

AGENDA

AB 361 REMOTE MEETING NOTICE

This meeting is being held virtually pursuant to AB 361 as meeting in person may present imminent risks to the health or safety of attendees. The public may participate in this meeting remotely via zoom as set forth below.

INSTRUCTIONS FOR USING ZOOM

- Join the meeting using the link below.
- You must have audio and microphone capabilities on the device you are using to join the meeting.
- When you join the meeting make sure that you join the meeting with audio and follow the prompts to test your speaker & microphone prior to joining the meeting.

TO SPEAK ON AN ITEM USING ZOOM

- The Board President will call the item and staff will begin the staff report.
- Click on the Raise Hand icon if you would like to speak on the item.
- Your name will be called on when it's your turn to speak.
- When your name is called, you will be prompted to unmute yourself.
- When your time is up, you will be muted.
- You will repeat this process for each item you want to speak on.

FOR OPEN SESSION PARTICIPATION

Join Meeting Electronically at:

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Meeting ID: 837 5119 6914

Passcode: 668890

A G E N D A
REGULAR MEETING OF THE GOVERNING BOARD
OF THE GOLETA SANITARY DISTRICT
A PUBLIC AGENCY

One William Moffett Place
Goleta, California 93117

January 17, 2022

CALL TO ORDER: 6:30 p.m.

ROLL CALL OF MEMBERS

BOARD MEMBERS: Steven T. Majoewsky
George W. Emerson
Sharon Rose
Edward Fuller
Jerry D. Smith

CONSIDERATION OF THE MINUTES OF THE BOARD MEETING

The Board will consider approval of the Minutes of the Regular Meeting of January 3, 2022.

PUBLIC COMMENTS - Members of the public may address the Board on items within the jurisdiction of the Board.

POSTING OF AGENDA – The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District’s web site 72 hours in advance of the meeting.

BUSINESS:

1. CONSIDERATION AND ACTION REGARDING GOLETA SANITARY DISTRICT STANDING COMMITTEES AND APPOINTMENT OF GOVERNING BOARD MEMBERS TO SERVE ON DISTRICT STANDING COMMITTEES
(Board may take action on this item.)
2. CONSIDERATION AND ACTION REGARDING ATTENDANCE AT MEETINGS OF OUTSIDE AGENCIES BY GOVERNING BOARD MEMBERS
(Board may take action on this item.)
3. CONSIDERATION AND ADOPTION OF RESOLUTION CONTINUING THE CONDUCT OF MEETINGS UNDER MODIFIED BROWN ACT REQUIREMENTS
(Board may take action on this item.)

4. STATUS REPORT ON 2021 ACTION PLAN
5. CONSIDERATION OF RESOLUTION OF APPRECIATION FOR U.S. CONGRESSMAN SALUD CARBAJAL'S ONGOING SUPPORT OF SPECIAL DISTRICTS
(Board may take action on this item.)
6. GENERAL MANAGER'S REPORT
7. LEGAL COUNSEL'S REPORT
8. COMMITTEE/DIRECTOR'S REPORTS AND APPROVAL/RATIFICATION OF DIRECTOR'S ACTIVITIES
9. PRESIDENT'S REPORT
10. ITEMS FOR FUTURE MEETINGS
11. CORRESPONDENCE
(The Board will consider correspondence received by and sent by the District since the last Board Meeting.)
12. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT
(The Board will be asked to ratify claims.)

ADJOURNMENT

Any public records which are distributed less than 72 hours prior to this meeting to all, or a majority of all, of the District's Board members in connection with any agenda item (other than closed sessions) will be available for public inspection at the time of such distribution at the District's office located at One William Moffett Place, Goleta, California 93117.

MINUTES

MINUTES
REGULAR MEETING OF THE GOVERNING BOARD
GOLETA SANITARY DISTRICT
A PUBLIC AGENCY
DISTRICT OFFICE CONFERENCE ROOM
ONE WILLIAM MOFFETT PLACE
GOLETA, CALIFORNIA 93117

January 3, 2022

CALL TO ORDER: President Smith called the meeting to order at 6:30 p.m.

BOARD MEMBERS PRESENT: Jerry D. Smith, Steven T. Majoewsky, George W. Emerson, Sharon Rose (via Zoom), Edward Fuller

BOARD MEMBERS ABSENT: None

STAFF MEMBERS PRESENT: Steve Wagner, General Manager/District Engineer, Rob Mangus, Finance and Human Resources Manager/Board Secretary and Richard Battles, Legal Counsel from Howell Moore & Gough LLP, via Zoom.

OTHERS PRESENT: Larry Meyer, Director, Goleta West Sanitary District
Tom Evans, Director, Goleta Water District

APPROVAL OF MINUTES: Director Fuller made a motion, seconded by Director Emerson, to approve the minutes of the Regular Board meeting of 12/20/21. The motion carried by the following vote:

(22/01/2274)

AYES:	4	Smith, Emerson, Rose, Fuller
NOES:		None
ABSENT:		None
ABSTAIN:	1	Majoewsky

POSTING OF AGENDA: The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District's website 72 hours in advance of the meeting.

PUBLIC COMMENTS: None

BUSINESS:

1. **CONSIDERATION OF APPOINTMENT OF BOARD PRESIDENT AND PRESIDENT PRO TEM FOR CALENDAR YEAR 2022**
Mr. Wagner gave the staff report.

Director Rose made a motion, seconded by Director Emerson to appoint Director Majoewsky as Board President for the coming year.

The motion carried by the following vote:

(22/01/2275)

AYES:	5	Smith, Majoewsky, Emerson, Rose, Fuller
NOES:		None
ABSENT:		None
ABSTAIN:		None

Director Majoewsky made a motion, seconded by Director Fuller to appoint Director Emerson as Board President Pro Tem for the coming year.

The motion carried by the following vote:

(22/01/2276)

AYES:	5	Smith, Majoewsky, Emerson, Rose, Fuller
NOES:		None
ABSENT:		None
ABSTAIN:		None

2. REVIEW AND CONSIDERATION OF RECENT CHANGES TO DISTRICT'S ADMINISTRATIVE CODE

Mr. Wagner gave the staff report.

Director Majoewsky made a motion, seconded by Director Emerson to accept the updated Administrative Code and confirm it reflects the adoption of Ordinance 93 and Resolution No. 21-661.

The motion carried by the following vote:

(22/01/2277)

AYES:	5	Smith, Majoewsky, Emerson, Rose, Fuller
NOES:		None
ABSENT:		None
ABSTAIN:		None

3. STATUS REPORT ON ANNUAL WINTER STORM EMERGENCY PREPAREDNESS ACTIVITIES

Mr. Wagner reported to the Board on the preparedness activities on this presentation item, no Board action was taken.

4. GENERAL MANAGER'S REPORT

Mr. Wagner gave the report.

5. LEGAL COUNSEL'S REPORT

Mr. Battles – No report

6. COMMITTEE/DIRECTORS' REPORTS AND APPROVAL/RATIFICATION OF DIRECTORS' ACTIVITIES

Director Fuller – No report.

Director Emerson – No report.

Director Majoewsky – No report.

Director Rose – No report.

7. PRESIDENT'S REPORT

President Smith – No report.

8. ITEMS FOR FUTURE MEETINGS

No Board action was taken to return with an item.

9. CORRESPONDENCE

The Board reviewed and discussed the list of correspondence to and from the District in the agenda.

ADJOURNMENT

There being no further business, the meeting was adjourned at 7:10 p.m.

Jerry D. Smith
Governing Board President

Robert O. Mangus, Jr.
Governing Board Secretary

Steven T. Majoewsky

George W. Emerson

Sharon Rose

Edward Fuller

AGENDA ITEM #1

AGENDA ITEM: 1

MEETING DATE: January 17, 2022

I. NATURE OF ITEM

Consideration and Action Regarding Goleta Sanitary District Standing Committees and Appointment of Governing Board Members to Serve on District Standing Committees

II. BACKGROUND INFORMATION

On July 15, 2013, the District's Governing Board adopted Ordinance No. 80, which established four specified standing committees and designated the definition and main functions of each committee. Ordinance No. 80 (as amended) provides that the District shall have the following standing committees:

- Engineering Committee;
- Finance Committee;
- Personnel Committee; and
- Outreach and Public Education Committee.

Ordinance No. 80 further provides that (i) the District shall have such additional standing committees as may be established from time to time by approval of the Board, and (ii) upon establishing a new standing committee, the Board shall define the committee, designate the committee's main functions and appoint the committee members.

The current members of the District's standing committees are as follows:

	Engineering Committee	Finance Committee	Personnel Committee	Outreach & Public Education Committee
Member:	Majoewsky	Fuller	Majoewsky	Rose
Member:	Smith	Smith	Emerson	Fuller
Alternate:	Fuller	Rose	Smith	Emerson

Each year the appointment of Board members to serve on the District's standing committees is brought before the Board. Under Section 6481 of the Health and Safety Code and Section 1 of Ordinance No. 78 adopted by the Governing Board on May 21, 2012, the appointment of standing committee members requires Board action. Under Section 6 of Resolution No. 13-558 adopted by the Governing Board on September 3, 2013, the term of Directors appointed to serve on District standing committees expires one year after the date of the appointment unless the Board takes action to extend the term or unless a different term is stated at the time of the appointment.

Under the regulations of the Fair Political Practices Commission (FPPC), Directors may vote on their appointment to a committee of the District, so long as FPPC form 806 is posted on the District's website. The form is required to be

posted before the Board votes on any appointments and must be updated after the vote to identify the individuals that were elected to serve on the committee(s). Since the District's FPPC Form 806 listing the current committees is posted on the District's website, Directors may vote on their appointment.

III. COMMENTS AND RECOMMENDATIONS

It is recommended that the Board decide whether any new standing committees will be created or any existing standing committees will be eliminated, and then decide on standing committee appointments. The term of any approved committee appointments will be one year, unless a different term is stated by the Board at the time of the appointment. President Majoewsky is recommending the following committee appointments (no change):

	Engineering Committee	Finance Committee	Personnel Committee	Outreach & Public Education Committee
Member:	Majoewsky	Fuller	Majoewsky	Rose
Member:	Smith	Smith	Emerson	Fuller
Alternate:	Fuller	Rose	Smith	Emerson

IV. REFERENCE MATERIAL

None

AGENDA ITEM #2

AGENDA ITEM: 2

MEETING DATE: January 17, 2022

I. NATURE OF ITEM

Consideration and Action Regarding Attendance at Meetings of Outside Agencies by Governing Board Members

II. BACKGROUND INFORMATION

Historically, the Board assigns individual Board members to attend certain local agencies' governance meetings in order to keep the Board informed of the activities of these local agencies. The payment of compensation and expenses for attendance by assigned Board members to regular or rescheduled governance meetings of the Goleta West Sanitary District and the Goleta Water District is authorized by Resolution Numbers 13-558, 15-586 and 15-587. The list of pre-approved Director activities is included in Sections 2-1.6.2 and 2-1.7.2 of the District's Administrative Code. These assignments are typically made in the beginning of the calendar year after the incoming Board President is seated. In accordance with Resolution No. 13-558, absent any action of the Board, these assignments terminate after twelve months.

On February 17, 2021, the Board voted on the following assignments:

AGENCY	REPRESENTATIVE	ALTERNATE
Goleta West Sanitary District	Director Majoewsky	Director Smith
Goleta Water District	Director Fuller	Director Rose

III. COMMENTS AND RECOMMENDATIONS

Under the current version of Section 18702.5 of the Fair Political Practices Commission's (FPPC) regulations, the following matters are deemed to not have a personal financial effect on a Director:

- The payment of any travel expenses, to the extent allowed by law, incurred while attending meetings as an authorized representative of the District.
- State stipends received for attendance at meetings of any group or body created by law or formed by the District for a special purpose, so long as the District posts an FPPC form 806.

Should the Board elect to continue outside agency appointments, directors may vote on their appointment since the District has posted its FPPC form 806 listing the outside agency appointments.

As noted above, and by action of the Board, the assignments for meeting attendance of the above agencies will expire on February 17, 2022. As such, the Board may wish to address this issue and act as needed.

IV. REFERENCE MATERIALS

Resolution No. 13-558

Resolution No. 15-586

Resolution No. 15-587

RESOLUTION NO. 13-558

**RESOLUTION OF THE GOVERNING BOARD OF THE GOLETA
SANITARY DISTRICT REPEALING RESOLUTION NO. 12-550 AND
SETTING FORTH REVISED POLICIES PERTAINING TO DIRECTOR
MEETING ATTENDANCE AND COMPENSATION**

WHEREAS, on December 3, 2012, the Governing Board (the “Board”) of the Goleta Sanitary District (the “District”) adopted Resolution No. 12-550 to set forth the policies of the District pertaining to the payment of compensation and to address other issues when members of the District’s Board (“Directors”) (i) attend regular and special meetings of the District’s Board, meetings of District standing committees and ad hoc committees, and meetings of other agencies, associations and organizations at which matters that affect the District are to be discussed and/or acted upon, (ii) serve on boards and committees of various associations and organizations, and (iii) engage in other activities that contribute to their effectiveness as Directors and/or benefit the District (collectively, “Director Activities”); and

WHEREAS, The Board desires to repeal Resolution No. 12-550 and replace it with this Resolution in order to (i) add City of Goleta City Council meetings, Goleta West Sanitary District (“GWSD”) Governing Board meetings and Goleta Water District (“GWD”) Board of Directors meetings to the list of pre-approved Director Activities, (ii) adopt a new requirement that any Director who wishes to continue engaging in a previously approved Director Activity shall be responsible for bringing the matter to the Board for consideration and action before the term of the Board approval expires, and (iii) provide for the authorization of the Board President to appoint Directors to attend meetings of other agencies, associations and organizations and serve on boards and committees of associations and organizations.

NOW, THEREFORE, BE IT RESOLVED by the Governing Board of the Goleta Sanitary District as follows:

1. **Purpose.** This Resolution sets forth the policies of the District pertaining to the payment of compensation and addresses other issues relating to Director Activities. The Board may deviate from these policies on a case-by-case basis to address specific circumstances as may be determined by the Board. When approving Director Activities, the Board may impose such requirements, restrictions and limitations as it deems appropriate.

2. **Authorized Compensation.** Directors shall be compensated for meeting attendance associated with Director Activities where the compensation is (i) specifically

authorized by the Board on a case-by-case basis, or (ii) included in the table of pre-approved Director Activities set forth below. In the event a Board or committee meeting of the California Special Districts Association (CSDA), the California Sanitation Risk Management Authority (CSRMA), or the California Association of Sanitation Agencies (CASA) is held as part of a larger conference or event for which the District has authorized attendance, but where the District does not pay compensation, the District will not pay compensation for attending said Board or committee meeting.

PRE-APPROVED DIRECTOR ACTIVITIES	
<u>Meeting</u>	<u>Authorized Directors</u>
<u>GSD Governing Board:</u> <ul style="list-style-type: none"> • Regular Board meetings • Special Board meetings 	All Directors
<u>GSD Committees:</u> <ul style="list-style-type: none"> • Standing committee meetings • Ad hoc committee meetings 	Directors appointed as committee members, and Directors appointed as alternates when attending committee meetings in the absence of a regular committee member
<u>Santa Barbara Chapter of CSDA:</u> <ul style="list-style-type: none"> • Executive Board meetings 	As approved by the District Board or (if authorized) by the Board President
<u>CSDA:</u> <ul style="list-style-type: none"> • Board of Directors meetings • Legislative Committee meetings 	As approved by the District Board or (if authorized) by the Board President
<u>CSRMA:</u> <ul style="list-style-type: none"> • Board of Directors meetings 	As approved by the District Board or (if authorized) by the Board President
<u>CASA:</u> <ul style="list-style-type: none"> • Board of Directors meetings • Legislative Committee meetings 	As approved by the District Board or (if authorized) by the Board President
<u>City of Goleta City Council meetings:</u> <ul style="list-style-type: none"> • Regular meetings and special meetings held in lieu of regular meetings 	As approved by the District Board or (if authorized) by the Board President
<u>GWSD Governing Board meetings:</u> <ul style="list-style-type: none"> • Regular meetings and special meetings held in lieu of regular meetings 	As approved by the District Board or (if authorized) by the Board President
<u>GWD Board of Directors meetings:</u> <ul style="list-style-type: none"> • Regular meetings and special meetings held in lieu of regular meetings 	As approved by the District Board or (if authorized) by the Board President

3. Rate of Compensation. Where compensation is authorized under this Resolution for meeting attendance associated with Director Activities, such compensation shall be at the rate periodically established by ordinance of the Board in accordance with Health & Safety Code Section 6489.

4. Maximum Compensation. The maximum compensation a Director is entitled to receive is as follows:

- a. **Daily.** The maximum number of Director Activities that a Director shall be compensated for per day is one (1).
- b. **Monthly.** The maximum number of Director Activities that a Director shall be compensated for in a calendar month is six (6).

5. **Board Compensation Request Forms.** In order to receive compensation which is authorized under this Resolution for meeting attendance associated with a Director Activity, Directors shall submit to District Staff a completed Governing Board Compensable Meeting Attendance Sheet within four (4) weeks of the Director Activity in question. Completed Governing Board Compensable Meeting Attendance Sheet must be submitted by noon on the Wednesday immediately prior to the District's next regular payday in order for compensation to be paid on such payday.

6. **Restrictions and Procedures.** Without the express prior authorization of the Board, no Director shall, as a representative of the District, engage in Director Activities or speak on behalf of the Board. In the event the Board approves a Director Activity that involves the election or appointment of the Director to serve as a board or committee member of an association or organization, such Board approval shall expire at the end of the then current term of such board or committee position, unless withdrawn earlier by the Board as provided below. All other Board approvals relating to Director Activities shall expire one year after the approval is granted unless the Board takes action to extend the term of the approval or unless a different term is stated at the time of approval. Approvals may be granted or withdrawn at any time by action of the Board. Any Director who wishes to continue engaging in a previously approved Director Activity shall be responsible for bringing the matter to the Board for consideration and action before the term of the Board approval, as provided above, expires. Unless specifically authorized by the Board in advance or ratified after the fact, no compensation or expenses shall be paid following expiration or withdrawal of such Board approval. For purposes of this Section 6, a Director shall be deemed to be acting as a representative the District when engaging in a Director Activity if (i) the District pays any compensation or expenses in connection with the Director Activity, or (ii) the Director Activity involves the election or appointment of the Director to serve as a board or committee member of an association or organization and such association or organization requires the approval of the Board in connection with the election or appointment. In order to avoid conflicts of interest, the Board may on a case by case basis delegate to the Board President the authority to appoint individual Directors to attend meetings of other agencies, associations and organizations and serve on boards and committees of associations and organizations.

7. **Report Requirement.** Directors shall provide brief reports on meetings attended at the expense of the District at the next regular meeting of the Board.

8. **Repeal of Resolution No. 12-550.** Resolution No. 12-550 is hereby repealed and is superseded by this Resolution.

PASSED AND ADOPTED this 3rd day of September, 2013, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES: Smith, Fox, Carter, Emerson, Rose

NOES: None

ABSENT: None

ABSTAIN: None

COPY


Jerry D. Smith,
President of the Governing Board

Countersigned:
COPY


Robert O. Mangus, Jr.,
Secretary of the Governing Board

RESOLUTION NO. 15-586

**RESOLUTION OF THE GOVERNING BOARD OF THE
GOLETA SANITARY DISTRICT AMENDING RESOLUTION
NO. 13-558 TO REVISE TABLE OF DIRECTOR ACTIVITIES
FOR WHICH COMPENSATION IS PRE-APPROVED**

WHEREAS, on September 3, 2013, the Governing Board (the “Board”) of the Goleta Sanitary District (the “District”) adopted Resolution No. 13-558 to set forth the policies of the District pertaining to the payment of compensation and to address other issues when members of the District’s Board (“Directors”) (i) attend regular and special meetings of the District’s Board, meetings of District standing committees and ad hoc committees, and meetings of other agencies, associations and organizations at which matters that affect the District are to be discussed and/or acted upon, (ii) serve on boards and committees of various associations and organizations, and (iii) engage in other activities that contribute to their effectiveness as Directors and/or benefit the District (collectively, “Director Activities”);

WHEREAS, Section 3 of Resolution No. 13-558 was amended by Resolution No. 14-579 on August 4, 2014 to set forth the procedures to be followed in the event the Board wishes to increase the compensation payable to Directors in connection with Director Activities;

WHEREAS, Section 2 of Resolution No. 13-558 sets forth a table of Director Activities for which the payment of compensation has been pre-approved; and

WHEREAS, the Board desires to revise the table under Section 2 of Resolution No. 13-558 to delete attendance at Goleta City Council meetings from the list of pre-approved Director Activities.

NOW, THEREFORE, BE IT RESOLVED by the Governing Board of the Goleta Sanitary District as follows:

1. **Pre-Approved Director Activities**. The table of pre-approved Director Activities set forth under Section 2 of Resolution No. 13-558 is hereby deleted in its entirety and is replaced with the following:

PRE-APPROVED DIRECTOR ACTIVITIES	
<u>Meeting</u>	<u>Authorized Directors</u>
<u>GSD Governing Board:</u> <ul style="list-style-type: none">• Regular Board meetings• Special Board meetings	All Directors
<u>GSD Committees:</u> <ul style="list-style-type: none">• Standing committee meetings• Ad hoc committee meetings	Directors appointed as committee members, and Directors appointed as alternates when attending committee meetings in the absence of a regular committee member

<u>Santa Barbara Chapter of CSDA:</u> • Executive Board meetings	As approved by the District Board or (if authorized) by the Board President
<u>CSDA:</u> • Board of Directors meetings • Legislative Committee meetings	As approved by the District Board or (if authorized) by the Board President
<u>CSRMA:</u> • Board of Directors meetings	As approved by the District Board or (if authorized) by the Board President
<u>CASA:</u> • Board of Directors meetings • Legislative Committee meetings	As approved by the District Board or (if authorized) by the Board President
<u>GWSD Governing Board meetings:</u> • Regular meetings and special meetings held in lieu of regular meetings	As approved by the District Board or (if authorized) by the Board President
<u>GWD Board of Directors meetings:</u> • Regular meetings and special meetings held in lieu of regular meetings	As approved by the District Board or (if authorized) by the Board President

2. **Continued Effect.** Except as specifically amended herein, all of the terms and provisions of Resolution No. 13-558, as previously amended by Resolution No. 14-579, shall continue in full force and effect.

PASSED AND ADOPTED this 2nd day of February, 2015, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES: Emerson, Smith, Majoewsky

NOES: Rose, Fox

ABSENT: None

ABSTAIN: None

COPY

 George W. Emerson,
 President of the Governing Board

Countersigned:
COPY

 Robert O. Mangus, Jr.
 Secretary of the Governing Board

RESOLUTION NO. 15-587

**RESOLUTION OF THE GOVERNING BOARD OF THE GOLETA
SANITARY DISTRICT AMENDING RESOLUTION NO. 12-549 AND
REPEALING RESOLUTION NO. 14-564 TO REVISE TABLE OF DIRECTOR
ACTIVITIES FOR WHICH EXPENSES ARE PRE-APPROVED**

WHEREAS, on December 3, 2012, the Governing Board (the “Board”) of the Goleta Sanitary District (the “District”) adopted Resolution No. 12-549 to set forth the policies of the District pertaining to the payment of expenses when members of the District’s Board (the “Directors”) (i) attend regular and special meetings of the District’s Board, meetings of District standing committees and ad hoc committees, and meetings of other agencies, associations and organizations at which matters that affect the District are to be discussed and/or acted upon, (ii) serve on boards and committees of various associations and organizations, and (iii) engage in other activities that contribute to their effectiveness as Directors and/or benefit the District (collectively, “Director Activities”);

WHEREAS, Section 3 of Resolution No. 12-549 sets forth a table of Director Activities for which the payment of expenses has been pre-approved;

WHEREAS, the table under Section 3 of Resolution No. 12-549 was most recently revised by Resolution No. 14-564 on April 7, 2014; and

WHEREAS, the Board desires to further revise the table under Section 3 of Resolution No. 12-549 to delete attendance at Goleta City Council meetings from the list of pre-approved Director Activities.

NOW, THEREFORE, BE IT RESOLVED by the Governing Board of the Goleta Sanitary District as follows:

1. Pre-Approved Director Activities. The table of pre-approved Director Activities set forth under Section 3 of Resolution No. 12-549, as previously amended, is hereby deleted in its entirety and is replaced with the following:

PRE-APPROVED DIRECTOR ACTIVITIES	
<u>Meeting</u>	<u>Authorized Directors</u>
<u>GSD Governing Board:</u> <ul style="list-style-type: none">• Regular Board meetings• Special Board meetings	<ul style="list-style-type: none">• All Directors
<u>GSD Committees:</u> <ul style="list-style-type: none">• Standing committee meetings• Ad hoc committee meetings	<ul style="list-style-type: none">• Directors appointed as committee members, and Directors appointed as alternates when attending committee meetings in the absence of a regular committee member

<u>Santa Barbara Chapter of CSDA (SBCSDA):</u> <ul style="list-style-type: none"> Regular meetings of members 	<ul style="list-style-type: none"> All Directors
<u>SBCSDA:</u> <ul style="list-style-type: none"> Executive Board meetings 	<ul style="list-style-type: none"> As approved by the District Board or (if authorized) by the Board President
<u>State CSDA:</u> <ul style="list-style-type: none"> Board of Directors meetings Legislative Committee meetings 	<ul style="list-style-type: none"> As approved by the District Board or (if authorized) by the Board President
<u>State CSDA:</u> <ul style="list-style-type: none"> Annual conference 	<ul style="list-style-type: none"> The Board President or an alternate Director designated by the Board President Directors who serve as a member of the Board of Directors or as a member of any committee of CSDA at the State level Newly elected or appointed Directors during the first 12 months in office
<u>State CSDA:</u> <ul style="list-style-type: none"> Legislative Days 	<ul style="list-style-type: none"> The Board President or an alternate Director designated by the Board President Directors who serve as a member of the Legislative Committee of CSDA at the State level Newly elected or appointed Directors during the first 12 months in office
<u>CSRMA:</u> <ul style="list-style-type: none"> Board of Directors meetings 	<ul style="list-style-type: none"> As approved by the District Board or (if authorized) by the Board President
<u>CASA:</u> <ul style="list-style-type: none"> General membership conferences in January and August of each year 	<ul style="list-style-type: none"> All Directors
<u>CASA:</u> <ul style="list-style-type: none"> Annual Washington DC conference Annual Spring conference 	<ul style="list-style-type: none"> Board President or an alternate Director designated by the Board President Newly elected or appointed Directors during the first 12 months in office
<u>CASA:</u> <ul style="list-style-type: none"> Board of Directors meetings Legislative Committee meetings 	<ul style="list-style-type: none"> As approved by the District Board or (if authorized) by the Board President

<p><u>Water Environment Federation:</u></p> <ul style="list-style-type: none"> • Annual Technical Exhibition and Conference (WEFTEC) 	<ul style="list-style-type: none"> • All Directors, but only where the event is held within driving distance and does not involve an overnight stay, in which case one day's attendance is pre-approved • Newly elected or appointed Directors during the first 12 months in office, but not including attendance at technical workshops
<p><u>GWSD Governing Board meetings:</u></p> <ul style="list-style-type: none"> • Regular meetings and special meetings held in lieu of regular meetings 	<p>As approved by the District Board or (if authorized) by the Board President</p>
<p><u>GWD Board of Directors meetings:</u></p> <ul style="list-style-type: none"> • Regular meetings and special meetings held in lieu of regular meetings 	<p>As approved by the District Board or (if authorized) by the Board President</p>

2. **Continued Effect.** Except as specifically amended herein, all of the terms and provisions of Resolution No. 12-549 shall continue in full force and effect.

3. **Repeal of Resolution No. 14-564.** Resolution No. 14-564 is hereby repealed and is superseded by this Resolution.

PASSED AND ADOPTED this 2nd day of February, 2015, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES: Emerson, Smith, Majoewsky

NOES: Rose, Fox

ABSENT: None

ABSTAIN: None

COPY

 George W. Emerson
 President of the Governing Board

Countersigned.
COPY

 Robert O. Mangus, Jr.
 Secretary of the Governing Board

AGENDA ITEM #3

AGENDA ITEM: 3

MEETING DATE: January 17, 2022

I. NATURE OF ITEM

Consideration and Adoption of Resolution Continuing the Conduct of Meetings Under Modified Brown Act Requirements

II. BACKGROUND INFORMATION

In 1953 the Ralph M. Brown Act (California Government Code Sections 54950-54963, commonly referred to as the Brown Act) was passed to guarantee the public's right to attend and participate in the meetings of local legislative bodies.

On March 19, 2020 California Governor Gavin Newsom issued a number of executive orders, including a stay-at-home order for the protection of the health and well-being of all Californians. To lessen the anticipated economic impact to workers who get sick, executive order N-25-20 was issued by Governor Newsom on March 12, 2020 mandating a number of measures, including temporarily loosening the requirements of the Brown Act that apply when local government entities (including the District) hold virtual remote meetings. Executive order N-08-21 rescinded those modifications to the Brown Act as of September 30, 2021.

On September 21, 2021 the Governor signed AB 361 into law, which allows local governments flexibility to conduct remote or hybrid in-person and remote meetings without some of the constraints of doing so under the Brown Act. The criteria were enumerated during the District's October 7, 2021 board meeting.

On October 7, 2021 the Board adopted Resolution No. 21-669 allowing meetings of the District's legislative bodies to be conducted under the modified Brown Act requirements. The adoption of the resolution permits meetings under the provisions of AB 361 for a maximum period of 30 days. On November 1, 2021 the Board adopted Resolution No. 21-670, extending the provisions of AB 361, which expired on December 1, 2021. On November 20, 2021 the Board adopted Resolution No. 21-671, extending the provisions of AB 361, which expired on December 20, 2021. On December 20, 2021 the Board adopted Resolution No. 21-674, extending the provisions of AB 361 until January 19, 2022. If a state of emergency remains active, a local agency may continue to hold meetings by teleconferencing under AB 361 by passing a subsequent resolution by majority vote finding that (i) the Board has reconsidered the circumstances of the state of emergency, and (ii) any of the following circumstances exist:

- The state of emergency continues to directly impact the ability of the members to meet safely in person.
- State or local officials continue to impose or recommend measures to promote social distancing.

The Santa Barbara County Health Department's requirement to wear masks indoors and the recommendation to maintain social distancing are still in place through February 1, 2022, at which time the Health Department will evaluate whether or not to extend the indoor mask order and social distancing measures.

AB 361 will sunset on January 1, 2024 if no changes have been made, or if it has not been extended or made permanent.

III. COMMENTS AND RECOMMENDATIONS

The Board should consider if it wishes to maintain the ability to continue to meet remotely by teleconference, or hold hybrid meetings under AB 361. If not, then no action is required at this time, in which case Resolution No. 21-674 will expire on January 19, 2022 and holding of meetings by teleconferencing will be subject to the more restrictive Brown Act requirements that predate AB 361. If the Board desires to have the option to continue to meet remotely due to the ongoing COVID-19 emergency, staff recommends the Board adopt Resolution No. 22-675 pursuant to AB 361 as described herein. The new resolution will allow the District to meet under the AB 361 requirements through February 16, 2022.

IV. REFERENCE MATERIALS

Resolution No. 22-675

RESOLUTION NO. 22-675

A RESOLUTION OF THE GOLETA SANITARY DISTRICT AUTHORIZING CONTINUATION OF REMOTE TELECONFERENCE MEETINGS OF THE DISTRICT'S LEGISLATIVE BODIES PURSUANT TO GOVERNMENT CODE SECTION 54953(e) FOR THE PERIOD JANUARY 17, 2022 TO FEBRUARY 16, 2022

WHEREAS, all meetings the Goleta Sanitary District's Governing Board, standing committees, and other legislative bodies are open and public, as required by the Ralph M. Brown Act (Cal. Gov. Code 54950 – 54963), so that any member of the public may attend, participate, and watch the District's legislative bodies conduct their business; and

WHEREAS, Government Code section 54953(e) makes provisions for meetings to be conducted by remote teleconferencing without compliance with the requirements of Government Code section 54953(b)(3), subject to the existence of certain conditions; and

WHEREAS, a required condition for conducting meetings using teleconferencing under Government Code section 54953(e) is that a state of emergency has been declared by the Governor pursuant to Government Code section 8625; and

WHEREAS, it is further required as a condition for conducting meetings using teleconferencing under Government Code section 54953(e) that (i) state or local officials have imposed or recommended measures to promote social distancing, or (ii) meeting in person would present imminent risks to the health and safety of attendees; and

WHEREAS, the Governing Board adopted Resolution No. 21-669 on October 7, 2021 finding that the requisite conditions existed for the Governing Board and other legislative bodies of the Goleta Sanitary District to conduct remote teleconference meetings without compliance with Government Code section 54953(b)(3); and

WHEREAS, the Governing Board adopted Resolution No. 21-670 on November 1, 2021 finding that the requisite conditions existed for the Governing Board and other legislative bodies of the Goleta Sanitary District to conduct remote teleconference meetings without compliance with Government Code section 54953(b)(3); and

WHEREAS, the Governing Board adopted Resolution No. 21-671 on November 20, 2021 finding that the requisite conditions existed for the Governing Board and other legislative bodies of the Goleta Sanitary District to conduct remote teleconference meetings without compliance with Government Code section 54953(b)(3); and

WHEREAS, the Governing Board adopted Resolution No. 21-674 on December 20, 2021 finding that the requisite conditions existed for the Governing Board and other legislative bodies of the Goleta Sanitary District to conduct remote teleconference meetings without compliance with Government Code section 54953(b)(3); and

WHEREAS, as a condition of extending the use of the provisions found in Government Code section 54953(e), the Governing Board must find that (i) it has reconsidered the circumstances of the state of emergency, and (ii) any of the following circumstances exist:

- The state of emergency continues to directly impact the ability of the members to meet safely in person.
- State or local officials continue to impose or recommend measures to promote social distancing; and

WHEREAS, the state of emergency that was declared by Governor Newsom on March 4, 2020 due to COVID-19 remains active and in effect as of the date of this Resolution; and

WHEREAS, local officials continue to impose or recommend measures to promote social distancing in that, on September 28, 2021, the Santa Barbara County Health Department issued a document entitled “Health Officials AB 361 Social Distance Recommendation” which remains in effect as of the date of this Resolution and which states, in part, as follows:

Using teleconferencing options for public meetings in an effective and recommended social distancing measure to facilitate public participation in public affairs and encourage participants to protect themselves and others from the COVID-19 disease. This recommendation is further intended to satisfy the requirement of the Brown Act (specifically Gov’t Code Section 54953(e)(1)(A)), which allows legislative bodies in the County of Santa Barbara to use certain available teleconferencing options set forth in the Brown Act.

NOW, THEREFORE, IT IS HEREBY RESOLVED by the Governing Board of the Goleta Sanitary District, as follows:

1. Findings. The Governing Board hereby finds that (i) it has reconsidered the circumstances of the state of emergency declared by Governor Newsom on March 4, 2020 and has determined that such state of emergency remains active, (ii) local officials continue to impose or recommend measures to promote social distancing, and (iii) the legislative bodies of the District are authorized to continue conducting meetings using teleconferencing under Government Code section 54953(e) without compliance with Government Code section 54953(b)(3).

2. **Remote Teleconference Meetings.** The General Manager and staff of the District are hereby authorized and directed to take all actions necessary to carry out the intent and purpose of this Resolution including, continuing to conduct open and public meetings in accordance with Government Code section 54953(e) and other applicable provisions of the Brown Act.

3. **Public Access.** The legislative bodies of the District shall comply with the requirements to provide the public with access to meetings as prescribed in Government Code Section 54953(e)(2).

4. **Effective Date of Resolution.** This Resolution shall take effect on January 17, 2022, and shall expire on February 16, 2022 unless, on or before that date and every thirty (30) days thereafter, the Governing Board adopts a subsequent resolution setting forth the findings required by Government Code Section 54953(e)(3) to extend the time during which the legislative bodies of the District may continue to teleconference without compliance with Government Code Section 54953(b)(3).

PASSED AND ADOPTED this 17th day of January, 2022, by the following vote of the Governing Board of the Goleta Sanitary District:

AYES:

NOES:

ABSENT:

ABSTAIN:

Steven T. Majoewsky
President of the Governing Board

Countersigned:

Robert O. Mangus, Jr.,
Secretary of the Governing Board

AGENDA ITEM #4

AGENDA ITEM: 4

MEETING DATE: January 17, 2022

I. NATURE OF ITEM

Status Report on 2021 Action Plan

II. BACKGROUND INFORMATION

The District's Governing Board held an annual planning meeting on Wednesday, March 24, 2021 at Pacifica Suites. At this meeting, the Board reviewed the District's 2020 Annual Report along with the prior year and year-to-date financial information. A preliminary draft Action Plan for 2021 was developed based on this information that included 25 separate goals and 79 actions related to the District's Strategic Plan.

On April 5, 2021 the 2021 Action Plan Summary was approved by the Board and staff was directed to provide quarterly status reports on its progress. As such, a status report on 2021 Action Plan is presented herein for Board consideration.

III. COMMENTS AND RECOMMENDATIONS

Out of the 79 actions included in the 2021 Action Plan, 37 have been completed or are in progress. Some actions have been delayed due to COVID-19. The remaining actions are scheduled for completion by the end of the fiscal year and/or will be incorporated into the 2022 Annual Action Plan as appropriate.

This report is for informational purposes only. As such no Board action is required.

IV. REFERENCE MATERIALS

2021 Action Plan Status Report, dated January 17, 2022

**GOLETA SANITARY DISTRICT
2021 ACTION PLAN STATUS REPORT
January 17, 2022**

		Original Timeline	Current Status	Estimated Completion	Remarks
Goal #1 Implement Long-Range CIP Master Plan Projects					
1	Update CS CIP based on updated CCTV inspections	Q3 2021	In Progress	Q2 2022	
2	Complete PS&E of Lift Station Rehabilitation Project	Q2 2021	Complete	Q4 2022	100% design drawings completed
3	Board approval of CEQA and PS&E	Q2 2021	Complete	Q3 2021	Board approval of CEQ and PS&E in July 2021
4	Put project out to bid	Q3 2021	Complete	Q4 2021	Project put out to bid on 10/6/21
5	Initiate construction of Lift Station Rehabilitation Project	Q4 2021	In Progress	Q4 2021	Construction contract awarded on December 20, 2021
Goal #2 Implement BESP Phase 1 Improvements					
6	Complete final design and environmental review of BESP Phase 1 Improvements	Q4 2021	In Progress	Q 2022	90% design plans submitted for review
7	Board approval of CEQA and PS&E	Q4 2021		Q2 2022	
8	Determine construction timeline	Q4 2021		Q2 2022	
9	Obtain regulatory permits	Q1 2022		Q2 2022	
10	Put project out to bid	Q2 2022		Q3,2022	
11	Integrate BESP improvements into LRMP	Q1 2022		Q1 2022	

Goal #3 Implement Lystemize Refeed Pilot Project					
12	Implement refeed test procedures and collect relevant data	Q2 2021	In Progress	Q2 2022	Final phase of testing using TWAS underway. Estimated completion by 3/1/22
13	Board consideration of proforma and potential for long term use	Q4 2021		Q2 2022	
14	Update LRMP as needed	Q4 2021		Q2 2022	
Goal #4 Complete Planned Office Space Improvements					
15	Complete office space improvements in staff kitchen area	Q3 2021	Complete	Q3 2021	Office area improvements completed in September 2021.
Goal #5 Investigate Reclamation Facility Filter Improvements					
16	Implement recommended filter improvements	Q4 2021	Complete	Q4 2021	New chemicals in use and new dosing pumps installed
Goal #6 Initiate Review/Conceptual Engineering of Onsite Water Reuse Facility					
17	Prepare and issue RFQ for conceptual engineering analysis	Q3 2021		Q2 2022	Activity delayed due to to other projects. To be incorporated into 2022 action plan
18	Board consideration of recommended consultant team	Q3 2021		Q2 2022	" "
19	Completion of conceptual engineering study	Q1 2022		Q3 2022	" "
20	Board consideration of study findings	Q1 2022		Q3 2022	" "
Goal #7 Update CIP Storyboard and post on District website					
21	Create new CIP sheets for BESP projects and other new LRMP projects	Q3 2021	In Progress	Q2 2022	
22	Update CIP storymap and link to District Website	Q4 2021		Q2 2022	
Goal #8 Adopt and Implement Capacity Exceedance Policy					
23	Complete outreach to affected users and schedule public hearing on proposed policy	Q4 2021		Q1 2022	
24	Board consideration of Capacity Exceedance Policy	Q4 2021		Q1 2022	
25	Implement policy as directed by Board	Q1 2022		Q2 2022	

Goal #9 Conduct rate study based on results from CASA's Flow & Loadings Study					
26	Continue participation in CASA F&L study	Ongoing	In Progress	Ongoing	
27	Prepare RFQ/P for selection of rate study consultant	Q4 2021		Q3 2022	Initiation of rate study based on CASA F&L data postponed due to CV-19 pandemic
28	Board consideration of rate study consultant	Q4 2021		Q3 2022	" "
29	Conduct rate study based on results of CASA F&L study	Q1 2022		Q4 2022	" "
30	Board consideration of rate study	Q2 2021		Q4 2022	" "
31	Board adoption new rate structure	Q2 2022		Q4 2022	" "
Goal #10 consider alternative project delivery and finance options for future capital projects					
32	Research alternative project delivery and finance options for future capital projects	Q4 2021	In Progress		Finance Committee presentation on alternative project delivery method using GC4217 held on 10/11/21
33	Board consideration of alternative project delivery and finance options for future capital projects	Q1 2022	In Progress		Board presentation on alternative project delivery methods scheduled for February 2022.
Goal #11 Retain Platinum Level District of Distinction Recognition from CSDA					
34	Complete DOD training and tasks as required	Q3 2021	Complete	Q4 2021	
35	Complete and submit DOD application to CSDA prior to deadline for consideration	Q3 2021	Complete	Q1 2022	DOD application submitted January 5, 2022.
Goal #12 Improve collaboration with partner agencies					
36	Schedule meetings with UCSB on existing and future energy sustainability efforts	Q4 2021		Q1 2022	
37	Schedule meetings with GWD and COG on expanded rec water/reuse	Q4 2021		Q2 2022	Delayed due to other pending projects
38	Schedule meetings with SBMA on pretreatment proposal	Q2 2021	Complete	Q4 2021	Several meetings with SBMA staff held.
39	Meet with remaining contract entities to consider approval of Multi-Jurisdictional Agreements (MJA)	Q4 2021	In Progress	Q1 2022	Meetings with SB, UCSB and County ongoing

Goal #13 Implement District Based Elections Pursuant to the CVRA					
40	Obtain 2020 Census demographic data	Q3 2021	Complete	Q3 2021	Final set of census data obtained in September 2021
41	Conduct public hearings to gather public input on voting district areas	Q4 2021	Complete	Q4 2022	Public hearings scheduled for November 1 & 20, 2021
42	Prepare draft voting district maps	Q4 2021	In Progress	Q1 2022	Draft maps based on initial public meetings and census data being prepared
43	Conduct public hearings on proposed voting district areas	Q1 2022		Q1 2022	Second round of public meetings on draft district maps scheduled for March 2022.
44	Adopt voting district areas and send information to County in time for 2022 election	Q4 2022		Q2 2022	Revised due date April 15, 2022.
Goal #14 Implement Effective Utility Management Practices					
49	Prioritize and initiate implementation of identified EUM practices	Q1 2022		Q1 2022	
Goal #15 Conduct tri-annual Board self-assessment					
50	Conduct Board self-assessment	Q4 2021		Q2 2022	Activity postponed due to CV-19 pandemic
51	Board consideration of self-assessment results & recommendations	Q4 2021		Q3 2022	" "
Goal #16 Maintain certification as Santa Barbara County Green Business					
52	Review green business certification criteria to ensure compliance	Q4 2021	Complete	Q4 2021	Criteria reviewed
53	Submit application if required to renew/maintain certification	Q1 2022	In progress	Q1 2022	
54	Continue to support and participate in SBC Green Business program	Ongoing		Ongoing	
Goal #17 Initiate Development of District Wide Resiliency Plan					
59	Prepare draft Resiliency Plan	Q1 2022	In Progress	Q3 2022	
60	Board consideration of draft Resiliency Plan	Q1 2022		Q3 2022	

Goal #18 Develop Climate Adaptation and Business Continuity Plans					
61	Select consultant to assist with preparation of Climate Adaptation Plan	Q2 2021	Complete	Q2 2021	
62	Prepare Draft Climate Adaptation Plan	Q4 2021	In Progress	Q1 2022	
63	Prepare Draft Business Continuity Plan	Q3 2021	In Progress	Q3 2021	
64	Board Consideration of Draft Climate Adaptation and Business Continuity Plans	Q4 2021		Q1 2022	
Goal #19 Implement annual outreach program activities					
65	Review annual outreach program with Board Outreach Committee	Q2 2021	Complete	Q1 2021	
66	Board consideration of annual outreach program	Q2 2021	Complete	Q1 2021	
Goal #20 Develop outreach program for transition to District Elections					
67	Review of outreach plan by Board Outreach Committee	Q2 2021	Complete	Q2 2021	
68	Board Consideration of outreach plan for transition to district elections	Q2 2021	Complete	Q2 2021	
69	Board consideration of establishment of Citizen Advisory Board or water academy	Q4 2021		Q2 2022	
Goal #21 Implement Competency Based Training Programs					
70	Complete and implement CBT programs for CS and Operations staff	Q1 2021	In Progress	Q3 2022	Activity delayed due to CV-19 Pandemic
71	Initiate development of CBT program for Maintenance and Laboratory staff	Q2 2021		Q4 2022	" "
Goal #22 Recruit and hire Project Manager					
72	Develop position description and survey comparable positions	Q2 2021	Complete	Q2 2021	
73	Board consideration of new position	Q2 2021	Complete	Q2 2021	
74	Recruit and hire Project Manager	Q3 2021		Q2 2022	

Goal #23 Conduct 5 yr. salary and benefits survey of comparable organizations					
75	Board consideration of hiring consultant to assist with salary survey	Q2 2021	Complete	Q2 2021	Koff and Associates hired to prepare salary survey
76	Conduct salary survey of comparable organizations	Q3 2021	In Progress	Q1 2022	
77	Board consideration of survey results	Q4 2021		Q1 2022	
Goal #24 Develop succession plans for near term retirements and key staff positions					
78	Develop succession plans for near term retirements and key staff positions	Q2 2022	In progress	Q2 2022	
Goal #25 Engage new legal services firm for personnel related matters					
79	Board consideration and selection of legal services firm	Q3 2021	Complete	Q3 2021	Board approval of HR legal services firm in July 2021

AGENDA ITEM #5

AGENDA ITEM: 5

MEETING DATE: January 17, 2022

I. NATURE OF ITEM

Consideration of Resolution of Appreciation for U.S. Congressman Salud Carbajal's Ongoing Support of Special Districts

II. BACKGROUND INFORMATION

Congressman Salud Carbajal has been strong supporter and advocate for our community throughout for his entire career. As a two term Supervisor for the County of Santa Barbara, he understands the challenges that local government agencies face in obtaining the funding needed to provide their essential public services.

In 2021 Congressman Salud Carbajal's led a letter signing effort that was instrumental in getting California State leadership to allow special districts direct access to COVID-19 relief funding provided under the American Rescue Plan Act (ARPA).

The letter from Congressman Carbajal and other federal representatives sent to Governor Gavin Newsom, State Assembly Speaker Anthony Rendon, and State Senate President Pro Tem Toni Atkins highlighted the fact that while special districts provide millions of Californians with essential services including, but not limited to water, wastewater, fire protection, and healthcare, special districts were not allowed direct access to COVID-19 relief funding. ARPA, passed by Congress and signed into law, explicitly provides states transfer authority to open a portion of the Coronavirus State Fiscal Recovery Fund monies to special districts. This resulted in the District receiving over \$200,000 to help cover the costs associated with COVID-19 protection measures.

In recognition of his long-standing support of special districts, Congressman Carbajal has been selected by the California Special Districts Association as federal Legislator of the Year and will receive this recognition at the upcoming Santa Barbara County CSDA chapter meeting on January 24, 2022.

III. COMMENTS AND RECOMMENDATIONS

Charlotte Holifield, CSDA's Coastal Network Public Affairs Field Coordinator reached out to the District to see if we were interested in participating in the awards presentation to Congressman Carbajal on January 24, 2022. Staff has prepared the attached resolution of appreciation for Congressman Carbajal for his on-going support of special districts and his recent efforts in allowing the Goleta Sanitary District direct access to the ARPA COVID-19 relief funding.

IV. REFERENCE MATERIALS

Resolution No. 22-676

RESOLUTION NO. 22-676

**RESOLUTION OF THE GOVERNING BOARD OF THE
GOLETA SANITARY DISTRICT IN RECOGNITION AND
APPRECIATION OF SERVICE OF THE HONORABLE SALUD CARBAJAL**

WHEREAS, Congressman Salud Carbajal has been a life-long public servant, including (i) serving in the United States Marine Corps Reserve for 8 years, (ii) working as a Program Director for the Santa Barbara County Public Health Department, (iii) serving as Assistant Executive Director of Zona Seca, (iv) serving as the Parent Involvement Coordinator for the Head Start Program, and (v) as Program Director for the City of Santa Barbara Lower Westside Recreation Department; and,

WHEREAS, Congressman Salud Carbajal began serving as a member of the Santa Barbara County Board of Supervisors in 2004 and served continuously as a County Supervisor representing the 1st District until 2016; and,

WHEREAS, Congressman Salud Carbajal began serving as a congressman, representing California's 24th District, which includes all of San Luis Obispo and Santa Barbara Counties, and part of Ventura County from 2017 through today; and,

WHEREAS, Congressman Carbajal has always advocated for the interests of the of the South Coast, including working to promote environmental stewardship and sustainability projects in the District and elsewhere; and,

WHEREAS, Congressman Carbajal has been instrumental in securing funding for special districts left out of COVID-19 relief funding through his direct advocacy and by organizing a sign-on letter prompting support of the federal transfer authority to provide COVID-19 relief funding for special districts to the State Legislature and Governor Newsom, resulting in the allocation of thousands of dollars to special districts, including Goleta Sanitary District; and,

WHEREAS, by this Resolution, the District's Governing Board desires to recognize the many years of service and achievements of Congressman Carbajal on behalf of the California 24th District and to express its appreciation for his contributions and years of service on behalf of the District, and the South Coast.

NOW, THEREFORE, BE IT RESOLVED by the Governing Board of the Goleta Sanitary District as follows:

1. The District hereby recognizes the achievements that have been made possible through the efforts of Congressman Carbajal and expresses its appreciation and gratitude for the Congressman's contributions and years of service on behalf of the District and its constituents, and applauds the selection of Congressman Carbajal as the California Special Districts Association's Legislator of the Year honor.

2. Following the adoption hereof by the Governing Board, the Secretary of the District is hereby authorized and directed to present a copy of this Resolution to the Honorable Salud Carbajal.

PASSED AND ADOPTED this 17 day of January, 2022, by the Governing Board of the Goleta Sanitary District.

Steven T. Majoewsky
President of the Governing Board

ATTEST:

Robert O. Mangus Jr.
Secretary of the Governing Board

GENERAL MANAGER'S REPORT

GOLETA SANITARY DISTRICT GENERAL MANAGER'S REPORT

The following summary report describes the District's activities from January 4, 2022 through January 17, 2022. It provides updated information on significant activities under three major categories: Collection System, Treatment/Reclamation and Disposal Facilities, and General and Administration Items.

1. COLLECTION SYSTEM REPORT

LINES CLEANING

Staff is conducting priority lines cleaning throughout the District, as well as working on routine lines cleaning in the areas of N. Patterson Avenue at University and Parejo Drives.

CCTV INSPECTION

Staff is conducting routine Closed-Circuit Television (CCTV) inspections in the same area as the routine lines cleaning operations. Please see Repair and Maintenance for an update on the status of the CCTV Inspection truck.

GREASE AND OIL INSPECTIONS

Staff continues with the annual Grease and Oil inspections.

REPAIR AND MAINTENANCE

Staff will soon be installing a new control board in the CCTV inspection truck generator to address the intermittent shut off problem. This issue has not recently interrupted CCTV inspection operations.

The 2021-2022 emergency winter storm preparation activities are complete, staff has finished updating the mutual aid contact list. Staff will continue to update contractors' submittals as received for the District's California Uniform Public Construction Cost Accounting Act (CUPCCAA) list of qualified contractors.

2021 CCTVI PROJECT REVIEW

Staff continues work with Hazen and Sawyer on the update of the District's Asset Management Program (AMP).

2. TREATMENT, RECLAMATION AND DISPOSAL FACILITIES REPORT

Plant flows have maintained an average of 4.2 million gallons per day (MGD). The demand for reclaimed water has decreased to less than 0.5 MGD due to cooler temperatures. Flow concentrations and loadings during the weekends continue to cause intermittent challenges and various levels of plant interference. The Reclamation Disinfection Study by Hazen and Sawyer has kicked off; an initial sampling was completed on December 12, 2021 to quantify the inhibition to the treatment process and its effect on total coliform samples at various locations throughout the process. Lab results have been received and the data is in the process of being compiled. This project will help identify ways in which we may be able to augment our existing disinfection system and/or to make informed decisions to move to a different technology, such as ultra violet light (UV).

Biofilter #2 has been modified to run as a mixed reactor, having both an aerobic (with oxygen) process and a submerged anaerobic (without oxygen) process. This modification will reduce the biological loading on the activated sludge process and hopefully will reduce the inhibitory impact of cleaning chemicals entering the plant. Approximately 25% of the plant flow will be diverted through this process. We have begun to see a reduction in loading to the aeration basin resulting in less air demand.

Centrifuge and dredging operations continue in lagoon #2. Lack of commercial drivers for both our sludge hauler and chemical providers is continuing to cause disruptions to deliveries and hauling. Staff is monitoring this issue and will update the Board as needed.

The Lystek Thickened Waste Activated Sludge (TWAS) pre-treatment pilot project has been configured and tested. Samples were taken to determine the optimal combination of heat and alkali that will provide the greatest benefit with the lowest cost during the demonstration period which began on January 13, 2022 and will continue for approximately 2 months. Once the demonstration period is completed, a summary report of the results and proforma analysis will be prepared and brought to the Board.

A notice to proceed on the Influent Pump Station Rehabilitation project has been issued. This starts the submittal and procurement process for the long lead-time items. Construction of the project may not start for another 6-9 months depending on the completion of the procurement process.

A workshop on the 90% design submittal of the Biosolids and Energy Strategic Plan (BESP) Phase 1 Project was held on 1/13/22. Detailed review by the electrical contractor, Rockwell Construction Services, LLC is underway. A workshop on the environmental document is scheduled for later this month. This project is scheduled to begin construction by the end of the calendar year and be completed by the end of 2023.

A proposal for the preliminary engineering design of the BESP Phase 2 & 3 Improvements has been submitted by the Hazen team and is being review by staff.

Winter storm preparations have been completed as planned. We have now had our fourth storm of the season.

INDUSTRIAL WASTE SOURCE CONTROL PROGRAM

Wastewater Discharge Permit renewal stickers have been sent to seven Class A - Significant Industrial Users who submitted their renewal applications and fees. Twenty-nine Class B & C permits have been written and will be issued on January 18, 2022. Staff is gathering information for the 2021 Annual Pretreatment Report which is due by March 1, 2022. The 2022 Annual *Pretreatment, Pollution Prevention and Stormwater* (P3S) conference has been postponed until June, 2022 due to the recent surge in covid cases.

3. **GENERAL AND ADMINISTRATIVE ITEMS**

Financial Report

The District account balances as of January 17, 2022 shown below are approximations to the nearest dollar and indicate the overall funds available to the District at this time.

Operating Checking Accounts:	\$ 688,328
Investment Accounts:	\$ 32,778,829
Total District Funds:	\$ 33,467,158

The following transactions are reported herein for the period 12/20/21 – 01/17/22.

Regular, Overtime, Cash-outs and Net Payroll:	\$ 228,789
Claims:	\$ 471,147
Total Expenditures:	\$ 699,936
Total Deposits:	\$ 5,731,423

Transfers of funds:

LAIF to Community West Bank Operational (CWB):	\$ - 0 -
CWB Operational to CWB Money Market:	\$ 4,600,000
CWB Money Market to CWB Operational:	\$ - 0 -

The District's investments comply with the District's Investment Policy adopted per Resolution No. 16-606. The District has adequate funds to meet the next six months of normal operating expenses.

Local Agency Investment Fund (LAIF)

LAIF Monthly Statement – December, 2021

LAIF Quarterly Report – December, 2021

PMIA/LAIF Performance – December, 2021

PMIA Effective Yield – December, 2021

Community West Bank (CWB)

CWB Money Market Account – December, 2021

Deferred Compensation Accounts

CalPERS 457 Deferred Compensation Plan – December, 2021

Lincoln 457 Deferred Compensation Plan – December, 2021

Personnel Update

A verbal update will be provided at the meeting.

COVID-19 Response Plan Update

A verbal update will be provided at the meeting.

California State Treasurer
Fiona Ma, CPA



Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

January 06, 2022

[LAIF Home](#)
[PMIA Average Monthly Yields](#)

GOLETA SANITARY DISTRICT

GENERAL MANAGER
ONE WILLIAM MOFFETT PLACE
GOLETA, CA 93117

[Tran Type Definitions](#)

Account Number: 70-42-002

December 2021 Statement

Account Summary

Total Deposit:	0.00	Beginning Balance:	2,025,102.07
Total Withdrawal:	0.00	Ending Balance:	2,025,102.07



BETTY T. YEE
California State Controller

LOCAL AGENCY INVESTMENT FUND
REMITTANCE ADVICE

Agency Name GOLETA SANITARY DISTRICT
Account Number 70-42-002

As of 01/14/2022, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 12/31/2021.

Earnings Ratio		.00000625812849570
Interest Rate		0.23%
Dollar Day Total	\$	186,292,136.98
Quarter End Principal Balance	\$	2,025,102.07
Quarterly Interest Earned	\$	1,165.84



PMIA/LAIF Performance Report as of 01/13/22



PMIA Average Monthly Effective Yields⁽¹⁾

Dec	0.212
Nov	0.203
Oct	0.203

Quarterly Performance Quarter Ended 12/31/21

LAIF Apportionment Rate ⁽²⁾ :	0.23
LAIF Earnings Ratio ⁽²⁾ :	0.00000625812849570
LAIF Fair Value Factor ⁽¹⁾ :	0.997439120
PMIA Daily ⁽¹⁾ :	0.22%
PMIA Quarter to Date ⁽¹⁾ :	0.21%
PMIA Average Life ⁽¹⁾ :	340

Pooled Money Investment Account Monthly Portfolio Composition ⁽¹⁾ 12/31/21 \$181.4 billion

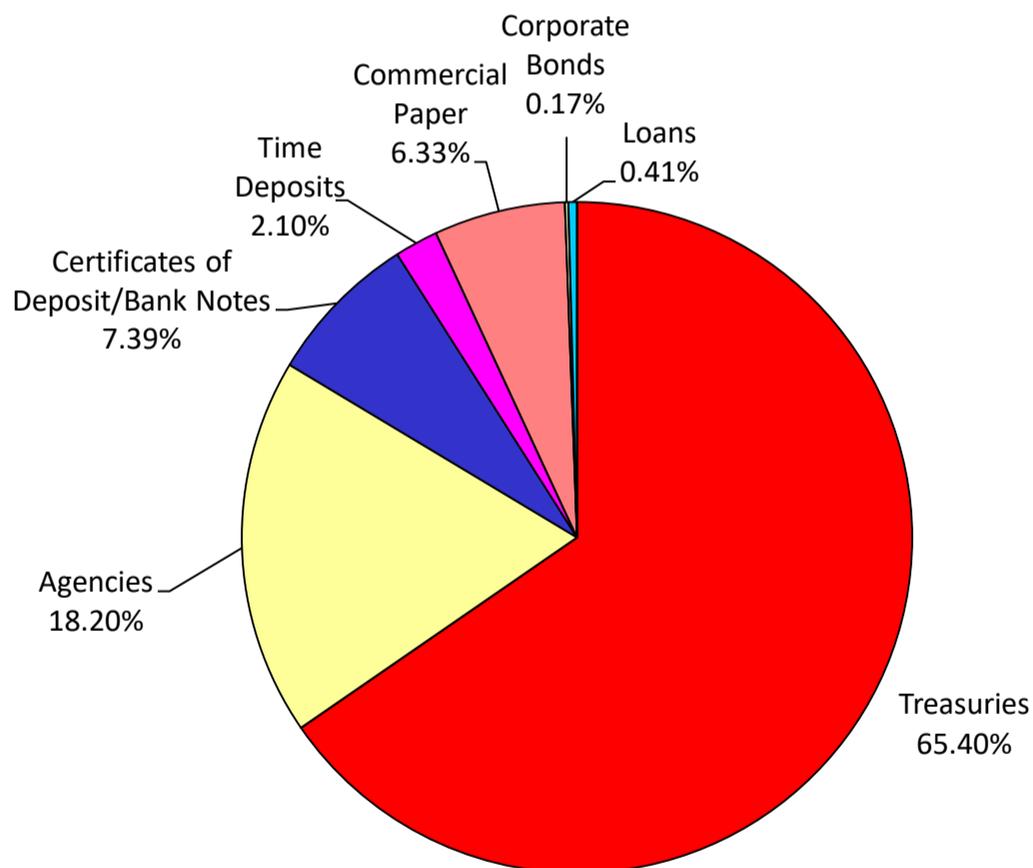


Chart does not include \$6,716,000.00 in mortgages, which equates to 0.001%. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

⁽¹⁾ State of California, Office of the Treasurer

⁽²⁾ State of California, Office of the Controller



POOLED MONEY INVESTMENT ACCOUNT

PMIA Average Monthly Effective Yields

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1977	5.770	5.660	5.660	5.650	5.760	5.850	5.930	6.050	6.090	6.090	6.610	6.730
1978	6.920	7.050	7.140	7.270	7.386	7.569	7.652	7.821	7.871	8.110	8.286	8.769
1979	8.777	8.904	8.820	9.082	9.046	9.224	9.202	9.528	9.259	9.814	10.223	10.218
1980	10.980	11.251	11.490	11.480	12.017	11.798	10.206	9.870	9.945	10.056	10.426	10.961
1981	10.987	11.686	11.130	11.475	12.179	11.442	12.346	12.844	12.059	12.397	11.887	11.484
1982	11.683	12.044	11.835	11.773	12.270	11.994	12.235	11.909	11.151	11.111	10.704	10.401
1983	10.251	9.887	9.688	9.868	9.527	9.600	9.879	10.076	10.202	10.182	10.164	10.227
1984	10.312	10.280	10.382	10.594	10.843	11.119	11.355	11.557	11.597	11.681	11.474	11.024
1985	10.579	10.289	10.118	10.025	10.180	9.743	9.656	9.417	9.572	9.482	9.488	9.371
1986	9.252	9.090	8.958	8.621	8.369	8.225	8.141	7.844	7.512	7.586	7.432	7.439
1987	7.365	7.157	7.205	7.044	7.294	7.289	7.464	7.562	7.712	7.825	8.121	8.071
1988	8.078	8.050	7.945	7.940	7.815	7.929	8.089	8.245	8.341	8.397	8.467	8.563
1989	8.698	8.770	8.870	8.992	9.227	9.204	9.056	8.833	8.801	8.771	8.685	8.645
1990	8.571	8.538	8.506	8.497	8.531	8.538	8.517	8.382	8.333	8.321	8.269	8.279
1991	8.164	8.002	7.775	7.666	7.374	7.169	7.098	7.072	6.859	6.719	6.591	6.318
1992	6.122	5.863	5.680	5.692	5.379	5.323	5.235	4.958	4.760	4.730	4.659	4.647
1993	4.678	4.649	4.624	4.605	4.427	4.554	4.438	4.472	4.430	4.380	4.365	4.384
1994	4.359	4.176	4.248	4.333	4.434	4.623	4.823	4.989	5.106	5.243	5.380	5.528
1995	5.612	5.779	5.934	5.960	6.008	5.997	5.972	5.910	5.832	5.784	5.805	5.748
1996	5.698	5.643	5.557	5.538	5.502	5.548	5.587	5.566	5.601	5.601	5.599	5.574
1997	5.583	5.575	5.580	5.612	5.634	5.667	5.679	5.690	5.707	5.705	5.715	5.744
1998	5.742	5.720	5.680	5.672	5.673	5.671	5.652	5.652	5.639	5.557	5.492	5.374
1999	5.265	5.210	5.136	5.119	5.086	5.095	5.178	5.225	5.274	5.391	5.484	5.639
2000	5.760	5.824	5.851	6.014	6.190	6.349	6.443	6.505	6.502	6.517	6.538	6.535
2001	6.372	6.169	5.976	5.760	5.328	4.958	4.635	4.502	4.288	3.785	3.526	3.261
2002	3.068	2.967	2.861	2.845	2.740	2.687	2.714	2.594	2.604	2.487	2.301	2.201
2003	2.103	1.945	1.904	1.858	1.769	1.697	1.653	1.632	1.635	1.596	1.572	1.545
2004	1.528	1.440	1.474	1.445	1.426	1.469	1.604	1.672	1.771	1.890	2.003	2.134
2005	2.264	2.368	2.542	2.724	2.856	2.967	3.083	3.179	3.324	3.458	3.636	3.808
2006	3.955	4.043	4.142	4.305	4.563	4.700	4.849	4.946	5.023	5.098	5.125	5.129
2007	5.156	5.181	5.214	5.222	5.248	5.250	5.255	5.253	5.231	5.137	4.962	4.801
2008	4.620	4.161	3.777	3.400	3.072	2.894	2.787	2.779	2.774	2.709	2.568	2.353
2009	2.046	1.869	1.822	1.607	1.530	1.377	1.035	0.925	0.750	0.646	0.611	0.569
2010	0.558	0.577	0.547	0.588	0.560	0.528	0.531	0.513	0.500	0.480	0.454	0.462
2011	0.538	0.512	0.500	0.588	0.413	0.448	0.381	0.408	0.378	0.385	0.401	0.382
2012	0.385	0.389	0.383	0.367	0.363	0.358	0.363	0.377	0.348	0.340	0.324	0.326
2013	0.300	0.286	0.285	0.264	0.245	0.244	0.267	0.271	0.257	0.266	0.263	0.264
2014	0.244	0.236	0.236	0.233	0.228	0.228	0.244	0.260	0.246	0.261	0.261	0.267
2015	0.262	0.266	0.278	0.283	0.290	0.299	0.320	0.330	0.337	0.357	0.374	0.400
2016	0.446	0.467	0.506	0.525	0.552	0.576	0.588	0.614	0.634	0.654	0.678	0.719
2017	0.751	0.777	0.821	0.884	0.925	0.978	1.051	1.084	1.111	1.143	1.172	1.239
2018	1.350	1.412	1.524	1.661	1.755	1.854	1.944	1.998	2.063	2.144	2.208	2.291
2019	2.355	2.392	2.436	2.445	2.449	2.428	2.379	2.341	2.280	2.190	2.103	2.043
2020	1.967	1.912	1.787	1.648	1.363	1.217	0.920	0.784	0.685	0.620	0.576	0.540
2021	0.458	0.407	0.357	0.339	0.315	0.262	0.221	0.221	0.206	0.203	0.203	0.212



445 Pine Avenue
Goleta, CA 93117

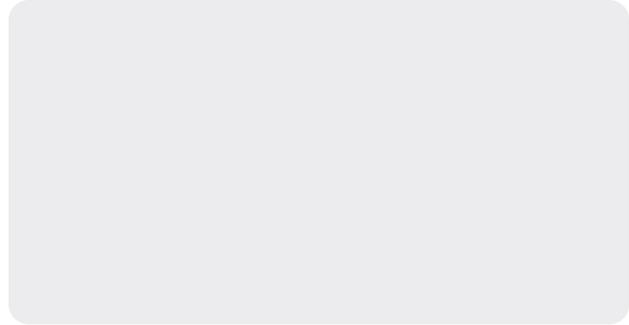
Statement Ending 12/31/2021

GOLETA SANITARY DISTRICT

Customer Number: XXXXXXXX5554

RETURN SERVICE REQUESTED

GOLETA SANITARY DISTRICT
MONEY MARKET
1 WILLIAM MOFFETT PL
GOLETA CA 93117-3901



Summary of Accounts

Account Type	Account Number	Ending Balance
PUBLIC AGENCY-MMDA	XXXXXXXX5554	\$30,752,561.54

PUBLIC AGENCY-MMDA - XXXXXXXX5554

Account Summary

Date	Description	Amount		
12/01/2021	Beginning Balance	\$26,145,559.52	Average Ledger Balance	\$27,481,043.39
	2 Credit(s) This Period	\$4,607,002.02		
	0 Debit(s) This Period	\$0.00		
12/31/2021	Ending Balance	\$30,752,561.54		

Account Activity

Post Date	Description	Debits	Credits	Balance
12/01/2021	Beginning Balance			\$26,145,559.52
12/23/2021	SBCo SSC/Prop Taxes FY21-22		\$4,600,000.00	\$30,745,559.52
12/31/2021	INTEREST AT .3000 %		\$7,002.02	\$30,752,561.54
12/31/2021	Ending Balance			\$30,752,561.54

Daily Balances

Date	Amount	Date	Amount
12/23/2021	\$30,745,559.52	12/31/2021	\$30,752,561.54



CalPERS 457 Plan

December 31, 2021

This document includes important information to help you compare the investment options under your retirement plan. If you want additional information about your investment options, you can go to <https://calpers.voya.com>.

A free paper copy of the information available on the website can be obtained by contacting:

Voya Financial
Attn: CalPERS 457 Plan
P.O. Box 389
Hartford, CT 06141
(800) 260-0659

Document Summary

This document has two parts. Part I consists of performance information for the plan investment options. This part shows you how well the investments have performed in the past. Part I also shows the total annual operating expenses of each investment option.

Part II provides additional information concerning Plan administrative fees that may be charged to your individual account.

CalPERS 457 PLAN

Part I. Performance Information For Periods Ended December 31, 2021

<https://calpers.voya.com>

Table 1 focuses on the performance of investment options that do not have a fixed or stated rate of return. Table 1 shows how these options have performed over time and allows you to compare them with an appropriate benchmark for the same time periods¹. Past performance does not guarantee how the investment option will perform in the future. Your investment in these options could lose money. Information about an investment option's principal risks is available on the website listed above.

Table 1 also shows the Total Annual Operating Expenses of each investment option. Total Annual Operating Expenses are expenses that reduce the rate of return of the investment option². The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the U.S. Department of Labor's website for an example showing the long-term fees and expenses at <http://www.dol.gov/ebsa>. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals.

Table 1 - Variable Net Return Investments

Name of Fund / Name of Benchmark	Performance		Annualized Performance				Total Annual Operating Expenses ³	
	3 Month	1 Year	5 Years	10 Years	Since Inception	Inception Date	As a %	Per \$1000
Equity Funds								
State Street Russell All Cap Index Fund - Class I	9.22	25.42	17.55	-	14.73	10/07/13	0.31%	\$3.10
<i>Russell 3000 Index</i>	9.28	25.66	17.97	-	15.11			
State Street Global All Cap Equity ex-US Index Fund - Class I	2.07	8.43	9.68	-	5.65	10/07/13	0.32%	\$3.20
<i>MSCI ACWI ex-USA IMI Index (net)</i>	1.64	8.53	9.83	-	5.85			
Fixed Income								
State Street US ShortTerm Gov't/Credit Bond Index Fund - Class I	-0.64	-0.81	1.43	-	1.01	10/07/13	0.32%	\$3.20
<i>Bloomberg US 1-3 yr Gov't/Credit Bond Index</i>	-0.56	-0.47	1.85	-	1.47			
State Street US Bond Fund Index - Class I	-0.09	-1.91	3.24	-	2.95	10/07/13	0.31%	\$3.10
<i>Bloomberg US Aggregate Bond Index</i>	0.01	-1.54	3.57	-	3.26			
Real Assets								
State Street Real Asset Fund - Class A	4.72	20.69	7.06	-	3.76	10/08/13	0.44%	\$4.40
<i>State Street Custom Benchmark⁴</i>	4.69	21.16	7.37	-	4.13			
Cash (Cash Equivalents)								
State Street STIF	-0.05	-0.19	0.93	-	0.62	09/02/14	0.33%	\$3.30
<i>BofA ML 3-month US T-Bill</i>	0.01	0.05	1.14	-	0.83			
Target Retirement Date Funds⁵								
CalPERS Target Income Fund	2.01	4.98	6.35	5.04	5.86	12/01/08	0.32%	\$3.20
<i>SIP Income Policy Benchmark⁶</i>	2.03	5.29	6.57	5.24	6.37			
CalPERS Target Retirement 2015	2.01	5.23	6.41	5.72	6.90	12/01/08	0.32%	\$3.20
<i>SIP 2015 Policy Benchmark⁶</i>	2.03	5.54	6.63	6.00	7.44			
CalPERS Target Retirement 2020	2.77	7.60	7.43	6.56	7.69	12/01/08	0.32%	\$3.20
<i>SIP 2020 Policy Benchmark⁶</i>	2.76	7.89	7.64	6.84	8.20			
CalPERS Target Retirement 2025	3.58	9.95	8.85	7.67	8.64	12/01/08	0.32%	\$3.20
<i>SIP 2025 Policy Benchmark⁶</i>	3.54	10.23	9.05	7.97	9.13			
CalPERS Target Retirement 2030	4.34	12.39	9.91	8.58	9.56	12/01/08	0.32%	\$3.20
<i>SIP 2030 Policy Benchmark⁶</i>	4.27	12.64	10.18	8.93	10.05			
CalPERS Target Retirement 2035	5.07	14.82	11.11	9.50	10.36	12/01/08	0.32%	\$3.20
<i>SIP 2035 Policy Benchmark⁶</i>	4.97	15.04	11.37	9.87	10.91			
CalPERS Target Retirement 2040	5.83	16.96	12.25	10.26	10.97	12/01/08	0.32%	\$3.20
<i>SIP 2040 Policy Benchmark⁶</i>	5.70	17.16	12.52	10.64	11.48			
CalPERS Target Retirement 2045	5.83	16.95	12.73	10.55	11.15	12/01/08	0.32%	\$3.20
<i>SIP 2045 Policy Benchmark⁶</i>	5.70	17.16	13.00	10.93	11.71			
CalPERS Target Retirement 2050	5.83	16.95	12.73	10.55	11.23	12/01/08	0.32%	\$3.20
<i>SIP 2050 Policy Benchmark⁶</i>	5.70	17.16	13.00	10.93	11.71			
CalPERS Target Retirement 2055	5.83	16.95	12.73	-	9.13	10/07/13	0.32%	\$3.20
<i>SIP 2055 Policy Benchmark⁶</i>	5.70	17.16	13.00	-	9.47			
CalPERS Target Retirement 2060	5.83	16.95	-	-	15.95	11/01/18	0.32%	\$3.20
<i>SIP 2060 Policy Benchmark⁶</i>	5.70	17.16	-	-	16.23			
Broad-Based Benchmarks⁷								
<i>Russell 3000 Index</i>	9.28	25.66	17.97	16.30	-	-	-	-
<i>MSCI ACWI ex-USA IMI Index (net)</i>	1.64	8.53	9.83	7.57	-	-	-	-
<i>Bloomberg US Aggregate Bond Index</i>	0.01	-1.54	3.57	2.90	-	-	-	-

Part II. Explanation of CalPERS 457 Plan Expenses December 31, 2021

<https://calpers.voya.com>

Table 2 provides information concerning Plan administrative fees and expenses that may be charged to your individual account if you take advantage of certain features of the Plan. In addition to the fees and expenses described in Table 2 below, some of the Plan's administrative expenses are paid from the Total Annual Operating Expenses of the Plan's investment options.

Table 2 - Fees and Expenses				
Individual Expenses ⁸				
Service	Fee Amount	Frequency	Who do you pay this fee to?	Description
Loan Origination Fee	\$50	Per loan application	Voya	The charge covers the processing of your loan and applies each time you request a loan from your retirement account. This fee is deducted from your Plan account.
Maintenance Fee (For loans taken on or after April 1, 2020)	\$35 (\$8.75 assessed quarterly)	Annual	Voya	The charge covers the maintenance costs of your loan and applies on a quarterly basis. This fee is deducted from your Plan account.
Self-Managed Account (SMA) Maintenance Fee	\$50	Annual fee deducted monthly on a pro-rata basis	Voya	Schwab Personal Choice Retirement Account is available to you if your Employer has elected it as an option. This fee is deducted pro rata on a monthly basis from your core fund investments ⁹ in your CalPERS 457 account. For more information about SMAs, including a complete list of fees charged by Schwab for different types of investment transactions, please contact Schwab at (888) 393-PCRA (7272). Fees may also be incurred as a result of actual brokerage account trades. Before purchasing or selling any investment through the SMA, you should contact Schwab at (888) 393-PCRA (7272) to inquire about any fees, including any undisclosed fees, associated with the purchase or sale of such investment.
Self-Managed Account (SMA) Plan Administrative Fee	0.29% (\$2.90 per \$1,000)	Annual fee deducted monthly on a pro-rata basis	Voya	The SMA Plan Administrative fee pays for recordkeeping costs for assets in your SMA account. This fee is deducted pro rata on a monthly basis from your core fund investments in your CalPERS 457 account. The SMA Plan Administrative Fee is subject to change based on total Plan assets.

Footnotes for Table 1 and Table 2:

- ¹ Fund returns shown are net of investment management and administrative expenses and fees unless otherwise noted. Benchmark performance returns do not reflect any management fees, transaction costs or expenses. Benchmarks are unmanaged. You cannot invest directly in a benchmark.
- ² Historical annual operating expenses are not available. Reported annual operating expenses are estimated based on SSGA investment management, Voya recordkeeping, and SSGA capped operating expenses.
- ³ Total annual operating expenses are comprised of investment management and administrative expenses and fees incurred by the funds.
- ⁴ State Street Real Asset Fund has a custom benchmark comprised of 25% Bloomberg Roll Select Commodity Index, 25% S&P® Global Large MidCap Commodity and Resources Index, 10% Dow Jones US Select REIT Index, 20% Bloomberg US Government Inflation-Linked 1-10 Year Bond Index, and 20% S&P Global Infrastructure Index.
- ⁵ If the ending market value (EMV) falls to zero in any one month, the inception date resets to the next month with an EMV. Performance is then calculated from the new inception date.
- ⁶ The benchmark for each Target Retirement Date Fund is a composite of asset class benchmarks that are weighted according to each Fund's policy target weights. The asset class benchmarks are Russell 3000 Index, MSCI ACWI ex-USA IMI Index (net), Bloomberg US Aggregate Bond Index, the SSGA customized benchmark for Real Assets (see footnote 4), and BofA ML 3-month US T-Bill.
- ⁷ Broad-based benchmarks grouped here provide comparative performance standards for domestic equity, international equity and fixed income.
- ⁸ The CalPERS Board of Administration periodically reviews the plan administrative fees and adjusts fees to reflect expenses incurred by the Plan. Participant fees are charged to reimburse CalPERS for actual administrative fees of the Plan.
- ⁹ Core fund investments are listed in Table 1 above the Target Retirement Date funds. Core funds include: State Street Russell All Cap Index Fund (Class I), State Street Global All Cap Equity ex-US Index Fund (Class I), State Street US Short Term Government/Credit Bond Index Fund (Class I), State Street US Bond Fund Index (Class I), State Street Real Asset Fund (Class A), and State Street Short Term Investment Fund ("STIF").

Performance Update

Quoted performance data represents past performance. Past performance does not guarantee nor predict future performance. Current performance may be lower or higher than the performance data quoted. Please keep in mind that double-digit returns are highly unusual and cannot be sustained.

Variable products are sold by prospectus. Consider the investment objectives, risks, charges, and expenses of the variable product and its underlying investment options carefully before investing. The prospectus contains this and other information about the variable product and its underlying investment options. Please review the prospectus available online for additional information. Read it carefully before investing.

Investment return and principal value of an investment will fluctuate so that an investor's unit values, when redeemed, may be worth more or less than their original cost.

Monthly hypothetical performance adjusted for contract fees *

Investment Options	Inception Date	Change from Previous Day	YTD as of 01/12/2022	YTD as of 12/31/2021	Average Annual Total Return (%) as of 12/31/2021						Since Incep.	
					1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr		
Risk Managed												
Fidelity® VIP Freedom 2055 Portfolio SM - Service Class ^{6,9}	RM	04/11/2019	0.63	-0.24	16.55	3.72	5.84	16.55	N/A	N/A	N/A	16.75
Fidelity® VIP Freedom 2060 Portfolio SM - Service Class ^{6,9}	RM	04/11/2019	0.64	-0.17	16.45	3.66	5.85	16.45	N/A	N/A	N/A	16.76
Maximum Capital Appreciation												
AB VPS Global Thematic Growth Portfolio - Class B ^{1,2}	MCA	01/11/1996	0.24	-5.03	21.35	1.99	8.30	21.35	29.01	20.89	13.81	6.60
DWS Alternative Asset Allocation VIP Portfolio - Class A ^{1,2,3,6,7}	MCA	02/02/2009	0.40	-0.63	11.62	3.26	2.18	11.62	9.87	4.88	3.16	4.80
LVIP Baron Growth Opportunities Fund - Service Class ⁴	MCA	10/01/1998	-0.17	-7.06	17.54	4.46	6.20	17.54	28.19	20.34	15.41	11.96
LVIP Delaware SMID Cap Core Fund - Standard Class ^{4,5}	MCA	07/12/1991	-0.49	-1.86	21.95	4.34	5.40	21.95	19.84	11.96	12.17	9.53
LVIP SSGA Emerging Markets 100 Fund - Standard Class ^{1,19}	MCA	06/18/2008	0.70	4.03	7.70	3.33	-0.78	7.70	5.26	4.42	1.80	2.58
LVIP SSGA Small-Cap Index Fund - Standard Class ^{4,18}	MCA	04/18/1986	-0.82	-3.10	13.41	2.11	1.77	13.41	18.33	10.45	11.64	7.34
LVIP T. Rowe Price Structured Mid-Cap Growth Fund - Standard Class ⁴	MCA	02/03/1994	-0.37	-6.82	12.71	1.12	3.45	12.71	25.97	18.83	15.78	7.70
Long Term Growth												
American Funds Global Growth Fund - Class 2 ¹	LTG	04/30/1997	0.53	-3.17	15.26	2.85	4.44	15.26	25.86	18.50	14.50	9.85
American Funds Growth Fund - Class 2	LTG	02/08/1984	0.49	-2.17	20.77	1.08	7.47	20.77	33.03	24.18	18.52	12.62

Performance Update

Monthly hypothetical performance adjusted for contract fees *

Investment Options		Inception Date	Change from Previous Day	YTD as of 01/12/2022	YTD as of 12/31/2021	Average Annual Total Return (%) as of 12/31/2021						Since Incep.
						1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	
American Funds International Fund - Class 2 ¹	LTG	05/01/1990	1.38	0.81	-2.48	2.04	-3.73	-2.48	10.21	8.54	7.05	6.73
Delaware VIP Small Cap Value ^{4, 5}	LTG	12/27/1993	-0.02	2.02	32.68	5.96	9.37	32.68	17.56	8.13	10.67	9.55
Fidelity® VIP Contrafund® Portfolio - Service Class	LTG	01/03/1995	0.30	-3.35	26.44	2.17	10.09	26.44	28.56	18.85	15.36	11.24
Fidelity® VIP Growth Portfolio - Service Class	LTG	10/09/1986	0.35	-3.77	21.86	1.02	8.34	21.86	32.07	24.90	18.39	10.52
LVIP BlackRock Global Real Estate Fund - Standard Class ^{1, 2, 9}	LTG	04/30/2007	0.52	-2.46	26.74	5.24	8.52	26.74	14.92	8.61	7.74	2.33
LVIP Delaware Mid Cap Value Fund - Standard Class ^{4, 5}	LTG	12/28/1981	0.39	1.61	30.60	7.12	10.01	30.60	18.83	10.55	12.11	10.59
LVIP Delaware Social Awareness Fund - Standard Class ⁵	LTG	05/02/1988	0.31	-1.44	25.17	3.76	9.10	25.17	24.68	16.86	14.74	10.40
LVIP Dimensional U.S. Core Equity 1 Fund - Standard Class	LTG	12/28/1981	0.10	-0.63	26.28	4.70	9.18	26.28	23.30	15.54	14.34	10.12
LVIP Mondrian International Value Fund - Standard Class ¹	LTG	05/01/1991	0.60	5.07	10.15	4.69	0.78	10.15	6.66	5.02	4.70	5.31
LVIP SSGA International Index Fund - Standard Class ^{1, 18, 20}	LTG	04/30/2008	0.90	0.95	9.95	4.75	2.87	9.95	12.22	8.31	6.65	2.08
LVIP SSGA S&P 500 Index Fund - Standard Class ^{18, 21}	LTG	05/01/2000	0.28	-0.83	27.14	4.37	10.70	27.14	24.50	17.00	15.11	6.33
LVIP Vanguard Domestic Equity ETF Fund - Service Class ^{6, 22}	LTG	04/29/2011	0.18	-1.25	24.17	4.11	9.25	24.17	23.65	16.07	14.07	12.17
LVIP Vanguard International Equity ETF Fund - Service Class ^{1, 6, 22}	LTG	04/29/2011	1.12	1.64	6.81	3.47	1.26	6.81	12.18	8.54	6.40	3.71
MFS® VIT Utilities Series - Initial Class ²	LTG	01/03/1995	0.40	-2.80	12.95	6.98	10.67	12.95	13.61	10.77	8.83	10.24
Growth and Income												
American Funds Growth-Income Fund - Class 2	GI	02/08/1984	0.33	-1.40	22.86	5.07	8.21	22.86	19.92	15.23	14.26	10.42
BlackRock Global Allocation V.I. Fund - Class I ^{1, 3}	GI	02/28/1992	0.51	-0.59	5.61	2.24	2.12	5.61	13.91	8.85	6.86	6.51
Fidelity® VIP Freedom 2020 Portfolio SM - Service Class ^{6, 8}	GI	04/26/2005	0.33	-0.68	8.38	1.96	3.27	8.38	13.57	9.47	8.06	6.21
Fidelity® VIP Freedom 2025 Portfolio SM - Service Class ^{6, 8}	GI	04/26/2005	0.40	-0.60	9.60	2.20	3.66	9.60	14.84	10.31	9.04	6.78

Performance Update

Monthly hypothetical performance adjusted for contract fees *

Investment Options		Inception Date	Change from Previous Day	Average Annual Total Return (%) as of 12/31/2021								
				YTD as of 01/12/2022	YTD as of 12/31/2021	1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	Since Incep.
Fidelity® VIP Freedom 2030 Portfolio SM - Service Class ^{6, 8}	GI	04/26/2005	0.45	-0.54	11.12	2.51	4.09	11.12	16.51	11.53	9.87	7.10
Fidelity® VIP Freedom 2035 Portfolio SM - Service Class ^{6, 8}	GI	04/08/2009	0.54	-0.33	14.17	3.15	5.06	14.17	18.96	13.01	11.02	12.21
Fidelity® VIP Freedom 2040 Portfolio SM - Service Class ^{6, 8}	GI	04/08/2009	0.62	-0.24	16.51	3.68	5.84	16.51	20.44	13.74	11.44	12.61
Fidelity® VIP Freedom 2045 Portfolio SM - Service Class ^{6, 8}	GI	04/08/2009	0.62	-0.20	16.52	3.68	5.85	16.52	20.45	13.74	11.54	12.69
Fidelity® VIP Freedom 2050 Portfolio SM - Service Class ^{6, 8}	GI	04/08/2009	0.61	-0.26	16.56	3.72	5.87	16.56	20.46	13.74	11.60	12.81
LVIP BlackRock Advantage Allocation Fund - Standard Class ^{3, 5, 10}	GI	07/28/1988	0.33	-1.01	6.63	2.04	3.00	6.63	11.32	7.90	6.71	5.87
LVIP Delaware REIT Fund - Standard Class ^{2, 5, 9}	GI	05/04/1998	0.25	-3.77	41.64	9.00	16.72	41.64	16.40	7.81	8.93	8.30
LVIP Delaware Value Fund - Standard Class ⁵	GI	07/28/1988	-0.12	2.75	21.26	7.79	8.68	21.26	12.75	9.25	11.47	8.12
LVIP Delaware Wealth Builder Fund - Standard Class ^{3, 5, 10}	GI	08/03/1987	0.01	-0.12	10.67	3.13	4.51	10.67	9.91	6.73	6.91	6.12
LVIP JPMorgan Retirement Income Fund - Standard Class ^{3, 5, 10}	GI	04/27/1983	0.18	-0.81	4.81	1.65	2.18	4.81	8.62	5.88	5.23	6.59
Income												
LVIP BlackRock Inflation Protected Bond Fund - Standard Class ¹²	I	04/30/2010	-0.10	-0.74	3.63	0.20	1.01	3.63	4.23	2.61	0.93	1.85
LVIP Delaware Bond Fund - Standard Class ^{5, 12}	I	12/28/1981	-0.02	-1.35	-2.78	-0.28	-0.65	-2.78	4.57	3.01	2.30	6.47
LVIP Delaware Diversified Floating Rate Fund ^{5, 14}	I	04/30/2010	0.01	-0.05	-0.86	0.05	-0.35	-0.86	0.84	0.56	0.35	0.23
LVIP Delaware Diversified Income Fund - Standard Class ^{5, 12}	I	05/16/2003	0.00	-1.21	-2.28	0.00	-0.60	-2.28	5.51	3.46	2.56	4.24
LVIP Delaware High Yield Fund - Standard Class ^{5, 12, 15}	I	07/28/1988	0.29	-0.51	3.88	1.84	0.46	3.88	8.33	5.05	5.14	5.65
LVIP Global Income Fund - Standard Class ^{1, 10, 12, 13}	I	05/04/2009	0.41	-0.58	-6.03	-0.53	-1.08	-6.03	1.63	1.95	0.96	2.17
LVIP SSGA Bond Index Fund - Standard Class ^{12, 18}	I	04/30/2008	-0.04	-1.40	-2.96	-0.49	-0.44	-2.96	3.44	2.21	1.53	2.38
PIMCO VIT Total Return Portfolio - Administrative Class ¹²	I	12/31/1997	0.00	-1.28	-2.25	-0.09	-0.38	-2.25	4.09	2.90	2.40	4.14

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Performance Update

Monthly hypothetical performance adjusted for contract fees *

Investment Options	Inception Date	Change from Previous Day	Average Annual Total Return (%) as of 12/31/2021									
			YTD as of 01/12/2022	YTD as of 12/31/2021	1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	Since Incep.	
Risk Managed - Asset Allocation												
LVIP Global Conservative Allocation Managed Risk Fund - Standard Class ^{1,3,6,10,16}	RMAA	05/03/2005	0.11	-1.05	6.56	1.55	2.51	6.56	8.75	5.89	5.19	5.01
LVIP Global Growth Allocation Managed Risk Fund - Standard Class ^{1,3,6,10,16}	RMAA	05/03/2005	0.26	-0.57	11.65	2.82	4.22	11.65	10.30	7.34	5.77	4.87
LVIP Global Moderate Allocation Managed Risk Fund - Standard Class ^{1,3,6,10,16}	RMAA	05/03/2005	0.21	-0.69	9.71	2.36	3.65	9.71	9.48	6.82	5.46	5.00
LVIP SSGA Global Tactical Allocation Managed Volatility Fund - Standard Class ^{1,3,6,10,11,13}	RMAA	05/03/2005	0.28	0.10	11.46	2.67	3.47	11.46	10.61	6.94	5.24	4.09
Preservation of Capital												
LVIP Government Money Market Fund - Standard Class ^{10,17}	PC	01/07/1982	0.00	-0.03	-0.98	-0.08	-0.24	-0.98	-0.31	-0.23	-0.60	2.67
Risk Managed - US Large Cap												
LVIP BlackRock Dividend Value Managed Volatility Fund - Standard Class ^{10,11}	RMUSL	02/03/1994	0.00	3.43	21.85	5.85	5.22	21.85	12.72	8.52	8.12	6.83
LVIP Blended Large Cap Growth Managed Volatility Fund - Standard Class ^{10,11,13}	RMUSL	02/03/1994	0.30	-4.42	29.56	3.36	11.09	29.56	23.55	17.41	12.56	7.69
Asset Allocation												
LVIP T. Rowe Price 2010 Fund (Standard Class) ^{6,8,10}	AsA	05/01/2007	0.16	-0.88	7.53	1.68	2.49	7.53	11.05	7.11	5.49	4.29
LVIP T. Rowe Price 2020 Fund (Standard Class) ^{6,8,10}	AsA	05/01/2007	0.20	-0.87	9.13	1.92	2.93	9.13	12.95	8.36	6.20	4.43
LVIP T. Rowe Price 2030 Fund (Standard Class) ^{6,8,10}	AsA	05/01/2007	0.29	-0.79	12.47	2.58	4.09	12.47	15.77	9.79	6.95	4.77
LVIP T. Rowe Price 2040 Fund (Standard Class) ^{6,8,10}	AsA	05/01/2007	0.36	-0.65	15.76	3.25	5.16	15.76	18.09	11.05	7.62	4.79
LVIP T. Rowe Price 2050 Fund (Standard Class) ^{6,8,10}	AsA	04/29/2011	0.38	-0.59	16.83	3.48	5.51	16.83	18.77	11.97	8.13	6.42
LVIP T. Rowe Price 2060 Fund - Standard Class ^{6,8,10}	AsA	04/30/2020	0.38	-0.53	17.51	3.54	5.54	17.51	N/A	N/A	N/A	30.73
Risk Managed - US Mid Cap												

Performance Update

Monthly hypothetical performance adjusted for contract fees *

Investment Options	Inception Date	Change from Previous Day	YTD as of 01/12/2022	YTD as of 12/31/2021	Average Annual Total Return (%) as of 12/31/2021							
					1 Mo	3 Mo	1 Yr	3 Yr	5 Yr	10 Yr	Since Incep.	
LVIP Blended Mid Cap Managed Volatility Fund - Standard Class ^{4, 10, 11, 13}	RMUSM 05/01/2001	-0.06	-6.91	12.16	2.20	4.73	12.16	20.59	16.72	9.54	5.28	
LVIP JPMorgan Select Mid Cap Value Managed Volatility Fund - Standard Class ^{4, 10, 11, 13}	RMUSM 05/01/2001	0.20	1.50	27.79	6.08	7.74	27.79	14.05	8.02	8.05	6.49	
Risk Managed - Global/International												
LVIP Franklin Templeton Global Equity Managed Volatility Fund - Standard Class ^{1, 10, 11}	RMGI 08/01/1985	0.38	0.78	16.15	4.70	5.29	16.15	13.10	9.36	7.16	7.25	
LVIP SSGA International Managed Volatility Fund - Standard Class ^{1, 6, 10, 11}	RMGI 12/31/2013	0.95	0.96	9.66	4.74	2.74	9.66	8.13	6.22	N/A	1.65	

* These returns are measured from the inception date of the fund and predate its availability as an investment option in the variable annuity (separate account). This hypothetical representation depicts how the investment option would have performed had the fund been available in the variable annuity during the time period. It includes deductions for the M&E charge and the contract administrative fee. If selected above, the cost for the i4LIFE® Advantage feature or a death benefit will be reflected. The cost for other riders with quarterly charges is not reflected. No surrender charge and no annual contract charge is reflected.

Performance Update

1 International

Investing internationally involves risks not associated with investing solely in the United States, such as currency fluctuation, political or regulatory risk, currency exchange rate changes, differences in accounting and the limited availability of information.

2 Sector Funds

Funds that target exposure to one region or industry may carry greater risk and higher volatility than more broadly diversified funds.

3 Asset Allocation Portfolios

Asset allocation does not ensure a profit, nor protect against loss in a declining market.

4 Small & Mid Cap

Funds that invest in small and/or midsize company stocks may be more volatile and involve greater risk, particularly in the short term, than those investing in larger, more established companies.

5 Macquarie Investment Management

Investments in Delaware VIP Series, Delaware Funds, Ivy Variable Insurance Portfolios, Ivy Funds, LVIP Delaware Funds or Lincoln Life accounts managed by Macquarie Investment Management Advisers, a series of Macquarie Investments Management Business Trust, are not and will not be deposits with or liabilities of Macquarie Bank Limited ABN 46 008 583 542 and its holding companies, including their subsidiaries or related companies, and are subject to investment risk, including possible delays in repayment and loss of income and capital invested. No Macquarie Group company guarantees or will guarantee the performance of the fund, the repayment of capital from the fund, or any particular rate of return.

6 Fund of funds

Each fund is operated as a fund of funds that invests primarily in one or more other funds, rather than in individual securities. A fund of this nature may be more expensive than other investment options because it has additional levels of expenses. From time to time, the Fund's advisor may modify the asset allocation to the underlying funds and may add new funds. A Fund's actual allocation may vary from the target strategic allocation at any point in time. Additionally, the Fund's advisor may directly manage assets of the underlying funds for a variety of purposes.

7 Alternative Funds

Certain funds (sometimes called "alternative funds") expect to invest in (or may invest in some) positions that emphasize alternative investment strategies and/or nontraditional asset classes and, as a result, are subject to the risk factors of those asset classes and/or investment strategies. Some of those risks may include general economic risk, geopolitical risk, commodity-price volatility, counterparty and settlement risk, currency risk, derivatives risk, emerging markets risk, foreign securities risk, high-yield bond exposure, index investing risk, exchange-traded notes risk, industry concentration risk, leveraging risk, real estate investment risk, master limited partnership risk, master limited partnership tax risk, energy infrastructure companies risk, sector risk, short sale risk, direct investment risk, hard assets sector risk, active trading and "overlay" risks, event-driven investing risk, global macro strategies risk, temporary defensive positions and large cash positions. If you are considering investing in alternative investment funds, you should ensure that you understand the complex investment strategies sometimes employed and be prepared to tolerate the risks of such asset classes. For a complete list of risks, as well as a discussion of risk and investment strategies, please refer to the fund's prospectus. The fund may invest in derivatives, including futures, options, forwards and swaps. Investments in derivatives may cause the fund's losses to be greater than if it invested only in conventional securities and can cause the fund to be more volatile. Derivatives involve risks different from, or possibly greater than, the risks associated with other investments. The fund's use of derivatives may cause the fund's investment returns to be impacted by the performance of securities the fund does not own and may result in the fund's total investment exposure exceeding the value of its portfolio.

8 Target-date funds

The target date is the approximate date when investors plan to retire or start withdrawing their money. Some target-date funds make no changes in asset allocation after the target date is reached; other target-date funds continue to make asset allocation changes following the target date. (See the prospectus for the funds allocation strategy.) The principal value is not guaranteed at any time, including at the target date. An asset allocation strategy does not guarantee performance or protect against investment losses. A "fund of funds" may be more expensive than other types of investment options because it has additional levels of expenses.

9 REIT

A real estate investment trust (REIT) involves risks such as refinancing, economic conditions in the real estate industry, declines in property values, dependency on real estate management, changes in property taxes, changes in interest rates and other risks associated with a portfolio that concentrates its investments in one sector or geographic region.

10 Manager of managers funds

Subject to approval of the fund's board, Lincoln Investment Advisors Corporation (LIAC) has the right to engage or terminate a subadvisor at any time, without a shareholder vote, based on an exemptive order from the Securities and Exchange Commission. LIAC is responsible for overseeing all subadvisors for funds relying on this exemptive order.

11 Managed Volatility Strategy

The fund's managed volatility strategy is not a guarantee, and the fund's shareholders may experience losses. The fund employs hedging strategies designed to reduce overall portfolio volatility. The use of these hedging strategies may limit the upside participation of the fund in rising equity markets relative to unhedged funds, and the effectiveness of such strategies may be impacted during periods of rapid or extreme market events.

12 Bonds

The return of principal in bond funds is not guaranteed. Bond funds have the same interest rate, inflation, credit, duration, prepayment and market risks that are associated with the underlying bonds owned by the fund or account.

13 Multimanager

For those funds that employ a multimanager structure, the fund's advisor is responsible for overseeing the subadvisors. While the investment styles employed by the fund's subadvisors are intended to be complementary, they may not, in fact, be complementary. A multimanager approach may result in more exposure to certain types of securities risks and in higher portfolio turnover.

14 Floating rate funds

Floating rate funds should not be considered alternatives to CDs or money market funds and should not be considered as cash alternatives.

15 High-yield or mortgage-backed funds

High-yield funds may invest in high-yield or lower rated fixed income securities (junk bonds) or mortgage-backed securities with exposure to subprime mortgages, which may experience higher volatility and increased risk of nonpayment or default.

Performance Update

¹⁶ Risk Management Strategy

The fund's risk management strategy is not a guarantee, and the funds shareholders may experience losses. The fund employs hedging strategies designed to provide downside protection during sharp downward movements in equity markets. The use of these hedging strategies may limit the upside participation of the fund in rising equity markets relative to other unhedged funds, and the effectiveness of such strategies may be impacted during periods of rapid or extreme market events.

¹⁷ Money Market Funds

You can lose money by investing in the fund. Although the fund seeks to preserve the value of your investment at \$1.00 per share (or, for the LVIP Government Money Market Fund, at \$10.00 per share), it cannot guarantee it will do so. An investment in the fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The fund's sponsor has no legal obligation to provide financial support to the fund, and you should not expect that the sponsor will provide financial support to the fund at any time.

¹⁸ Index

An index is unmanaged, and one cannot invest directly in an index. Indices do not reflect the deduction of any fees.

¹⁹ Emerging Markets

Investing in emerging markets can be riskier than investing in well-established foreign markets. International investing involves special risks not found in domestic investing, including increased political, social and economic instability, all of which are magnified in emerging markets.

²⁰ MSCI

The fund described herein is indexed to an MSCI® index. It is not sponsored, endorsed, or promoted by MSCI®, and MSCI®; bears no liability with respect to any such fund or to an index on which a fund is based. The prospectus and statement of additional information contain a more detailed description of the limited relationship MSCI®; has with Lincoln Investment Advisors Corporation and any related funds.

²¹ S&P

The Index to which this fund is managed is a product of S&P Dow Jones Indices LLC (SPDJI) and has been licensed for use by one or more of the portfolio's service providers (licensee). Standard & Poor's®; and S&P® are registered trademarks of Standard & Poor's Financial Services LLC (S&P); Dow Jones® is a registered trademark of Dow Jones Trademark Holdings LLC (Dow Jones); and these trademarks have been licensed for use by SPDJI and sublicensed for certain purposes by the licensee. S&P®, S&P GSCI® and the Index are trademarks of S&P and have been licensed for use by SPDJI and its affiliates and sublicensed for certain purposes by the licensee. The Index is not owned, endorsed, or approved by or associated with any additional third party. The licensee's products are not sponsored, endorsed, sold or promoted by SPDJI, Dow Jones, S&P, their respective affiliates, or their third party licensors, and none of these parties or their respective affiliates or third party licensors make any representation regarding the advisability of investing in such products, nor do they have liability for any errors, omissions, or interruptions of the Index®.

²² Exchange-traded funds

Exchange-traded funds (ETFs) in this lineup are available through collective trusts or mutual funds. Investors cannot invest directly in an ETF.

Important Disclosures

Variable products are issued by The Lincoln National Life Insurance Company, Fort Wayne, IN, distributed by Lincoln Financial Distributors, Inc., and offered by broker/dealers with an effective selling agreement. The Lincoln National Life Insurance Company is not authorized nor does it solicit business in the state of New York.

Contractual obligations are backed by the claims-paying ability of The Lincoln National Life Insurance Company.

Limitations and exclusions may apply.

Lincoln Financial Group is the marketing name for Lincoln National Corporation and its affiliates. Affiliates are separately responsible for their own financial and contractual obligations.

Asset Categories

RM	= Risk Managed
MCA	= Maximum Capital Appreciation
LTG	= Long Term Growth
GI	= Growth and Income
I	= Income
RMAA	= Risk Managed - Asset Allocation
PC	= Preservation of Capital
RMUSL	= Risk Managed - US Large Cap
AsA	= Asset Allocation
RMUSM	= Risk Managed - US Mid Cap
RMGI	= Risk Managed - Global/International

**DISTRICT
CORRESPONDENCE**
Board Meeting of January 17, 2022



Date: **Correspondence Sent To:**

1. 12/30/2021 Julia Parker
Santa Barbara Wildlife Care Network, SBWCN
Subject: Industrial Wastewater Discharge Permit Number C-247-25

2. 12/31/2021 Christo Anderson
CA Assets
Subject: Sewer Service Availability
Proposed Sewer Service connection for a Multi-Family Residence Duplex
Letters were sent for:
 - Part 1 and Part 2 of Parcel Map 14,827 Pending Lot Split
Hollister Ave. Santa Barbara, CA
A.P.N. 061-070-067 4535

3. 01/06/2022 Tedd White
Neal Feay Company
Subject: Industrial Wastewater Discharge Permit # A-403
2022 Wastewater Discharge Permit Renewal Stickers were also sent to:
 - University of California, Santa Barbara
 - Electromatic, Inc.
 - Atomica Corp.
 - Intriplex Technologies
 - Microdyn-Nadir US, Inc.
 - Rayne of Santa Barbara

4. 01/07/2022 The Honorable Alex Padilla
U.S. Senate
Subject: Legislation consideration of Comprehensive Environmental
Response, Compensation and Liability Act
Letter also sent to:
 - The Honorable Dianne Feinstein
U.S. Senate

DISTRICT CORRESPONDENCE

Board Meeting of January 17, 2022

Page 2

5. 01/11/2022 Ross Trust 8/9/90
Subject: Roots at Sewer Mainline Connection: 4878 Via Los Santos
A.P.N. 067-270-054
Letters also sent to:
- Lisa and Todd Kanen
4872 Via Los Santos
A.P.N. 067-270-004
 - Simpson 2003 Living Trust 4/24/03
4860 Via Los Santos
A.P.N. 067-270-006

Date: **Correspondence Received From:**

1. 12/28/2021 United Way of Santa Barbara County
Subject: A Thank you to Goleta Sanitary District for the Generosity and Leadership during the United Way Campaign
2. 01/11/2022 Southern California Alliance of Publicly Owned Treatment Works (SCAP)
Subject: Funding Request to Enhance CASA's Climate/Air Quality/Energy Advocacy

Hard Copies of the Correspondence are available at the District's Office for review