

AGENDA

REMOTE MEETING NOTICE

To address concerns relating to COVID-19, this meeting will be accessible by remote video conferencing. The public may participate in this meeting remotely via zoom as set forth below.

INSTRUCTIONS FOR USING ZOOM

- Join the meeting using the link below.
- You must have audio and microphone capabilities on the device you are using to join the meeting.
- When you join the meeting make sure that you join the meeting with audio and follow the prompts to test your speaker & microphone prior to joining the meeting.

TO SPEAK ON AN ITEM USING ZOOM

- The Board President will call the item and staff will begin the staff report.
- Click on the Raise Hand icon if you would like to speak on the item.
- Your name will be called on when it's your turn to speak.
- When your name is called, you will be prompted to unmute yourself.
- When your time is up, you will be muted.
- You will repeat this process for each item you want to speak on.

FOR OPEN SESSION PARTICIPATION

Join Meeting Electronically at:

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Meeting ID: 891 2834 6369

Passcode: 356150

A G E N D A
SPECIAL MEETING OF THE GOVERNING BOARD
OF THE GOLETA SANITARY DISTRICT
A PUBLIC AGENCY

One William Moffett Place
Goleta, California 93117

September 7, 2022

CALL TO ORDER: 2:00 p.m.

ROLL CALL OF MEMBERS

BOARD MEMBERS: Steven T. Majoewsky
George W. Emerson
Sharon Rose
Edward Fuller
Jerry D. Smith

CONSIDERATION OF THE MINUTES OF THE BOARD MEETING

The Board will consider approval of the Minutes of the Special Meeting of August 17, 2022.

PUBLIC COMMENTS - Members of the public may address the Board on items within the jurisdiction of the Board.

POSTING OF AGENDA – The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District’s web site 24 hours in advance of the meeting.

BUSINESS:

1. BIENNIAL REVIEW OF DISTRICT’S CONFLICT OF INTEREST CODE
(Board may take action on this item.)
2. CONSIDERATION AND APPROVAL OF AMENDMENT TO GENERAL
MANAGER’S EMPLOYMENT AGREEMENT
(Board may take action on this item.)
3. STATUS REPORT ON BIOSOLIDS AND ENERGY STRATEGIC PLAN
IMPLEMENTATION EFFORTS AND COORDINATION WITH GOLETA WEST
SANITARY DISTRICT
4. GENERAL MANAGER’S REPORT

5. LEGAL COUNSEL'S REPORT
6. COMMITTEE/DIRECTOR'S REPORTS AND APPROVAL/RATIFICATION OF DIRECTOR'S ACTIVITIES
7. PRESIDENT'S REPORT
8. ITEMS FOR FUTURE MEETINGS
9. CORRESPONDENCE
(The Board will consider correspondence received by and sent by the District since the last Board Meeting.)
10. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT
(The Board will be asked to ratify claims.)

ADJOURNMENT

Any public records which are distributed less than 24 hours prior to this meeting to all, or a majority of all, of the District's Board members in connection with any agenda item (other than closed sessions) will be available for public inspection at the time of such distribution at the District's office located at One William Moffett Place, Goleta, California 93117.

MINUTES

MINUTES
SPECIAL MEETING OF THE GOVERNING BOARD
GOLETA SANITARY DISTRICT
A PUBLIC AGENCY
DISTRICT OFFICE CONFERENCE ROOM
ONE WILLIAM MOFFETT PLACE
GOLETA, CALIFORNIA 93117

August 17, 2022

- CALL TO ORDER:** President Majoewsky called the meeting to order at 2:30 p.m.
- BOARD MEMBERS PRESENT:** Steven T. Majoewsky (via Zoom), George W. Emerson, Sharon Rose (via Zoom), Edward Fuller (via Zoom at 2:35 p.m.), Jerry D. Smith
- BOARD MEMBERS ABSENT:** None
- STAFF MEMBERS PRESENT:** Steve Wagner, General Manager/District Engineer, Rob Mangus, Finance and Human Resources Manager/Board Secretary and Richard Battles, Legal Counsel from Howell Moore & Gough LLP.
- OTHERS PRESENT:** Tom Evans, Director, Goleta Water District
Brian McCarthy, General Manager, Goleta West Sanitary District
- APPROVAL OF MINUTES:** Director Smith made a motion, seconded by Director Emerson, to approve the minutes of the Regular Board meeting of 08/01/22, to approve the minutes of the Special Board meeting of 08/04/22, and to approve the minutes of the Special Board meeting of 08/08/22. The motion carried by the following vote:
- (22/08/2255)
- | | | |
|----------|---|---------------------------------|
| AYES: | 4 | Majoewsky, Emerson, Rose, Smith |
| NOES: | | None |
| ABSENT: | 1 | Fuller |
| ABSTAIN: | | None |
- POSTING OF AGENDA:** The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District's website 24 hours in advance of the meeting.
- PUBLIC COMMENTS:** None

BUSINESS:

1. CONSIDERATION OF PROPOSED ANNEXATION OF 399 ARBOLEDA ROAD APN 061-210-004

Mr. Wagner gave the staff report.

Director Rose made a motion, seconded by Director Fuller to authorize the General Manager to inform Santa Barbara LAFCO that the District does not object to the proposed annexation of 399 Arboleda Road, APN 061-210-004.

The motion carried by the following vote:

(22/08/2256)

AYES:	5	Majoewsky, Emerson, Rose, Fuller, Smith
NOES:		None
ABSENT:		None
ABSTAIN:		None

2. CONSIDERATION AND ADOPTION OF RESOLUTION NO. 22-692 AMENDING POLICY #306 OF THE HUMAN RESOURCES PROCEDURE AND POLICY MANUAL REGARDING CERTIFICATIONS AND LICENSES

Mr. Wagner gave the staff report.

Director Smith made a motion, seconded by Director Emerson to approve and adopt Resolution No. 22-692 amending Policy #306 of the Human Resources Procedure and Policy Manual regarding Certifications and Licenses.

The motion carried by the following vote:

(22/08/2257)

AYES:	5	Majoewsky, Emerson, Rose, Fuller, Smith
NOES:		None
ABSENT:		None
ABSTAIN:		None

3. CONSIDERATION OF MOLEAER NANOBUBBLE GENERATOR LEASE OF

Mr. Wagner gave the staff report.

Director Rose made a motion, seconded by Director Fuller to authorize the General Manager to execute the revised two-year lease agreement with Moleaer, Inc.

The motion carried by the following vote:

(22/08/2258)

AYES: 5 Majoewsky, Emerson, Rose, Fuller, Smith
NOES: None
ABSENT: None
ABSTAIN: None

4. GENERAL MANAGER'S REPORT

Mr. Wagner gave the report.

6. LEGAL COUNSEL'S REPORT

Mr. Battles reported on the completed item from the August 8, 2022 Special Meeting regarding the finalized agreement with Goleta West Sanitary District for participation in the Biosolids & Energy Strategic Plan Phase 1 project.

7. COMMITTEE/DIRECTORS' REPORTS AND APPROVAL/RATIFICATION OF DIRECTORS' ACTIVITIES

Director Smith – Reported on the Personnel Committee meeting he attended.

Director Fuller – Reported on the CASA Conference he attended.

Director Emerson – No report.

Director Rose – No report.

8. PRESIDENT'S REPORT

President Majoewsky - No report.

9. ITEMS FOR FUTURE MEETINGS

No Board action was taken to return with an item.

10. CORRESPONDENCE

The Board reviewed and discussed the list of correspondence to and from the District in the agenda.

11. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT

Director Smith made a motion, seconded by Director Emerson, to ratify and approve the claims, for the period 08/02/2022 to 08/17/2022 as follows:

Special Meeting Minutes

August 17, 2022

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Running Expense Fund #4640	\$	462,943.79
Capital Reserve Fund #4650	\$	12,367.34
Depreciation Replacement Reserve Fund #4655	\$	24,316.92

The motion carried by the following vote:

(22/08/2259)

AYES:	5	Majoewsky, Emerson, Rose, Fuller, Smith
NOES:		None
ABSENT:		None
ABSTAIN:		None

ADJOURNMENT

There being no further business, the meeting was adjourned at 3:35 p.m.

Steven T. Majoewsky
Governing Board President

Steve D. Wagner
Governing Board Secretary Pro Tem

George W. Emerson

Sharon Rose

Edward Fuller

Jerry D. Smith

AGENDA ITEM #1

AGENDA ITEM: 1

MEETING DATE: September 7, 2022

I. NATURE OF ITEM

Biennial Review of District's Conflict of Interest Code

II. BACKGROUND INFORMATION

The District adopted a Conflict of Interest Code (the Code) by Resolution No. 07-454 on May 7, 2007. The District last amended the Code by Resolution No.18-636 on August 6, 2018 to reclassify the position of Plant Superintendent to Plant Operations Manager on the list of Designated Employees. The Code incorporates by reference the applicable regulations of the Fair Political Practices Commission (FPPC). The Code also specifies disclosure categories and identifies the Designated Employees who are required to disclose financial interests by filing an annual Statement of Economic Interests (Form 700). Currently, the Code lists the following Designated Employees: (i) Directors, (ii) General Manager, (iii) Assistant General Manager, (iv) General Counsel, (v) Finance and Human Resources Manager/Board Secretary, and (iv) Plant Operations Manager.

Pursuant to Government Code Section 87306.5(a), no later than July 1 of each even-numbered year, the County of Santa Barbara is required to direct every local agency which has adopted a Conflict-of-Interest Code to review its Code and, if a change in its Code is necessitated by changed circumstances, to submit an amended Conflict of Interest Code to the County. If the local agency determines that no changes are required, the local agency head is required to submit a written statement to that effect to the County no later than October 1 of the same year.

The District's General Manager and legal counsel have reviewed the District's current Conflict of Interest Code and have determined that no changes are required at this time because (i) the Code incorporates by reference the applicable FPPC regulations and is therefore automatically updated each time the regulations change, and (ii) there have not been any changed circumstances, such as the creation of new Designated Employee positions, changes in the duties assigned to existing Designated Employee positions, or changes in the District's operations, that require revisions to be made to the Code.

III. COMMENTS AND RECOMMENDATIONS

It is recommended that the Governing Board (i) determine that no changes to the District's Conflict of Interest Code are required, and (ii) authorize and direct the General Manager to submit a written statement to the County (2022 Local Agency Biennial Notice) prior to October 1, 2022 to advise the County of that determination.

IV. REFERENCE MATERIALS

Resolution No. 07-454

Resolution No. 18-636

Notice from County of Santa Barbara dated July 28, 2022

2022 Local Agency Biennial Notice

Biennial Notice Instructions for Local Agencies

RESOLUTION NO. 07-454

RESOLUTION OF THE GOVERNING BOARD OF
THE GOLETA SANITARY DISTRICT ADOPTING
REVISED CONFLICT OF INTEREST CODE

WHEREAS, pursuant to the Political Reform Act (California Government Code §§ 81000 et seq.) and the regulations thereunder, the Goleta Sanitary District (the "District") adopted an amended Conflict of Interest Code on March 2, 1993 by passing Resolution No. 93-261 and adopted a revised Conflict of Interest Code on September 7, 2006 by passing Resolution No. 06-446 (the "Existing Code"); and

WHEREAS, the Governing Board of the District deems it to be in the District's best interests to adopt a new Conflict of Interest Code to update and supersede the District's Existing Code.

NOW, THEREFORE, BE IT RESOLVED THAT:

1. The Governing Board of the District hereby adopts the attached Conflict of Interest Code as the Conflict of Interest Code for the District. The attached Conflict of Interest Code shall supercede and replace the Existing Code.
2. The General Manager of the District is hereby authorized and directed to submit a certified copy of the attached Conflict of Interest Code to the Santa Barbara County Board of Supervisors for approval.
3. The Conflict of Interest Code shall take effect immediately following approval by the Santa Barbara County Board of Supervisors.

PASSED AND ADOPTED this 7th day of May, 2007, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES: Emerson, Trantow, Fox, Carter, Majoewsky

NOES: None

ABSENT: None

ABSTAIN: None

ATTEST:
COPY
Kamil S. Azoury, Board Secretary

COPY

George W. Emerson, Board President

CERTIFICATION MADE UNDER PENALTY OF PERJURY (C.C.P. 2015.5)
COUNTY OF SANTA BARBARA

I certify (or declare) under penalty of perjury that the foregoing is a full, true and correct copy of the Resolution duly adopted by the Governing Board of the Goleta Sanitary District on May 7, 2007.

Dated: May 8, 2007

COPY
Kamil S. Azoury, Board Secretary

**GOLETA SANITARY DISTRICT
CONFLICT OF INTEREST CODE
Adopted May 7, 2007**

The Political Reform Act, Government Code sections 81000 et seq., requires state and local governmental agencies to promulgate and adopt conflict of interest codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations section 18730, which contains the terms of a standard conflict of interest code. Section 18730 can be adopted by governmental agencies through incorporation by reference and may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings.

The terms of 2 California Code of Regulations section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. The Conflict of Interest Code of the Goleta Sanitary District (the "District") is hereby amended and is hereafter comprised of (i) the terms of 2 California Code of Regulations section 18730, together with any amendments to it duly adopted by the Fair Political Practices Commission, (ii) the attached Appendix A (Designated Employees), and (iii) the attached Appendix B (Disclosure Categories).

Designated Employees shall annually file statements of economic interests with the District. Upon receipt of these statements, the District shall make and retain a copy and forward the original of each statement to the Elections Division of the Santa Barbara County Clerk-Recorder.

Appendix A
GOLETA SANITARY DISTRICT
DESIGNATED EMPLOYEES

It has been determined that the persons occupying the designated positions (“Designated Employees”) listed below make or participate in the making of decisions which may foreseeably have a material effect on financial interests. Designated Employees must disclose financial interests in those categories described in *Appendix B*, which are listed opposite the respective Designated Employees.

<u>Designated Employees</u>	<u>Disclosure Categories</u>
Directors	1-4
General Manager	1-4
Board Secretary	1-4
General Counsel	1-4

Appendix B
GOLETA SANITARY DISTRICT
DISCLOSURE CATEGORIES

CATEGORY 1

Any real property in which the Designated Employee has a direct or indirect interest, but only if the real property is located in whole or in part within the jurisdiction of the Goleta Sanitary District. Real property interests include any leasehold, beneficial or ownership interest or option to acquire such interest in real property, if the fair market value of the interest is \$2,000.00 or more.

CATEGORY 2

Business positions or direct or indirect investments in or income from persons or business entities engaged in the appraisal, acquisition, or disposal of real property within the jurisdiction of the Goleta Sanitary District.

CATEGORY 3

Business positions or direct or indirect investments in business entities and income from any source or sources of income, if:

- (a) The business entities or the source or sources of income are of the type which, within the previous two years, have provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide services, supplies, materials, machinery or equipment to or for the use of the Goleta Sanitary District; and
- (b) Within the previous two years, the Designated Employee has made, participated in making, or in any way has attempted to use his or her official

position to influence the governmental decision to obtain or procure services, supplies, materials, machinery or equipment of the same or a similar type as those so provided or contracted to be provided to the Goleta Sanitary District or if the duties of the Designated Employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 4

Business positions or direct or indirect investments in business entities and income from any source or sources of income, if:

- (a) The business entities or the source or sources of income are of the type which, within the previous two years, have furnished or contracted to furnish, or in the future with reasonable foreseeability might furnish or contract to furnish services, supplies, materials, machinery or equipment as a subcontractor in any contract with the Goleta Sanitary District; and
- (b) Within the previous two years, the Designated Employee has made, participated in making, or in any way has attempted to use his or her official position to influence the governmental decision to obtain or procure services, supplies, material, machinery or equipment of the same or a similar type as those so provided or contracted to be provided to the Goleta Sanitary District or if the duties of the Designated Employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 5

Business positions or direct or indirect investments in business entities and income from any source or sources of income, if:

- (a) The business entities or the source or sources of income are of the type which are subject to the regulation or supervision of the Goleta Sanitary District; and
- (b) The Designated Employee's duties involve the supervision or regulation (including, but not limited to, the issuance of permits) of that type of business entity or source of income.

* * * * *

For purposes of the foregoing Disclosure Categories 1 through 5:

- (a) "Indirect investment" or "indirect interest" includes any investment or interest with a fair market value of \$2,000.00 or more that is owned by the spouse or dependent child of a Designated Employee, by an agent on behalf of a Designated Employee, or by a business entity or trust in which the Designated Employee, the Designated Employee's agents, spouse, and dependent children own directly, indirectly, or beneficially a ten percent (10%) interest or greater.
- (b) "Sources of income" shall include gifts, but shall exclude loans from commercial lending institutions made in the regular course of business on terms which are available to the public without regard to official status.

RESOLUTION NO. 18-636

**RESOLUTION OF THE GOVERNING BOARD OF
THE GOLETA SANITARY DISTRICT AMENDING
CONFLICT OF INTEREST CODE**

WHEREAS, pursuant to the Political Reform Act (California Government Code §§ 81000 et seq.) and the regulations thereunder, the Goleta Sanitary District (the "District") adopted an amended Conflict of Interest Code on May 7, 2007 by passing Resolution No. 07-454 (the "Conflict of Interest Code").

WHEREAS, on September 15, 2014 and August 4, 2016 the Governing Board of the District adopted resolutions amending the Conflict of Interest Code to revise the list of Designated Employees which is attached to the Conflict of Interest Code as Appendix A.

WHEREAS, the Governing Board of the District desires to further amend the Conflict of Interest Code to reclassify the position of Plant Superintendent to Plant Operations Manager on the list of Designated Employees which is attached to the Conflict of Interest Code as Appendix A.

NOW, THEREFORE, BE IT RESOLVED THAT:

1. The Conflict of Interest Code is hereby amended by deleting Appendix A attached thereto and replacing it with the Amended Appendix A attached to this Resolution.
2. The General Manager of the District is hereby authorized and directed to submit a certified copy of this Resolution amending the Conflict of Interest Code to the Santa Barbara County Board of Supervisors for approval.
3. This amendment to the Conflict of Interest Code shall take effect immediately following approval by the Santa Barbara County Board of Supervisors.


PASSED AND ADOPTED this 6th day of August, 2018, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES: Majoewsky, Emerson, Rose, Wageneck, Smith

NOES: None


ABSENT: None

ABSTAIN: None

COPY


Steven T. Majoewsky
President of the Governing Board

Countersigned

COPY


Robert O. Mangus, Jr.
Secretary of the Governing Board

Amended Appendix A
GOLETA SANITARY DISTRICT
DESIGNATED EMPLOYEES

It has been determined that the persons occupying the designated positions ("Designated Employees") listed below make or participate in the making of decisions which may foreseeably have a material effect on financial interests. Designated Employees must disclose financial interests in those categories described in *Appendix B*, which are listed opposite the respective Designated Employees.

<u>Designated Employees</u>	<u>Disclosure Categories</u>
Directors	1-4
General Manager	1-4
Assistant General Manager	1-4
General Counsel	1-4
Finance and Human Resources Manager/Board Secretary	1-4
Plant Operations Manager	1-4

CERTIFICATION MADE UNDER PENALTY OF PERJURY (C.C.P 2015.5)

COUNTY OF SANTA BARBARA

I certify (or declare) under penalty of perjury that the foregoing is a full, true and correct copy of the Resolution duly adopted by the Governing Board of the Goleta Sanitary District on August 6, 2018.

Dated: August 6, 2018

COPY

Robert O. Mangus, Jr.
Secretary of the Governing Board

Mona Miyasato
County Executive Officer

Jacquelyne Alexander
Chief Deputy
Clerk of the Board



105 East Anapamu Street, Suite 407
Santa Barbara, California 93101
(805) 568-2240 • FAX (805) 568-2249
www.countyofsb.org

CLERK OF THE BOARD OF SUPERVISORS

July 28, 2022

Rob Mangus
One William Moffett Pl.
Goleta, CA 93117



RE: 2022 Conflict of Interest Biennial Review Notice for Goleta Sanitary District

Dear Rob Mangus,

This is a biennial reminder pursuant to Government Code Section 87306.5. Each local agency is required to review their Conflict of Interest Code (the Code) beginning July 1st of each even-numbered year. It is essential and legally required that an agency's Conflict of Interest Code reflects the current structure of the agency and properly identifies all officials and employees who should be filing a Form 700.

Enclosed you will find the 2022 Biennial Notice form and instructions from the Fair Political Practices Commission (FPPC). Please take the time to carefully review your agency's current Code for completeness and accuracy and ensure your code includes each of the following three components:

- 1) Incorporation Section (Terms of the Code)** - The FPPC recommends that agencies incorporate FPPC Regulation 18730 by reference, which provides the rules for disqualification procedures, reporting financial interests, and references the current gift limit. This section also designates where Form 700s are filed and retained.

NOTE: Form 700s are filed with the **County Clerk, Recorder and Assessor**. Please confirm your code reflects the correct location for filing. The recommended language for inclusion in the Code is, as follows:

- *Designated employees may file their statements online using eDisclosure, which will submit the Form 700 to the County Clerk, Recorder and Assessor. Statements will be made available for public inspection and reproduction (Gov Code Section 81008). Your Department/Agency's filing official can give you access.*
- *Designated employees who file using a paper Form 700 shall file with the Code Agency. Upon receipt of the Statement filed by the designated employee, a copy shall be retained with the Code Agency and the original shall be forwarded to the County Clerk, Recorder and Assessor.*

- 2) **List of Designated Positions** - The Code must specifically list positions that make or participate in making decisions. Positions listed in Government Code Section 87200 (i.e. City Councilmembers, Planning Commissioners, Members of the Board of Supervisors, etc.) are not required to be included, because these positions automatically file Form 700s.
- 3) **Detailed Disclosure Categories** - A disclosure category is a description of the types of financial interests officials in one or more job classifications must disclose on their Form 700s. The categories must be tailored to the financial interests affected, and must not require public officials to disclose private financial information that does not relate to their public employment.

The FPPC offers workshops and webinars for employees who are tasked with creating or amending their agency's Code. Visit www.fppc.ca.gov for information including an online video on how to amend a local agency's Code.

Please return the 2022 Local Agency Biennial Notice to the Clerk of the Board of Supervisors by the deadline of October 3, 2022 regardless of whether the Code is being amended or not.

If a change is necessitated, the agency must also submit the following:

- (1) An amended Conflict of Interest Code in accordance with subdivision (a) of Section 87302 and Section 87303;
- (2) Agency minutes authorizing the amendment to the Code; and
- (3) A strikethrough/underscore version of the Code outlining all changes.

Agencies may submit their material directly to the County of Santa Barbara Clerk of the Board of Supervisors either by email to: Chelsea Lenzi at clenzi@countyofsb.org, or via mail to the following address:

Santa Barbara County
Clerk of the Board of Supervisors
Attn: Chelsea Lenzi
105 E. Anapamu Street, Room 407
Santa Barbara, CA 93101

Should you have questions, please do not hesitate to contact the Clerk of the Board at (805) 568-2242 or refer to the FPPC website at www.fppc.ca.gov.

Sincerely,



Chelsea Lenzi
Deputy Clerk of the Board

Enclosures:

2022 Biennial Notice
FPPC Local Agency Biennial Notice Instructions

2022 Local Agency Biennial Notice

Name of Agency: _____

Mailing Address: _____

Department Head or Director

Contact Person

Name: _____

Name: _____

Phone No: _____

Phone No: _____

Email: _____

Email: _____

Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. The biennial review examines current programs to ensure that the agency's code includes disclosure by those agency officials who make or participate in making governmental decisions.

This agency has reviewed its Conflict of Interest Code and has determined that *(Check one box)*:

No amendment is required.

The following amendments are required:

(Check all that apply.)

Add new positions (including consultants) that must be designated.

Delete titles of positions that have been abolished and/or positions that no longer make or participate in making governmental decisions.

Revise based on updates to disclosure categories

Revise the titles of existing positions.

Other *(describe)* _____

By signing below, you are attesting to the following:

To the best of my knowledge, the agency's code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure assigned to those positions accurately requires that all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions are reported. The code includes all other provisions required by Government Code Section 87302.

I have reviewed the Conflict of Interest Code requirements against the positions within my department and as indicated above, I have either determined the revised Conflict of Interest Code attached meets the filing requirements and I authorize the changes or that no amendment is required.

Signature of Department Head or Director

Date

The code is currently under review by the code reviewing body.

All agencies must complete and return this notice regardless of how recently your code was approved or amended. Please return this notice no later than October 3, 2022 to the following address:

Santa Barbara County
Clerk of the Board of Supervisors
Attn: Chelsea Lenzi
105 E. Anapamu St., Room 407
Santa Barbara, CA 93101

PLEASE DO NOT RETURN THIS FORM TO THE FPPC.

2022 Conflict of Interest Code Biennial Notice Instructions for Local Agencies

The Political Reform Act requires every local government agency to review its conflict of interest code biennially. A conflict of interest code tells public officials, governmental employees, and consultants what financial interests they must disclose on their Statement of Economic Interests (Form 700).

By July 1, 2022: The code reviewing body must notify agencies and special districts within its jurisdiction to review their conflict of interest codes.

By October 3, 2022: The biennial notice must be filed with the agency's code reviewing body.

The FPPC has prepared a 2022 Local Agency Biennial Notice form for local agencies to complete or send to agencies within its jurisdiction to complete before submitting to the code reviewing body. The City Council is the code reviewing body for city agencies. The County Board of Supervisors is the code reviewing body for county agencies and any other local government agency whose jurisdiction is determined to be solely within the county (e.g., school districts, including certain charter schools). The FPPC is the code reviewing body for any agency with jurisdiction in *more than one county* and will contact them.

The Local Agency Biennial Notice is not forwarded to the FPPC.

If amendments to an agency's conflict of interest code are necessary, the amended code must be forwarded to the code reviewing body for approval within 90 days. An agency's amended code is not effective until it has been approved by the code reviewing body.

If you answer yes, to any of the questions below, your agency's code probably needs to be amended.

- Is the current code more than five years old?
- Have there been any substantial changes to the agency's organizational structure since the last code was approved?
- Have any positions been eliminated or re-named since the last code was approved?
- Have any new positions been added since the last code was approved?
- Have there been any substantial changes in duties or responsibilities for any positions since the last code was approved?

If you have any questions or are still not sure if you should amend your agency's conflict of interest code, please contact the FPPC. Additional information including an online webinar regarding how to amend a conflict of interest code is available on [FPPC's website](#).

AGENDA ITEM #2

AGENDA ITEM: 2

MEETING DATE: September 7, 2022

I. NATURE OF ITEM

Consideration and Approval of Amendment to General Manager's Employment Agreement

II. BACKGROUND INFORMATION

The District's General Manager serves at the will of the Governing Board pursuant to an employment agreement dated July 1, 2015. The employment agreement was amended as of July 19, 2021, to address the General Manager's vacation time. Copies of the employment agreement and the prior amendment are included with this report.

The Governing Board typically reviews the General Manager's performance on an annual basis. Following the most recent performance review, the Board indicated that it wished to consider further amending the General Manager's employment agreement to include a performance incentive program similar to the program that is currently in place for the District's other employees. As such, an amendment to the General Manager's employment agreement has been prepared by the District's legal counsel and is included with this report for Board consideration.

III. COMMENTS AND RECOMMENDATIONS

The proposed amendment to the General Manager's employment agreement includes a performance incentive program as Exhibit "A". The program set forth in Exhibit "A" is modeled after the performance incentive program that applies to the District's other employees under Policy #308 of the District's Human Resources Procedure and Policy Manual. Policy #308 was developed to acknowledge and encourage employees who have reached the top of their position's salary range and are therefore not eligible for further merit increases but continue to demonstrate outstanding work habits and exemplary performance. To be eligible, employees must meet specific performance criteria, including but not limited to (i) attaining a majority of their annual goals, and (ii) receiving a prescribed minimum score on their annual performance review. Employees meeting the performance incentive criteria are able to earn a payment every other year in an amount ranging from 1% to 2% of their annual salary.

The Board may consider approval of the proposed amendment to the General Manager's employment agreement subject to such revisions as it deems appropriate. If approved, the performance incentive program would apply to the General Manager for the fiscal year ending June 30, 2023.

IV. REFERENCE MATERIALS

Employment Agreement dated July 1, 2015

Amendment One to Employment Agreement dated July 19, 2021

Draft Amendment Two to Employment Agreement

EMPLOYMENT AGREEMENT

THIS EMPLOYMENT AGREEMENT (this “Agreement”), dated for reference purposes as of July 1, 2015, is entered into by and between the **GOLETA SANITARY DISTRICT**, hereinafter referred to as “Employer,” and **STEVEN D. WAGNER**, hereinafter referred to as “Employee.” In consideration of the mutual promises made herein, Employer and Employee agree as follows:

1. **Engagement.** Employer agrees to employ Employee and Employee accepts such employment, commencing July 1, 2015, and continuing until terminated as provided herein. Employee and Employer agree that Employee is employed at-will subject to the terms of this Agreement, serves at the pleasure of Employer’s Governing Board and is not guaranteed employment for any fixed term. This means that, subject to Sections 6.3 and 8 below, either Employee or Employer may terminate the employment relationship at any time, with or without cause, and nothing in this Agreement is intended to create an employment relationship for a specified term.

2. **Duties of Employee.** Employee shall, during the term of employment hereunder, act as General Manager and District Engineer for Employer. In his capacity as such, Employee shall render and perform all services, acts or duties which are necessary, prudent or advisable for the management of the affairs of Employer, subject to, however, and in accordance with the statutes and laws of the State of California and of any other governmental authority to which Employer’s employees and agents may be subject. Employee shall also perform such duties and carry out such other acts and functions as Employer’s Governing Board shall, from time to time, direct. It is mutually agreed and understood that Employee is employed under this Agreement on a full-time basis in an exempt executive capacity as such term is used in the Fair Labor Standards Act and any applicable California laws, and that the primary duties of Employee hereunder consist of the management and direction of the operation and activities of Employer. During the term hereof, Employee shall not perform any service or act which would conflict with the operation or impair the integrity of Employer.

3. **Professional Activities.** In addition to the general duties set forth above, Employee may participate in professional activities (e.g., conferences, seminars, panels and membership in organizations, etc.), which are (i) relevant to the overall operations of Employer, and (ii) approved by Employer’s Governing Board. All expenses incurred in connection with such professional activities shall be paid in accordance with the policies adopted by Employer’s Governing Board and in effect from time to time. Attendance at such professional activities shall be considered as work time and not vacation time.

4. **Representations.** Employee represents that he is a United States citizen, has a Social Security number, and can present verification of his legal right to work in the United States if requested to do so by Employer.

5. Obligations of Employer.

5.1 General Description. Employer shall provide Employee with the compensation and benefits specified elsewhere in this Agreement.

5.2 Office and Staff. Employer shall provide Employee with secretarial assistance and other facilities and services suitable to Employee's position and adequate for the performance of his duties.

6. Compensation of Employee.

6.1 Annual Salary. As compensation for services to be performed hereunder, Employer shall pay Employee an annual salary of \$195,000.00, payable in equal bi-weekly installments, and prorated for any partial pay period worked. Employer's Governing Board shall review, at least annually, the compensation paid to Employee hereunder and, in accordance with such review, the Board may increase such compensation as it shall deem appropriate, based on the following considerations:

- a. the compensation paid for comparable employment by other governmental entities in California, similar in size and functions to Employer;
- b. the level of responsibility required by the position of Employee;
- c. the cumulative experience of Employee and his performance in carrying out his assigned duties; and
- d. Such other factors as the Board may then determine relevant or appropriate.

6.2 Cost of Living Adjustments. Whether or not Employer grants a discretionary increase to Employee's compensation pursuant to Section 6.1 above, there shall be an annual cost of living adjustment ("COLA") to Employee's compensation, which shall be at the same time, shall be in the same amount and shall be calculated in the same manner as the annual COLA for other employees of Employer. The annual COLA to Employee's compensation as provided in this Section 6.2 shall be in addition to and shall be separate from any compensation increase granted by Employer under Section 6.1 above, and shall be granted to Employee for any and each year in which Employer grants such COLA to other District employees.

6.3 Severance Pay. If Employee's employment hereunder is unilaterally terminated by Employer without cause pursuant to Section 8.b below, Employee shall be entitled to severance pay in an amount equal to six (6) months' salary. Said severance pay shall be in addition to any other amounts payable to Employee hereunder and shall be paid to the Employee within fifteen (15) days of the effective date of termination. The payment of the severance pay shall be contingent upon and shall be deferred until (i) Employer's receipt of a general release from Employee in a form acceptable to Employer, and (ii) the expiration of any

revocation period provided for in such release.

6.4 Tax Withholding. Employer shall have the right to deduct or withhold from the compensation due to Employee hereunder any and all then-requisite income tax withholdings and payroll taxes.

6.5 Reimbursement by Employee. Pursuant to Article 2.6 (commencing with Section 53243) of Chapter 2 of Part 1 of Division 2 of Title 5 of the Government Code, if Employee is convicted of a crime involving an abuse of Employee's office or position, Employee shall fully reimburse Employer for any (i) paid leave salary offered by Employer to Employee pending an investigation, (ii) funds for the legal criminal defense of Employee, and (iii) any cash settlement related to the termination of this Agreement. For purposes of this this section, "abuse of Employee's office or position" means either of the following:

- a. An abuse of public authority, including, but not limited to, waste, fraud, and violation of the law under color of authority; or
- b. A crime against public justice, including, but not limited to, a crime described in Title 7 (commencing with Section 92) of Part 1 of the Penal Code.

7. Employee Benefits.

7.1 Vacation. Employee shall be entitled to three (3) weeks of vacation each year without loss of compensation. Employee may be absent from his employment for vacation only at such times as Employer shall determine from time to time, which determination shall be made by Employer in a reasonable manner. Paid vacation benefits shall be subject to and governed by Employer's general policies regarding paid vacation.

7.2 Sick Leave. Employee shall receive and be entitled to use paid sick leave benefits in accordance with Employer's standard policies on paid sick leave, as the same may be modified by Employer from time to time at Employer's sole discretion.

7.3 Holidays. Employee shall be entitled to vacation and holidays with pay, as are determined by the policies adopted by Employer and in effect from time to time.

7.4 Automobile Allowance. Employee shall not be entitled to receive an automobile from Employer for personal use but may use Employer's automobiles for purposes related to Employer's business.

7.5 Additional Benefits. Employee shall be entitled to participation in the "Public Employees' Retirement System" ("PERS"). As long as Employee is employed by Employer pursuant to this Agreement, Employer shall make PERS contributions in accordance with Employer's policies relating to such contributions, as the same may be modified by Employer from time to time at Employer's sole discretion. In addition, Employer shall provide medical coverage for Employee in accordance with Employer's policies concerning medical coverage for employees, as the same may be modified by Employer from time to time at Employer's sole discretion. Employee shall be entitled to

participate in any other health, life or disability insurance plans of Employer to the same extent and on the same terms and conditions as other employees of Employer (as the same may be modified by Employer from time to time at Employer's sole discretion). Employee shall also be entitled to participate in Employer's Deferred Compensation Plan on the terms and conditions established for such Plan, as the same may be modified by Employer from time to time at Employer's sole discretion.

8. Termination of Employment. This Agreement and Employee's employment with Employer shall be terminated if any of the following occurs:

- a.** whenever Employee and Employer shall mutually agree in writing to termination and on such date as they mutually agree;
- b.** without cause thirty (30) days after either party giving written notice to the other of the party's desire to terminate this Agreement. If either Employer or Employee gives such notice, Employer may advance the effective date of such termination, reduce Employee's hours of employment and/or reduce Employee's employment duties, as it may deem advisable, by written notice to Employee within three (3) days after delivery of such notice of termination; provided, however, that in all events, Employee shall receive his salary for the entire thirty (30) day notice period, either on the dates of his regular paydays or in a single sum, as Employer shall determine;
- c.** on the death of Employee;
- d.** For cause by Employer immediately upon the occurrence of any of the following events:
 - (i)** Employee's inability to perform the essential functions of his position (even with any reasonable accommodation that would not impose an undue hardship on Employer as assessed under the Americans with Disabilities Act and any comparable applicable California law) due to a physical or mental impairment;
 - (ii)** Employee pleading guilty or nolo contendere to, or Employee being convicted of, any felony or any misdemeanor Employer deems material;
 - (iii)** Employee committing any act of dishonesty, fraud or willful misrepresentation or any similar act involving moral turpitude;
 - (iv)** Employer's good faith belief that Employee has engaged in material misconduct that, in Employer's judgment, cannot be cured (for example, material violations of Employer's antiharassment policies, off duty misconduct that has a material adverse effect on Employer's reputation or on Employee's reputation and/or working relationships with coworkers, insubordination, willful breach of duties, abuse of authority or abuse of discretion with respect to Employer, etc.); or
 - (v)** Employee's failure to cure any deficiencies in the adequate performance

of his duties and responsibilities within thirty (30) calendar days after receiving written notice from Employer describing such deficiencies.

9. General Provisions.

9.1 Notices. Any notices to be given hereunder by either party to the other shall be in writing and shall be transmitted by personal delivery or by first class mail, postage prepaid. Mailed notices shall be addressed to the parties at their last known address.

9.2 Modifications. Any modification of this Agreement will be effective only if it is in writing and signed by the party to be charged.

9.3 Effect of Waiver. The failure of either party to insist on strict compliance with any of the terms, covenants or conditions of this Agreement by the other party shall not be deemed a waiver of that term, covenant or condition, nor shall any waiver or relinquishment of any right or power at any one time or times be deemed a waiver or relinquishment of that right or power for all or any other times.

9.4 Partial Invalidity. If any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remaining provisions shall nevertheless continue in full force without being impaired or invalidated in any way.

9.5 Governing Law. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

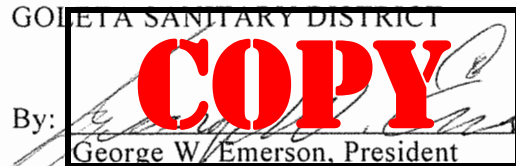
9.6 Sums Due Deceased Employee. If Employee dies prior to the expiration of the term of his employment, any sums that may be due him from Employer under this Agreement as of the date of death shall be paid to Employee's legal executors, administrators, heirs, beneficiaries, personal representatives, successors and assigns.

9.7 Records. All books, files, records, charts, agreements, documents and other data and similar items relating to the operations of Employer ("Records"), whether prepared by Employee or otherwise coming into his possession during the term of this Agreement, shall remain the property of Employer and shall not be removed from the premises where the work of Employer is carried on, without the prior consent of Employer.

9.8 Assignment. This Agreement is a personal employment contract and the rights and interests of Employee hereunder may not be sold, transferred, assigned, pledged or hypothecated.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date(s) set forth below.

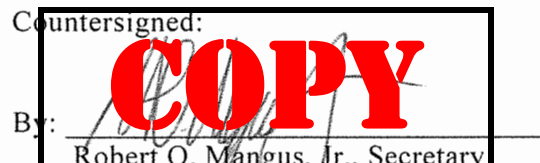
“Employer”

GOLETA SANITARY DISTRICT
COPY
By: 
George W. Emerson, President
of the Governing Board

“Employee”
COPY
Steven D. Wagner

Dated: June 1, 2015

Dated: June 1, 2015

Countersigned:
COPY
By: 
Robert O. Mangus, Jr., Secretary
of the Governing Board

Dated: June 1, 2015

AMENDMENT ONE TO EMPLOYMENT AGREEMENT

THIS AMENDMENT ONE TO EMPLOYMENT AGREEMENT (this “Amendment”), dated as of July 19, 2021, is entered into by and between the **GOLETA SANITARY DISTRICT**, hereinafter referred to as “Employer,” and **STEVE D. WAGNER**, hereinafter referred to as “Employee.”

Recitals

A. Employer and Employee have entered into an Employment Agreement dated July 1, 2015 (the “Agreement”).

B. Employer and Employee desire to amend the Agreement to increase Employee’s vacation time from three (3) weeks to four (4) weeks per year.

NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Employer and Employee agree as follows:

1. **Vacation**. Effective as of July 1, 2021, the first sentence of Section 7.1 of the Agreement is hereby deleted and is replaced with the following:

Employee shall be entitled to four (4) weeks of vacation each year without loss of compensation.

2. **Continued Effect**. Except as specifically amended herein, all of the terms and provisions of the Agreement shall continue in full force and effect.

IN WITNESS WHEREOF, the parties have executed this Amendment on the date first set forth above.

“Employer”

GOLETA SANITARY DISTRICT

By:

COPY
Steve Majoewsky, President Pro Tem
of the Governing Board

“Employee”

COPY
Steve D. Wagner

Countersigned:

By:

COPY
Robert O. Mangus, Jr., Secretary
of the Governing Board

AMENDMENT TWO TO EMPLOYMENT AGREEMENT

THIS AMENDMENT TWO TO EMPLOYMENT AGREEMENT (this “Amendment”), dated for reference purposes as of _____, 2022, is entered into by and between the **GOLETA SANITARY DISTRICT**, hereinafter referred to as “Employer,” and **STEVE D. WAGNER**, hereinafter referred to as “Employee.”

Recitals

- A.** Employer and Employee have entered into an Employment Agreement dated July 1, 2015 (the “Employment Agreement”).
- B.** Effective as of July 1, 2021, Employer and Employee amended the Employment Agreement to increase Employee’s vacation time from three (3) weeks to four (4) weeks per year.
- C.** Employer and Employee desire to further amend the Employment Agreement to include a performance incentive program to encourage outstanding performance and acknowledge superior work effort by Employee.

NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Employer and Employee agree as follows:

- 1. Performance Incentive Program.** Effective as of the fiscal year commencing July 1, 2022, the Employment Agreement is amended to include the performance incentive program attached hereto as Exhibit “A” and incorporated herein by reference.
- 2. Continued Effect.** Except as specifically amended herein, all of the terms and provisions of the Agreement shall continue in full force and effect.

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first set forth above.

“Employer”

GOLETA SANITARY DISTRICT

By: _____
Steven T. Majoewsky,
President of the Governing Board

Countersigned:

By: _____
Robert O. Mangus, Jr.,
Secretary of the Governing Board

“Employee”

Steve D. Wagner

EXHIBIT “A”

Performance Incentive Program

In addition to the annual salary payable to Employee pursuant to Section 6.1 of the Employment Agreement, Employee may receive a performance incentive payment for each fiscal year (July 1 through June 30) during which Employee has met the following criteria:

1. Employee has had at least 5 years of service at the District.
2. Employee has attained a majority of his goals as stated on Employee’s prior performance review.
3. Employee has received a minimum average performance review score of 25 on his performance review for the fiscal year just ended.
4. Employee has not been subject to any disciplinary action by Employer for the two (2) prior fiscal years (i.e., the fiscal year just ended and the fiscal year prior thereto).
5. Employee did not receive a performance incentive payment for the fiscal year prior to the fiscal year just ended.

If Employee meets the above eligibility criteria, he may receive a performance incentive payment ranging from 1% to 2% of his annual salary for the fiscal year just ended based on his average performance review score, as shown in the following tables:

Average Performance Review Score	25	25.5	26	26.5	27	27.5	28	28.5	29	29.5	30
Performance Incentive Payment	1.0%	1.1%	1.2%	1.3%	1.4%	1.5%	1.6%	1.7%	1.8%	1.9%	2.0%

Employee shall be eligible for a performance incentive payment for the first time following the fiscal year ending June 30, 2023. No performance incentive payment will be payable if Employee’s average performance review score is under 25. Performance incentive payments will be based on Employee’s job performance for the fiscal year just ended. Performance incentive payments do not change Employee’s base pay rate and are not considered special compensation per CalPERs regulations. Employee may receive a performance incentive payment every other year if Employee meets the above eligibility criteria. Performance incentive payments will be made at the close of the pay period following the completion by Employer’s Governing Board of Employee’s performance review for fiscal year just ended

AGENDA ITEM #3

AGENDA ITEM: 3

MEETING DATE: September 7, 2022

I. NATURE OF ITEM

Status Report on Biosolids and Energy Strategic Plan Implementation Efforts and Coordination with Goleta West Sanitary District

II. BACKGROUND INFORMATION

Work on the District's Biosolids and Energy Strategic Plan (BESP) projects continues. The Phase 1 project has been submitted to the Santa Barbara County Planning Department for issuance of a coastal development permit (CDP). While we don't expect any issues getting the CDP, the process is expected to take several months. This timeframe was expected and included in our overall project schedule. Construction of the Phase 1 project is still expected to start in spring 2023.

A kickoff meeting on the environmental and regulatory permitting services for the BESP Phase 2 project was held on August 30, 2022. A copy of the meeting slide deck is attached to this report.

A draft preliminary design report (PDR) on the BESP Phase 2 project has been submitted and is in review. This is an important milestone in the delivery of the project as it sets forth the basis of design for environmental review purposes and gives the team the opportunity to consider ways to reduce overall project costs through value engineering.

Coordination with the Goleta West Sanitary District (GWSD) staff continues but is less frequent than it was in the weeks prior to adoption of the project agreement. Now that the project agreement is in place, the next step is the development of a notification policy as set forth in the agreement.

A draft notification policy is being prepared and will be shared with GWSD for their review and input. Once that is completed, the draft notification policy along with GWSD's comments will be brought to the Board for consideration.

III. COMMENTS AND RECOMMENDATIONS

This Report is for informational purposes only. As such, no Board action is required at this time.

IV. REFERENCE MATERIALS

Hazen and Sawyer Biosolids and Energy Phases 2 and 3 - Regulatory and Permitting Support: Kickoff Meeting slide deck, dated August 30, 2022

Hazen



Biosolids and Energy Phases 2 and 3 – Regulatory and Permitting Support: Kickoff Meeting

August 30, 2022

Agenda

- Introductions
- Project Objectives
- Project Workflow
- Project Milestones

Project Team



PROJECT DIRECTOR / QC
Marc Solomon, PE, BOEE

PROJECT MANAGER
Rion Merlo, PhD, PE, PMP

REGULATORY/PERMITTING
Sara Head, QEP (Yorke)
James Yorke, CAPP, QISP (Yorke)
Heather McDevitt, RPA (Dudek)
Carolyn Groves (Dudek)

PROJECT DESCRIPTION
Ian Mackenzie, PE
Derya Dursun, PhD, PE
Bryan Lisk, PE, CEM
Paul Vavonese, PE

Project Objectives

- Prepare ATC application
 - Need to determine what SBCAPCD will require for BACT
- Prepare CEQA document
 - Decide on Addendum or New IS/MND
- Provide support for archaeological needs
- Prepare Coastal Development Permit documents
- Determine if FAA permit is required

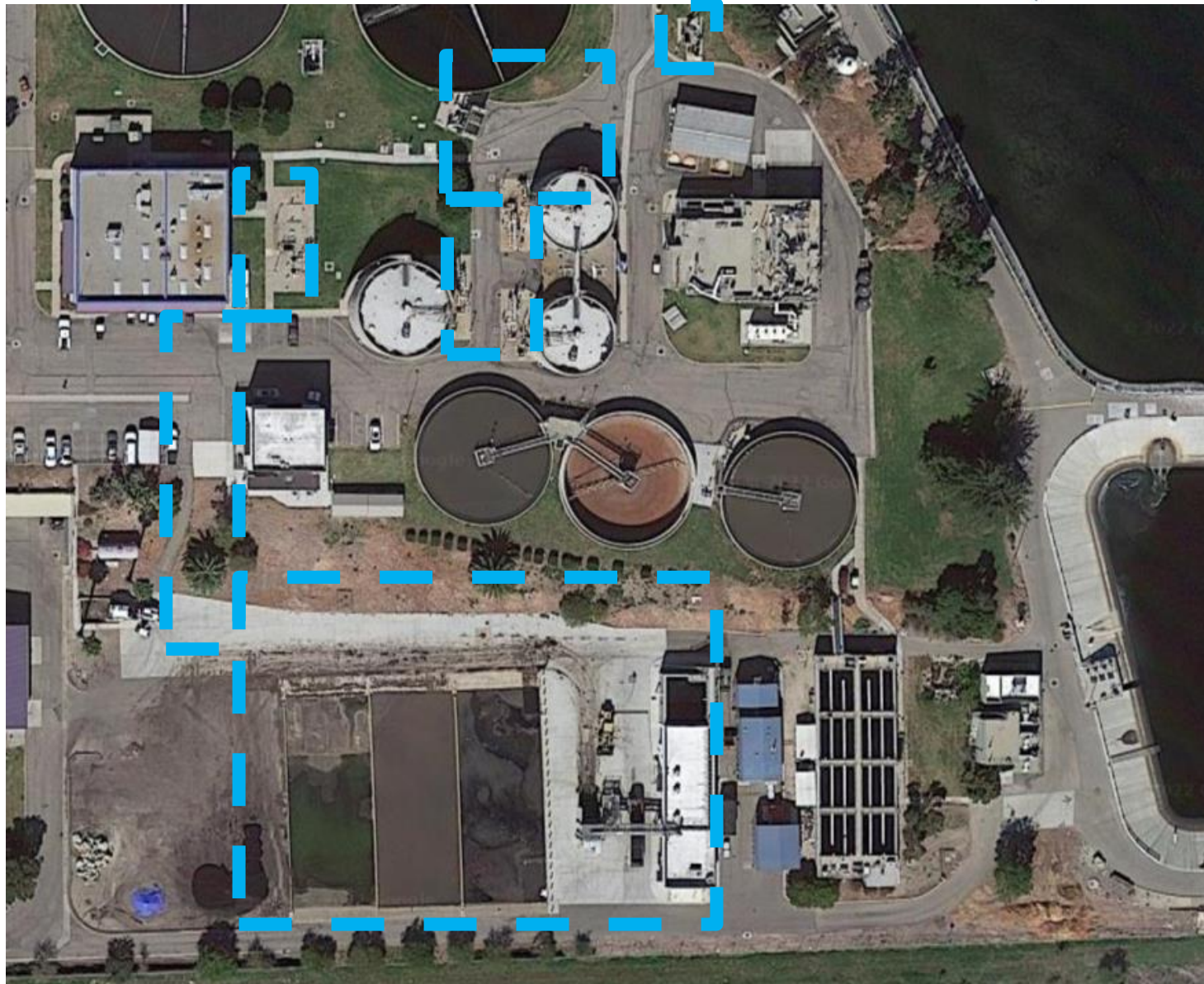
Project Elements

- High Strength Waste Receiving Station
- Thermal Dryer Facility
 - Centrifuge
 - Sludge Bin
 - Thermal Dryers
 - Thermal Fluid Heaters
- Flare

Project Overview



GOLETA SANITARY
Water Resource Recovery District



Authority to Construct Process

- ATC Process Should be Similar to the CHP engine ATC process
 - **Some advantages from the prior project**
 - *We have already prepared an HRA for the facility to SBCAPCD standards, which will be a good starting point*
 - *During the CHP engine permitting SBCAPCD published digester gas flare TAC emission factors*
 - *Better understanding of SBCAPCD policies (written and unwritten)*
 - **Processing Time**
 - **30 days for ATC application completeness review;**
 - **180 days after permit application deemed complete; or**
 - **180 days after approved CEQA document, whichever is later.**

Specific Rule Review

- SBCAPCD Rule 309
 - 125 ppm NO_x @ 3% O₂ and 2000 ppm CO measured on a dry basis.
- SBCAPCD Rule 311
 - Limits sulfur in gaseous fuels, GSD already complies with the 239 ppmv sulfur limit.
- SBCACPD 342:
 - This rule requires that boilers, steam generators, and process heaters with heat input of 5 MMBtu/hr or greater meet the following emission standards:
 - NO_x 9 ppmv at 3% oxygen (O₂) when firing gaseous fuel, except digester gas;
 - NO_x 15 ppmv at 3% O₂ when firing digester gas; and
 - CO 400 ppmv at 3% O₂.
 - A weighted average of NO_x limits (based on ratio of fuel supplied) when mixing fuels.

- Offsets
 - Our emission calculations indicate that offsets should not be required
- Air Quality Impacts Analysis (AQIA)
 - Our emission calculations indicate that an AQIA should not be required
- Health Risk Assessment (HRA)
 - A project HRA will be required in permitting
 - If the HRA exceeds 10% of the applicable permitting thresholds, a full facility HRA may be required
 - *Completing an HRA is needed to determine if this is the case*
 - *Based on prior work, we do not expect a full facility HRA to be required (even if combined with Phase 1 TAC emissions)*

- Addendum to the MND or new MND?
 - An Addendum is possible if no change in significance determinations or mitigation requirements
 - An Addendum does not require a public review process
- Preliminary Review indicates air quality, health risk, and GHG emission impacts are unlikely to exceed the applicable significance criteria
- Additional archeological and/or biological surveys needed?
 - Even if needed, mitigation requirements are likely to remain the same

Project Milestones

- Kickoff Meeting
- Provide Project Description
- Pre-Application Meeting with SBCAPCD
- Prepare ATC application
- Prepare CEQA documents
- Prepare Coastal Development Permit documents
- Prepare FAA permit (if needed)

GENERAL MANAGER'S REPORT

GOLETA SANITARY DISTRICT GENERAL MANAGER'S REPORT

The following summary report describes the District's activities from August 18, 2022 through September 7, 2022. It provides updated information on significant activities under three major categories: Collection System, Treatment/Reclamation and Disposal Facilities, and General and Administration Items.

1. COLLECTION SYSTEM REPORT

LINES CLEANING

Staff has been conducting routine lines cleaning in the areas of N. Fairview Avenue, Berkeley Drive and Stow Canyon Road.

CCTV INSPECTION

Staff has been conducting routine Closed-Circuit Television (CCTV) inspections in the area of Hollister Avenue and Auhay Drive.

GREASE AND OIL INSPECTIONS

There are no changes.

REPAIR AND MAINTENANCE

The County of Santa Barbara's contracted paving program has been completed. The County's contractor lowered and raised 32 manholes and 5 clean outs as part of the annual paving program. Paving by the County's in-house staff continues as District staff raised 8 manholes and 2 cleanouts where paving was recently completed. District staff also lowered an additional twelve (12) manholes where County crews will pave beginning the first two weeks of September.

The Closed-Circuit Television Inspection (CCTVI) cable is on back order and will be installed when delivered in the next week or two. CCTVI operations are able to continue on a limited basis.

COMPETENCY BASED TRAINING

Staff continues working with DKF Solutions Group, LLC on the review of various standard operating procedures (SOPs) for incorporation into the District's Vector Solutions and Smart SOP websites.

INNOVYZE COLLECTION SYSTEM HYDRAULIC MODEL UPDATE

Staff is updating the software in the District I-Tracker flow monitors to begin field verification of various pipes that were identified by the computer model as having hydraulic deficiencies. The hydraulic model and the pipes with deficiencies have been added as layers to the District's GIS map.

2. TREATMENT, RECLAMATION AND DISPOSAL FACILITIES REPORT

Plant flows decreased to an average of 3.7 million gallons per day (MGD), a loss of 1 MGD over the last few weeks. The demand for reclaimed water has begun to increase due to warmer temperatures. Low concentrations and loadings during the weekends continue to cause intermittent challenges and various levels of plant interference. The

Reclamation Disinfection Study by Hazen and Sawyer (Hazen) has been completed and we received the Technical Memorandum on June 22, 2022. We have reviewed the results and conclusions to determine what steps should be taken. We are actively receiving quotes for peracetic acid to review with the Goleta Water District.

The testing phase of Lystek Thickened Waste Activated Sludge (TWAS) pre-treatment pilot project is ongoing. We are running this process to see how much gas is produced with no heat. We believe the thermal hydrolysis process may reduce inhibitory chemicals that are adsorbed onto the sludge. We will be testing this hypothesis by sampling for these chemicals before and after the reactor, once a steady state during the demonstration period has been reached. Once the demonstration period is complete, a summary report of the results and proforma analysis will be prepared and brought forward to the Board.

The Influent Pump Station Rehabilitation project submittal and procurement process is coming to an end. The construction of the project may not start for another five to eight months, depending upon completion of the procurement process.

Biosolids and Energy Strategic Plan (BESP) Phases 2 & 3 preliminary engineering design continues to move forward. This project will provide a 30% design package for a centrifuge, thermal dryer, and a fats, oil and grease (FOG) receiving facility. This level of design is necessary to understand the cost and funding implications of the project.

The nanobubble project is up and running. Moleaer is bringing another Nanobubbler generator on-site as a trial for 60 days at the Interstage pumping station. The permanent installation at the Headworks is moving forward and we are waiting on Moleaer to give us a timeline for the pump procurement.

Maintenance staff will be working on the air valves at the Plant lift station. The biogas boiler is now back online. We are back to using our own fuel and no longer using the natural gas boiler.

3. GENERAL AND ADMINISTRATIVE ITEMS

Financial Report

The District account balances as of September 7, 2022 shown below are approximations to the nearest dollar and indicate the overall funds available to the District at this time.

Operating Checking Accounts:	\$ 593,394
Investment Accounts:	\$ 32,963,102
Total District Funds:	\$ 33,556,497

The following transactions are reported herein for the period 08/18/22 – 09/07/22

Regular, Overtime, Cash-outs and Net Payroll:	\$ 117,802
Claims:	\$ 535,917

Total Expenditures:	\$	653,719
Total Deposits:	\$	212,498

Transfers of funds:

LAIF to Community West Bank Operational (CWB):	\$	- 0 -
CWB Operational to CWB Money Market:	\$	- 0 -
CWB Money Market to CWB Operational:	\$	400,000

The District's investments comply with the District's Investment Policy adopted per Resolution No. 16-606. The District has adequate funds to meet the next six months of normal operating expenses.

Local Agency Investment Fund (LAIF)

LAIF Monthly Statement – August, 2022

LAIF Quarterly Report – Previously submitted.

PMIA/LAIF Performance – Previously submitted.

PMIA Effective Yield – Previously submitted.

Community West Bank (CWB)

CWB Money Market Account – August, 2022

Deferred Compensation Accounts

CalPERS 457 Deferred Compensation Plan – Previously submitted.

Lincoln 457 Deferred Compensation Plan – August, 2022

Personnel

A verbal update will be provided at the meeting.

California State Treasurer
Fiona Ma, CPA



Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

September 01, 2022

[LAIF Home](#)
[PMIA Average Monthly Yields](#)

GOLETA SANITARY DISTRICT

GENERAL MANAGER
ONE WILLIAM MOFFETT PLACE
GOLETA, CA 93117

[Tran Type Definitions](#)

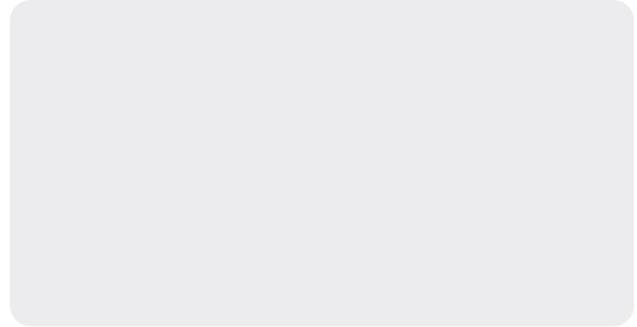
Account Number: 70-42-002

August 2022 Statement

Account Summary

Total Deposit:	0.00	Beginning Balance:	2,031,661.25
Total Withdrawal:	0.00	Ending Balance:	2,031,661.25

RETURN SERVICE REQUESTED

 GOLETA SANITARY DISTRICT
 MONEY MARKET
 1 WILLIAM MOFFETT PL
 GOLETA CA 93117-3901


Summary of Accounts

Account Type	Account Number	Ending Balance
PUBLIC AGENCY-MMDA	XXXXXXXX5554	\$31,331,441.21

PUBLIC AGENCY-MMDA - XXXXXXXX5554

Account Summary

Date	Description	Amount		
07/30/2022	Beginning Balance	\$31,800,161.87	Average Ledger Balance	\$31,451,677.02
	1 Credit(s) This Period	\$31,279.34		
	1 Debit(s) This Period	\$500,000.00		
08/31/2022	Ending Balance	\$31,331,441.21		

Account Activity

Post Date	Description	Debits	Credits	Balance
07/30/2022	Beginning Balance			\$31,800,161.87
08/09/2022	XFER DEBIT 8/09/22 10:09 118563540 CHECKING 6505538	\$500,000.00		\$31,300,161.87
08/31/2022	INTEREST AT 1.1000 %		\$31,279.34	\$31,331,441.21
08/31/2022	Ending Balance			\$31,331,441.21

Daily Balances

Date	Amount	Date	Amount
08/09/2022	\$31,300,161.87	08/31/2022	\$31,331,441.21

Multi-Fund[®]

Performance Update

Quoted performance data represents past performance. Past performance does not guarantee nor predict future performance. Current performance may be lower or higher than the performance data quoted. Please keep in mind that double-digit returns are highly unusual and cannot be sustained.

Variable products are sold by prospectus. Consider the investment objectives, risks, charges, and expenses of the variable product and its underlying investment options carefully before investing. The prospectus contains this and other information about the variable product and its underlying investment options. Please review the prospectus available online for additional information. Read it carefully before investing.

Investment return and principal value of an investment will fluctuate so that an investor's unit values, when redeemed, may be worth more or less than their original cost.

Monthly hypothetical performance adjusted for contract fees *

INVESTMENT OPTIONS	ASSET CLASS	INCEP. DATE	CHANGE FROM PREVIOUS DAY	YTD AS OF 08/31/2022	YTD AS OF 08/31/2022	AVERAGE ANNUAL TOTAL RETURN (%) AS OF 8/31/2022						
						1 MO.	3 MO.	1 YR.	3 YR.	5 YR.	10 YR.	SINCE INCEP.
RISK MANAGED RM												
Fidelity [®] VIP Freedom 2055 Portfolio SM - Service Class ^{5, 9}	Risk Managed	04/11/2019	-0.45	-19.12	-19.12	-4.08	-6.11	-18.15	6.96	N/A	N/A	6.38
Fidelity [®] VIP Freedom 2060 Portfolio SM - Service Class ^{5, 9}	Risk Managed	04/11/2019	-0.46	-19.10	-19.10	-4.03	-6.07	-18.11	6.98	N/A	N/A	6.40
MAXIMUM CAPITAL APPRECIATION MCA												
DWS Alternative Asset Allocation VIP Portfolio - Class A ^{1, 4, 5, 6, 7}	Maximum Capital Appreciation	02/02/2009	-0.38	-5.70	-5.70	-1.35	-3.65	-4.88	4.40	2.73	1.94	4.11
LVIP Baron Growth Opportunities Fund - Service Class ^{2, 10}	Maximum Capital Appreciation	10/01/1998	-0.66	-28.01	-28.01	-5.98	-3.57	-26.01	6.16	9.22	10.53	10.08
LVIP Delaware SMID Cap Core Fund - Standard Class ^{2, 3, 10}	Maximum Capital Appreciation	07/12/1991	-0.77	-13.61	-13.61	-2.12	-2.07	-11.02	8.61	7.25	9.42	8.81
LVIP SSGA Emerging Markets 100 Fund - Standard Class ^{1, 10, 22}	Maximum Capital Appreciation	06/18/2008	0.49	-13.79	-13.79	-1.34	-10.15	-16.31	1.92	-2.51	0.31	1.39
LVIP SSGA Small-Cap Index Fund - Standard Class ^{2, 10, 21}	Maximum Capital Appreciation	04/18/1986	-0.60	-17.95	-17.95	-2.17	-1.08	-19.04	7.07	5.43	8.46	6.62
LVIP T. Rowe Price Structured Mid-Cap Growth Fund - Standard Class ^{2, 10}	Maximum Capital Appreciation	02/03/1994	-0.62	-22.53	-22.53	-1.84	2.01	-23.72	6.96	10.01	11.60	6.56
LONG TERM GROWTH LTG												
American Funds Global Growth Fund - Class 2 ¹	Long Term Growth	04/30/1997	-0.48	-26.59	-26.59	-4.76	-5.27	-27.59	6.92	6.97	9.72	8.25

INVESTMENT OPTIONS	ASSET CLASS	INCEP. DATE	CHANGE FROM PREVIOUS DAY	YTD AS OF 08/31/2022	YTD AS OF 08/31/2022	AVERAGE ANNUAL TOTAL RETURN (%) AS OF 8/31/2022						
						1 MO.	3 MO.	1 YR.	3 YR.	5 YR.	10 YR.	SINCE INCEP.
American Funds Growth Fund - Class 2	Long Term Growth	02/08/1984	-0.45	-25.93	-25.93	-3.31	-4.56	-23.48	14.93	13.02	13.82	11.52
American Funds International Fund - Class 2 ¹	Long Term Growth	05/01/1990	-0.40	-22.59	-22.59	-3.70	-8.92	-27.46	-1.75	-1.10	3.68	5.75
Delaware VIP Small Cap Value ^{2,3}	Long Term Growth	12/27/1993	-0.87	-13.65	-13.65	-3.78	-6.98	-7.30	7.92	5.04	8.23	8.76
Fidelity® VIP Contrafund® Portfolio - Service Class	Long Term Growth	01/03/1995	-0.73	-24.23	-24.23	-4.45	-4.11	-21.39	10.24	9.61	10.86	9.84
Fidelity® VIP Growth Portfolio - Service Class	Long Term Growth	10/09/1986	-0.61	-20.01	-20.01	-4.18	-1.74	-18.72	15.18	14.03	14.18	9.63
LVIP BlackRock Global Real Estate Fund - Standard Class ^{1, 2, 9, 10, 13}	Long Term Growth	04/30/2007	-0.67	-23.49	-23.49	-7.40	-9.71	-21.25	-0.70	1.80	3.43	0.46
LVIP Delaware Mid Cap Value Fund - Standard Class ^{2, 3, 10}	Long Term Growth	12/28/1981	-0.67	-10.83	-10.83	-2.61	-6.34	-4.61	8.78	7.05	9.88	10.10
LVIP Dimensional U.S. Core Equity 1 Fund - Standard Class ¹⁰	Long Term Growth	12/28/1981	-0.78	-15.12	-15.12	-3.60	-4.27	-11.23	11.19	9.93	11.15	9.50
LVIP Mondrian International Value Fund - Standard Class ^{1, 10}	Long Term Growth	05/01/1991	-0.76	-16.57	-16.57	-7.12	-13.84	-17.54	-0.26	-1.21	2.50	4.58
LVIP SSGA International Index Fund - Standard Class ^{1, 10, 21, 23}	Long Term Growth	04/30/2008	-0.78	-20.69	-20.69	-6.03	-10.23	-21.23	1.01	0.24	3.57	0.35
LVIP SSGA S&P 500 Index Fund - Standard Class ^{10, 21, 24}	Long Term Growth	05/01/2000	-0.77	-16.83	-16.83	-4.19	-4.20	-12.31	10.99	10.44	11.68	5.26
LVIP Vanguard Domestic Equity ETF Fund - Service Class ^{5, 6}	Long Term Growth	04/29/2011	-0.73	-17.41	-17.41	-3.89	-3.75	-14.01	10.05	9.69	10.72	9.55
LVIP Vanguard International Equity ETF Fund - Service Class ^{1, 5, 6}	Long Term Growth	04/29/2011	-0.39	-19.83	-19.83	-4.77	-9.27	-21.62	1.60	0.26	3.43	1.49
MFS® VIT Utilities Series - Initial Class ¹³	Long Term Growth	01/03/1995	-0.84	1.32	1.32	-0.58	-1.22	5.13	7.84	8.03	8.23	10.04
GROWTH AND INCOME GI												
American Funds Growth-Income Fund - Class 2	Growth and Income	02/08/1984	-0.59	-17.91	-17.91	-3.43	-5.90	-14.92	7.37	8.19	10.74	9.67
Fidelity® VIP Freedom 2020 Portfolio SM - Service Class ^{5, 8}	Growth and Income	04/26/2005	-0.42	-15.34	-15.34	-3.37	-4.39	-15.05	3.30	3.77	5.37	4.95
Fidelity® VIP Freedom 2025 Portfolio SM - Service Class ^{5, 8}	Growth and Income	04/26/2005	-0.43	-16.17	-16.17	-3.54	-4.68	-15.78	3.88	4.20	6.12	5.43

INVESTMENT OPTIONS	ASSET CLASS	INCEP. DATE	CHANGE FROM PREVIOUS DAY	YTD AS OF 08/31/2022	YTD AS OF 08/31/2022	AVERAGE ANNUAL TOTAL RETURN (%) AS OF 8/31/2022						
						1 MO.	3 MO.	1 YR.	3 YR.	5 YR.	10 YR.	SINCE INCEP.
Fidelity® VIP Freedom 2030 Portfolio SM - Service Class ^{5, 8}	Growth and Income	04/26/2005	-0.43	-16.87	-16.87	-3.61	-4.95	-16.41	4.72	4.83	6.81	5.69
Fidelity® VIP Freedom 2035 Portfolio SM - Service Class ^{5, 8}	Growth and Income	04/08/2009	-0.44	-18.15	-18.15	-3.86	-5.60	-17.42	6.11	5.67	7.68	9.92
Fidelity® VIP Freedom 2040 Portfolio SM - Service Class ^{5, 8}	Growth and Income	04/08/2009	-0.42	-19.04	-19.04	-4.02	-6.05	-18.08	6.99	6.10	7.96	10.20
Fidelity® VIP Freedom 2045 Portfolio SM - Service Class ^{5, 8}	Growth and Income	04/08/2009	-0.46	-19.11	-19.11	-4.08	-6.09	-18.14	6.96	6.08	8.03	10.27
Fidelity® VIP Freedom 2050 Portfolio SM - Service Class ^{5, 8}	Growth and Income	04/08/2009	-0.46	-19.14	-19.14	-4.08	-6.10	-18.14	6.96	6.08	8.07	10.37
LVIP Delaware REIT Fund - Standard Class ^{2, 3, 9, 10, 13}	Growth and Income	05/04/1998	-0.73	-19.38	-19.38	-5.81	-4.13	-11.25	0.64	3.16	5.06	7.11
LVIP Delaware Value Fund - Standard Class ^{3, 10}	Growth and Income	07/28/1988	-0.75	-8.75	-8.75	-2.54	-5.95	-3.17	6.44	6.62	9.46	7.66
LVIP Delaware Wealth Builder Fund - Standard Class ^{3, 4, 10}	Growth and Income	08/03/1987	-0.61	-12.17	-12.17	-3.03	-4.48	-10.28	1.76	2.45	4.78	5.61
LVIP JPMorgan Retirement Income Fund - Standard Class ^{3, 4, 10}	Growth and Income	04/27/1983	-0.45	-12.96	-12.96	-2.75	-3.35	-12.73	0.58	1.63	3.11	6.10
INCOME												
LVIP BlackRock Inflation Protected Bond Fund - Standard Class ^{1, 10, 14}	Income	04/30/2010	-0.70	-2.48	-2.48	-2.27	-1.05	-1.67	1.58	1.93	0.22	1.54
LVIP Delaware Bond Fund - Standard Class ^{3, 10, 14}	Income	12/28/1981	-0.38	-12.07	-12.07	-2.58	-2.09	-13.43	-2.65	-0.28	0.50	6.03
LVIP Delaware Diversified Floating Rate Fund ^{3, 10, 14, 15, 16}	Income	04/30/2010	-0.01	-1.82	-1.82	0.20	0.09	-2.21	-0.61	0.03	-0.05	0.07
LVIP Delaware Diversified Income Fund - Standard Class ^{3, 10, 14}	Income	05/16/2003	-0.38	-12.44	-12.44	-2.56	-2.41	-13.69	-2.16	-0.10	0.67	3.37
LVIP Delaware High Yield Fund - Standard Class ^{3, 10, 14, 17}	Income	07/28/1988	-0.39	-11.22	-11.22	-1.90	-3.18	-10.83	0.18	1.52	2.81	5.17
LVIP Global Income Fund - Standard Class ^{1, 10, 11, 14}	Income	05/04/2009	-0.18	-15.53	-15.53	-4.47	-5.70	-18.04	-6.03	-2.32	-1.24	0.78
LVIP SSGA Bond Index Fund - Standard Class ^{10, 14, 21}	Income	04/30/2008	-0.36	-11.48	-11.48	-2.89	-2.25	-12.74	-3.27	-0.79	0.01	1.40

INVESTMENT OPTIONS	ASSET CLASS	INCEP. DATE	CHANGE FROM PREVIOUS DAY	YTD AS OF 08/31/2022	YTD AS OF 08/31/2022	AVERAGE ANNUAL TOTAL RETURN (%) AS OF 8/31/2022						
						1 MO.	3 MO.	1 YR.	3 YR.	5 YR.	10 YR.	SINCE INCEP.
PIMCO VIT Total Return Portfolio - Administrative Class ^{14, 22}	Income	12/31/1997	-0.32	-12.44	-12.44	-2.59	-2.84	-13.52	-3.02	-0.69	0.39	3.47
RISK MANAGED - ASSET ALLOCATION RMAA												
LVIP Global Conservative Allocation Managed Risk Fund - Standard Class ^{1, 4, 5, 10, 19}	Risk Managed - Asset Allocation	05/03/2005	-0.33	-14.38	-14.38	-2.68	-3.01	-14.27	0.13	1.40	2.92	3.88
LVIP Global Growth Allocation Managed Risk Fund - Standard Class ^{1, 4, 5, 10, 19}	Risk Managed - Asset Allocation	05/03/2005	-0.30	-17.59	-17.59	-3.06	-4.30	-16.93	1.11	1.49	3.27	3.52
LVIP Global Moderate Allocation Managed Risk Fund - Standard Class ^{1, 4, 5, 10, 19}	Risk Managed - Asset Allocation	05/03/2005	-0.31	-16.04	-16.04	-2.79	-3.69	-15.55	0.86	1.48	3.11	3.75
LVIP SSGA Global Tactical Allocation Managed Volatility Fund - Standard Class ^{1, 4, 5, 10, 12}	Risk Managed - Asset Allocation	05/03/2005	-0.58	-14.74	-14.74	-3.54	-5.73	-14.18	2.44	1.89	2.98	2.98
PRESERVATION OF CAPITAL PC												
LVIP Government Money Market Fund - Standard Class ^{10, 20}	Preservation of Capital	01/07/1982	0.00	-0.33	-0.33	0.06	0.06	-0.65	-0.63	-0.21	-0.57	2.62
ASSET ALLOCATION ASA												
LVIP BlackRock Global Allocation Fund - Standard Class ^{1, 4, 10}	Asset Allocation	04/26/2019	-0.52	-15.44	-15.44	-3.23	-4.70	-15.88	4.19	N/A	N/A	4.03
LVIP T. Rowe Price 2020 Fund (Standard Class) ^{5, 8, 10}	Asset Allocation	05/01/2007	-0.54	-14.67	-14.67	-3.25	-4.22	-14.43	3.19	3.52	4.05	3.17
LVIP T. Rowe Price 2030 Fund (Standard Class) ^{5, 8, 10}	Asset Allocation	05/01/2007	-0.57	-16.60	-16.60	-3.61	-4.78	-16.04	4.60	4.20	4.60	3.33
LVIP T. Rowe Price 2040 Fund (Standard Class) ^{5, 8, 10}	Asset Allocation	05/01/2007	-0.63	-17.94	-17.94	-3.89	-5.40	-17.02	5.89	4.95	5.18	3.24
LVIP T. Rowe Price 2050 Fund (Standard Class) ^{5, 8, 10}	Asset Allocation	04/29/2011	-0.64	-18.30	-18.30	-3.96	-5.61	-17.26	6.30	5.49	5.80	4.16
LVIP T. Rowe Price 2060 Fund - Standard Class ^{5, 8, 10}	Asset Allocation	04/30/2020	-0.65	-18.20	-18.20	-3.95	-5.54	-17.21	N/A	N/A	N/A	11.14
RISK MANAGED - US LARGE CAP RMUSL												
LVIP BlackRock Dividend Value Managed Volatility Fund - Standard Class ^{10, 11, 12}	Risk Managed - US Large Cap	02/03/1994	-0.69	-5.39	-5.39	-2.42	-5.82	-2.70	8.16	6.01	6.17	6.46

INVESTMENT OPTIONS	ASSET CLASS	INCEP. DATE	CHANGE FROM PREVIOUS DAY	YTD AS OF 08/31/2022	YTD AS OF 08/31/2022	AVERAGE ANNUAL TOTAL RETURN (%) AS OF 8/31/2022						
						1 MO.	3 MO.	1 YR.	3 YR.	5 YR.	10 YR.	SINCE INCEP.
LVIP Blended Large Cap Growth Managed Volatility Fund - Standard Class ^{10, 11, 12}	Risk Managed - US Large Cap	02/03/1994	-0.40	-23.06	-23.06	-3.97	-0.29	-19.75	9.76	8.08	8.14	6.53
RISK MANAGED - US MID CAP RMUSM												
LVIP Blended Mid Cap Managed Volatility Fund - Standard Class ^{2, 10, 11, 12}	Risk Managed - US Mid Cap	05/01/2001	-0.35	-21.75	-21.75	-2.62	1.94	-22.01	5.25	8.27	6.33	3.91
LVIP JPMorgan Select Mid Cap Value Managed Volatility Fund - Standard Class ^{2, 10, 11, 12}	Risk Managed - US Mid Cap	05/01/2001	-0.43	-10.07	-10.07	-2.05	-3.60	-6.65	7.91	4.60	6.19	5.75
RISK MANAGED - GLOBAL/INTERNATIONAL RMGI												
LVIP Franklin Templeton Global Equity Managed Volatility Fund - Standard Class ^{1, 10, 11, 12}	Risk Managed - Global/International	08/01/1985	-0.56	-15.19	-15.19	-3.97	-5.91	-14.27	6.04	3.35	4.36	6.64
LVIP SSGA International Managed Volatility Fund - Standard Class ^{1, 5, 10, 12}	Risk Managed - Global/International	12/31/2013	-0.72	-22.72	-22.72	-5.71	-10.33	-23.28	-2.76	-2.13	N/A	-1.45
ESG/SOCIALLY CONSCIOUS ESC												
AB VPS Sustainable Global Thematic Portfolio - Class B ¹	ESG/Socially Conscious	01/11/1996	-0.66	-26.87	-26.87	-6.59	-5.67	-25.10	9.78	8.38	9.85	5.18
LVIP Delaware Social Awareness Fund - Standard Class ^{3, 10, 18}	ESG/Socially Conscious	05/02/1988	-0.74	-17.89	-17.89	-3.64	-3.30	-14.53	10.77	10.20	11.39	9.56

* These returns are measured from the inception date of the fund and predate its availability as an investment option in the variable annuity (separate account). This hypothetical representation depicts how the investment option would have performed had the fund been available in the variable annuity during the time period. It includes deductions for the M&E charge and the contract administrative fee. If selected above, the cost for the i4LIFE[®] Advantage feature or a death benefit will be reflected. The cost for other riders with quarterly charges is not reflected. No surrender charge and no annual contract charge is reflected.

Risk disclosures:

- 1: International:** Investing internationally involves risks not associated with investing solely in the United States, such as currency fluctuation, political or regulatory risk, currency exchange rate changes, differences in accounting and the limited availability of information.
- 2: Small & Mid Cap:** Funds that invest in small and/or midsize company stocks may be more volatile and involve greater risk, particularly in the short term, than those investing in larger, more established companies.
- 3: Macquarie Investment Management:** Investments in Delaware VIP Series, Delaware Funds, Ivy Variable Insurance Portfolios, Ivy Funds, LVIP Delaware Funds or Lincoln Life accounts managed by Macquarie Investment Management Advisers, a series of Macquarie Investments Management Business Trust, are not and will not be deposits with or liabilities of Macquarie Bank Limited ABN 46 008 583 542 and its holding companies, including their subsidiaries or related companies, and are subject to investment risk, including possible delays in repayment and loss of income and capital invested. No Macquarie Group company guarantees or will guarantee the performance of the fund, the repayment of capital from the fund, or any particular rate of return.
- 4: Asset Allocation Portfolios:** Asset allocation does not ensure a profit, nor protect against loss in a declining market.
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- 6: Exchange-traded funds:** Exchange-traded funds (ETFs) in this lineup are available through collective trusts or mutual funds. Investors cannot invest directly in an ETF.

- 7: Alternative Funds:** Certain funds (sometimes called "alternative funds") expect to invest in (or may invest in some) positions that emphasize alternative investment strategies and/or nontraditional asset classes and, as a result, are subject to the risk factors of those asset classes and/or investment strategies. Some of those risks may include general economic risk, geopolitical risk, commodity-price volatility, counterparty and settlement risk, currency risk, derivatives risk, emerging markets risk, foreign securities risk, high-yield bond exposure, index investing risk, exchange-traded notes risk, industry concentration risk, leveraging risk, real estate investment risk, master limited partnership risk, master limited partnership tax risk, energy infrastructure companies risk, sector risk, short sale risk, direct investment risk, hard assets sector risk, active trading and "overlay" risks, event-driven investing risk, global macro strategies risk, temporary defensive positions and large cash positions. If you are considering investing in alternative investment funds, you should ensure that you understand the complex investment strategies sometimes employed and be prepared to tolerate the risks of such asset classes. For a complete list of risks, as well as a discussion of risk and investment strategies, please refer to the fund's prospectus. The fund may invest in derivatives, including futures, options, forwards and swaps. Investments in derivatives may cause the fund's losses to be greater than if it invested only in conventional securities and can cause the fund to be more volatile. Derivatives involve risks different from, or possibly greater than, the risks associated with other investments. The fund's use of derivatives may cause the fund's investment returns to be impacted by the performance of securities the fund does not own and may result in the fund's total investment exposure exceeding the value of its portfolio.
- 8: Target-date funds:** The target date is the approximate date when investors plan to retire or start withdrawing their money. Some target-date funds make no changes in asset allocation after the target date is reached; other target-date funds continue to make asset allocation changes following the target date. (See the prospectus for the funds allocation strategy.) The principal value is not guaranteed at any time, including at the target date. An asset allocation strategy does not guarantee performance or protect against investment losses. A "fund of funds" may be more expensive than other types of investment options because it has additional levels of expenses.
- 9: REIT:** A real estate investment trust (REIT) involves risks such as refinancing, economic conditions in the real estate industry, declines in property values, dependency on real estate management, changes in property taxes, changes in interest rates and other risks associated with a portfolio that concentrates its investments in one sector or geographic region.
- 10: Manager of managers funds:** Subject to approval of the fund's board, Lincoln Investment Advisors Corporation (LIAC) has the right to engage or terminate a subadvisor at any time, without a shareholder vote, based on an exemptive order from the Securities and Exchange Commission. LIAC is responsible for overseeing all subadvisors for funds relying on this exemptive order.
- 11: Multimanager:** For those LVIP funds that employ a multimanager structure, Lincoln Investment Advisors Corporation (LIAC) is responsible for overseeing the subadvisor(s). While the investment styles employed by the fund's subadvisors are intended to be complementary, they may not, in fact, be complementary. A multimanager approach may result in more exposure to certain types of securities risks and in higher portfolio turnover.
- 12: Managed Volatility Strategy:** The fund's managed volatility strategy is not a guarantee, and the fund's shareholders may experience losses. The fund employs hedging strategies designed to reduce overall portfolio volatility. The use of these hedging strategies may limit the upside participation of the fund in rising equity markets relative to unhedged funds, and the effectiveness of such strategies may be impacted during periods of rapid or extreme market events.
- 13: Sector Funds:** Funds that target exposure to one region or industry may carry greater risk and higher volatility than more broadly diversified funds.
- 14: Bonds:** The return of principal in bond funds is not guaranteed. Bond funds have the same interest rate, inflation, credit, duration, prepayment and market risks that are associated with the underlying bonds owned by the fund or account.
- 15: Cash Management Funds:** An investment in Cash Management Fund is not a bank deposit and is not insured or guaranteed by the FDIC or any other government agency. Although this option seeks to preserve the value of your investment, it is not managed to maintain a stable net asset value of \$1 per share and it is possible to lose money by investing in this investment option.
- 16: Floating rate funds:** Floating rate funds should not be considered alternatives to CDs or money market funds and should not be considered as cash alternatives.
- 17: High-yield or mortgage-backed funds:** High-yield funds may invest in high-yield or lower rated fixed income securities (junk bonds) or mortgage-backed securities with exposure to subprime mortgages, which may experience higher volatility and increased risk of nonpayment or default.
- 18: ESG:** An environmental, social, governance (ESG) standards strategy (also referred to as engagement, green, impact, responsible, social aware, sustainable) generally prohibits investment in certain types of companies, industries and segments of the U.S. economy. Thus this strategy may (i) miss opportunities to invest in companies, industries or segments of the U.S. economy that are providing superior performance relative to the market as a whole and (ii) become invested in companies, industries and segments of the U.S. economy that are providing inferior performance relative to the market as a whole.
- 19: Risk Management Strategy:** The fund's risk management strategy is not a guarantee, and the funds shareholders may experience losses. The fund employs hedging strategies designed to provide downside protection during sharp downward movements in equity markets. The use of these hedging strategies may limit the upside participation of the fund in rising equity markets relative to other unhedged funds, and the effectiveness of such strategies may be impacted during periods of rapid or extreme market events.
- 20: Money Market Funds:** You can lose money by investing in the fund. Although the fund seeks to preserve the value of your investment at \$1.00 per share (or, for the LVIP Government Money Market Fund, at \$10.00 per share), it cannot guarantee it will do so. An investment in the fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The fund's sponsor has no legal obligation to provide financial support to the fund, and you should not expect that the sponsor will provide financial support to the fund at any time.
- 21: Index:** An index is unmanaged, and one cannot invest directly in an index. Indices do not reflect the deduction of any fees.
- 22: Emerging Markets:** Investing in emerging markets can be riskier than investing in well-established foreign markets. International investing involves special risks not found in domestic investing, including increased political, social and economic instability, all of which are magnified in emerging markets.
- 23: MSCI:** The fund described herein is indexed to an MSCI[®] index. It is not sponsored, endorsed, or promoted by MSCI[®], and MSCI[®]; bears no liability with respect to any such fund or to an index on which a fund is based. The prospectus and statement of additional information contain a more detailed description of the limited relationship MSCI[®]; has with Lincoln Investment Advisors Corporation and any related funds.
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**DISTRICT
CORRESPONDENCE**
Board Meeting of September 7, 2022



Date: **Correspondence Sent To:**

1. 08/16/2022 Goleta Water District
Paula Butcher, PE, Engineering Supervisor
Subject: Sewer Service Availability
Proposed Sewer Service Connection for Goleta Water District
University Well Facility
A.P.N. 067-400-021 at University Drive, Santa Barbara, CA

2. 08/16/2022 Dan Kinney
RRM Design Group
Subject: Sewer Service Availability
Proposed Sewer Service Connection for a proposed 332 affordable and
market rate apartment complex and a 5, 500 SF Community Center
A.P.N. 065-040-026 at 4750 Hollister Ave., Santa Barbara, CA

3. 08/17/2022 Ariana Katovich, Executive Director
Santa Barbara Wildlife Care Network, SBWCN
Subject: Permit C-247-25 Self-Monitoring Report, received via email
7/14/2022

4. 08/23/2022 Peter Riechers
Riechers Engineering
Subject: Sewer Service Availability
Proposed Lot Split at 195 Nogal Drive, Santa Barbara, CA 93110
A.P.N. 061-081-022

5. 08/24/2022 Chris Lewsadder
Subject: Welcome to the Goleta Sanitary District
Joining the Goleta Sanitary District Award Winning Team as a
Treatment Plant Operator III

6. 08/24/2022 The Honorable Monique Limon
The Office of Senator Limon
Subject: AB 2247 (Bloom): Support
Letter also sent to:
 - Assembly Member Richard Bloom
 - Jessica Gauger, Director of Legislative Advocacy and Public Affairs at
California Association of Sanitation Agencies

DISTRICT CORRESPONDENCE

Board Meeting of September 7, 2022

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7. 08/29/2022 Peter Riechers
Riechers Engineering
Subject: Sewer Service Availability
Proposed Lot Split at 440 Venado Drive, Santa Barbara, CA 93111
A.P.N. 067-171-011

Date: **Correspondence Received From:**

1. 08/12/2022 Air Pollution Control District,
Santa Barbara County
Subject: Draft Authority to Construct 15822

Hard Copies of the Correspondence are available at the District's Office for review