COOPERATIVE LIQUID ASSET SECURITIES SYSTEM (CLASS)

Mr. Wagner gave the staff report.

Director Smith made a motion, seconded by Director Fuller to direct staff to return with answers to the Board's questions and a resolution to modify the District's Investment Policy to include investments in the California Cooperative Liquid Asset Securities System (CA-CLASS).

The motion carried by the following vote:

(22/11/2275)

AYES: 5 Majoewsky, Emerson, Rose, Fuller, Smith
NOES: None
ABSENT: None
ABSTAIN: None

3. REVIEW AND CONSIDERATION OF PRELIMINARY DESIGN REPORT FOR BIOSOLIDS AND ENERGY STRATEGIC PLAN PHASE 2 IMPROVEMENTS

Mr. Wagner gave the staff report.

Director Fuller made a motion, seconded by Director Rose to modify the scope of the project to exclude the High Strength Waste Receiving Facility and to include the cost reduction recommendations for the thermal dryer building.

The motion carried by the following vote:

(22/11/2276)

AYES: 5 Majoewsky, Emerson, Rose, Fuller, Smith
NOES: None

ABSENT: None
ABSTAIN: None

4. GENERAL MANAGER'S REPORT

Mr. Wagner gave the report.

5. LEGAL COUNSEL'S REPORT

Mr. Battles reported on legislation and a case regarding the Brown Act. The Governor's Emergency Order that is required in order to use the modifications to the Brown Act included in AB361 will expire February 28, 2023. AB2449 takes effect January 1, 2023 and includes alternative procedures for remote meetings and Mr. Battles distributed a checklist of those alternatives.

6. COMMITTEE/DIRECTORS' REPORTS AND APPROVAL/RATIFICATION OF DIRECTORS' ACTIVITIES

Director Rose – Read her Goleta West Sanitary District meeting report to the Board.

Director Fuller – Reported on the Finance Committee meeting he attended.

Director Smith – Reported on the Finance Committee meeting he attended.

Director Emerson – Distributed an article of interest to Board and staff.

7. PRESIDENT'S REPORT

President Majoewsky – No report.

8. ITEMS FOR FUTURE MEETINGS

No Board action was taken to return with an item.

9. CORRESPONDENCE

The Board reviewed and discussed the list of correspondence to and from the District in the agenda.

10. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT

Director Smith made a motion, seconded by Director Fuller, to ratify and approve the claims, for the period 10/18/22 to 11/07/22 as follows:

Running Expense Fund #4640

\$ 571,992.14

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November 7, 2022

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Capital Reserve Fund #4650	\$	42,868.81
Depreciation Replacement Reserve Fund #4655	\$	17,147.38
Retiree Health Insurance Sinking Fund #4660	\$	11,487.52

The motion carried by the following vote:

(22/11/2277)

AYES:	5	Majoewsky, Emerson, Rose, Fuller, Smith
NOES:		None
ABSENT:		None
ABSTAIN:		None

ADJOURNMENT

There being no further business, the meeting was adjourned at 8:21 p.m.

Steven T. Majoewsky
Governing Board President

Robert O. Mangus, Jr.
Governing Board Secretary

George W. Emerson

Sharon Rose

Edward Fuller

Jerry D. Smith

AGENDA ITEM #1

AGENDA ITEM: 1

MEETING DATE: November 21, 2022

I. NATURE OF ITEM

Consideration of District Project Notification Policy

II. BACKGROUND INFORMATION

At a special meeting on August 8, 2022, the Governing Board of the Goleta Sanitary District (GSD) approved a draft agreement with the Goleta West Sanitary District (GWSD) regarding the undertaking of Phase 1 of the Biosolids and Energy Strategic Plan (BESP) Project by Goleta Sanitary District, subject to revisions to the indemnity provisions as approved by the District's legal counsel and General Manager. A final version of the agreement, dated as of August 11, 2022, has been signed by both agencies.

Among other things, the agreement (i) documents GWSD's consent to the BESP Phase 1 Project, and (ii) requires GSD to adopt a project notification policy within 90 days to ensure that GWSD is informed regarding future projects that GSD proposes to undertake and has sufficient time and information to evaluate those projects and comply with the California Environmental Quality Act (CEQA).

A draft Project Notification Policy has been prepared by the District's legal counsel and General Manager and has been sent to GWSD for its review and comments. A copy of an initial draft policy was presented to the Board on September 19, 2022 for informational purposes as GWSD had not yet had the chance to review and provide comments. Since then, a revised draft policy was developed in coordination with GWSD staff and was presented to the Board for review and information on October 17, 2022. This revised draft policy has now been reviewed and approved by the GWSD Board. A copy of the final draft policy is presented herein for Board review and approval.

III. COMMENTS AND RECOMMENDATIONS

The attached draft project notification policy is compliant with the BESP Project Agreement and includes, but is not limited to the following actions:

1. **Quarterly Reports.** The District's General Manager will meet with GWSD's General Manager on a quarterly basis to review and discuss anticipated and ongoing Significant Projects, including the anticipated timing and cost thereof. Within thirty (30) days after each such meeting, the District's General Manager will prepare a written report summarizing the meeting (the "Quarterly Report") and deliver the Quarterly Report to GWSD's General Manager for distribution to and review by GWSD's Board. The Quarterly

Reports will include detailed information on all significant capital improvement projects, as applicable and to the extent known at the time.

2. **Annual Budget Meetings.** On or before May 1 of each year, the District's General Manager will provide a preliminary budget estimate to GWSD's General Manager. On or before June 1 of each year, the District's General Manager will meet with GWSD's General Manager and staff to present and discuss the District's annual budget, which will include a detailed expense forecast for the upcoming fiscal year for (i) operation, maintenance, and repair activities, and (ii) rehabilitation, replacement, and improvement projects relating to the Plant, including both Significant Projects and Routine Projects (the "Annual Budget Meetings").
3. **Annual Presentations.** The District's General Manager will make a presentation to the District's Board on at least an annual basis, generally in January, addressing (i) the state of the Plant facilities, and (ii) anticipated Significant Projects, including the timing and anticipated cost thereof (the "Annual Presentation"). Not less than thirty (30) days prior to each Annual Presentation, the District will provide written notification to GWSD's General Manager regarding the time, date, and location of the Annual Presentation. Such notification will include a brief summary of the topics to be covered by the Annual Presentation and will indicate that the members of GWSD's Board, GWSD's General Manager, and other representatives of GWSD are encouraged to attend. Each Annual Presentation will be made during an open and public meeting of the District.

No changes have been made to the draft policy since the Board last reviewed it on October 17, 2022. This version of the draft policy was reviewed by the GWSD Management Committee prior to its presentation to the full GWSD Board on November 15, 2022. As such staff recommends the Board approve the attached draft project notification policy subject to any changes they desire.

IV. REFERENCE MATERIALS

Draft Project Notification Policy

GOLETA SANITARY DISTRICT

Project Notification Policy

1. BACKGROUND

A. On November 28, 1960, the Goleta Sanitary District (the “District”), the Goleta West Sanitary District (formerly known as the Isla Vista Sanitary District) (“GWSD”), the Regents of the University of California, the City of Santa Barbara, and the County of Santa Barbara (collectively, the “Contract Parties”) entered into that certain Agreement for Expansion of the Goleta Sanitary District Sewage Disposal Treatment Plant Facilities (the “1960 Agreement”). The 1960 Agreement was amended on July 1, 1964, September 9, 1970, and December 14, 2007.

B. The 1960 Agreement, among other things, provides for the shared use by the Contract Parties of the regional wastewater treatment plant located at 1 William Moffett Place, Goleta, California (the “Plant”), and allocates among the Contract Parties certain costs related to the Plant.

C. Pursuant to the 1960 Agreement, as amended, GWSD pays 40.78% of the costs related to certain Plant improvements undertaken by the District. The Governing Board of the District therefore deems it to be in the best interests of the District to adopt a policy setting forth procedures for informing GWSD in a timely manner regarding projects relating to the Plant that the District anticipates undertaking in the future.

2. POLICY PURPOSE AND INTENT

The purpose of this policy is to satisfy the requirements of Section 9 of that certain Agreement Regarding the Undertaking of Phase 1 of the Biosolids and Energy Strategic Plan Project by Goleta Sanitary District dated as of August 11, 2022, between the District and GWSD (“2022 Agreement”). In adopting this policy, it is the intent of the District to establish procedures for informing GWSD regarding projects that the District anticipates undertaking in the future in connection with the Plant so that GWSD has sufficient time and information to (i) determine whether it should agree to a proposed project in cases where such agreement is required under the 1960 Agreement, (ii) plan and budget for its share of project costs, and (iii) comply with the California Environmental Quality Act.

3. POLICY REQUIREMENTS

A. Significant Project Defined. As used in this policy, the term “Significant Project” means a project relating to the Plant that meets the following criteria:

- (1) The project is identified in the District’s 10-Year Capital Improvement Plan (the “CIP”), as the CIP may be updated or otherwise revised from time to time, or the need for the project arises after the most recent

update/revision to the CIP and is due to changes in legal or regulatory requirements or an unexpected failure or breakage of one or more Plant components; and

(2) The anticipated total cost of the project, including costs associated with planning, design, engineering, permitting, environmental review, materials, supplies, equipment, bidding, and construction, is anticipated to exceed \$1,000,000.

B. Quarterly Reports. The District's General Manager will meet with GWSD's General Manager on a quarterly basis to review and discuss anticipated and ongoing Significant Projects, including the anticipated timing and cost thereof. Within thirty (30) days after each such meeting, the District's General Manager will prepare a written report summarizing the meeting (the "Quarterly Report") and deliver the Quarterly Report to GWSD's General Manager for distribution to and review by GWSD's Governing Board. The Quarterly Reports will, as applicable and to the extent known at the time:

- (1) Identify each Significant Project that the District anticipates undertaking within the next ten (10) years.
- (2) Include a brief discussion of the need for and/or benefits of each Significant Project, including any applicable legal and/or regulatory requirements.
- (3) Provide the anticipated cost of each Significant Project.
- (4) Provide the anticipated timing for the commencement and completion of each Significant Project.
- (5) Indicate the status of any Significant Projects that are in process (e.g., work completed and to be completed, breakdown of costs, consultants retained, anticipated date of project or milestone completion).
- (6) Identify any changes in legal or regulatory requirements or unexpected failures or breakages of one or more Plant components that may require the District to undertake a Significant Project that is not identified in the District's most recent CIP.

Quarterly Reports will necessarily include only such information as may be available at the time. Quarterly Reports need not provide detailed information regarding Significant Projects and may, as appropriate, make reference to budget, planning, design, engineering, environmental review, and other documents, which documents will be made available to GWSD upon request. Quarterly Reports need not repeat information provided in prior Quarterly Reports but should provide updates regarding any changes to information provided previously.

In determining the level of detail to include in a Quarterly Report for any particular Significant Project, the District's General Manager may take into consideration the complexity and cost of the Significant Project in question. The General Manager may also take into consideration the status of the Significant Project. For example, for Significant Projects that are in the early planning phases, only limited information may be available to include in a Quarterly Report.

Quarterly Reports need not address ongoing operation, maintenance, and repair activities, or rehabilitation, replacement, and improvement projects relating to the Plant that do not meet the definition of a Significant Project ("Routine Projects"). Those projects will instead be addressed as part of the Annual Budget Meetings (as defined below).

C. Annual Budget Meetings. On or before May 1 of each year, the District's General Manager will provide a preliminary budget estimate to GWSD's General Manager. On or before June 1 of each year, the District's General Manager will meet with GWSD's General Manager and staff to present and discuss the District's annual budget, which will include a detailed expense forecast for the upcoming fiscal year for (i) operation, maintenance, and repair activities, and (ii) rehabilitation, replacement, and improvement projects relating to the Plant, including both Significant Projects and Routine Projects (the "Annual Budget Meetings").

D. Annual Presentations. The District's General Manager will make a presentation to the District's Governing Board on at least an annual basis, generally in January, addressing (i) the state of the Plant facilities, and (ii) anticipated Significant Projects, including the timing and anticipated cost thereof (the "Annual Presentation"). Not less than thirty (30) days prior to each Annual Presentation, the District will provide written notification to GWSD's General Manager regarding the time, date, and location of the Annual Presentation. Such notification will include a brief summary of the topics to be covered by the Annual Presentation and will indicate that the members of GWSD's Governing Board, GWSD's General Manager, and other representatives of GWSD are encouraged to attend. Each Annual Presentation will be made during an open and public meeting of the District.

E. CEQA. The District will (i) cooperate with GWSD in regard to GWSD's legal obligations under the California Environmental Quality Act ("CEQA") with respect to any and all projects under which GWSD's consent or funding contributions are required pursuant to the 1960 Agreement, and (ii) comply with any and all consultation and approval procedures pertaining to GWSD that are required pursuant to CEQA and/or the 1960 Agreement, including, but not limited to, the requirement that a lead agency consult with responsible agencies throughout the CEQA process and solicit comments from responsible agencies regarding the choice and content of the environmental documents.

F. Agreement to Significant Projects. In cases where a Significant Project requires GWSD's agreement under the 1960 Agreement, at such time as the preliminary

engineering phase has been completed and prior to the commencement of the environmental review process pursuant to CEQA, the District will (i) make a written request to GWSD for its agreement to the Significant Project, and (ii) provide GWSD with such information as it may reasonably require to make a well informed decision with respect to such request. Such information may include, but will not necessarily be limited to, information provided in the Quarterly Reports and at the Annual Budget Meetings and Annual Presentations. So that GWSD can appropriately evaluate and budget for Significant Projects, the District will provide GWSD with a reasonable period of time to respond to each such request, taking into consideration, as applicable, the complexity and cost of the Significant Project in question, the emergency nature of the project or other critical circumstances, and CEQA requirements. GWSD will not be expected to provide its agreement to a Significant Project until any and all required environmental documents (e.g., an environmental impact report, negative declaration, or mitigated negative declaration) have been certified as required by CEQA.

G. Routine Projects. Routine Projects will not be subject to the procedures applicable to Significant Projects under Section 3.F. Instead, (i) Routine Projects will be addressed as part of the Annual Budget Meetings pursuant to Section 3.C., (ii) the cost of all anticipated Routine Projects will be included in the capital budget adopted by the District each fiscal year, and (iii) the District will not request GWSD's agreement for each Routine Project. Notwithstanding the foregoing, if the District determines that undertaking a particular Routine Project will cause the District to exceed its adopted capital budget for the then-current fiscal year by more than 5%, and the Routine Project in question requires GWSD's agreement under the 1960 Agreement, the District will follow the procedures applicable to Significant Projects under Section 3.F., above.

G. Contract Parties Notification. The District will provide to all Contract Parties timely and appropriate notification of all Significant Projects that the District anticipates undertaking. In the event one or more of the Contract Parties objects to paying for its share of a Significant Project, the District will promptly notify the other Contract Parties and the reasons provided for the objection.

AGENDA ITEM #2

AGENDA ITEM: 2

MEETING DATE: November 21, 2022

I. NATURE OF ITEM

Consideration and Adoption of Resolution Authorizing the Investment of District Money in the California Cooperative Liquid Asset Securities System Prime Cash Fund

II. BACKGROUND INFORMATION

At the Governing Board meeting on November 7, 2022, District staff made a presentation and provided documentation regarding the California Cooperative Liquid Assets Securities System (“California CLASS”). California CLASS has been created by a joint exercise of powers agreement by and among California public agencies to offer public agencies a method for investing in a pooled investment with highly liquid, investment-grade securities selected to optimize interest earnings while maximizing safety and liquidity. The staff presentation focused on the California CLASS Prime Cash Fund (the “CLASS Prime Fund”), which has as its primary investment objectives (i) the preservation of principal, (ii) daily liquidity, (iii) earning a competitive rate of return, and (iv) maintaining a stable Net Asset Value of \$1.00.

Following the presentation and discussion by the Board on November 7, the Board had a number of questions and directed staff to return with additional information regarding the investment of District funds with California CLASS. Staff has obtained additional information that will be provided at tonight’s meeting.

At the November 7 meeting, the Board also directed staff to return with the documentation required for the investment of District money in the CLASS Prime Fund. Included with this agenda report is the California CLASS registration packet, which contains all the material necessary to set up a CLASS Prime Fund account. Also included with this agenda report is a resolution prepared by the District’s legal counsel that authorizes the District to invest in the CLASS Prime Fund. The resolution also identifies the persons who are authorized to order deposits into the CLASS Prime Fund and transfers between the CLASS Prime Fund and other accounts maintained by the District.

III. COMMENTS AND RECOMMENDATIONS

If the Board wishes to authorize the investment of District funds in the CLASS Prime Fund, it is recommended that the Board adopt the proposed resolution, subject to such revisions as the Board deems appropriate.

IV. REFERENCE MATERIAL

California CLASS Registration Packet

Resolution No. 22-695 Authorizing the Investment of District Money in the California Cooperative Liquid Asset Securities System Prime Cash Fund

California
CLASS



California CLASS Registration Packet



Welcome to California CLASS

Thank you for choosing California CLASS!

We believe you have made a sound financial decision in choosing California Cooperative Liquid Assets Securities System (California CLASS). We look forward to being a trusted partner to your organization and its investment management goals and are excited to connect with you to make your investment process a positive, easy experience.

This packet contains all the materials necessary to set up your California CLASS account(s). If you have any questions about the registration process or about your California CLASS account(s), please do not hesitate to contact us. The California CLASS Client Service team can be reached any business day from 8:00 a.m. to 4:00 p.m. PT by phone at (877) 930-5213 or by email at clientservices@californiaclass.com.

Registration Procedures

To participate in California CLASS, please complete the following:

- 1) Review the Joint Exercise of Powers Agreement and the applicable Information Statements relating to the California CLASS Prime Fund and the Enhanced Cash Fund (located in the Document Center at www.californiaclass.com).
- 2) Complete the California CLASS Participant Representation Form (page 4).
- 3) Complete the Participant Registration (page 5).
- 4) Complete the Authorized Contacts Form (pages 6/7).
- 5) Should you be interested in participating in California CLASS Prime, complete the California CLASS Prime Fund Account(s) to be Established form; you may open as many accounts as you wish (page 8).
- 6) Should you be interested in participating in California CLASS Enhanced Cash, complete the California CLASS Enhanced Cash Fund Account(s) to be Established Form; you may open as many accounts as you wish (page 9).
- 7) Keep the original forms for your records and send the completed packet to the California CLASS Client Service team by fax (877) 930-5214 or by email clientservices@californiaclass.com.

Questions? Please contact us; we would love to hear from you:

California CLASS Client Service Team
T (877) 930-5213
clientservices@californiaclass.com

Through the California CLASS website, www.californiaclass.com, Participants will be regularly informed of important program information, holidays, upcoming Board meetings, Participant events, conferences, and more. Board of Trustee meetings, which are open to the public, are generally held quarterly and discuss relevant issues to the governance and operations of the California CLASS program.

Participant Representation Form

Participant Information

Entity Name (Participant) _____

Participant Representations

The undersigned Authorized Signer for the Participant hereby represents and warrants the following during the period the Participant is investing in the California CLASS Investment Program:

- The Participant is (1) a Public Agency (as defined in the Joint Exercise of Powers Agreement) and (2)(a) a political subdivision of the State of California or (b) an organization whose income is excluded from taxable gross income under Section 115 of the Internal Revenue Code, in each case, that has the authority to invest funds in its treasury in investments in accordance with Section 53601 of the California Government Code.
- The Participant is authorized to invest in the California CLASS.
- Any Authorized Signer for the Participant designated in this California CLASS Registration Packet has full power and authority to make investments for the above Participant in the California CLASS Investment Program unless the California CLASS receives written notice from the Participant otherwise.
- The Participant has reviewed and agrees to the limitations described in the Joint Exercise of Powers Agreement and the Information Statements for the Funds within the California CLASS Investment Program and acknowledges that it has been supplied with or been given access to information it requested in connection with making an investment in the California CLASS Investment Program.
- The Participant has reviewed the Investment Policies for the Funds within the California CLASS Investment Program and has determined that they are consistent with the legal and policy limitations applicable to the Participant's investments.
- The Participant has consulted with its own counsel and advisers as to all matters concerning investment in the California CLASS Investment Program.

Authorized Signer

_____ Signature	_____ Date
_____ Print Name	_____ Title

Many factors affect performance including changes in market conditions and interest rates and in response to other economic, political, or financial developments. Investment involves risk including the possible loss of principal. No assurance can be given that the performance objectives of a given strategy will be achieved. **Past performance is no guarantee of future results. Any financial and/or investment decision may incur losses.**



Participant Registration

Entity Information

Entity Name (Participant) _____

Entity Type: City/Town County School District Special District
Other (Specify) _____

Mailing Address _____

City _____ Zip _____ County _____

Physical Address (if different than above) _____

City _____ Zip _____ County _____

Tax ID _____ Fiscal Year End Date (Month/Day) _____

California CLASS is hereby authorized to honor any telephone, faxed, or electronic request believed to be authentic for withdrawal of funds. The withdrawal proceeds can be sent only to the bank(s) indicated below unless changed by written instructions. Each Participant is responsible for notifying California CLASS of any changes to its account by filling out and sending via mail or email the Forms available on the California CLASS website.

Wires will be distributed every hour with the final distribution ending at 11:00 a.m. PT; distribution times are subject to change as needed by the California CLASS Administrator. Additionally, California CLASS must be notified of any contributions by 11:00 a.m. PT to receive same day credit. **If funds are not received by 2:00 p.m. PT, contribution orders will be voided.**

Banking Information

Bank Name _____ Bank Routing Number (ABA) _____

Account Title _____ Account Number _____

Bank Contact _____ Contact's Phone Number _____

Wire ACH Both

Additional Banking Information (Optional)

Bank Name _____ Bank Routing Number (ABA) _____

Account Title _____ Account Number _____

Bank Contact _____ Contact's Phone Number _____

Wire ACH Both

Authorized Contacts

Authorized Signers Can:	Read-Only Users Can:
Approve changes to the Investor Profile Update banking/contact information Transfer funds Receive account updates	Receive account updates Request "view-only" access to monthly statements and transaction confirmations

Authorized Signer

Print First and Last Name

Title

Signature Required

Phone

Email

Fax

Email Notifications (notice of report availability in the online portal)

- Monthly Statements
- Transaction Confirmations

Additional Contact (Optional)

Print First and Last Name

Title

***(Signature Required if Authorized Signer)**

Phone

Email

Fax

Permissions (check one only)

- Authorized Signer to Move Funds*
- Read-Only Access

Email Notifications (notice of report availability in the online portal)

- Monthly Statements
- Transaction Confirmations

Additional Contact (Optional)

Print First and Last Name

Title

***(Signature Required if Authorized Signer)**

Phone

Email

Fax

Permissions (check one only)

- Authorized Signer to Move Funds*
- Read-Only Access

Email Notifications (notice of report availability in the online portal)

- Monthly Statements
- Transaction Confirmations



Authorized Contacts (cont.)

Additional Contact (Optional)

Print First and Last Name

Title

***(Signature Required if Authorized Signer)**

Phone

Email

Fax

- Permissions** (check one only)
- Authorized Signer to Move Funds*
 - Read-Only Access

- Email Notifications** (notice of report availability in the online portal)
- Monthly Statements
 - Transaction Confirmations

Additional Contact (Optional)

Print First and Last Name

Title

***(Signature Required if Authorized Signer)**

Phone

Email

Fax

- Permissions** (check one only)
- Authorized Signer to Move Funds*
 - Read-Only Access

- Email Notifications** (notice of report availability in the online portal)
- Monthly Statements
 - Transaction Confirmations

Additional Contact (Optional)

Print First and Last Name

Title

***(Signature Required if Authorized Signer)**

Phone

Email

Fax

- Permissions** (check one only)
- Authorized Signer to Move Funds*
 - Read-Only Access

- Email Notifications** (notice of report availability in the online portal)
- Monthly Statements
 - Transaction Confirmations



California CLASS Enhanced Cash Fund Account(s) to be Established

Entity Name (Participant): _____

Desired Subaccount Name(s)*:

(To be completed by Participant)

California CLASS Enhanced Cash is designed to complement the daily liquidity offered by the California CLASS Prime portfolio.

Enhanced Cash does not seek to maintain a stable net asset value (NAV) and does not offer daily liquidity. Unlike the Prime Fund, investing in Enhanced Cash introduces the potential for the reporting of unrealized and realized gains and losses.

If you have questions about which of your local government’s funds are appropriate for the California CLASS Enhanced Cash portfolio, please contact your California CLASS representative or email info@californiaclass.com.

*Name must be limited to 35 characters.

Once your California CLASS account has been established, you will receive a confirmation email with your login credentials from no-reply@californiaclass.com. If you do not receive your login credentials within 48 business hours of submission, please first check your junk or spam folder before calling the California CLASS Client Service team.

RESOLUTION NO. 22-695

**RESOLUTION OF THE GOVERNING BOARD OF THE GOLETA
SANITARY DISTRICT AUTHORIZING THE INVESTMENT OF
DISTRICT MONEY IN THE CALIFORNIA COOPERATIVE LIQUID
ASSETS SECURITIES SYSTEM PRIME CASH FUND**

WHEREAS, as authorized by Government Code Section 6509.7, the California Cooperative Liquid Assets Securities System (“California CLASS”) has been created by a joint exercise of powers agreement by and among California public agencies to offer public agencies a method for investing in a pooled investment with highly liquid, investment-grade securities selected to optimize interest earnings while maximizing safety and liquidity; and

WHEREAS, the California CLASS Prime Cash Fund (the “CLASS Prime Fund”) is managed by California CLASS to comply with the requirements of California law, specifically California Government Code Section 53601. The investment objectives of the CLASS Prime Fund are to preserve principal, provide daily liquidity, earn a competitive rate of return, and maintain a stable Net Asset Value (NAV) of \$1.00; and

WHEREAS, the Governing Board (the “Board”) of the Goleta Sanitary District (the “District”) desires to authorize the investment of District funds in the CLASS Prime Fund.

NOW THEREFORE, BE IT RESOLVED, by the Governing Board of the Goleta Sanitary District as follows:

1. The Board hereby finds that the investment of District funds in the CLASS Prime Fund is in the best interests of the District.

2. The Board hereby authorizes the investment of District funds in the CLASS Prime Fund.

3. The persons holding the following District offices shall be authorized to order (i) the deposit of funds into the CLASS Prime Fund, and (ii) the transfer of funds between the CLASS Prime Fund and other accounts maintained by the District with various financial institutions, including the account maintained by the District with the Local Agency Investment Fund (LAIF):

- President of the Governing Board
- General Manager/District Engineer/Treasurer
- Finance and Human Resources Manager/Board Secretary

PASSED AND ADOPTED this 21st day of November, 2022, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES:

NOES:

ABSENT:

ABSTAIN:

Steven T. Majoewsky,
President of the Governing Board

COUNTERSIGNED:

Robert O. Mangus, Jr.,
Secretary of the Governing Board

AGENDA ITEM #3

AGENDA ITEM: 3

MEETING DATE: November 21, 2022

I. NATURE OF ITEM

Consideration and Adoption of Resolution Approving Revised Statement of Investment Policy

II. BACKGROUND INFORMATION

The District's current statement of investment policy is set forth in Resolution No. 16-606, which was adopted on September 19, 2016. Under a prior agenda item at tonight's meeting, the Governing Board is expected to approve the investment of District funds in the California Cooperative Liquid Asset Securities System ("California CLASS"). Although the District's current investment policy addresses investments in the Local Agency Investment Fund (LAIF) and makes reference to pooled investment generally, it does not specifically address investments in California CLASS. The District's legal counsel has therefore prepared a proposed resolution approving a revised investment policy to address investments in the California CLASS Prime Cash Fund. That resolution is included with this agenda report. Also included is a redlined version of the resolution showing the changes that were made to the District's current investment policy.

The investment policy requires that it be reviewed annually and that any changes are to be considered by the Governing Board in a public meeting. To satisfy this requirement, in connection with the preparation of the revised investment policy to address investments in California CLASS, the District's legal counsel reviewed (i) the statutes that establish requirements and limitations with respect to the District's investments (Government Code Sections 53600-53686), and (ii) the District's resolutions, ordinances, and policies that have been adopted or amended since the last review of the investment policy. Through this review it was determined that no additional changes to the current investment policy are required by statutory amendments or changes with respect to the District's resolutions, ordinances, and policies.

III. COMMENTS AND RECOMMENDATIONS

It is recommended that the Board adopt the proposed resolution, subject to such revisions as the Board deems appropriate.

IV. REFERENCE MATERIAL

Resolution No. 22-696 Approving Revised Statement of Investment Policy
Redlined version of Resolution No. 22-696 showing changes to Resolution No. 16-606

RESOLUTION 22-696

**RESOLUTION OF THE GOVERNING BOARD
OF THE GOLETA SANITARY DISTRICT APPROVING
REVISED STATEMENT OF INVESTMENT POLICY**

WHEREAS, on September 19, 2016, the Governing Board (the “Board”) of the Goleta Sanitary District (the “District”) adopted Resolution No. 16-606 approving a revised statement of investment policy pursuant to California Government Code Section 53646; and

WHEREAS, the Board desires to revise and update its statement of investment policy to reflect the action taken by the Board to authorize the investment of District funds in the California Cooperative Liquid Assets Securities System Prime Cash Fund (the “CLASS Prime Fund”).

NOW, THEREFORE, the Governing Board of the Goleta Sanitary District hereby approves the following statement of investment policy, which shall supersede and replace the statement of investment policy set forth in Resolution No. 16-606:

1. Scope This investment policy shall apply to all funds of the District which are not required for the immediate needs of the District, with the exception of (i) deferred compensation plan investments, which are held in trust and not carried on the District’s books; (ii) California Public Employees’ Retirement System (“CalPERS”) contributions, which are invested pursuant to the CalPERS program; and (iii) retiree health benefits which are held in the California Employees Retirement Benefit Trust (CERBT).

2. Delegation All decisions regarding the investment of District funds pursuant to this policy shall be made by the District's Board. The Board has established a Finance Committee which is responsible for monitoring and reviewing the District's investments and making recommendations to the Board. Subject to the requirements for calling for committee meetings as set forth in Section 6 of Ordinance No. 78, as it may be amended from time to time, the Finance Committee shall meet as often as necessary to carry out its responsibilities, and shall make reports to the Board on at least a quarterly basis. Notwithstanding the foregoing, it is recognized that neither the Finance Committee nor the Board participate in or have responsibility for decisions regarding the specific investments made with respect to District funds deposited with the Local Agency Investment Fund (“LAIF”), the CLASS Prime Fund, or other pooled investment funds and that all such decisions are made by the fund managers.

The District’s General Manager shall serve as the Treasurer for the District. The Treasurer shall carry out the Board's investment decisions and shall make periodic reports to the Board as provided herein. Pursuant to Health and Safety Code Section 6801 and District Resolution No. 93-266, the Treasurer shall be responsible for the deposit and withdrawal of District funds. A surety bond shall be obtained on the Treasurer in the amount of \$100,000.

3. Prudent Investor Standard In making investment decisions pursuant to this policy, the District's Board is a trustee and therefore a fiduciary subject to the prudent investor standard. When investing, reinvesting, purchasing, acquiring, exchanging, selling and managing the District's funds, the Board shall act with the care, skill, prudence and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the District, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the District. Within the limitations set forth in this section and considering individual investments as part of an overall strategy, the Board is authorized to acquire investments as authorized by law and by this policy.

4. Objectives As set forth in Government Code Section 53600.5, when investing, reinvesting, purchasing, acquiring, exchanging, selling and managing the District's funds, the primary objective shall be to safeguard the principal of the funds. The secondary objective shall be to meet the liquidity needs of the District. The third objective shall be to achieve a return on the funds.

5. Diversification The District shall maintain a portfolio of authorized investments with diversified maturities, issuers and security types to avoid the risks inherent in over-investing in any one sector. The District shall evaluate or cause to have evaluated each potential investment, seeking quality of issuer and underlying security or collateral. The District shall consider market volatility and shall diversify the portfolio to minimize risks with respect to the invested funds.

6. Authorized Investments All funds of the District shall be invested in compliance with Government Code Sections 53600 through 53686. The District shall make only those investments authorized under Government Code Sections 53601, 53601.8, 53610, 53635, 53635.8, 53684 and 16429.1, as said sections may be amended from time to time, subject to the limitations and requirements set forth therein. The District shall not make any of the prohibited investments specified under Government Code Section 53601.6, as said section may be amended from time to time.

7. Quarterly Reports It is the policy of the District that the Treasurer render quarterly reports to the District's Board within 30 days following the end of the quarter covered by the report. Except as provided below, the report shall include the type of investment, issuer, date of maturity, par and dollar amount invested in all securities, investments and moneys held by the District, and shall additionally include a description of any funds, investments, or programs that are under the management of contracted parties, including lending programs. With respect to all securities under management of any outside party that is not also a local agency, LAIF, or the CLASS Prime Fund, the report shall also include the current market value as of the date of the report, and shall include the sources of this same valuation.

The quarterly report shall state compliance of the portfolio with this statement of investment policy, or the manner in which the portfolio is not in compliance.

The quarterly report shall include a statement denoting the ability of the District to meet its expenditure requirements for the next six months, or provide an explanation as to why sufficient money shall, or may, not be available.

In the quarterly report, a subsidiary ledger of investments may be used in accordance with accepted accounting practices.

The quarterly report shall include whatever additional information or data may be required by the District's Board.

The Board may elect to have the report specified above be made on a monthly basis instead of quarterly.

For District investments which have been placed in (i) LAIF, (ii) the CLASS Prime Fund, (iii) Federal Deposit Insurance Corporation-insured accounts in a bank or savings and loan association, (iv) a county investment pool, or (v) any combination of the foregoing, the Treasurer may supply to the Board the most recent statement or statements received by the District from these institutions in lieu of the information required above.

8. Annual Review It is the policy of the District that the Treasurer annually render to the District's Board a statement of investment policy, which the Board shall consider at a public meeting. Any changes in the policy shall also be considered by the Board at a public meeting.

PASSED AND ADOPTED this 21st day of November, 2022, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES:

NOES:

ABSTENTIONS:

ABSENT:

Steven T. Majoewsky,
President of the Governing Board

COUNTERSIGNED

Robert O. Mangus, Jr.,
Secretary of the Governing Board

RESOLUTION 22—~~696~~

**RESOLUTION OF THE GOVERNING BOARD
OF THE GOLETA SANITARY DISTRICT APPROVING
REVISED STATEMENT OF INVESTMENT POLICY**

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The District’s General Manager shall serve as the Treasurer for the District. The Treasurer shall carry out the Board's investment decisions and shall make periodic reports to the Board as provided herein. Pursuant to Health and Safety Code Section 6801 and District Resolution No. 93-266, the Treasurer shall be responsible for the deposit and withdrawal of District funds. A surety bond shall be obtained on the Treasurer in the amount of \$100,000.

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4. Objectives As set forth in Government Code Section 53600.5, when investing, reinvesting, purchasing, acquiring, exchanging, selling and managing the District's funds, the primary objective shall be to safeguard the principal of the funds. The secondary objective shall be to meet the liquidity needs of the District. The third objective shall be to achieve a return on the funds.

5. Diversification The District shall maintain a portfolio of authorized investments with diversified maturities, issuers and security types to avoid the risks inherent in over-investing in any one sector. The District shall evaluate or cause to have evaluated each potential investment, seeking quality of issuer and underlying security or collateral. The District shall consider market volatility and shall diversify the portfolio to minimize risks with respect to the invested funds.

6. Authorized Investments All funds of the District shall be invested in compliance with Government Code Sections 53600 through 53686. The District shall make only those investments authorized under Government Code Sections 53601, 53601.8, 53610, 53635, 53635.8, 53684 and 16429.1, as said sections may be amended from time to time, subject to the limitations and requirements set forth therein. The District shall not make any of the prohibited investments specified under Government Code Section 53601.6, as said section may be amended from time to time.

7. Quarterly Reports It is the policy of the District that the Treasurer render quarterly reports to the District's Board within 30 days following the end of the quarter covered by the report. Except as provided below, the report shall include the type of investment, issuer, date of maturity, par and dollar amount invested in all securities, investments and moneys held by the District, and shall additionally include a description of any funds, investments, or programs that are under the management of contracted parties, including lending programs. With respect to all securities under management of any outside party that is not also a local agency, L A I F, or the CLASS Prime Fund~~the State of California Local Agency Investment Fund~~, the report shall also include the current market value as of the date of the report, and shall include the sources of this same valuation.

The quarterly report shall state compliance of the portfolio with this statement of investment policy, or the manner in which the portfolio is not in compliance.

The quarterly report shall include a statement denoting the ability of the District to meet its expenditure requirements for the next six months, or provide an explanation as to why sufficient money shall, or may, not be available.

In the quarterly report, a subsidiary ledger of investments may be used in accordance with accepted accounting practices.

The quarterly report shall include whatever additional information or data may be required by the District's Board.

The Board may elect to have the report specified above be made on a monthly basis instead of quarterly.

For District investments which have been placed in ~~the Local Agency Investment Fund created by Government Code Section 16429.1(i) LAIF, (ii) the CLASS Prime Fund, in (iii)~~ Federal Deposit Insurance Corporation-insured accounts in a bank or savings and loan association, ~~in (iv)~~ a county investment pool, or ~~(v)~~ any combination of these foregoing, the Treasurer may supply to the Board the most recent statement or statements received by the District from these institutions in lieu of the information required above.

8. Annual Review It is the policy of the District that the Treasurer annually render to the District's Board a statement of investment policy, which the Board shall consider at a public meeting. Any changes in the policy shall also be considered by the Board at a public meeting.

PASSED AND ADOPTED this 21st day of November, 2022, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES:

NOES:

ABSTENTIONS:

ABSENT:

Steven T. Majoewsky,
President of the Governing Board

COUNTERSIGNED

Robert O. Mangus, Jr.,
Secretary of the Governing Board

AGENDA ITEM #4

AGENDA ITEM: 4

MEETING DATE: November 21, 2022

I. NATURE OF ITEM

Consideration of Santa Barbara Local Area Formation Commission's Draft Municipal Service Review for the Goleta Sanitary District

II. BACKGROUND INFORMATION

The District's Sphere of Influence (SOI) is the planning area adjacent and/or beyond the District's service boundary that could receive sewer service from the District at some point in the future. The District's SOI must be reviewed and updated as appropriate every five years. These reviews/updates are completed by the Santa Barbara Local Agency Formation Commission (LAFCO) as part of a Municipal Service Review (MSR) report. The last MSR was completed by LAFCO in 2016.

For the last several years, the District's SOI has been coterminous with its service boundary. This means that whenever someone outside the District's service boundary wants to connect to the District's collection system and receive wastewater services, they must process an annexation and SOI boundary change through LAFCO.

The District was contacted by LAFCO in February 2022 regarding the preparation of MSRs for all water and wastewater utilities. The first step in the 2022 LAFCO MSR process included the submittal of a response to a comprehensive information request. A copy of the District's information submittal is attached to this report.

Once the District's information was submitted, LAFCO began preparation of a draft MSR for District review. On October 3, 2022, staff received the draft GSD MSR from LAFCO for review. The draft MSR has been reviewed by staff and a copy is presented herein for Board consideration.

III. COMMENTS AND RECOMMENDATIONS

The District's 2022 draft MSR includes much more detailed information than previous MSRs as a new report format has been developed by LAFCO. The attached draft MSR includes staff comments and proposed edits. Most of the changes are minor in nature and LAFCO will likely accept them.

Staff recommends that the Board review the attached draft MSR and direct staff to submit it to LAFCO with any further changes as desired.

IV. REFERENCE MATERIALS

Draft 2022 LAFCO MSR for Goleta Sanitary District

B. Goleta Sanitary District

Administrative Office: One William Moffett Place, Goleta, CA 93117
Phone: 805/967-4519
Fax: 805/964-3583
Email: swagner@goletasanitary.org
Website: www.goletasanitary.org
General Manager: Steve Wagner
Operations Manager: [Pete Regis](#)~~John Crisman~~

SUMMARY

The Goleta Sanitary District provides wastewater collection, treatment and disposal services to the residents and businesses of the City of Goleta and surrounding unincorporated areas in the Goleta Valley to approximately 41,111 people throughout 76 square miles in southern Santa Barbara County that extends from the westerly boundary of the City of Santa Barbara to the City's Municipal Airport. ~~Portions of t~~The City of Goleta ~~are~~is included within the District. The District's Sphere of Influence is larger than its boundaries, including areas to the north of Hwy 101 towards La Paloma Ave. & South of Hwy 101 from Goleta Cemetery to El Camino School, and several islands surrounded by the District. Study Areas for expansion have been requested. The District receives financial support at a rate of approximately \$324 per resident and maintains a fund balance to meet future needs. The District has financial procedures in place to ensure the preparation of timely agency audits.

BACKGROUND

The Goleta Sanitary District was formed in 1942. It was formed by petition of local residents to provide wastewater management services to the small community of Goleta. The District owns and operates a regional water resource recovery facility that serves approximately 80,000 residents in the Goleta Valley. Approximately half of this population is served under separate contractual agreements with the following four public agencies; Goleta West Sanitary District, University of California at Santa Barbara, Santa Barbara Municipal Airport, and County of Santa Barbara.

The Goleta Sanitary District overlaps ~~the~~, portions of the City of Goleta, a portion of the City of Santa Barbara, County Service Areas 3 (Goleta Valley) and 32 (Law Enforcement), Santa Barbara County Fire Protection District, Santa Barbara Mosquito and Vector Control District, Santa Barbara Metropolitan Transit District, Cachuma RCD, County Flood Control & Water Agency, and Goleta Cemetery District.

The District serves an estimated population of 41,111 people, with 32,223 living within City of Goleta. The District anticipates a growth rate of approximately 0.7 percent a year within its boundaries in the coming years. In 2022~~1~~ it was estimated that the District serves ~~11,500~~31,049 parcels, ~~17,500~~ 4,350 parcels in the City of Goleta, 112 parcels in the City of Santa Barbara and the remainder and ~~13,500~~ in unincorporated Santa Barbara County. ~~servicing approximately 12,384 connections.~~

OPERATIONS

Goleta Sanitary is composed of ten (10) state certified operators ~~and seven (7) collection staff~~, including a Plant Operations Manager, ~~Supervisor of reclamation facility's maintenance staff and~~ Laboratory Manager along with two (2) laboratory operations staff. ~~Collection System Manager with, Collection Supervisor, and~~ six (6) maintenance technicians. Maintenance Facility Supervisor with six (6) maintenance technicians, an Industrial Waste Control Officer and ~~six~~seven (6~~7~~6) Administrative personnel, including the ~~and~~ General Manager. All operation personnel are trained through the California Water Environment Association (CWEA) Technical Certification Program. The District employs a total of 3564 full-time employees.

The District's revenues come from sewer service charges, ad valorem taxes on real estate and unsecured property, ~~connection development impact~~ fees, permit and inspection fees, lab analysis fees, and other miscellaneous fees, charges and interest. The District has created specific reserves to plan for Plant capacity expansion, replace needed equipment and facilities, and to meet debt service obligations (depreciation). In June, 2021, these funds are estimated to contain \$4,957,999 and \$3,595,829, respectively.

The Goleta Sanitary District (GSD) is responsible for the production and on-site storage of the reclaimed water. The Goleta Water District independently owns and operates a reclaimed water distribution system used to deliver the reclaimed water to the reclamation customer sites.

The District Board of Directors is composed of five members who are elected by district at-large to staggered four-year terms. ~~The District will be transitioning to District elections for the November 2022 election.~~ The Board meets the first and third Monday of every month at the District Board Room located at One William Moffett Place, Goleta at 6:30 pm. The District maintains a website which includes a list of members of the Board of Directors, agendas of upcoming meetings, and minutes of past meetings.

OPPORTUNITIES & CHALLENGES

There are "islands" within the existing service area that should logically be part of the District. There are also developed residential areas that are contiguous with the District's existing service area that the District can reasonably anticipate to serve in the future. The District's existing service area boundary has expanded in a piecemeal manner. A single modification to the SOI, as

part of the Municipal Service Review process, that is consistent with area land use and zoning designations, would help the District plan for regional growth. Going forward, it would streamline the approval process and would not trigger a MSR level review when applicants desiring service approach the District and LAFCO. A clear delineation would also help the District to respond to requests for service.

The recent drought has contributed to the change in characteristics of the influent from the collection system of each agency as it is pumped to the treatment plant headworks. Influent and effluent water monitoring is conducted in accordance with U.S. Environmental Protection Agency approved testing procedures as stipulated under Title 40 of the Code of Federal Regulations, Section 136. The performance of the treatment plant is measured by its ability to reduce influent contaminants to levels acceptable for discharge to the environment. The decrease in average influent flow observed at the plant is likely due to water conservation implemented by residents in response to the drought conditions. The difference between the influent and effluent flow is due to the production of reclaimed water. The most important factor contributing to fluctuations in the effluent flow is the amount of wastewater that is processed into reclaimed water and used for irrigation.

LAFCO of Santa Barbara County encourages the District and the other partnership agencies that share wastewater treatment services to continue to plan for upgrades as necessary. Future increases in recycled water opportunities should continue to be pursued. LAFCO also encourages and acknowledges the District's efforts ~~infor~~ receiving the Platinum Level District of Distinction accreditation for a second time. This honor was given to the District for implementing a comprehensive of high-level transparency and good governance practices. The Platinum Level District of Distinction is the foundation's highest level of recognition for a Special District and the Goleta Sanitary District is the only two-time winner of this prestigious award. Keep up the excellent work.

Governance Structure Options

The Joint Agreements between the entities and GSD have kept services going for the area residents and businesses. LAFCO staff sees value in local agencies collaborating and exploring opportunities to improve delivery of municipal services. The opportunities for new governance structures in Goleta Sanitary are small. The District includes the eastern portion of the City of Goleta, the western portion is governed by the Goleta West Sanitary District. The GSD is largely surrounded by western valley hillside and Pacific Ocean. The District has not identified any government structure options. LAFCO does not see the need for structural governance changes.

LAFCO staff sees value in local agencies collaborating and exploring opportunities to improve delivery of municipal services. It is still unknown whether it is feasible for another local service provider to assume responsibilities within this area. Therefore, LAFCO staff recommends that the District continue to discuss possible partnerships with other neighboring agencies. If an

agreement is made, in which all affected parties agree in the transfer of responsibilities, a change of organization may be considered at that point.

Regional Collaboration

The District is a member of CSRMA (California Sanitation Risk Management Authority). CSRMA is a JPA made up of similar wastewater agencies throughout California to share risk and provide insurance for the District at an equitable cost.

Goleta Sanitary District Regional Treatment Plant maintains similar agreements with Goleta West Sanitary, UCSB, the City of Santa Barbara and the County of Santa Barbara. The District also has an agreement with Goleta Water District to provide treated reclaimed water, which Goleta Water distributes from a reservoir located on site.

The Sanitary District participates in the Integrated Regional Water Management Plan (IRWMP) process. The intent of the Integrated Regional Water Management Program in Santa Barbara County is to promote and practice integrated regional water management strategies to ensure sustainable water uses, reliable water supplies, better water quality, environmental stewardship, efficient urban development, protection of agricultural and watershed awareness.

SPHERE OF INFLUENCE & BOUNDARIES

The Sphere of Influence for the Goleta Sanitary District's boundaries are greater than the District service area. The District currently has a Sphere of Influence that include areas to the north of Hwy 101 towards La Paloma Ave. and South of Hwy 101 from Goleta Cemetery to El Camino School, and several islands surrounded by the District. The District requested expansion to their Sphere of Influence, with the Hope Ranch community. If such services are desired by the residents, other proposals are pending annexation. The pending application under review will be considered as a separate action and those applications will not be evaluated under this service review. Subsequent municipal service review reports will continue to monitor the District's need to expand their Sphere of Influence. A map of the City's Sphere of Influence and boundaries can be seen at the beginning of this profile.

Sphere of Influence Study Areas

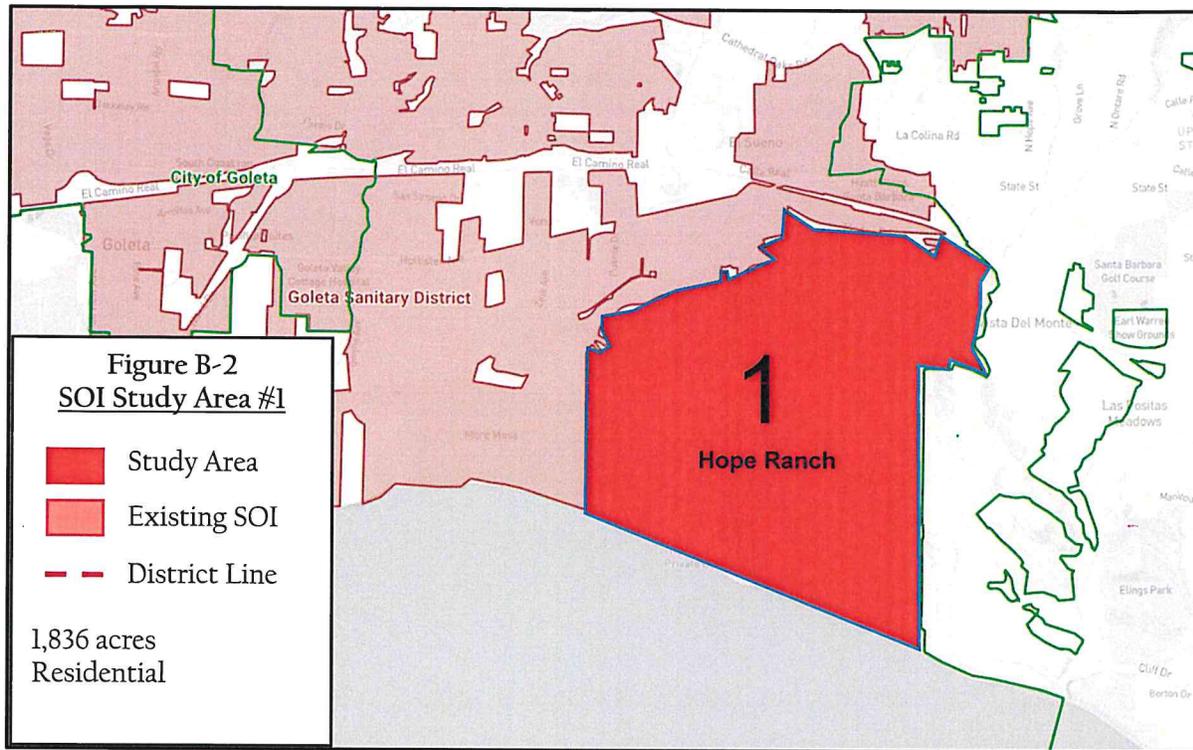
For study purposes, LAFCO staff has prepared the following table and map that included one area to be considered as the Study Areas for the Sphere of Influence. The Study Areas are used to help analyze and identify which properties should be added or excluded from the Sphere of Influence. A summary of the Study Areas is listed in the table below:

Table B-1: Goleta Sanitary Study Areas

Study Area	Description	Acres	Existing Zoning	Prime AG Land	Constraints
1	Hope Ranch	1,836	Single-Family Residential Res-1.0, 0.5, 0.33 Rec/OS	No	Unknown
	Totals	1,836			

The Study Area is described in more detail below and includes: a map that focuses on the particular area and the recommendation made by LAFCO Staff. The discussion addresses the size and location of the area, current zoning and other relevant information. The staff recommendation for each area is based upon the information in the Municipal Service Review and information provided by the District. The Hope Ranch parcels are outside of the sanitary service area and Sphere of Influence. All 773 parcels operate under a Homeowners Association and are currently on septic systems. An inspection of each property’s septic system(s) is required by the County when a property is sold, and a copy of that report must be submitted to the Association. No request from individual property owners or the Association have been received for future sanitary services at this time.

SOI Study Area #1 – Hope Ranch (Located in unincorporated SB County; Outside of SOI). Beginning at the City of Santa Barbara's boundary to the east, extending west to More Mesa, is the exclusive residential community of Hope Ranch. These 773 parcels total 1,836 acres located the southeastern portion of Santa Barbara County between Highway 101 and the ocean. The character of the community is rural and of an estate nature; the topography, rolling. Hill and bluff-top parcels. It consists of a broad flat mesa and low rolling knolls broken by a valley and covered with live oaks. Hope Ranch is divided into acreage plots of varying size. The lots are irregular in shape and laid out with particular regard to the character of the land. The Hope Ranch area is served by the La Cumbre Mutual Water Company. The Goleta Sanitary District's boundaries are Fairview Avenue and the Hope Ranch boundary to the east and west, and the Pacific Ocean to the south.



LAFCO Staff Recommendation. The SOI should exclude Study Area One at this time. Staff recommendation is maintaining the existing Sphere of Influence and note that the area is already within the City of Santa Barbara Sphere of Influence. However, if at some point in the future if the septic systems within the Hope Ranch Community either begin to fail beyond the ability to be repaired, or if a regulatory agency requires public sewer system as an alternative, then the entire community should be evaluated and considered for a single action to either amend the Sphere of Influence for Goleta Sanitary District or seek services from the City of Santa Barbara at some point in the future. Individual SOI and annexation request on a parcel-by-parcel basis should not be considered by LAFCO. Rather, the whole Hope Ranch community should be evaluated for a Sphere expansion, if it becomes necessary. After consultation with the City of Santa Barbara, individual annexation could follow based on the need for sewer connections.

BOUNDARIES

Jurisdictional Boundary

Goleta Sanitary existing boundary spans approximately 76 square miles in size and covers 23,991 acres (parcels and excluding public rights-of-ways) of contiguous areas with unserved islands. Nearly 75% of the jurisdictional service boundary is unincorporated and under the land use authority of the County of Santa Barbara. The remaining portion of jurisdictional service lands, approximately 25% of the total is incorporated and under the land use authority of the City of Goleta. Overall, there are 27,977 registered voters within the jurisdictional boundary.

Goleta Sanitary jurisdictional boundary spans 76 square miles with 75% being unincorporated and under the land use authority of the County of Santa Barbara. The remainder of the jurisdictional boundary lies within the City of Goleta.

Goleta Sanitary Boundary Breakdown By Service Area				
Service Area	Total Assessor Parcel Acres	% of Total Assessor Parcel Acres	Total Assessor Parcels	Number of Registered Voters
Goleta SD	1,192	75.0%	5,105	27,977
City of Goleta	1,192	25.0%	5,105	6,994
Totals	23,991	100.0%	7,787	27,977

Goleta Sanitary Boundary Breakdown By Land Use Authority				
Land Use Authority	Total Assessor Parcel Acres	% of Total Assessor Parcel Acres	Total Assessor Parcels	Number of Registered Voters
County of Santa Barbara	22,799	95.0%	2,682	20,983
City of Goleta	1,192	5.0%	5,105	6,994
Totals	23,991	100.0%	7,787	27,977

Total assessed value (land and structure) is set at \$7.0 billion as of April 2022, and translates to a per acre value ratio of \$292,229. The former amount further represents a per capita value of \$388,737 based on the estimated service population of 41,111. Goleta Sanitary District receives 9.4 million dollars in annual charges for service in revenue generated within its jurisdictional boundary.

The jurisdictional boundary is currently divided into 7,787 legal parcels and spans 23,991 acres the remaining jurisdictional acreage consists of public ~~right-of-ways~~rights-of-way's. Approximately 70% of the parcel acreage is under private ownership with 80% having already been developed and/or improved to date, albeit not necessarily at the highest density as allowed under zoning. The remainder of private acreage is entirely undeveloped and consists of 265 vacant parcels that collectively total 908 acres.

Close to three-fourths of the jurisdictional boundary is under private ownership, and of this amount approximately one-half has been developed.

**Goleta Sanitary District
Formation, Revenues, Attributes, Types of Service, and Resources**

District Formation and Duties	
Formation Date	1934 1942?
Legal Authority	Sanitary District Act of 1923, Health & Safety Code, section 6400-6830 et seq.
Board of Directors	Five Directors elected to four-year terms through at-large elections. Transitioned ing to District elections in November 2022.
Agency Duties	Wastewater collection, treatment, and disposal services.

POPULATION AND GROWTH

Population

The U.S. Census Bureau estimated the 2020 population of Goleta to be 32,690. Santa Barbara County Association of Governments prepared a Regional Growth Forecast for 2010-2040 in 2012. The Forecast for 2050 in 2019 forecasted projects for the Cities while the 2012 report included unincorporated communities by sub regions. That report used a conservative trend-base allocation methodology estimating the City of Goleta to be 32,200 by 2020. Between 2010 and 2020, the population of Goleta increased by 2,866 people (8.7 percent; or less than 1 percent per year). There are approximately 12,538 households within the City. In contrast, County's population increased by 6.1 percent between 2010 and 2020.

Demographics for the City are based on an age characteristics report prepared by SBCAG in 2017, which identified the largest age group represented in Goleta as 35 to 54 group at 28 percent. Approximately 26 percent of the population was in the 65 or older years age group and 24 percent in the 20 to 34 years range. Approximately 23 percent of the residents were under the age of 19.

According to the 2020 U.S. Census, approximately 69.7 percent of the total population identified themselves as non-Hispanic white. The Hispanic population, which is the second largest ethnic group in Goleta, comprised 32.9 percent of the total population.

Projected Growth and Development

The City of Goleta General Plan serves as the City's vision for long-term land use, development and growth, and provides the City's vision within its Planning Area. The City's General Plan was adopted in 2006 that has seen twenty-one amendments since adoption. The General Plan Housing Element is updated every 8 years in accordance with state regulations and spans the 2023-2031 planning period. The City added a Land Use Element policy that prohibits the change of land use designation for "Agriculture" lands which are ten acres or more without the approval of voters until 2032 which was passed by voter referendum on November 6, 2012, as Measure G2012: Goleta Heritage Farmlands Initiative.

The current City of Goleta Housing Element (2023-2031) identifies growth to be managed based on the maintenance of service levels and quality of life within the City. The County's Housing Element, covering the same period, estimates less than one percent growth in the surrounding unincorporated East/South Coast areas. The Goleta West Sanitary District in connection with the Goleta Sanitary District conducted a Land Use Survey/Wastewater Generation Projection Study in 2020. The firm, TW Land Planning & Development, prepared the study to develop growth projections. The study did not attempt to characterize or quantify development associated with land outside the District service areas which could potentially be annexed to either District. Annexations that might occur in the future were considered too speculative. Projected demand within the Embarcadero Municipal Improvement District (EMID) service area was also accounted for in this study.

In total, the water resource recovery facility appears to have a current remaining capacity of approximately 4.54 MGD based on the maximum facility design capacity and 2.46 MGD based on the current NPDES permit requirements. TW Land P&D evaluated "Ten Year" anticipated developments (and additions) proposed for vacant, undeveloped, and/or already developed parcels, which could occur within the next ten years analysis. Table 2 below summarizes the estimated wastewater demand for the ten-year period for the GSD.

TABLE 2:
GSD ESTIMATED WASTEWATER DEMAND – TEN YEAR ⁽¹⁾

AGENCY	CURRENT NPDES CAPACITY	FACILITY DESIGN CAPACITY
GSD Remaining Capacity	1.100	2.090
GSD Estimated Ten Year Flows	0.078	0.078
GSD EXCESS CAPACITY	+1.022	+2.012
⁽¹⁾ Wastewater demand is expressed in million gallons per day (mgd).		

Table 4 on the next page summarize the estimated wastewater demand under community buildout for the GSD.

TABLE 4:
GSD ESTIMATED WASTEWATER DEMAND – ULTIMATE BUILDOUT ⁽¹⁾

AGENCY	CURRENT GSD NPDES CAPACITY	GSD FACILITY DESIGN CAPACITY
GSD Remaining Capacity	1.100	2.090
Existing City/ County Zoning	0.249	0.249
GSD EXCESS CAPACITY	+0.851	+1.841
GSD Remaining Capacity	1.100	2.090
County Zoning + City NZO	0.250	0.250
GSD EXCESS CAPACITY	+0.850	+1.840
GSD Remaining Capacity	1.100	2.090
County Zoning + City NZO + Bonus Density	0.301	0.301
GSD EXCESS CAPACITY	+0.799	+1.789
⁽¹⁾ Wastewater demand is expressed in million gallons per day (mgd).		

The concluding findings of the TW Land P&D study were that GSD has a remaining capacity of approximately 2.09 MGD based on the maximum facility design capacity and 1.1 MGD based on the current NPDES permit requirements. Within the next ten years, it is estimated that the Goleta Sanitary District may need to provide additional wastewater treatment services of approximately 0.078 MGD for new development within the District’s service boundaries, which falls well within the GSD’s existing service capabilities. Ultimate buildout, which includes the ten-year

development scenario, is anticipated to generate a total future demand of 0.249 MGD of wastewater based on existing zoning, but could be between 0.249 MGD to 0.301 MGD.

The current City of Goleta Housing Element (2023-2031) identifies an estimated growth rate of 0.7 percent within the City. The County’s Housing Element, covering the same period estimates less than one percent growth in the surrounding unincorporated Goleta Valley areas. The County’s General Plan covers the Goleta Valley and surrounding areas. The following population projections within the City are based on the Department of Finance Table E4 estimate and SBCAG regional forecast. Goleta Sanitary population is interrupted as 25% of Goleta’s population plus the unincorporated population.

Table B-3. Population Growth and Projections (2010–2040)					
	2010	2015	2020	2035*	2040*
Goleta Sanitary District	n/a	n/a	41,111	47,500	50,000
City of Goleta	29,888	30,846	32,223	33,912	34,588
County	423,895	441,963	451,840	501,500	513,300

* Assumes trend-based land use capacity within the City. SBCAG regional forecast model.

** DOF Table E4 projections. Note: Unincorporated Goleta Valley does not meet census criteria to be designated as a place.

Disadvantaged Unincorporated Communities

Senate Bill (SB) 244 of 2011 requires LAFCO to identify and consider disadvantaged unincorporated communities (DUCs) when preparing MSRs and Sphere updates for Cities and Special Districts that provide sewer, water, or structural fire protection services. A DUC is defined by the Water Code as one in which the median annual household income (MHI) is 80 percent of the statewide average. Incorporated communities also are defined as disadvantaged when the MHI falls below 80 percent. In 2022, the statewide MHI was \$80,440, 80 percent of that is \$64,352. The MHI for Goleta Valley was \$76,521 in 2022, which does not qualify the community as a disadvantaged community. In addition, review of the State DAC Mapping Tool and CalEnviroScreen 4.0 was used to verify disadvantaged status with other applications of the definition. CalEnviroScreen is a screening tool used to help identify communities disproportionately burdened by multiple sources of pollution and with population characteristics that make them more sensitive to pollution. The County prepared an update to its Integrated Regional Water Management Plan in 2019. This was in part for the purposes of grants for the Central Coast Funding Area for which a disadvantaged communities’ assessment was conducted based on requirements for water and wastewater needs or deficiency within a service area. The Environmental Protection Agency (EPA) has developed an Environmental Justice Screening and

Mapping Tool Version 2.0 (EJScreen) that provides nationally consistent data combining environmental and demographic data related to the protection of public health and the environment. This Mapping Tool was evaluated for indicators to assist in identifying a disadvantaged community. Lastly, EPA has also developed an EnviroAtlas Interactive Map Tool in collaboration with EJScreen that overlays geospatial data and other resources related to ecosystem services, their chemical and non-chemical stressors, and human health to better understand human health and well-being, since these are closely tied to the environment, which provides benefits such as clean water, clean air, and protection from natural hazards. In combination a stronger representation of underserved communities can be identified that may be a leading indicator to health and well-being or precursor to DUC. In all cases, the Goleta Sanitary District’s Sphere of Influence does not qualify under the definition of disadvantaged community for the present and probable need for public facilities and services nor are the areas contiguous to the Sphere of Influence qualify as a disadvantaged community.

**Goleta Sanitary District
Formation, Revenues, Attributes, Types of Service, and Resources**

Attributes	
District area (est. square miles):	
• City of Goleta	7.85
• Entire District	76
Population (2020 Census):	
• City of Goleta	32,223
• Entire District	41,111
Assessed Valuation (FY 21-22: District portion)	\$7,010,874,700
Number of Treatment Plants	1
Regular Financial Audits	Annual
Annual Revenue Per Capita, Entire District (FY 20-21)	\$324
Average Portion of County 1% Property Tax Received	.002¢/\$1
Ending Total Fund Balance (June 2021)	\$22,065,299
Change in Total Fund Balance (from June 2016 to June 2021)	49.8%
Total Fund Balance/Annual Revenue Total (FY 20-21)	60.3%

Source: District area estimated utilizing County of Santa Barbara GIS Data; Population estimated utilizing 2020 US Census Data; Assessed Valuation and Portion of County Property Tax Received are from County of Santa Barbara Auditor-Controller’s Office; Fund Balance Information from District Audit; Other information from District.

SERVICES

Overview

Goleta Sanitary District provides wastewater collection, treatment, ~~and disposal,~~ and resource recovery services. The District is staffed by ~~354~~ full-time staff of which there are ten (10) certified operators and seven (7) collection staff, with one (1) ~~engineer-Laboratory Manager~~ and ~~thwo~~ (23) laboratory technicians. Additional employees include one (1) Maintenance Facility Supervisor, five (5) Maintenance Facility Technicians, an Industrial Waste Control Officer, a Finance and H.R. Manager; Senior Management Analyst; Administration Supervisor; Accounting Tech; an Admin. Asst., and two (2) maintenance workers. ~~and Manager and five (5) administrative assistants.~~ Operations are overseen by a General Manager who serves as the Legally Responsible Official.

WASTEWATER INFRASTRUCTURE AND PUBLIC FACILITIES

Collection System

The Sanitation system is comprised of approximately 132 miles of sewer collection system pipelines of varying sizes from 6-inch to 36-inch diameter and ages, ~~3,400~~1,000 manholes, and two (2) lift stations. There is a total of 2,300 linear feet of pressurized force main pipe from these two lift stations, 2,000' of which was installed in 2010.

Treatment System

The WWTP was originally constructed in 1944 and located on 12 acres of District-owned land. The regional treatment plan is designed to serve about 19,704 ERU's or about 97,000 people. The District's collection system serves about 11,823 equivalent residential units (ERU), representing a population of about 55,000. As a regional treatment facility, the Goleta Sanitary District's combined service area includes most of the Goleta Valley. The areas the District provides wastewater treatment for (but are collected separately) include the homes and businesses within the Goleta West Sanitary District, the University of California, Santa Barbara, the community of Isla Vista, part of the County of Santa Barbara, and the City of Santa Barbara's Municipal Airport. The total areas combined have a population of about 80,000. Theise partnerships ~~with these entities~~ mean that while the collection happens elsewhere, all of the wastewater comes to this regional resource recovery facility to be treated, purified, and reused. The GSD treatment plant has a capacity of 9.7 million gallons per day (based on average daily flow) but is currently limited to a permitted discharge of 7.64 million gallons per day pursuant to a National Pollutant Discharge Elimination System (NPDES) permit issued by the US Environmental Protection Agency (EPA) in concurrence with the States' Central Coast Regional Water Quality Control Board (CCRWQCB).

The wastewater treatment plant upgrade project to full secondary treatment was completed in 2013. Although the upgraded facility did not include the construction of any new reclamation facilities, full secondary treatment could allow for an expansion of the reclamation facilities in the future. The reclamation facility is designed to treat up to 3.3 million gallons per day of secondary effluent to tertiary standards.

Secondary effluent enters the reclamation facilities where a flash mixer disperses aluminum sulfate (alum) and polymer into the water. The flocculated secondary effluent is then gravity filtered through a bed of anthracite coal where the floe is removed. The filtered water then flows to a chlorine contact tank where sodium hypochlorite is added for disinfection. The chlorinated filtered water is then stored in an underground 3-million-gallon storage tank until distribution. Reclaimed water is distributed throughout the Goleta Valley by a distribution system operated and maintained by the Goleta Water District.

Disposal

The Goleta Sanitary District produces recycled water at its wastewater reclamation plant that is then land-applied or used for irrigation purposes. Biosolids are hauled off-site to Kings County for composting processing and beneficial use. Discharge is then sent to ocean outfall 5,800 feet offshore. The treated wastewater is discharged to Pacific Ocean approximately one-mile offshore of Goleta Beach County Park at a depth of 93-feet. At the terminus, a multi-port diffuser and armor rock with 36, four-inch diameter ports mix one part of effluent with approximately 122 parts of seawater to achieve a high initial wastewater dilution.

Recycled Water

The Goleta Sanitary District produces approximately 785 AFY for Goleta Water District, which is used for dust control, irrigation of landscaping, restroom facilities at the USPS, Goleta Beach County Park, and one floor of Bren Building at UCSB. Reclaimed water disinfection is achieved by adding liquid sodium hypochlorite at the front end of the chlorine contact channel.

Types of Services	
Collection	X
Treatment	X
Disposal	X
Recycled	X
Other	-

Goleta Sanitary District Formation, Revenues, Attributes, Types of Service, and Resources

Treatment Plant & Booster Stations			
Address	Acquired/Built	Condition	Size
1 William Moffett, WRRF	1944 & 1994	Excellent	12 acres

101 Donaldson Pl, Firestone LS	2010	Good	X2 pumps 1,400 gpm
419 El Sueno Lift Station	1957	Fair	1 pump 55 gpm
On-site Lift Station		Poor	X4 pumps 4,300 gpm

El Sueno Lift Station originally built in 1957, is in a residential area and handles flows from 14 residential units.

The second lift station, completed in 2010, replaced a lift station originally built in 1961, and handles flows of approximately 0.1 MGD from an industrial basin and portions of the Santa Barbara Municipal Airport.

The two District lift stations are inspected by staff on a scheduled basis. Repairs are coordinated with the District’s Facilities Maintenance Department.

Connections		
Type	# of Acct	% of Total
Single-Family	8,437	68.1%
Multi-Family	2,957	23.9%
Commercial	706	5.7%
Industrial	193	1.6%
Agricultural	12	<0.1%
Institutional	79	0.6%

The residential single-family connections are equivalent to 865.1 units on 804 parcels. Four commercial connections are equivalent to 16.1 single-family units.

Total Staffing		
	Personnel	Per 1,000 population
Full time Operators	10	0.24
Emergency Operators	(10)	0.24
Administrative Personnel	7	0.17
Other District Staff	187	0.41

Goleta Sanitary has a total of 354 permanent employees. The math in the table adds to 44, Full Time Operators and Emergency Operators are one in the same.

Staffing Experience/Tenure (average)		
	Years in Industry	Year w/ District
General Manager (1)	23	8
Plant Manager (1)	15	8
Operator Supervisor (1)	15	8
Operator I (1)	17	17
Operator III (4)	15.75	11.5
Operator V (1)	4	1
Collection System Manager (1)	33	33
Collection System Supervisor (1)	9	9
Collection Operator (5)	5.8	5.8
Laboratory Supervisor (1)	24	8
Laboratory Tech (2)	14	14
Maintenance Facility Supervisor (1)	30	17
Maintenance Personnel (7)	16	9.8
Industrial Waste Control Officer (1)	23	23
Administrative Personnel (6)	12.5	7.8

Wastewater Capacity

Goleta Sanitary has a permitted treatment capacity of 9.7 million gallons per day (based on average daily flow) but is currently limited to a permitted discharge of 7.64 million gallons per day pursuant to a National Pollutant Discharge Elimination System (NPDES) permit. The reclamation facility is designed to treat up to 3.3 million gallons per day.

The Goleta Sanitary service area's maximum daily capacity to convey wastewater to the Treatment Facility for treatment and disposal is 9.7 million gallons.

System Demands

Goleta Sanitary service area's average annual wastewater collection demand generated approximately 4.9 million gallons per day, which equates to 11,823 equivalent residential units (ERU). It also translates over the report period to an estimated 203 gallons per day for each occupied housing unit; it also translates to 395.6 gallons for every service connection. Average annual wastewater demands overall during the report period have increased by (6.0%) fluctuations have occurred due to water conservation in response to drought conditions.

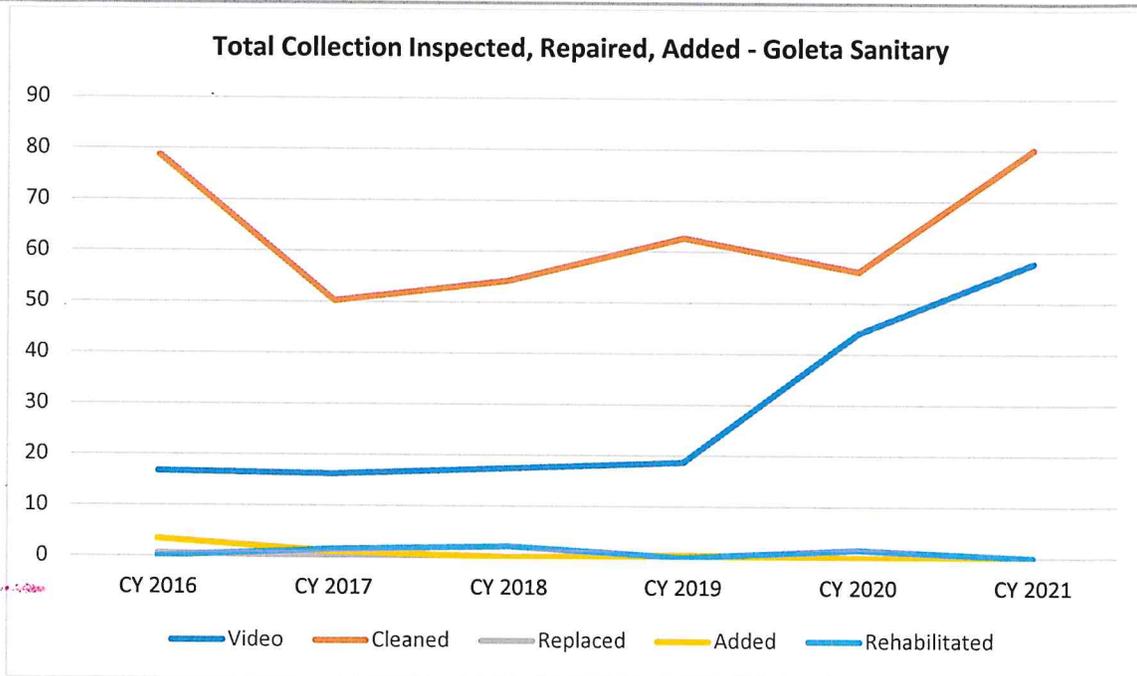
The estimated average annual wastewater flows generated during the report period among Goleta Sanitary users in the service area has been 4.9 million gallons per day.

Service Performance

Goleta Sanitary service area's average annual wastewater collection demand generated for subsequent treatment and disposal at the Treatment Plant Facility has been approximately 4.9 million gallons a day over the last three years. Of this amount, it is estimated by LAFCO this represents 64% of permitted capacity. The District generally has adequate capacity for anticipated future needs.

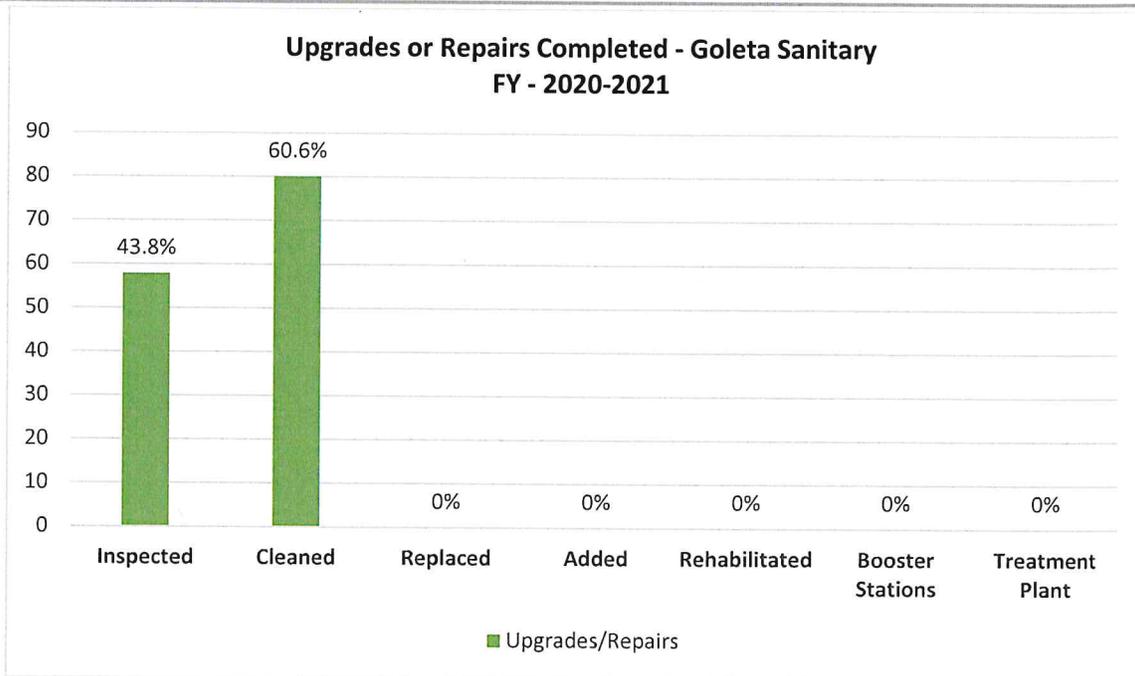
LAFCO estimates Goleta Sanitary is presently operating at 64% capacity within its service area in Goleta Valley. (This estimate includes service agreements outside of its service boundary.)

Goleta Sanitary District Formation, Revenues, Attributes, Types of Service, and Resources



Source: GSD Data.

Note: Information is for the entire District. Also, this table tabulates miles of lines cleaned, replaced, added, and videoed. Additional upgrades performed regarding lift stations and treatment plant.



Source: CSFP Data.

Note: Information is for the entire District.

The Goleta Sanitary District provides wastewater collection and treatment services to its constituents directly and plans for them in various planning documents, including the Sewer System Management Plan, Capital Improvement Plan, and Water Reclamation Study, Strategic Plan, and Biosolids & Energy Plan. The County’s Community Plan (Goleta Valley) and City General Plan 2006, which was last updated in 2004, contains a Land Use, Public Facility, and Resource Constraints. The District ~~is~~ completing its first Climate Action Plan pending in 2022.

GSD Snapshot: FY2022	
Planning Reports	Year Updated
Community Plan	2004
Joint Powers Agreement	
Sewer System Mgmt. Plan	2021
NPDES Monitoring	annually
Water Reclamation Summary	annually
Capital Improvement Plan	annually
Rate Study	2017
Biosolids & Energy Plan	2019
Strategic Plan	2020
Climate Action Plan	pending

FINANCES

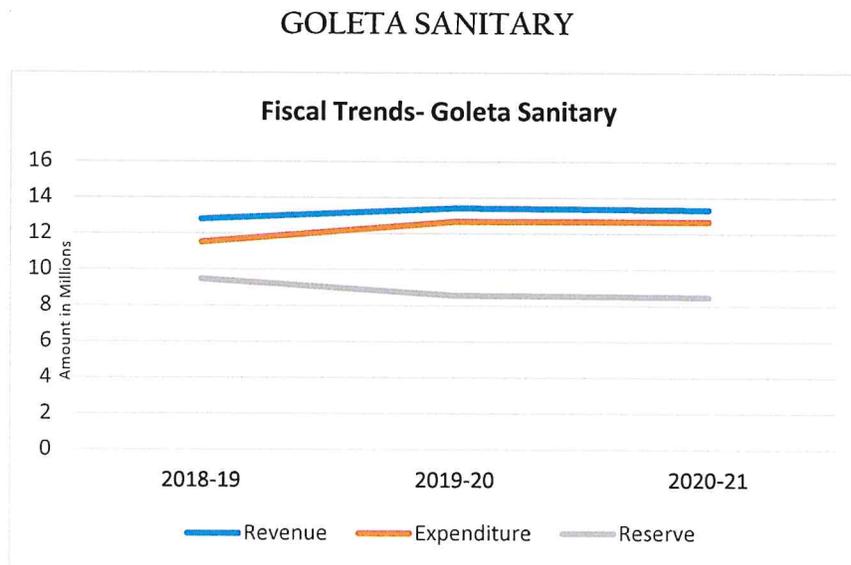
The District prepares an annual budget and financial statement, which includes details for each of its government and capital project and replacement funds. The District maintains a separate capital fund for replacement needs, meaning that charges for services are intended to pay for the costs of providing such services. The District received \$279,115 in COVID-19 Relief funding.

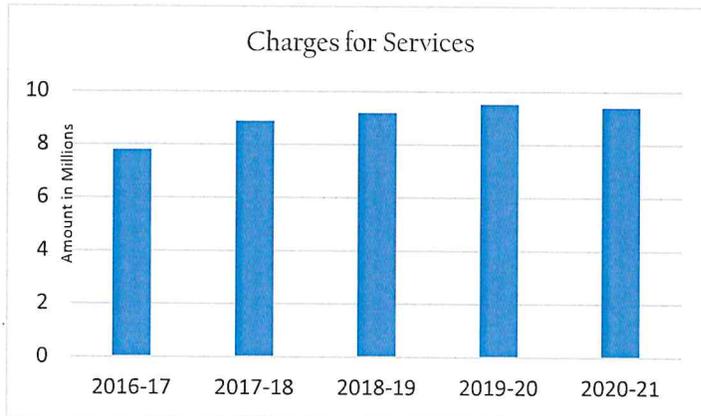
District Revenues				
	2019-2020		2020-2021	
	Amount	% of Total	Amount	% of Total
Charges for services	\$9,520,030	0.4%	\$9,405,538	0.8%
Permits, plan check and inspection fees	\$26,559	1.4%	\$26,767	0.9%
Sewage Treatment- other agencies	\$2,909,885	36.8%	\$3,020,912	4.3%
Taxes and assessments	\$178,080	2.0%	\$188,638	2.4%
Administrative Charges	\$187,090	2.0%	\$197,807	2.4%
Intergovernmental	\$772	2.0%	\$774	2.4%
Reimbursement participating agencies	\$2,820	2.0%	\$5,124	2.4%
Interest	\$553,658	0.5%	\$186,551	5.5%
Other Revenue	\$40,263	2.0%	\$288,718	2.4%
Revenue total	\$13,419,157	100.0%	\$13,320,829	100.0%

Source: Goleta Sanitary, Financial Statements, June 30, 2020 and 2021, Statement of Revenues, Expenditures and Changes in Fund Balances – All Fund types.

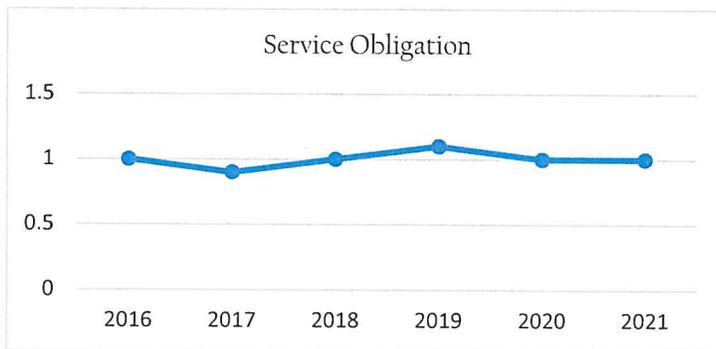
Fiscal Indicators

Select fiscal indicators are shown graphically below. Over the past three fiscal years, the District’s expenditures have increased in comparison to its revenues. The increase in expenditures was primarily due to inflation. The District’s reserve balances have sufficient funds to absorb relatively small revenue imbalances. The line graph below shows the current financial trend in millions. These indicators provide a measurement of the agency’s financial condition over time.





This indicator addresses the extent to which charges for service covered expenses. Charges for Services is the primary funding source for Sanitary Districts. Represented below a ratio of one or higher indicates that the service is self-supporting.



A Service Obligation ratio of one or more indicates if revenues were sufficient to pay for operations. It is calculated by operating revenues divided by operating expenditures.

Fiscal Year	Operating Revenues	Operating Expenditures	Ratio
2016	\$ 10,552,725	\$ 10,132,470	1.0
2017	\$ 10,667,793	\$ 10,682,996	0.9
2018	\$ 12,029,290	\$ 11,629,955	1.0
2019	\$ 12,684,462	\$ 11,392,841	1.1
2020	\$ 13,414,590	\$ 12,509,604	1.0
2021	\$ 13,308,035	\$ 12,785,981	1.0

Post-Employment Liabilities

The two charts below identify the funding status and asset coverage of the pension and OPEB plans.

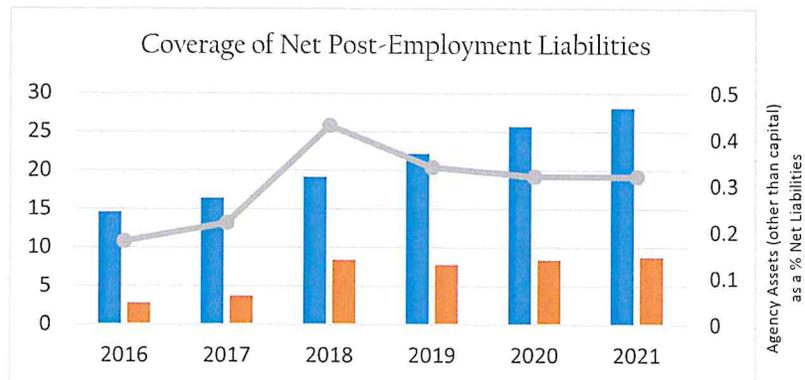
<u>Pension</u>	2018	2019	2020	2021	Trend
Funded ratio (plan assets as a % of plan liabilities)	73.3%	75.2%	75.2%	75.1%	➔
Net liability, pension (plan liabilities - plan assets)	\$ 4,224,332	\$ 4,090,003	\$ 4,442,628	\$ 4,797,712	

Other Post-Employment Benefits (OPEB)

Funded ratio (plan assets as a % of plan liabilities)	0%
Net liability, OPEB (plan liabilities - plan assets)	\$ 3,931,784

2020 year of OPEB reporting

The net liability amounts are essentially unfunded liabilities of the agency. The figure below shows if the agency has enough assets (other than capital) to cover the liabilities. A declining trend indicates liabilities continuing to exceed agency assets.



Pension Obligations and Payments

The District is part of the California Public Employees' Retirement System (CalPERS). All qualified employees are eligible to participate in the District's Miscellaneous Employee Pension Plan. Eligible employees hired after January 1, 2013, that are considered new members as defined by the Public Employees' Pension Reform Act (PEPRA), participate in the PEPRA Miscellaneous Plan. CalPERS provides service retirement and disability benefits, annual cost of living adjustments and death benefits to plan members, who must be public employees and beneficiaries. Benefits are based on years of credited service, as discussed above. All members are eligible for non-duty disability benefits after 10 years of service. The system also provides for the Optional Settlement 2W Death Benefit, or the 1957 Survivor Benefit. The District had no outstanding contributions to the pension plan as of June 30, 2021.

OPEB Obligations and Payments

The District provides other post-employment benefits through the Public Agency portion of the California Employers' Retiree Benefit Trust Fund (CERBT). Benefits are provided to employees who retire at age 50 or older with five years of eligible CalPERS service. Coverage is also provided to eligible retirees, spouses and surviving spouses. These benefits are provided per contract between the District and the employee associations. The District has a trust with the California Employers' Retiree Benefit Trust (CERBT). The District currently finances the trust by making 100% of the actuarially determined contribution.

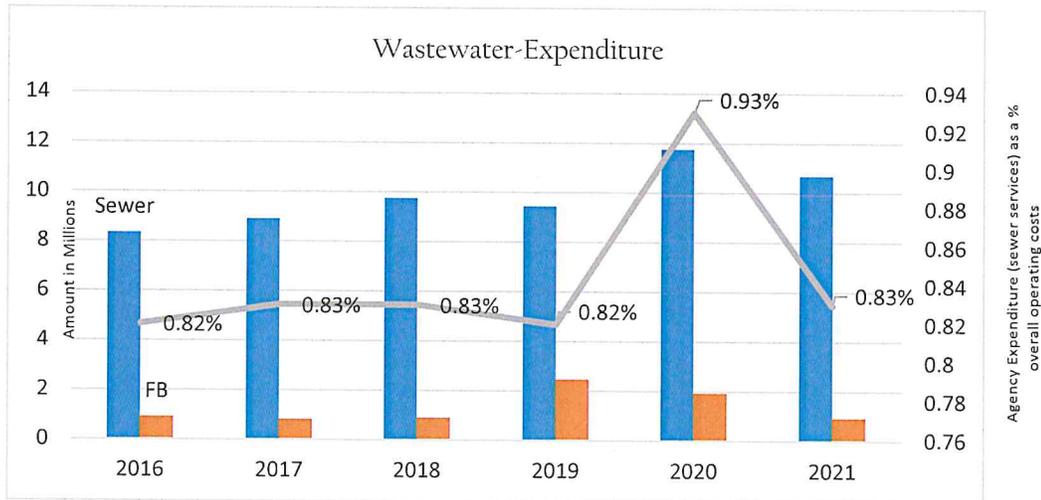
In 2009, the District joined the CalPERS medical program. In 2021, the District contributed the full cost of retiree and spousal coverage, up to the cost of PERS Choice coverage in comparison to the "unequal contribution" approach that was used at the inception of the CalPERS medical program. Currently, contributions are not required from plan members.

As of the June 30, 2020, measurement date, the following current and former employees were covered by the benefit terms under the plan:

- Retired employees – 13
- Active employees – 34

Enterprise Funding

The District budget includes wastewater services for Fund #4640, #4645, #4650, #4655, #4660, #4666, #4670, & #4675. In FY 2020/2021, the District’s actual budget expense was \$12,785,981 and increased that to \$16,676,742 for FY 2021/2022. The following chart shows a six-year trend. The graph below shows the current financial trend in millions. This indicator provides a measurement of the agency’s expenditure over time.



Asset Maintenance and Repair

The District’s budget includes improvement budgeting through its Repairs and Maintenance Fund. In FY 2020/2021, the District budgeted \$478,892 and maintained that \$478,892 for FY 2021/2022 and in FY 2022-2023 total expenditures for repairs and maintenance were \$488,892.

These District “priority areas” are on scheduled cleaning cycles designed to minimize the occurrence of a Sanitary Sewer Overflow (SSO). Approximately seven (7) percent are on a 12-month cycle, two (2) percent are on a six-month cycle, 0.25 percent are on a six-month cycle and 0.75 percent are on a three-month cycle. The work orders for these “priority areas are scheduled throughout the year and generated on cyclic basis. These work orders are routinely completed within the first or second week of each month. The CCTV schedule of the District collection system is on a five-year timeline. CCTV is also done in conjunction with Capital Improvement projects and to verify the need for spot repairs. The District utilizes contractors for specialized work such as chemical root treatment. Approximately 15,000 feet to 20,000 feet of sewer line are scheduled on an annual basis for chemical root treatment. The District reviews monthly and annual performance data to ensure the scheduled completion of each 36-month cleaning cycle and the completion of all priority area cleaning within its scheduled month.

A number of maintenance repairs were performed on the reclamation facility equipment during 2020. Repairs can be expected to increase as the facility ages. The repairs were typical of those

needed for a 27-year-old treatment facility that is in operation much of the year. The following is a list of the equipment that was repaired or replaced during 2020:

- Replaced Motor Control Center 8080 and 8081 that provide all power to the production and distribution equipment at the facility.
- Replaced the chlorine flash mixer motor and gearbox.
- Performed filter surveillance to determine the quality of the filter media and the effectiveness of the filtration process.

Capital Improvements

The District has a ten-year capital improvement plan (CIP) used as a predictive tool to determine which pipelines in the system may be approaching their useful lives. The IP condition assessment program identified 35 projects throughout the system. The District created a Story Map that provides visual summary of the District's CIP for the next ten years for both the collection system and the treatment plant. The site can be viewed here [10 Year Capital Improvement Program \(arcgis.com\)](#).

The Capital Projects identified in the FY 20-21 Budget include total project costs of \$756,881, which include the following:

- ▶ GSD 2021 Lines CIP projects \$ 500,000
- ▶ Manhole Raising Program additional 5,000
- ▶ El Sueno Lift Station Force Main Project \$ 132,745
- ▶ Contracted spot repairs \$ 36,679
- ▶ Manhole Raising Program 33,500
- ▶ Capital Improvement Master Plan \$ 48,957

Projects Budgeted or Estimated 2022 to 2023

- ▶ Manhole Raising Program 20,000
- ▶ GSD 2021 Lines CIP projects \$ 434,935
- ▶ Jocky Pump to regulate flow \$ 50,000
- ▶ 2019 Lift Station Rehabilitation Construction Management \$ 456,072
- ▶ 2019 Lift Station Rehabilitation Construction additional 237,235
- ▶ 2019 Lift Station Rehabilitation Project additional \$ 3,295,246
- ▶ HVAC Air Scrubber additional \$ 120,000

- ▶ HVAC Air Scrubber \$ 40,000
- ▶ Biosolids & Energy Strategic Plan Design
 - Phase I additional \$ 58,079
 - Biosolids & Energy Strategic Phase 1 Construction 3,000,000
 - Biosolids & Energy Strategic Phase 1 Construction Management 400,000
 - Biosolids & Energy Strategic Phase 2 Design 1,120,000
- ▶ Advanced Water Treatment Plant Preliminary Engineering \$ 250,000
- ▶ Chemical Storage Discharge Pump \$ 40,000
- ▶ Vehicle Lift 40,000
- ▶ Fire System Isolation Valve replacement 20,000
- ▶ Cathodic Well Replacement Project \$ 53,200

Long-term Liabilities and Debts

The District does ~~not~~ have ~~any~~ long-term debt ~~of \$14.135M, other than pension and OPEB for the~~ BESP project.

Opportunities for Shared Facilities

The Goleta Sanitary District is connected to the regional treatment plant with four other entities in the area, Goleta West Sanitary District, University of California at Santa Barbara, Santa Barbara Municipal Airport, and County of Santa Barbara. Use of the GSD treatment plant is through a joint use agreement for treatment and disposal. The GSD's capacity rights in the treatment plant are 47.87% today.

Rate Structure

Sewer rates for the District were last updated and adopted by the Board of Directors in July 2022. The rates are based on an annual review and adjustment, per District policy.

Wastewater Fees (Effective July 1, 2022)

A. Connection Fees (represents share of capital costs)

Residential – one equivalent residential unit (ERU) \$2,421

B. User Fee per Year

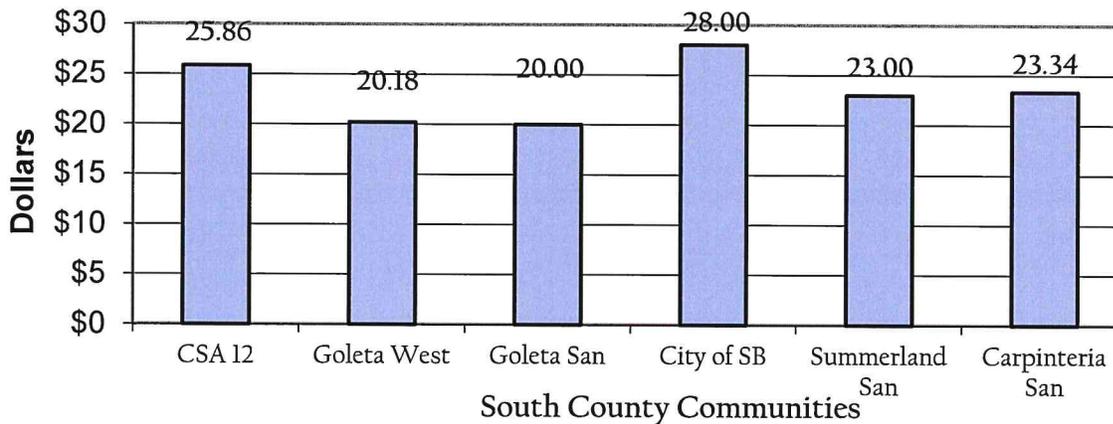
Base Rates*

Single-family/duplex	\$530.38
Multi-family	\$429.71
Mobile Home Park	\$429.71
Motels	\$305.36
Commercial (grocery stores, retail, service, theaters, etc.)	\$429.71
Markets (Per 74,095 gallon plus volume charge)	\$966.18
Banks	\$482.07
Offices	\$90.69
Medical Offices (Per 74,095 gallon plus volume charge)	\$530.38
Bars, Cocktail Lounges & Taverns	\$84.91 per seat
Restaurants (Per 74,095 gallon plus volume charge)	\$1,002.02
Beauty Salons & Barber Shops	\$429.71
Laundromats & Dry Cleaners (Per 74,095 gallon plus volume charge)	\$468.35
Automobile Service Stations	\$543.85
Automobile Service Stations w/ Dump Facilities	\$1,778.54
Car Washes (Per 74,095 gallon plus volume charge)	\$419.55
Factories, Industrial Plants, Water Bottling, & Water Treatment (Per 74,095 gallon plus volume charge)	\$450.54
Mortuaries	\$2,666.66
Hospitals (Per 74,095 gallon plus volume charge)	\$505.96
Churches (Per 74,095 gallon plus volume charge)	\$530.38
Schools	\$26.95 per average daily attendance

Boys & Girls Club	\$13.47 per average daily attendance
Animal Shelters (Per 74,095 gallon plus volume charge)	\$530.38
Machine Shops & Auto Repair	\$482.07
Photographic Processing	\$964.14
Auditoriums, Dance Halls, & Rec Bldg (Per 74,095 gallon plus volume charge)	\$450.54
Private Clubs w. Rec Facilities (Per 74,095 gallon plus volume charge)	\$530.38

Figures B-3 shows a rate comparison for six South County Communities. The following charts show the comparison of one City, four sanitary Districts, and one CSA. Overall, Goleta Sanitary sewer rates for residential customers are slightly lower than other communities in the South County area. The charts are based upon a sample billing using “10 units” as a basis.

Bill Comparision - Monthly Residential Water - 10 units
1 unit = 100 Cubic Feet of Water



ORGANIZATION

Governance

Goleta Sanitary District’s governance authority is established under the Sanitary District Act of 1923, (“principal act”) and codified under Health & Safety Code, section 6400-6830 et seq. This principal act empowers Goleta Sanitary to provide a moderate range of municipal services. A list comparing active and latent powers follows.

Active Service Powers	Latent Service Powers
- Wastewater	Operate & Collect Garbage/Refuse Dumpsites
- Recycled Water	Storm Drains
- Disposal	Water Service
- Compost or byproducts	Street Sweeping-Cleaning

Governance of Goleta Sanitary District is independently provided through its five-member Board of Directors that are elected at-large to staggered four-year terms. The District will be transitioning to District elections for the November 2022 election. The Board meets the first and third Monday of every month at District Board Room located at 1 William Moffett Place, Goleta at 6:30 pm. A current listing of Board of Directors along with respective backgrounds follows.

Goleta Sanitary Current Governing Board Roster			
Member	Position	Background	Years on District
Steven Majoewsky	President	Engineer	32
George Emerson	Director	Financial Advisor	28
Sharon Rose	Director	Health Social Services	10
Edward Fuller	Director	Real Estate Broker	2
Jerry Smith	Director	Engineer	14

Website Transparency

The table, on the next page, is not an exhaustive inventory of website criteria required under current law. Rather, it identifies key components, required by the Government Code and/or recommended by the California Special Districts Association and other organizations, for websites to enhance transparency and accountability.

Government Code Sections 54954.2 and 54957.5 require agencies to post all agendas 72 hours in advance on their websites. Government Code Section 6253 requires that agencies post content most requested by constituents and most often requested via Public Record Act requests. Because of the difficulty for LAFCO staff to verify this information, these criteria are not included in the website checklist. However, agencies should address these criteria to comply with current website requirements.

Goleta Sanitary District Website Checklist website accessed 7/25/22 https://goletasanitary.org			
<i>Required</i>			
		<i>Yes</i>	<i>No</i>
Government Code §53087.8	Agency maintains a website with current contact information? (required for independent Special Districts by 1/1/2020)	X	
Government Code §6270.5	Agency has created an Enterprise System Catalog and posted it to website?	X	X
Government Code §54954.2	Agency has current agenda posted to website homepage and is accessible through a prominent, direct link?	X	
Government Code §53908	Agency's website provides information on compensation of elected officials, officers and employees or has link to State Controller's Government Compensation website?	X	X
<i>The following criteria are recommended for agency websites by a number of governance associations and organizations.</i>			
		<i>Yes</i>	<i>No</i>
Description of services?		X	
Service area map?		X	
Board meeting schedule?		X	X
Budgets (past 3 years)?		X	
Audits (past 3 years)?		X	
List of elected officials and terms of office?		X	
List of key agency staff with contact information?		X	
Meeting agendas/minutes (last six months)?		X	
Notes: Carpinteria/Summerland Fire <u>The Goleta Sanitary District</u> is an independent board-governed District. Refer to https://goletasanitary.org for the required checklist items.			

Survey Results

The table, on the next page, includes a list of questions asked of area residents by LAFCO to assess if satisfactory fire services met their needs and/or identify any unmet needs. The questions identify key components recommended by LAFCO staff.

Goleta Sanitary District Questionnaire Revenues, Types of Service, and Resources

Goleta Sanitary			
Questions	Responses by Respondence		
	Satisfactory	Unsatisfactory	Undecided
1. Overall, are you satisfied with the level of water, wastewater, or stormwater services?	-	-	-
2. Overall, are adequate staffing and equipment provided with the level of water, wastewater, or stormwater service?	-	-	-
3. Do you feel an adequate level of funding is provided given the level of service?	-	-	-
4. Personnel arrived in a timely manner and were professional?	-	-	-
5. Personnel was knowledgeable, answer questions, and were informative?	-	-	-

No responses were provided by the public related to Goleta Sanitary District at this time.

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GENERAL MANAGER'S REPORT

GOLETA SANITARY DISTRICT GENERAL MANAGER'S REPORT

The following summary report describes the District's activities from November 8, 2022, through November 21, 2022. It provides updated information on significant activities under three major categories: Collection System, Treatment/Reclamation and Disposal Facilities, and General and Administration Items.

1. COLLECTION SYSTEM REPORT

LINES CLEANING

Staff has been conducting routine lines cleaning in the area of Stow Canyon Road and Carlo Drive.

CCTV INSPECTION

Staff continues with routine Closed-Circuit Television (CCTV) inspections in the area of N. Fairview Avenue and Cathedral Oaks Road.

GREASE AND OIL INSPECTIONS

The District has completed the 2022 Grease and Oil Inspection Program with 100% compliance from the Food Service Establishments (FSE) consisting of restaurants, commercial kitchens, private cafeterias and food processing facilities within the District.

REPAIR AND MAINTENANCE

Staff replaced the CCTV cable on the TV inspection truck. Staff replaced the brakes on the F-250 truck. Staff and Tierra Contracting, Inc. continue with the raising of manholes on streets recently paved by Santa Barbara County crews. The work was delayed by the recent rain storms. The recent rain storms also delayed the sewer line repair on Foothill Road near La Cumbre Road until the week of November 28, 2022.

WINTER STORM PREPARATIONS

Staff have inspected creek and bridge-crossing sewer lines, cleared easements and cleaned major sewer lines which are access-restricted during rain storms. Both lift stations have been checked and emergency response equipment has been checked and readied for use as necessary. Staff has reached out to various local contractors and vendors for the annual emergency force account update. This allows the District to provide immediate response for any storm-related emergency repairs.

COMPETENCY BASED TRAINING

Staff continues to work with DKF Solutions in an effort to complete the remaining items of the Collection System Competency Based Training (CBT) Program.

COLLECTION SYSTEM MAINTENANCE TECHNICIAN I POSITION

The District has presented a conditional offer of employment to Fabian Torres. Mr. Torres resides in Lompoc and is currently employed by Santa Ynez Community Services District as a Collection System Operator.

PROFESSIONAL DEVELOPMENT

Collection System Maintenance Tech II Braden Stribling successfully passed the California Water Environment Association (CWEA) Grade 3 Collection System Maintenance examination.

STATE WATER BOARD WASTE DISCHARGE REQUIREMENTS (WDR) UPDATE

On December 6, 2022 the State Water Board is expected to adopt the new Water Discharge Requirements (WDR). Staff will provide an update to the Board on the measures implemented and the plan to remain in compliance after that time.

2. TREATMENT, RECLAMATION AND DISPOSAL FACILITIES REPORT

Plant flows increased to an average of 4.1 million gallons per day (MGD). The demand for reclaimed water has begun to decrease due to cooler temperatures. High concentrations and loadings during the weekends continue to cause intermittent challenges and various levels of plant interference. The second nanobubble generator appears to be reducing the amount of interference at the Reclamation Facility (the Rec) but hasn't eliminated it. The next step will include installing a peracetic acid dosing skid at the Rec. The pump skid has been onsite since October 7, 2022, and a new pump was installed on November 11, 2022. The Rec had been offline since the rain event, but was restarted on November 16, 2022.

The Influent Pump Station Rehabilitation project submittal and procurement process is coming to an end. The construction of the project may start the first phase with the knife gate replacement on the effluent side of the pump station in the first week of January, 2023.

Maintenance staff will be working on repairing the heat loop leaks and air valves at the lift station, and performing general plant maintenance.

PUBLIC EDUCATION AND OUTREACH

After meeting with the Public Outreach and Education Committee for feedback the District sent out a Fall mailer in place of a newsletter focused on what not to throw down drains, specifically Fats, Oils and Grease (FOG) and medications. The Thanksgiving holiday is always a good time to remind the public that pouring grease and other food fats down the sink is a bad idea. The mailer was delivered on November 15, 2022.

3. GENERAL AND ADMINISTRATIVE ITEMS

Financial Report

The District account balances as of November 21, 2022 shown below are approximations to the nearest dollar and indicate the overall funds available to the District at this time.

Operating Checking Accounts:	\$ 754,363
Investment Accounts:	\$ 32,541,889
Total District Funds:	\$ 33,296,251

The following transactions are reported herein for the period 11/08/22 – 11/21/22

Regular, Overtime, Cash-outs and Net Payroll:	\$	161,754
Claims:	\$	508,238
Total Expenditures:	\$	669,992
Total Deposits:	\$	3,160

Transfers of funds:

LAIF to Community West Bank Operational (CWB):	\$	- 0 -
CWB Operational to CWB Money Market:	\$	- 0 -
CWB Money Market to CWB Operational:	\$	800,000

The District's investments comply with the District's Investment Policy adopted per Resolution No. 16-606. The District has adequate funds to meet the next six months of normal operating expenses.

Local Agency Investment Fund (LAIF)

LAIF Monthly Statement – Previously submitted.

LAIF Quarterly Report – Previously submitted.

PMIA/LAIF Performance – October, 2022

PMIA Effective Yield – October, 2022

Community West Bank (CWB)

CWB Money Market Account – Previously submitted.

Deferred Compensation Accounts

CalPERS 457 Deferred Compensation Plan – October, 2022

Lincoln 457 Deferred Compensation Plan – Previously submitted.

Personnel

A verbal update will be provided at the meeting.



POOLED MONEY INVESTMENT ACCOUNT

PMIA Average Monthly Effective Yields

11/15/22

Max: 12.844

Min: 0.203

YEAR	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1982	11.683	12.044	11.835	11.773	12.270	11.994	12.235	11.909	11.151	11.111	10.704	10.401
1983	10.251	9.887	9.688	9.868	9.527	9.600	9.879	10.076	10.202	10.182	10.164	10.227
1984	10.312	10.280	10.382	10.594	10.843	11.119	11.355	11.557	11.597	11.681	11.474	11.024
1985	10.579	10.289	10.118	10.025	10.180	9.743	9.656	9.417	9.572	9.482	9.488	9.371
1986	9.252	9.090	8.958	8.621	8.369	8.225	8.141	7.844	7.512	7.586	7.432	7.439
1987	7.365	7.157	7.205	7.044	7.294	7.289	7.464	7.562	7.712	7.825	8.121	8.071
1988	8.078	8.050	7.945	7.940	7.815	7.929	8.089	8.245	8.341	8.397	8.467	8.563
1989	8.698	8.770	8.870	8.992	9.227	9.204	9.056	8.833	8.801	8.771	8.685	8.645
1990	8.571	8.538	8.506	8.497	8.531	8.538	8.517	8.382	8.333	8.321	8.269	8.279
1991	8.164	8.002	7.775	7.666	7.374	7.169	7.098	7.072	6.859	6.719	6.591	6.318
1992	6.122	5.863	5.680	5.692	5.379	5.323	5.235	4.958	4.760	4.730	4.659	4.647
1993	4.678	4.649	4.624	4.605	4.427	4.554	4.438	4.472	4.430	4.380	4.365	4.384
1994	4.359	4.176	4.248	4.333	4.434	4.623	4.823	4.989	5.106	5.243	5.380	5.528
1995	5.612	5.779	5.934	5.960	6.008	5.997	5.972	5.910	5.832	5.784	5.805	5.748
1996	5.698	5.643	5.557	5.538	5.502	5.548	5.587	5.566	5.601	5.601	5.599	5.574
1997	5.583	5.575	5.580	5.612	5.634	5.667	5.679	5.690	5.707	5.705	5.715	5.744
1998	5.742	5.720	5.680	5.672	5.673	5.671	5.652	5.652	5.639	5.557	5.492	5.374
1999	5.265	5.210	5.136	5.119	5.086	5.095	5.178	5.225	5.274	5.391	5.484	5.639
2000	5.760	5.824	5.851	6.014	6.190	6.349	6.443	6.505	6.502	6.517	6.538	6.535
2001	6.372	6.169	5.976	5.760	5.328	4.958	4.635	4.502	4.288	3.785	3.526	3.261
2002	3.068	2.967	2.861	2.845	2.740	2.687	2.714	2.594	2.604	2.487	2.301	2.201
2003	2.103	1.945	1.904	1.858	1.769	1.697	1.653	1.632	1.635	1.596	1.572	1.545
2004	1.528	1.440	1.474	1.445	1.426	1.469	1.604	1.672	1.771	1.890	2.003	2.134
2005	2.264	2.368	2.542	2.724	2.856	2.967	3.083	3.179	3.324	3.458	3.636	3.808
2006	3.955	4.043	4.142	4.305	4.563	4.700	4.849	4.946	5.023	5.098	5.125	5.129
2007	5.156	5.181	5.214	5.222	5.248	5.250	5.255	5.253	5.231	5.137	4.962	4.801
2008	4.620	4.161	3.777	3.400	3.072	2.894	2.787	2.779	2.774	2.709	2.568	2.353
2009	2.046	1.869	1.822	1.607	1.530	1.377	1.035	0.925	0.750	0.646	0.611	0.569
2010	0.558	0.577	0.547	0.588	0.560	0.528	0.531	0.513	0.500	0.480	0.454	0.462
2011	0.538	0.512	0.500	0.588	0.413	0.448	0.381	0.408	0.378	0.385	0.401	0.382
2012	0.385	0.389	0.383	0.367	0.363	0.358	0.363	0.377	0.348	0.340	0.324	0.326
2013	0.300	0.286	0.285	0.264	0.245	0.244	0.267	0.271	0.257	0.266	0.263	0.264
2014	0.244	0.236	0.236	0.233	0.228	0.228	0.244	0.260	0.246	0.261	0.261	0.267
2015	0.262	0.266	0.278	0.283	0.290	0.299	0.320	0.330	0.337	0.357	0.374	0.400
2016	0.446	0.467	0.506	0.525	0.552	0.576	0.588	0.614	0.634	0.654	0.678	0.719
2017	0.751	0.777	0.821	0.884	0.925	0.978	1.051	1.084	1.111	1.143	1.172	1.239
2018	1.350	1.412	1.524	1.661	1.755	1.854	1.944	1.998	2.063	2.144	2.208	2.291
2019	2.355	2.392	2.436	2.445	2.449	2.428	2.379	2.341	2.280	2.190	2.103	2.043
2020	1.967	1.912	1.787	1.648	1.363	1.217	0.920	0.784	0.685	0.620	0.576	0.540
2021	0.458	0.407	0.357	0.339	0.315	0.262	0.221	0.221	0.206	0.203	0.203	0.212
2022	0.234	0.278	0.365	0.523	0.684	0.861	1.090	1.276	1.513	1.772		



PMIA/LAIF Performance Report as of 11/16/22



PMIA Average Monthly Effective Yields⁽¹⁾

October	1.772
September	1.513
August	1.276

Quarterly Performance Quarter Ended 09/30/22

LAIF Apportionment Rate ⁽²⁾ :	1.35
LAIF Earnings Ratio ⁽²⁾ :	0.00003699565555327
LAIF Fair Value Factor ⁽¹⁾ :	0.980760962
PMIA Daily ⁽¹⁾ :	1.63%
PMIA Quarter to Date ⁽¹⁾ :	1.29%
PMIA Average Life ⁽¹⁾ :	304

Pooled Money Investment Account Monthly Portfolio Composition ⁽¹⁾ 10/31/22 \$215.3 billion

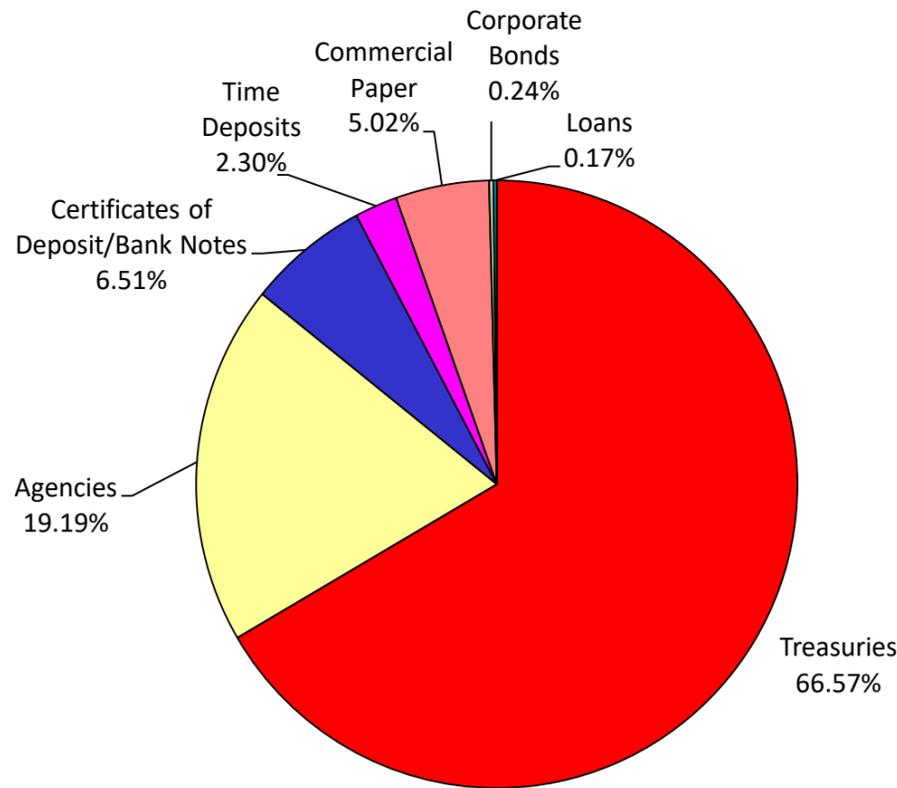


Chart does not include \$3,715,000.00 in mortgages, which equates to 0.002%. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

⁽¹⁾ State of California, Office of the Treasurer

⁽²⁾ State of California, Office of the Controller

CalPERS 457 Plan

October 31, 2022

This document includes important information to help you compare the investment options under your retirement plan. If you want additional information about your investment options, you can go to <https://calpers.voya.com>.

A free paper copy of the information available on the website can be obtained by contacting:

Voya Financial
Attn: CalPERS 457 Plan
P.O. Box 389
Hartford, CT 06141
(800) 260-0659

Document Summary

This document has two parts. Part I consists of performance information for the plan investment options. This part shows you how well the investments have performed in the past. Part I also shows the total annual operating expenses of each investment option.

Part II provides additional information concerning Plan administrative fees that may be charged to your individual account.

CalPERS 457 PLAN

Part I. Performance Information For Periods Ended October 31, 2022

<https://calpers.voya.com>

Table 1 focuses on the performance of investment options that do not have a fixed or stated rate of return. Table 1 shows how these options have performed over time and allows you to compare them with an appropriate benchmark for the same time periods¹. Past performance does not guarantee how the investment option will perform in the future. Your investment in these options could lose money. Information about an investment option's principal risks is available on the website listed above.

Table 1 also shows the Total Annual Operating Expenses of each investment option. Total Annual Operating Expenses are expenses that reduce the rate of return of the investment option². The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the U.S. Department of Labor's website for an example showing the long-term fees and expenses at <http://www.dol.gov/ebsa>. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals.

Table 1 - Variable Net Return Investments

Name of Fund / Name of Benchmark	Performance		Annualized Performance				Total Annual Operating Expenses ³	
	3 Month	1 Year	5 Years	10 Years	Since Inception	Inception Date	As a %	Per \$1000
Equity Funds								
State Street Russell All Cap Index Fund - Class I <i>Russell 3000 Index</i>	-5.61	-16.66	9.52	-	10.75	10/07/13	0.25%	\$2.50
State Street Global All Cap Equity ex-US Index Fund - Class I <i>MSCI ACWI ex-USA IMI Index (net)</i>	-10.71	-25.14	-0.67	-	1.88	10/07/13	0.26%	\$2.60
	-10.32	-25.17	-0.56	-	2.06			
Fixed Income								
State Street US ShortTerm Gov't/Credit Bond Index Fund - Class I <i>Bloomberg US 1-3 yr Gov't/Credit Bond Index</i>	-2.20	-5.18	0.29	-	0.35	10/07/13	0.26%	\$2.60
State Street US Bond Fund Index - Class I <i>Bloomberg US Aggregate Bond Index</i>	-8.29	-15.90	-0.84	-	0.74	10/07/13	0.25%	\$2.50
	-8.23	-15.68	-0.54	-	1.03			
Real Assets								
State Street Real Asset Fund - Class A <i>State Street Custom Benchmark⁴</i>	-4.93	1.36	6.04	-	3.46	10/08/13	0.38%	\$3.80
	-4.74	1.72	6.34	-	3.82			
Cash (Cash Equivalents)								
State Street STIF <i>BofA ML 3-month US T-Bill</i>	0.65	0.84	1.01	-	0.66	09/02/14	0.27%	\$2.70
	0.57	0.78	1.16	-	0.84			
Target Retirement Date Funds⁵								
CalPERS Target Income Fund <i>SIP Income Policy Benchmark⁶</i>	-7.37	-15.32	1.71	2.60	4.22	12/01/08	0.26%	\$2.60
	-7.27	-15.16	1.91	2.82	4.71			
CalPERS Target Retirement 2020 <i>SIP 2020 Policy Benchmark⁶</i>	-7.52	-16.10	2.33	3.75	5.83	12/01/08	0.26%	\$2.60
	-7.39	-15.96	2.51	3.97	6.31			
CalPERS Target Retirement 2025 <i>SIP 2025 Policy Benchmark⁶</i>	-7.56	-16.97	2.94	4.65	6.62	12/01/08	0.26%	\$2.60
	-7.41	-16.84	3.12	4.85	7.08			
CalPERS Target Retirement 2030 <i>SIP 2030 Policy Benchmark⁶</i>	-7.67	-17.75	3.36	5.36	7.38	12/01/08	0.26%	\$2.60
	-7.50	-17.63	3.60	5.58	7.84			
CalPERS Target Retirement 2035 <i>SIP 2035 Policy Benchmark⁶</i>	-7.65	-18.63	3.86	6.08	8.03	12/01/08	0.26%	\$2.60
	-7.45	-18.53	4.08	6.29	8.54			
CalPERS Target Retirement 2040 <i>SIP 2040 Policy Benchmark⁶</i>	-7.70	-19.39	4.30	6.68	8.50	12/01/08	0.26%	\$2.60
	-7.47	-19.31	4.53	6.89	8.98			
CalPERS Target Retirement 2045 <i>SIP 2045 Policy Benchmark⁶</i>	-7.57	-19.28	4.49	6.98	8.68	12/01/08	0.26%	\$2.60
	-7.35	-19.20	4.71	7.19	9.20			
CalPERS Target Retirement 2050 <i>SIP 2050 Policy Benchmark⁶</i>	-7.57	-19.28	4.48	6.98	8.76	12/01/08	0.26%	\$2.60
	-7.35	-19.20	4.71	7.19	9.20			
CalPERS Target Retirement 2055 <i>SIP 2055 Policy Benchmark⁶</i>	-7.57	-19.28	4.49	-	5.61	10/07/13	0.26%	\$2.60
	-7.35	-19.20	4.71	-	5.92			
CalPERS Target Retirement 2060 <i>SIP 2060 Policy Benchmark⁶</i>	-7.57	-19.28	-	-	6.29	11/01/18	0.26%	\$2.60
	-7.35	-19.20	-	-	6.51			
CalPERS Target Retirement 2065 <i>SIP 2065 Policy Benchmark⁶</i>	-	-	-	-	-	-	0.26%	\$2.60
	-	-	-	-	-	-		
Broad-Based Benchmarks⁷								
<i>Russell 3000 Index</i>	-5.50	-16.52	9.87	12.46	-	-	-	-
<i>MSCI ACWI ex-USA IMI Index (net)</i>	-10.32	-25.17	-0.56	3.46	-	-	-	-
<i>Bloomberg US Aggregate Bond Index</i>	-8.23	-15.68	-0.54	0.74	-	-	-	-

Part II. Explanation of CalPERS 457 Plan Expenses October 31, 2022

<https://calpers.voya.com>

Table 2 provides information concerning Plan administrative fees and expenses that may be charged to your individual account if you take advantage of certain features of the Plan. In addition to the fees and expenses described in Table 2 below, some of the Plan's administrative expenses are paid from the Total Annual Operating Expenses of the Plan's investment options.

Table 2 - Fees and Expenses				
Individual Expenses ⁸				
Service	Fee Amount	Frequency	Who do you pay this fee to?	Description
Loan Origination Fee	\$50	Per loan application	Voya	The charge covers the processing of your loan and applies each time you request a loan from your retirement account. This fee is deducted from your Plan account.
Maintenance Fee (For loans taken on or after April 1, 2020)	\$35 (\$8.75 assessed quarterly)	Annual	Voya	The charge covers the maintenance costs of your loan and applies on a quarterly basis. This fee is deducted from your Plan account.
Self-Managed Account (SMA) Maintenance Fee	\$50	Annual fee deducted monthly on a pro-rata basis	Voya	Schwab Personal Choice Retirement Account is available to you if your Employer has elected it as an option. This fee is deducted pro rata on a monthly basis from your core fund investments ⁹ in your CalPERS 457 account. For more information about SMAs, including a complete list of fees charged by Schwab for different types of investment transactions, please contact Schwab at (888) 393-PCRA (7272). Fees may also be incurred as a result of actual brokerage account trades. Before purchasing or selling any investment through the SMA, you should contact Schwab at (888) 393-PCRA (7272) to inquire about any fees, including any undisclosed fees, associated with the purchase or sale of such investment.
Self-Managed Account (SMA) Plan Administrative Fee	0.23% (\$2.30 per \$1,000)	Annual fee deducted monthly on a pro-rata basis	Voya	The SMA Plan Administrative fee pays for recordkeeping costs for assets in your SMA account. This fee is deducted pro rata on a monthly basis from your core fund investments in your CalPERS 457 account. The SMA Plan Administrative Fee is subject to change based on total Plan assets.

Footnotes for Table 1 and Table 2:

¹ Fund returns shown are net of investment management and administrative expenses and fees unless otherwise noted. Benchmark performance returns do not reflect any management fees, transaction costs or expenses. Benchmarks are unmanaged. You cannot invest directly in a benchmark.

² Historical annual operating expenses are not available. Reported annual operating expenses are estimated based on SSGA investment management, Voya recordkeeping, and SSGA capped operating expenses.

³ Total annual operating expenses are comprised of investment management and administrative expenses and fees incurred by the funds.

⁴ State Street Real Asset Fund has a custom benchmark comprised of 25% Bloomberg Roll Select Commodity Index, 25% S&P® Global Large MidCap Commodity and Resources Index, 10% Dow Jones US Select REIT Index, 20% Bloomberg US Government Inflation-Linked 1-10 Year Bond Index, and 20% S&P® Global Infrastructure Index.

⁵ If the ending market value (EMV) falls to zero in any one month, the inception date resets to the next month with an EMV. Performance is then calculated from the new inception date.

⁶ The benchmark for each Target Retirement Date Fund is a composite of asset class benchmarks that are weighted according to each Fund's policy target weights. The asset class benchmarks are Russell 3000 Index, MSCI ACWI ex-USA IMI Index (net), Bloomberg US Aggregate Bond Index, the SSGA customized benchmark for Real Assets (see footnote 4), and BofA ML 3-month US T-Bill.

⁷ Broad-based benchmarks grouped here provide comparative performance standards for domestic equity, international equity and fixed income.

⁸ The CalPERS Board of Administration periodically reviews the plan administrative fees and adjusts fees to reflect expenses incurred by the Plan. Participant fees are charged to reimburse CalPERS for actual administrative fees of the Plan.

⁹ Core fund investments are listed in Table 1 above the Target Retirement Date funds. Core funds include: State Street Russell All Cap Index Fund (Class I), State Street Global All Cap Equity ex-US Index Fund (Class I), State Street US Short Term Government/Credit Bond Index Fund (Class I), State Street US Bond Fund Index (Class I), State Street Real Asset Fund (Class A), and State Street Short Term Investment Fund ("STIF").

**DISTRICT
CORRESPONDENCE**
Board Meeting of November 21, 2022



- Date:** **Correspondence Sent To:**
1. 11/03/2022 Jeff Rainbolt
Goleta Valley Cottage Hospital
Subject: 2023 Industrial User Discharge Permit B-325-25 Renewal
Application & Invoice
Letters Also Sent to 31 Additional Industrial Users of Discharge Permit
Renewal Applications & Invoices.

 2. 11/07/2022 Michael Holliday
DMHA Architecture + Interior Design
Subject: Sewer Service Availability
Proposed Sewer Service Connection for replacement of existing
structures at the Santa Barbara Humane Society
A.P.N. 071-220-036 at 5399 Overpass Road, Santa Barbara, CA

 3. 11/08/2022 Laura & Dustin Putnam
Subject: Proposed Annexation and Sewer Service Connection for One
Existing Single-Family Residence
A.P.N. 055-111-010 at 3860 Foothill Road, Santa Barbara, CA

 4. 11/14/2022 California Regional Water Quality Control Board
Central Coast Region
Attn: Monitoring and Reporting Review Section
Subject: Monthly Monitoring and Reporting – October 2022

 5. 11/14/2022 Mundo Diaz-Rios
Subject: Sewer Service Availability
Proposed Annexation and Connection of One Single Family Residence
A.P.N. 059-440-008 at 1330 San Antonio Creek Road, Santa Barbara, CA

- Date:** **Correspondence Received From:**
1. 11/07/2022 California Water Boards
Central Coast Regional Water Quality Control Board
Subject: Transmittal Of Climate Change Readiness Assessment For
Municipal Wastewater Treatment Facilities In The Central Coast Region

Hard Copies of the Correspondence are available at the District's Office for review