

# **AGENDA**

## **COVID-19 Meeting Notice**

**To address concerns relating to COVID-19, this meeting will be accessible by remote video conferencing, as authorized by Governor Newsom's Executive Order N-29-20.**

**Members of the public who wish to observe the meeting and/or offer public comment by video conferencing should contact the District at least 24 hours before the meeting at (805) 967-4519 or RMangus@GoletaSanitary.org to obtain the meeting ID and passcode.**

**Members of the public with disabilities who wish to request a reasonable modification or accommodation to observe the meeting and/or offer public comment should contact the District at least 24 hours before the meeting at the foregoing telephone number or email address for instructions on how to access the meeting.**

**A G E N D A**  
REGULAR MEETING OF THE GOVERNING BOARD  
OF THE GOLETA SANITARY DISTRICT  
A PUBLIC AGENCY

One William Moffett Place  
Goleta, California 93117

July 19, 2021

**CALL TO ORDER:** 6:30 p.m.

**ROLL CALL OF MEMBERS**

**BOARD MEMBERS:** Jerry D. Smith  
Steven T. Majoewsky  
George W. Emerson  
Sharon Rose  
Edward Fuller

**CONSIDERATION OF THE MINUTES OF THE BOARD MEETING**

The Board will consider approval of the Minutes of the Regular Meeting of July 5, 2021.

**PUBLIC COMMENTS** - Members of the public may address the Board on items within the jurisdiction of the Board.

**POSTING OF AGENDA** – The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District’s web site 72 hours in advance of the meeting.

**BUSINESS:**

1. PUBLIC HEARING REGARDING PLACING SEWER SERVICE CHARGES ON THE COUNTY TAX ROLL FOR FISCAL YEAR 2021-2022. CONSIDERATION AND APPROVAL OF RESOLUTION NO. 21-667 OVERRULING OBJECTIONS AND ADOPTING THE REPORT ON SEWER SERVICE CHARGES TO BE COLLECTED ON THE TAX ROLL FOR FISCAL YEAR 2021-2022.  
(Board may take action on this item.)
2. CONSIDERATION OF RESOLUTION NO. 21-668 ADOPTING FINDINGS, APPROVING PRELIMINARY ENVIRONMENTAL REVIEW FORM AND AUTHORIZING PREPARATION AND FILING OF NOTICE OF EXEMPTION UNDER CEQA FOR THE IN-PLANT LIFT STATION REHABILITATION PROJECT  
(Board may take action on this item.)

3. APPROVAL OF PLANS AND SPECIFICATIONS AND AUTHORIZATION FOR SOLICITATION OF PUBLIC BIDS FOR THE IN-PLANT LIFT STATION REHABILITATION PROJECT  
(Board may take action on this item.)
4. CONSIDERATION OF UPCOMING CASA ANNUAL BUSINESS MEETING  
(Board may take action on this item.)
5. CONSIDERATION AND APPROVAL OF AMENDMENT TO GENERAL MANAGER'S EMPLOYMENT AGREEMENT  
(Board may take action on this item.)
6. GENERAL MANAGER'S REPORT
7. LEGAL COUNSEL'S REPORT
8. COMMITTEE/DIRECTOR'S REPORTS AND APPROVAL/RATIFICATION OF DIRECTOR'S ACTIVITIES
9. PRESIDENT'S REPORT
10. ITEMS FOR FUTURE MEETINGS
11. CORRESPONDENCE  
(The Board will consider correspondence received by and sent by the District since the last Board Meeting.)
12. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT  
(The Board will be asked to ratify claims.)

## **ADJOURNMENT**

***Any public records which are distributed less than 72 hours prior to this meeting to all, or a majority of all, of the District's Board members in connection with any agenda item (other than closed sessions) will be available for public inspection at the time of such distribution at the District's office located at One William Moffett Place, Goleta, California 93117.***

# MINUTES

**MINUTES**  
REGULAR MEETING OF THE GOVERNING BOARD  
GOLETA SANITARY DISTRICT  
A PUBLIC AGENCY  
DISTRICT OFFICE CONFERENCE ROOM  
ONE WILLIAM MOFFETT PLACE  
GOLETA, CALIFORNIA 93117

July 5, 2021

**CALL TO ORDER:** President Smith called the meeting to order at 6:30 p.m.

**BOARD MEMBERS PRESENT:** Jerry D. Smith, Steven T. Majoewsky, George W. Emerson, Sharon Rose, Edward Fuller

**BOARD MEMBERS ABSENT:** None

**STAFF MEMBERS PRESENT:** Steve Wagner, General Manager/District Engineer, Rob Mangus, Finance and Human Resources Manager/Board Secretary, Laura Romano, Management Analyst and Richard Battles, Legal Counsel from Howell Moore & Gough LLP.

**OTHERS PRESENT:** Larry Meyer, Director, Goleta West Sanitary District  
Tom Evans, Director, Goleta Water District

**APPROVAL OF MINUTES:** Director Emerson made a motion, seconded by Director Fuller, to approve the minutes of the Regular Board meeting of 06/21/21. The motion carried by the following vote:

(21/07/2212)

AYES: 5 Smith, Majoewsky, Emerson, Rose,  
Fuller

NOES: None

ABSENT: None

ABSTAIN: None

**POSTING OF AGENDA:** The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District's website 72 hours in advance of the meeting.

**PUBLIC COMMENTS:** None

**BUSINESS:**

1. **CONSIDERATION OF UPCOMING ELECTION TO THE CALIFORNIA SPECIAL DISTRICTS ASSOCIATION (CSDA) BOARD OF DIRECTORS, COASTAL NETWORK SEAT A**  
Mr. Wagner gave the staff report.

Director Rose made a motion, seconded by Director Emerson to direct the General Manager to cast the vote for the California Special Districts Association Board of Directors, Coastal Network seat A for Hugh Rafferty.

The motion carried by the following vote:

(21/07/2213)

AYES:	5	Smith, Majoewsky, Emerson, Rose, Fuller
NOES:		None
ABSENT:		None
ABSTAIN:		None

2. UPDATE AND DISCUSSION OF OUTREACH PLAN FOR TRANSITION TO DISTRICT-BASED ELECTIONS

Mr. Wagner and Ms. Romano gave the staff report.

Consensus of the Board was to direct staff to proceed with the proposed outreach plan.

3. REVIEW AND CONSIDERATION OF 2021 ACTION PLAN

Mr. Wagner gave the staff report on this presentation item, no Board action was taken.

Consensus of the Board was to move the closed session to the end of the meeting and moved to item 5.

4. CLOSED SESSION

A. PUBLIC COMMENTS ON CLOSED SESSION MATTER

None, Board entered closed session at 7:55 p.m.

B. CLOSED SESSION PURSUANT TO GOVERNMENT CODE SECTION 54957(b)(1)  
PUBLIC EMPLOYEE PERFORMANCE EVALUATION  
TITLE: GENERAL MANAGER

Board exited closed session at 8:40 p.m.

C. PUBLIC REPORT ON CLOSED SESSION MATTER

In open session the Board (i) reported that no action was taken in closed session, and (ii) directed the General Manager to return with a draft General Manager contract amendment related to paid time off for Board consideration and action.

5. GENERAL MANAGER'S REPORT

Mr. Wagner gave the report.

6. LEGAL COUNSEL'S REPORT

Mr. Battles – No report.

7. COMMITTEE/DIRECTORS' REPORTS AND APPROVAL/RATIFICATION OF DIRECTORS' ACTIVITIES

Director Fuller – No report.

Director Emerson – Distributed copies of several articles of interest.

Director Rose – No report.

Director Majoewsky – Noted the Goleta West Sanitary District meeting was scheduled for 07/06/21.

8. PRESIDENT'S REPORT

President Smith – No report.

9. ITEMS FOR FUTURE MEETINGS

No Board action was taken to return with an item.

10. CORRESPONDENCE

The Board reviewed and discussed the list of correspondence to and from the District in the agenda.

11. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT

Director Majoewsky made a motion, seconded by Director Rose, to ratify and approve the claims, for the period 06/22/21 to 07/05/21 as follows:

Running Expense Fund #4640	\$ 452,224.04
Capital Reserve Fund #4650	\$ 1,1724.30
Depreciation Replacement Reserve Fund #4655	\$ 21,294.57
Retiree Health Insurance Sinking Fund #4660	\$ 9,726.12

The motion carried by the following vote:

(21/07/2214)

AYES: 5 Smith, Majoewsky, Emerson, Rose, Fuller  
NOES: None  
ABSENT: None

ABSTAIN:           None

The Board returned to the closed session item.

**ADJOURNMENT**

There being no further business, the meeting was adjourned at 8:51 p.m.

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Jerry D. Smith  
Governing Board President

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Robert O. Mangus, Jr.  
Governing Board Secretary

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Steven T. Majoewsky

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George W. Emerson

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Sharon Rose

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Edward Fuller

# **AGENDA ITEM #1**

**AGENDA ITEM: 1**

**MEETING DATE: JULY 19, 2021**

**I. NATURE OF ITEM**

Public Hearing Regarding Placing Sewer Service Charges on the County Tax Roll for Fiscal Year 2021-2022. Consideration and Approval of Resolution No. 21-667 Overruling Objections and Adopting the Report on Sewer Service Charges to be collected on the Tax Roll for Fiscal Year 2021-2022.

**II. BACKGROUND INFORMATION**

On June 21, 2021, the Governing Board of the Goleta Sanitary District adopted Resolution No. 21-666, providing for the collection on the tax roll of sewer service charges for FY 2021-22 and set a public hearing on the matter for July 19, 2021. A notice of this hearing was properly published as required. The purpose of this hearing is to give the public an opportunity to weigh in on the placement of the sewer service charges on the tax roll.

A report of the proposed sewer service charges to be collected on the tax roll has been prepared and is available for public review. The sewer service charges for FY 2021-22 are ready to be submitted to the Santa Barbara County Auditor-Controller for incorporation into the tax roll. The County Auditor-Controller must receive this information by August 6, 2021 to be included on the Fiscal Year 2021-2022 tax roll. These charges were adopted under District Ordinance No. 90 on July 16, 2018. No other changes to the District's sewer service fees have been made.

**III. COMMENTS AND RECOMMENDATIONS**

It is recommended that the District hold its public hearing on the collection of these sewer service charges on the tax roll and solicit input from members of the public before final action is taken by the Governing Board.

**IV. REFERENCE MATERIAL**

Resolution No. 21-666

Resolution No. 21-667

GSD SSC Report (Available for Review at the Meeting)

Ordinance No. 90

**RESOLUTION NO. 21-666**

**RESOLUTION OF THE GOVERNING BOARD OF THE GOLETA  
SANITARY DISTRICT ELECTING TO HAVE SEWER SERVICE CHARGES  
COLLECTED ON THE TAX ROLL FOR FISCAL YEAR 2021-22, DIRECTING  
THE PREPARATION AND FILING OF REPORT, FIXING TIME AND PLACE  
FOR HEARING, AND PROVIDING FOR NOTICE THEREOF**

**WHEREAS**, the Governing Board of the Goleta Sanitary District (the "District") has passed and adopted an ordinance prescribing sewer service charges; and

**WHEREAS**, pursuant to California Health and Safety Code Section 5473 et seq., the Governing Board desires to have said service charges for Fiscal Year 2021-22 (the "Service Charges") collected on the Santa Barbara County tax roll with the general taxes.

**NOW, THEREFORE, IT IS HEREBY RESOLVED** as follows:

1. Pursuant to Health and Safety Code Section 5473, the election is hereby made to have the Service Charges collected on the Santa Barbara County tax roll in the same manner, by the same persons, at the same time as, together with, and not separately from, the general taxes.
2. District staff is directed to prepare and file with the Secretary of the Board a written report containing a description of each parcel of real property receiving sewer service and the amount of the Service Charges for each such parcel (the "Report").
3. A hearing on the Report shall be held before this Board on July 19, 2021, at 6:30 p.m., at One William Moffett Road, Goleta, California.
4. Pursuant to Health and Safety Code Section 5473.1, the Secretary shall cause a notice of the filing of the Report and of the time and place set for the hearing, at which oral or written presentation can be made, to be published pursuant to Government Code Section 6066 once a week for two successive weeks preceding said hearing with at least five (5) days intervening between the respective publication dates, not counting such publication dates, and the first publication date being not less than fourteen (14) days prior to the date set for hearing.
5. The Secretary is hereby authorized and directed to sign and publish said notice on behalf of the Board as ordered above.
6. The above-mentioned notice shall be in the form set forth in Exhibit "A" attached hereto and by this reference made a part hereof.

**PASSED AND ADOPTED** this 21st day of June, 2021, by the following vote of the Governing Board of the Goleta Sanitary District.

**AYES:** Smith, Majoewsky, Emerson, Rose, Fuller

**NOES:** None

**ABSENT:** None

**ABSTAIN:** None

**COPY**  
Jerry D. Smith

President of the Governing Board

**COUNTERSIGNED:**

**COPY**  
Robert O. Mangis Jr

Secretary of the Governing Board

**EXHIBIT "A"**

**NOTICE OF FILING AND HEARING  
ON REPORT OF SEWER SERVICE CHARGES  
LEVIED BY THE GOLETA SANITARY DISTRICT**

NOTICE IS HEREBY GIVEN that, pursuant to Section 5473 of the California Health and Safety Code, there has been filed with the Secretary of the Goleta Sanitary District, a report containing: (a) a proposal to have sewer service charges for the Fiscal Year 2021-22 collected on the tax roll, (b) a description of each parcel of real property receiving services and facilities furnished by the Goleta Sanitary District in connection with its wastewater system, and (c) the amount of the sewer service charge to be levied upon each parcel for the Fiscal Year 2021-22, computed in conformity with the charges prescribed by an ordinance duly passed and approved by the Governing Board of the Goleta Sanitary District; and that the time and place for a hearing on said report has been set for July 19, 2021 at 6:30 p.m., at the office of the Goleta Sanitary District, One William Moffett Place, Goleta, California 93117.

DATED: June 21, 2021

GOLETA SANITARY DISTRICT

**COPY**  
By   
Robert O. Mangus Jr.  
Secretary of the Governing Board

**RESOLUTION NO. 21-667**

**RESOLUTION OF THE GOVERNING BOARD  
OF THE GOLETA SANITARY DISTRICT  
OVERRULING OBJECTIONS AND ADOPTING REPORT  
ON SEWER SERVICE CHARGES TO BE COLLECTED  
ON TAX ROLL FOR FISCAL YEAR 2021-2022**

WHEREAS, on June 21, 2021, the Governing Board of the Goleta Sanitary District adopted Resolution No. 21-666 providing for the collection on the tax roll of sewer service charges for fiscal year 2021-2022;

WHEREAS, pursuant to Resolution No. 21-666, District staff has prepared and filed with the Secretary of the Board a written report containing a description of each parcel of real property receiving service and/or facilities and the amount of the sewer service charges for each such parcel (the "Report");

WHEREAS, in Resolution No. 21-666, this Board appointed the time and place of a hearing on the Report and directed the Secretary to provide notice of the hearing;

WHEREAS, such notice was given in the manner provided by law as appears by the affidavit of publication on file in the office of the Secretary; and

WHEREAS, a public hearing was held on July 19, 2021 at 6:30 p.m. at which the Board heard and considered all objections and protests to the Report.

NOW, THEREFORE, IT IS HEREBY FOUND AND ORDERED as follows:

1. That protest was not made by the owners of a majority of separate parcels of property described in the Report, and that all objections and protests to the Report are overruled.
2. The Report and the charges therein are adopted and determined to be final, and the charges shall be collected on the tax roll of the County of Santa Barbara in the manner provided by law.
3. The Secretary of the District is directed to file with the County Auditor-Controller of Santa Barbara County, on or before the 6th day of August, 2021, a copy of the Report, upon which shall be endorsed over his signature a statement that the report has been finally adopted by this Board.
4. The County Auditor-Controller of Santa Barbara County shall, upon receipt of the Report, enter the amount of the charges set forth therein against the respective lots or parcels as they appear on the assessment roll for fiscal year 2021-2022.

PASSED AND ADOPTED this 19<sup>th</sup> day of July, 2021, by the following vote of the Governing Board of the Goleta Sanitary District:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAIN:**

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Jerry D. Smith,  
President of the Governing Board

COUNTERSIGNED

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Robert O. Mangus, Jr.,  
Governing Board Secretary

**ORDINANCE NO. 90**

**ORDINANCE AND GENERAL REGULATION OF THE GOVERNING BOARD OF THE GOLETA SANITARY DISTRICT AMENDING ORDINANCE NO. 79 TO PROVIDE FOR CONSUMER PRICE INDEX ADJUSTMENTS FOR SERVICE CHARGES**

**WHEREAS**, on July 9, 2012, the Governing Board (the “Board”) of the Goleta Sanitary District (the “District”) adopted Ordinance No. 79 establishing revised sewer service charges (the “Service Charges”) and approving other provisions applicable to users of the District’s sewage collection, treatment, and disposal system; and

**WHEREAS**, on June 1, 2015, the Board adopted Ordinance No. 82 amending Ordinance No. 79 to reduce the Service Charges by 5.6%, which equaled a reduction of \$2.12 per month per equivalent residential unit; and

**WHEREAS**, on June 14, 2017, the Board adopted Ordinance No. 86 amending Ordinance No. 79 to increase the Service Charges by 16%, which equaled an increase of \$5.70 per month per equivalent residential unit; and

**WHEREAS**, in order to cover anticipated future cost increases attributable to inflation and other factors, the Board desires to amend Ordinance No. 79, as previously amended, to provide for annual adjustments to the Services Charges, for a two (2) year period, based on changes in the Consumer Price Index.

**NOW, THEREFORE, BE IT ORDAINED** by the Governing Board of the Goleta Sanitary District as follows:

- 1. Service Charge Adjustment on August 1, 2018.** Effective as of August 1, 2018, Exhibit “A” attached to Ordinance No. 79, as previously amended, is hereby deleted in its entirety and is replaced with the Amended Exhibit “A” attached hereto and incorporated herein by reference. The Service Charges set forth in said attached Amended Exhibit “A” have been calculated using the methodology set forth under Section 2, below.
- 2. Service Charge Adjustment on July 1, 2019.** Effective as of July 1, 2019, the Services Charges set forth in Amended Exhibit “A” attached hereto shall be adjusted based on the average of the monthly twelve (12)-month average change for the period of April 2018 through March 2019 in the Consumer Price Index published by the United States Department of Labor, Bureau of Labor Statistics, for All Urban Consumers, All Items, for the Los Angeles-Long Beach-Anaheim area, 1982-1984 = 100. In no event shall such adjusted Services Charges be less than the Service Charges set forth in Amended Exhibit “A” attached hereto.
- 3. Continued Effect.** Except as specifically amended herein, all of the terms and provisions of Ordinance No. 79, as previously amended, shall continue in full force and effect.

4. **Publication.** The Secretary of the Board is hereby directed to cause this ordinance to be published once in a newspaper published in the District in accordance with the requirements of Health & Safety Code Section 6490.

5. **Effective Date.** This ordinance shall be effective as of August 1, 2018.

**PASSED AND ADOPTED** this 16th day of July, 2018, by the following vote of the Governing Board of the Goleta Sanitary District:

**AYES:**           5       **Majoewsky, Emerson, Rose, Wageneck, Smith**

**NOES:**            None

**ABSENT:**         None

**ABSTAIN:**        None

**COPY**  
  
\_\_\_\_\_  
Steven T. Majoewsky,  
President of the Governing Board

**COUNTERSIGNED:**

**COPY**  
  
\_\_\_\_\_  
Robert O. Mangus, Jr.  
Secretary of the Governing Board

**AMENDED EXHIBIT "A"**

**Service Charges**

**Effective August 1, 2018**

<b>Sewer Service Charges by Customer Classification</b>			
	<b>User Classification</b>	<b>Annual Sewer Service Charge</b>	
1	Single Family Residences	\$ 511.95	per unit
2	Multiple Family Residences	\$ 414.78	per unit
3	Mobile Homes and House Trailers	\$ 414.78	per unit
4	Motels/Hotels	\$ 294.75	per room
5	Commercial Establishments, Grocery, Theaters	\$ 414.78	per establishment
6	Markets	\$ 932.61	for up 74,095 gallons of water used, plus volume charge *
7	Banks	\$ 465.32	per establishment
8	Offices	\$ 87.54	per equivalent office unit
9	Doctors and Dental Offices	\$ 511.95	for up 74,095 gallons of water used, plus volume charge *
10	Bars, Cocktail Lounges, Taverns	\$ 81.96	per seat
11	Restaurants, food service facilities	\$ 967.20	for up 74,095 gallons of water used, plus volume charge *
12	Restaurants (Takeout/Drive-ins)	\$ 967.20	for up 74,095 gallons of water used, plus volume charge *
13	Beauty, Barber shops	\$ 414.78	per establishment
14	Laundromats / Cleaners	\$ 452.08	for up 74,095 gallons of water used, plus volume charge *
15	Automobile Service Stations	\$ 524.95	per establishment
16	Car Wash	\$ 404.97	for up 74,095 gallons of water used, plus volume charge *
17	Factories, Industrial Plants, Water Bottling and Water Treatment Facilities	\$ 434.88	for up 74,095 gallons of water used, plus volume charge *
18	Mortuaries	\$ 2,574.00	per establishment
19	Hospitals	\$ 488.38	for up 74,095 gallons of water used, plus volume charge *
20	Churches	\$ 511.95	for up 74,095 gallons of water used, plus volume charge *
21	Schools (Nursery, elementary, secondary)	\$ 26.01	per average daily attendance
22	Boys & Girls Clubs	\$ 13.00	per average daily attendance
23	Animal Shelters, Kennels, Veterinary Clinics & Hospitals	\$ 511.95	for up 74,095 gallons of water used, plus volume charge *
24	Machine Shop, Auto Repair	\$ 465.32	per establishment
25	Photo Processing Plant	\$ 930.64	per establishment
26	Auditoriums, Dance Halls and Recreation Rooms	\$ 434.88	for up 74,095 gallons of water used, plus volume charge *
27	Private Clubs with Recreational Facilities	\$ 511.95	for up 74,095 gallons of water used, plus volume charge *
28	Automobile Service Stations with trailer dump facilities	\$ 1,716.74	per establishment

\* Volume Charge is calculated by multiplying the annual unit rate by the actual number of gallons used in excess of 74,095 gallons divided by 74,095 gallons. The Volume Charge payable in each such case shall be based on the preceding fiscal year's water consumption.

CERTIFICATION

I, Robert O. Mangus, Jr., Secretary of the Goleta Sanitary District, hereby certify that the forgoing is a true and correct copy of Ordinance No. 90, which was duly adopted by the Governing Board of the Goleta Sanitary District at a meeting of said Governing Board duly held on July 16, 2018.

Date: July 16, 2018

**COPY**

Robert O. Mangus,  
Secretary of the Governing Board

# **AGENDA ITEM #2**

**AGENDA ITEM: 2**

**MEETING DATE: July 19, 2021**

**I. NATURE OF ITEM**

Consideration of Approval of Resolution No. 21-668 Adopting Findings, Approving Preliminary Environmental Review Form and Authorizing Preparation and Filing of Notice of Exemption under CEQA for the In-Plant Lift Station Rehabilitation Project

**II. BACKGROUND INFORMATION**

In 2017 the District's consultant, Hazen and Sawyer completed a comprehensive Asset Management Program (AMP) of the wastewater treatment facility. The study determined that the In-Plant Lift Station required significant replacement of capital assets to reduce the risk of failure and continue its service life.

In 2019 the District contracted with MNS Engineers, Inc. to develop plans and specifications for the project. The project was delayed, in part due to Covid-19, but plans are now finalized, along with contract documentation. These documents have been reviewed by staff and the Governing Board Engineering Committee. The District's Environmental Committee has reviewed the project and determined that the project is exempt from the California Environmental Quality Act (CEQA). Approval of a CEQA Notice of Exemption by the Governing Board is required to allow this project to proceed. Upon approval, the Notice of Exemption will be filed with the Santa Barbara County Clerk as required.

**III. COMMENTS AND RECOMMENDATIONS**

Staff recommends the Board approve Resolution No. 21-668 to adopt findings, approve the Preliminary Environmental Review form, and authorize the preparation and filing of a CEQA Notice of Exemption for the In-Plant Lift Station Rehabilitation Project.

**IV. REFERENCE MATERIAL**

Resolution No. 21-668 Adopting Findings, Approving Preliminary Environmental Review Form and Authorizing Preparation and Filing of Notice of Exemption under CEQA for the In-Plant Lift Station Rehabilitation Project

**RESOLUTION NO. 21-668**

**RESOLUTION OF THE GOVERNING BOARD OF THE GOLETA  
SANITARY DISTRICT ADOPTING FINDINGS, APPROVING PRELIMINARY  
ENVIRONMENTAL REVIEW FORM AND AUTHORIZING  
PREPARATION AND FILING OF NOTICE OF EXEMPTION UNDER  
CEQA FOR THE IN-PLANT LIFT STATION REHABILITATION PROJECT**

WHEREAS, the District's Environmental Committee has conducted a preliminary review of the In-Plant Lift Station Rehabilitation Project (the "Project") and has concluded that this activity is exempt from environmental review under the California Environmental Quality Act ("CEQA") pursuant to Sections 15301 of Title 14 of the California Code of Regulations (the "CEQA Guidelines"). Said conclusion is set forth in the Preliminary Environmental Review form prepared by the Environmental Committee, a copy of which is attached hereto as Exhibit "A".

WHEREAS, the District's Governing Board desires to approve the Preliminary Environmental Review form, set forth its findings that the Project is exempt from environmental review under CEQA and authorize the filing of a Notice of Exemption.

NOW, THEREFORE, BE IT RESOLVED by the Governing Board of the Goleta Sanitary District as follows:

1. The Governing Board hereby approves the Preliminary Environmental Review form attached hereto as Exhibit "A" and finds that the Project is exempt from environmental review under Sections 15301 of the CEQA Guidelines.

2. In accordance with Section 15062 of the CEQA Guidelines, the Governing Board hereby authorizes and directs the Secretary of the District to prepare and file a Notice of Exemption with the Santa Barbara County Clerk following final approval of the Project by the Governing Board.

PASSED AND ADOPTED this 19th day of July 2021, by the following vote of the Governing Board of the Goleta Sanitary District:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAIN:**

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Jerry D. Smith, Governing Board President

ATTEST:

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Robert O. Mangus, Jr., Governing Board Secretary



# Notice of Exemption

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To:  Office of Planning and Research  
1400 Tenth Street, Room 121  
Sacramento, CA 95814

From: (Public Agency) Goleta Sanitary District  
One William Moffett Place  
Goleta, CA 93117

County Clerk  
County of Santa Barbara  
105 E. Anapamu Street  
Santa Barbara, CA 93101

**Project Title:** In-Plant Lift Station Rehabilitation Project

**Project Location – Specific:** One William Moffett Place

**Project Location – City:** Goleta

**Project Location – County:** Santa Barbara

**Description of Project:** Rehabilitate the Existing In-Plant Lift Station

**Name of Public Agency Approving Project:** Goleta Sanitary District

**Name of Person or Agency Carrying Out Project:** Goleta Sanitary District

**Exempt Status:** (*check one*)

- Ministerial (Sec. 21080(b)(1); 15268);
- Declared Emergency (Sec. 21080(b)(3);15269(a));
- Emergency Project (Sec. 21080(b)(4);15269(b)(c));
- Categorical Exemption, State type and section number: 15301.a
- Statutory Exemptions. State code number:

**Reasons why project is exempt:** Project is a rehabilitation of an existing facility that does not expand its use beyond the existing use determined at the time of the project.

Lead Agency

Contact Person: Steve D. Wagner, P.E. Area Code/Telephone/Extension: (805) 967-4519

If Filed by applicant:

1. Attach certified document of exemption finding.
2. Has a Notice of Exemption been filed by the public agency approving the project?  Yes  No

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Title: \_\_\_\_\_

Signed by Lead Agency

Date received for filing at OPR:

Signed by Applicant

# **AGENDA ITEM #3**

**AGENDA ITEM: 3**

**MEETING DATE: July 19, 2021**

**I. NATURE OF ITEM**

Approval of Plans and Specifications and Authorization for Solicitation of Public Bids for the In-Plant Lift Station Rehabilitation Project

**II. BACKGROUND INFORMATION**

As part of the District's long-range Capital Improvement Program, the District needs to rehabilitate the In-Plant Lift Station. Based on the District's Asset Management Program's condition assessment of the In-Plant Lift Station, staff recommends that the following actions be included in the District's In-Plant Lift Station Rehabilitation Project:

- Rehabilitate Pump Nos. 1, 2, and 4 and associated motors
- Rehabilitate wet well structure
- Rehabilitate north and south wet well influent gates
- Rehabilitate building shell and roof
- Replace existing Motor Control Center (MCC) units MCC F and MCC FA with a single MCC unit
- Replace the existing Automatic Transfer Switch (ATS) with a new ATS
- Replace the Station lighting panel
- Replace the wet well bubbler control panel
- Replace Station supply fan, exhaust fan, and corroded exhaust ducting
- Replace Station Sump Pump Nos. 1 and 2
- Rehabilitate check valves and plug valves/knife valves for Pump Nos. 1, 2, and 4
- Replace failed knife gate valve adjacent to flowmeter
- Replace undersized and deteriorated bypass connection
- Replace corroded door frames (and possibly doors)
- Repair concrete pump suction supports
- Repair damaged non-skid stair treads
- Replace and/or coat miscellaneous metals in Lift Station (grating, piping, supports, etc.)
- Replace damaged windows in motor room

**III. COMMENTS AND RECOMMENDATIONS**

The approved budgets for Fiscal Year (FY) 2019-20 and FY 2020-21 included \$1,970,065 for this project. The FY 2021-2022 budget includes an additional \$1,400,000 for this project for a total of \$3,370,065. The total amount budgeted includes estimates for Construction Management Services. A summary of the

revised total estimated project cost and funding sources is shown in the following table.

<b>Projected Cost Estimate &amp; Budget</b>	
Construction	\$ 3,130,000
Construction Management (CM)	\$ 296,110
<b>Total</b>	<b>\$ 3,426,110</b>

FY 2019-2021 Budgets	\$ 1,970,065
FY 2021-2022 Budget	\$ 1,400,000
<b>Total</b>	<b>\$ 3,370,065</b>

A copy of the estimated construction cost is attached to this report. While the total estimated project costs slightly exceed the funding included in the approved budgets, the actual costs will be based on the approved contract amount.

District staff has reviewed the Project Plans and Specifications with MNS Engineers, Inc. staff. On July 8, 2021 the Governing Board Engineering Committee reviewed this project and has recommended that the Board approve the plans and specifications and authorize staff to put the project out to bid. A copy of the project plans and specifications is available for review in the District's administration office and will be brought to the Board meeting. Once bids are received and reviewed, staff will bring the project back to the Board for consideration of award of construction and construction management services contracts.

#### **IV. REFERENCE MATERIAL**

Engineer's Estimate of Probable Construction Cost



**SUMMARY OPINION OF PROBABLE CONSTRUCTION COST  
GOLETA WWTP IPS REHABILITATION**

**100% DESIGN LEVEL**

<b>Work Element</b>	<b>Construction Cost</b>
Mobilization	\$150,000
Electrical	\$360,000
Instrumentation and Controls	\$110,000
Mechanical Piping, Valves, and Pumps	\$710,000
Heating Ventilation Air Conditioning	\$840,000
Structural	\$740,000
Lift Station Bypassing	\$160,000
<b>Total Project Cost</b>	<b>\$3,070,000</b>

Optional Task - Wet Weather Bypassing	\$60,000
<b>Total Project Cost w/ Optional Task</b>	<b>\$3,130,000</b>















# **AGENDA ITEM #4**

**AGENDA ITEM: 4**

**MEETING DATE: July 19, 2021**

**I. NATURE OF ITEM**

Consideration of Upcoming CASA Annual Business Meeting

**II. BACKGROUND INFORMATION**

The District is a long standing member of the California Association of Sanitation Agencies (CASA). CASA sent out a notice to member agencies that a business meeting would be held at the annual conference on August 12, 2021. The business meeting agenda includes the election of new CASA board members and the approval of a membership dues resolution. A copy of the notice is attached to this report.

A slate of four nominees has been recommended by the CASA nominating committee and approved by their board. Biographies of the nominees are included in the attached notice. The proposed membership dues resolution for FY 2021-22 carries forth the current year amounts with no increases.

**III. COMMENTS AND RECOMMENDATIONS**

CASA bylaws require that each member designate an official voting representative and two alternates who are authorized to exercise the agency's voting rights. The bylaws also require the submittal of a consent to electronic transmission signed by the agency representative in order to receive meeting notices, ballots, conduct meetings and handle other of matters of official business that require member or board approval.

CASA has requested that the designation of voting representative form and consent to electronic transmission form included in the attached notice be completed and returned to the CASA office by July 30, 2021.

CASA has also requested that member agencies cast their votes on the slate of the proposed board members and FY 2021-22 Dues Resolution by August 12, 2021.

Staff recommends the Board consider the attached information, designate a voting representative and two alternates, and direct the General Manager to submit copies of the above-referenced forms to CASA prior to the due dates as appropriate.

**IV. REFERENCE MATERIALS**

CASA Annual Business Meeting Notice



June 30, 2021

**TO:** CASA Member Agencies  
**FROM:** Jason Dow, President  
**SUBJECT:** **CASA ANNUAL BUSINESS MEETING—AUGUST 11-13, 2021,  
Hilton San Diego Bayfront, San Diego, CA**

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CASA will hold its annual business meeting during the August 11-13 Annual Conference at the Hilton San Diego Bayfront. Specifically, the meeting will be held in-person on Thursday, August 12. The agenda for the meeting is as follows:

**Election of the Directors for FY 2021-22**

The membership will be asked to approve a slate of four nominees for the open seats on the Board of Directors. The Directors will serve three-year terms. The slate was recommended by the Nominating Committee and approved by the Board of Directors. The nominees are:

- Craig Elitharp, Vallecitos Water District
- Georgan Vonheeder-Leopold, Dublin San Ramon Services District
- Roland Williams, Castro Valley Sanitary District
- Rita Duncan, Oro Loma Sanitation District

Brief biographies of the nominees are attached. The Board consists of 13 Directors, 12 elected by the members and one Associate Director appointed by the President. If elected, the four Directors will join eight incumbents. In addition to the elected Directors, the President will appoint a new Associates Director, Kathryn Gies of West Yost Associates, to a one-year term. The Board of Directors will then elect a President, Vice President and Secretary-Treasurer for one-year terms.

The election will take place by written ballot at the conference. Agency representatives attending the meeting may cast their votes up until 5:00 p.m. on Thursday, August 12, 2021.

**Approval of the Dues Resolution: No Dues Changes**

The dues resolution carries forward the dues from the current year with no increases. The members will be asked to approve the dues resolution during the Annual Business Meeting.

**Other Informational Items**

In addition to the action items above, at the conference members will receive for their information the FY 2022 Budget, approved by the Board on June 10, 2021, and the year-end FY 2021 Treasurer's Report.

My term as your President has been unique, but also exceptionally productive. In response to the COVID pandemic, CASA launched a wide variety of resources for our members, successfully executed two virtual conferences, and dramatically expanded our webinar and virtual meeting offerings. On the legislative front, we anticipate our non-flushable wipes legislation will cross the finish line this year, our advocates defeated legislation with significant potential impacts to our members, and we made meaningful strides on key issues such as PFAS and the water-energy nexus.

Our communications program continues to expand and thrive as we provide materials, leadership, and topical information to our members on a regular basis. Thanks to the efforts of our membership committee and staff, even in a year where there were questions about the impact of the pandemic on the economy and local agency budgets, 99% of CASA agency members renewed and we welcomed two new agencies.

We also adopted a new five-year strategic plan, are in the process of launching new programs aimed at emerging professionals (CASA LEAD), and added an additional staff member, Alma Musvosvi, as part of our transition and succession planning efforts. We were able to accomplish all of this while adjusting to a remote work environment. It has been an honor to serve as your President during these unprecedented times, and I look forward to seeing you in San Diego!

Jason R. Dow  
CASA President

A handwritten signature in black ink, appearing to read "J.R. Dow". The signature is stylized, with a large, looped initial "J" and a long, horizontal stroke extending to the right.

## Brief Biographies of Board Nominees

### **Rita Duncan, Director and Board President, Oro Loma Sanitary District**



Rita is the current Board President at the Oro Loma Sanitary District. She was appointed to the Board in January 2016, elected to the Board in November 2016, and re-elected in 2018. She was previously the Director of Human Resources at a Bay Area manufacturing company, and also formerly the Director of the Math, Engineering, Achievement, and Education Outreach Program for the Stanford School of Engineering. Rita currently serves as Vice-Chair of the CASA Federal Legislative Committee and would be a new appointee to the CASA Board.

### **Craig Elitharp, Director, Vallecitos Water District**



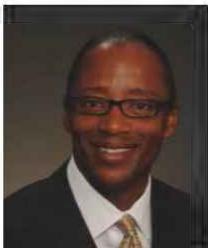
Craig was first elected to the Vallecitos Water District Board in 2014 and served as Board President in 2017. He also served on the Board of the Encina Wastewater Authority from 2014 to 2018 as one of two Vallecitos Water District representatives and served as Chairman of its Policy and Finance Committee in 2018. Craig retired from the Rancho California Water District in 2014 with nearly 25 years of experience there in the roles of Civil Engineer, Operations Manager and Director of Operations and Maintenance. Craig is a Registered Civil Engineer in California and holds State Water Resources Control Board certifications as a Grade 5 Water Distribution Operator and Grade 3 Water Treatment Operator. Craig currently serves on the CASA Board of Directors.

### **Georgan Vonheeder-Leopold, Director, Dublin San Ramon Services District**



Georgan has been an active member of CASA for more than 15 years and brings years of public service and experience to the CASA Board. In 1977, while raising four children, Georgan became active in local government, scouting, and youth sports. She worked on the campaign to incorporate Dublin and San Ramon as cities and then served on the first Dublin Planning Commission, which was tasked with writing the first General Plan. She then became a member of the Dublin City Council, just in time to approve the General Plan. Active in public service for more than 35 years, Georgan has served on various city and county commissions as well as a host of nonprofit boards. She has lived in Dublin since 1971 and recently retired from a long career in tax accounting. Georgan currently serves as the Secretary-Treasurer for the CASA Board of Directors.

### **Roland Williams, General Manager, Castro Valley Sanitary District**



Roland Williams has been with CV San 19 years, 12 years as the General Manager. Prior to coming to CVSan, Roland worked for Harris and Associates as a project manager and owner's representative on wastewater treatment plant projects. He has served on the CASA Utility Leadership Committee and is a member of CWEA, WEF, APWA, and has held various volunteer roles with these organizations. He is a father of two adult children and grandfather of two. His hobbies include fitness training, reading, travel, and spending time with family. Roland currently serves on the CASA Board of Directors.



# CALIFORNIA ASSOCIATION of SANITATION AGENCIES

1225 8<sup>th</sup> Street, Suite 595 • Sacramento, CA 95814 • TEL: (916) 446-0388 • [www.casaweb.org](http://www.casaweb.org)

DATE: June 30, 2021  
TO: CASA Member Agencies  
FROM: Adam Link, Executive Director  
SUBJECT: Designation of Agency Representative and Consent to Electronic Transmission

Dear Members:

We are requesting your assistance with a couple of administrative matters. CASA's bylaws require that each member agency designate an official voting representative and two alternates who are authorized to exercise the agency's voting rights. We are updating our records in advance of our annual election and ask that you complete a designation form.

Secondly, as a nonprofit mutual benefit corporation, CASA must obtain our members' consent to transmit official communications electronically rather than regular mail. By signing and returning the enclosed authorization, you agree that CASA may send these communications such as ballots and other official business matters to you via email.

We request that you return both original documents by Friday, July 30, 2021, to [cmackelvie@casaweb.org](mailto:cmackelvie@casaweb.org). We also request that you mail the original documents to CASA, Attn: Cheryl MacKelvie, 1225 8<sup>th</sup> Street, Suite 595, Sacramento, CA 95814.

If you have any questions, please feel free to contact me at (916) 446-0388 or [alink@casaweb.org](mailto:alink@casaweb.org). Thank you for your assistance.



# CALIFORNIA ASSOCIATION of SANITATION AGENCIES

1225 8<sup>th</sup> Street, Suite 595 • Sacramento, CA 95814 • TEL: (916) 446-0388 • [www.CASAweb.org](http://www.CASAweb.org)

## 2021 DESIGNATION OF AGENCY REPRESENTATIVES

The bylaws of the California Association of Sanitation Agencies (CASA) provide that each voting member of the Association shall designate in writing the individual who shall exercise the voting rights and other privileges on behalf of the member agency, and two alternates to that individual as well. (Article II, Section 1.)

Please designate your agency's representative and two alternates and return this form to Cheryl MacKelvie at [cmackelvie@casaweb.org](mailto:cmackelvie@casaweb.org). Please mail the original to CASA, 1225 8<sup>th</sup> Street, Suite 595, Sacramento, CA 95814. You may revise or update this designation at a future date.

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Insert name of Agency

---

Insert name of Agency Representative

---

Telephone number

---

Email address

---

Insert name of Alternate #1

---

Telephone number

---

Email address

---

Insert name of Alternate #2

---

Telephone number

---

Email address

Submitted by:

Date:

---

E-Signature

---

Print name

### CONSENT TO ELECTRONIC TRANSMISSION

As a member of the CALIFORNIA ASSOCIATION OF SANITATION AGENCIES (CASA) your written consent is required in order to receive official communications from, and/or to send official communications to, CASA by electronic transmission (i.e. email).

This consent form will allow CASA to send you meeting notices, ballots, conduct meetings, and handle other official business that requires member or board approval, by electronic transmission. It also allows you to send the same types of information to CASA via electronic transmission.

Before signing this consent form, please review and be aware of the following:

1. You are not required to sign this form. You may request that meeting notices, ballots, and other matters of official business be sent to you via regular mail.
2. You have the right to withdraw your written consent at any time after signing this form by providing CASA with written notice that you are withdrawing your consent relative to electronic transmission.
3. This consent to electronic transmission is broad, and may include transmission of meeting notices, ballots, and other important information regarding CASA. It also allows CASA to conduct meetings via electronic transmission, although that will not be a frequent occurrence. This consent form represents consent under both California Corporations Code 20 and 21 (transmission from and to CASA). This consent form also meets the requirements for consent under the federal Electronic Signatures in Global and National Commerce Act (15 U.S.C. Sec. 7001(c)(1)).
4. Consenting to electronic transmission via email requires that you have access to a computer, have a current email account, and have provided your current email address to CASA.

The undersigned CASA member representative has read and understands the foregoing, and hereby provides this written consent to receive and send information, including but not necessarily limited to meeting notices, ballots, and other information regarding CASA, via electronic transmission (i.e. email), until such time as this consent is revoked in writing. This consent also allows CASA to conduct meetings via electronic transmission.

Insert Agency Name: \_\_\_\_\_

E-Signature of Member Agency Representative: \_\_\_\_\_

Date: \_\_\_\_\_

Insert name and title: \_\_\_\_\_

\*Email address for official CASA notices:

\_\_\_\_\_

**PLEASE EMAIL THIS FORM TO [CMACKELVIE@CASAWEB.ORG](mailto:CMACKELVIE@CASAWEB.ORG).**

\*Please indicate if you do not have access to (or do not want) this type of transmission



**OFFICIAL BALLOT**

The voting members of the California Association of Sanitation Agencies (CASA) are requested to cast their votes on the following important actions:

**Board of Directors FY 2022**

The Nominating Committee and the Board of Directors recommend election of the following slate of Directors to the four available Board seats:

- Craig Elitharp, Vallecitos Water District (Director - South)
- Georgan Vonheeder-Leopold, Dublin San Ramon Services District (Director – North)
- Roland Williams, Castro Valley Sanitary District (Manager – North)
- Rita Duncan, Oro Loma Sanitation District (Director – North)

Please check one:

- Approve the slate of Directors
- Do not approve the slate of Directors

**Dues Resolution FY 2022** (See below Proposed Resolution No. 21-211 )

Please check one:

- Approve the Dues Resolution
- Do not approve the Dues Resolution

Please mark this Official Ballot for approval or disapproval and then insert the voting agency name and your name and sign your name and date below where indicated.

In order to be counted, this original completed, signed and dated Official Ballot **must be returned to CASA by 5:00 p.m. on Thursday, August 12, 2021.** Materially incomplete or illegible ballots will not be counted.

Date: \_\_\_\_\_

\_\_\_\_\_   
 Insert name of CASA Member Agency

\_\_\_\_\_   
 E-Signature of CASA Member Agency representative

\_\_\_\_\_   
 Insert name of representative

**Electronic Submission is preferred. Please send ballot to Cheryl MacKelvie at [cmackelvie@casaweb.org](mailto:cmackelvie@casaweb.org).**



**2022 CASA Annual Membership Dues**

Annual membership dues shall be determined as follows:

- 1. Active Member.** Dues are based on the member agency’s annual operations and maintenance budget. The dues schedule for calendar year 2022 shall be:

**Agency Operations & Maintenance Budget 2022 Dues**

<b>1.</b>	Up to \$500,000	\$900
<b>2.</b>	Between \$500,001 - \$1,000,000	\$1,700
<b>3.</b>	Between \$1,000,001 - \$1,500,000	\$2,500
<b>4.</b>	Between \$1,500,001 - \$2,000,000	\$3,250
<b>5.</b>	Between \$2,000,001 - 2,500,000	\$4,000
<b>6.</b>	Between \$2,500,001 - 3,000,000	\$5,000
<b>7.</b>	Between \$3,000,001 - 3,500,000	\$6,000
<b>8.</b>	Between \$3,500,001 - \$4,000,000	\$7,000
<b>9.</b>	Between \$4,000,001 - 4,500,000	\$8,000
<b>10.</b>	between \$4,500,001 - \$5,000,000	\$9,000
<b>11.</b>	Between \$5,000,001 - \$10,000,000	\$13,600
<b>12.</b>	Between \$10,000,001 - \$20,000,000	\$17,100
<b>13.</b>	Between \$20,000,001 - \$100,000,000	\$20,500
<b>14.</b>	Over \$100,000,000	\$28,100

- 2. Associate Member.** Dues for associate members shall be:

<b>Associate Number of Employees</b>		<b>2022 Dues</b>
<b>1.</b>	Employer with 1-5 employees	\$475
<b>2.</b>	Employer with 6-15 employees	\$957
<b>3.</b>	Employer with 16-29 employees	\$ 1,427
<b>4.</b>	Employer with 30-74 employees	\$ 1,906
<b>5.</b>	Employer with 75-120 employees	\$ 2,396
<b>6.</b>	Employer with over 121 employees	\$ 2,875

- 3. Honorary Member.** There shall be no dues for honorary members.

Adopted by the California Association of Sanitation Agencies by electronic ballot and announced at the annual conference held in San Diego at the Hilton Bayfront on August 13, 2021.

ATTEST:

Georgian Vonheeder Leopold  
Secretary - Treasurer

# **AGENDA ITEM #5**

**AGENDA ITEM: 5**

**MEETING DATE: July 19, 2021**

**I. NATURE OF ITEM**

Consideration and Approval of Amendment to General Manager's Employment Agreement

**II. BACKGROUND INFORMATION**

The District's General Manager serves at the will of the Governing Board pursuant to an employment agreement dated July 1, 2015. A copy of the employment agreement is attached to this report.

The Governing Board typically reviews the General Manager's performance on an annual basis. The last review of the General Manager concluded on July 5, 2021. After concluding the review, the Board requested that an amendment to the General Manager's employment agreement increasing the annual amount of paid time off be brought back to the Board for consideration. As such, an amendment to the General Manager's employment agreement has been prepared by the District's legal counsel and is presented herein for Board consideration.

**III. COMMENTS AND RECOMMENDATIONS**

Section 7.1 of the General Manager's employment agreement provides for three weeks of vacation each year without loss of compensation. The proposed amendment to the employment agreement would increase the amount of annual vacation from three weeks to four weeks.

The Board may consider the proposed amendment to the General Manager's employment agreement and make any revisions as it so desires.

**IV. REFERENCE MATERIALS**

General Manager's Employment Agreement dated June 1, 2015

Draft Amendment to General Manager's Employment Agreement

## EMPLOYMENT AGREEMENT

**THIS EMPLOYMENT AGREEMENT** (this "Agreement"), dated for reference purposes as of July 1, 2015, is entered into by and between the **GOLETA SANITARY DISTRICT**, hereinafter referred to as "Employer," and **STEVEN D. WAGNER**, hereinafter referred to as "Employee." In consideration of the mutual promises made herein, Employer and Employee agree as follows:

1. **Engagement.** Employer agrees to employ Employee and Employee accepts such employment, commencing July 1, 2015, and continuing until terminated as provided herein. Employee and Employer agree that Employee is employed at-will subject to the terms of this Agreement, serves at the pleasure of Employer's Governing Board and is not guaranteed employment for any fixed term. This means that, subject to Sections 6.3 and 8 below, either Employee or Employer may terminate the employment relationship at any time, with or without cause, and nothing in this Agreement is intended to create an employment relationship for a specified term.

2. **Duties of Employee.** Employee shall, during the term of employment hereunder, act as General Manager and District Engineer for Employer. In his capacity as such, Employee shall render and perform all services, acts or duties which are necessary, prudent or advisable for the management of the affairs of Employer, subject to, however, and in accordance with the statutes and laws of the State of California and of any other governmental authority to which Employer's employees and agents may be subject. Employee shall also perform such duties and carry out such other acts and functions as Employer's Governing Board shall, from time to time, direct. It is mutually agreed and understood that Employee is employed under this Agreement on a full-time basis in an exempt executive capacity as such term is used in the Fair Labor Standards Act and any applicable California laws, and that the primary duties of Employee hereunder consist of the management and direction of the operation and activities of Employer. During the term hereof, Employee shall not perform any service or act which would conflict with the operation or impair the integrity of Employer.

3. **Professional Activities.** In addition to the general duties set forth above, Employee may participate in professional activities (e.g., conferences, seminars, panels and membership in organizations, etc.), which are (i) relevant to the overall operations of Employer, and (ii) approved by Employer's Governing Board. All expenses incurred in connection with such professional activities shall be paid in accordance with the policies adopted by Employer's Governing Board and in effect from time to time. Attendance at such professional activities shall be considered as work time and not vacation time.

4. **Representations.** Employee represents that he is a United States citizen, has a Social Security number, and can present verification of his legal right to work in the United States if requested to do so by Employer.

**5. Obligations of Employer.**

**5.1 General Description.** Employer shall provide Employee with the compensation and benefits specified elsewhere in this Agreement.

**5.2 Office and Staff.** Employer shall provide Employee with secretarial assistance and other facilities and services suitable to Employee's position and adequate for the performance of his duties.

**6. Compensation of Employee.**

**6.1 Annual Salary.** As compensation for services to be performed hereunder, Employer shall pay Employee an annual salary of \$195,000.00, payable in equal bi-weekly installments, and prorated for any partial pay period worked. Employer's Governing Board shall review, at least annually, the compensation paid to Employee hereunder and, in accordance with such review, the Board may increase such compensation as it shall deem appropriate, based on the following considerations:

- a. the compensation paid for comparable employment by other governmental entities in California, similar in size and functions to Employer;
- b. the level of responsibility required by the position of Employee;
- c. the cumulative experience of Employee and his performance in carrying out his assigned duties; and
- d. Such other factors as the Board may then determine relevant or appropriate.

**6.2 Cost of Living Adjustments.** Whether or not Employer grants a discretionary increase to Employee's compensation pursuant to Section 6.1 above, there shall be an annual cost of living adjustment ("COLA") to Employee's compensation, which shall be at the same time, shall be in the same amount and shall be calculated in the same manner as the annual COLA for other employees of Employer. The annual COLA to Employee's compensation as provided in this Section 6.2 shall be in addition to and shall be separate from any compensation increase granted by Employer under Section 6.1 above, and shall be granted to Employee for any and each year in which Employer grants such COLA to other District employees.

**6.3 Severance Pay.** If Employee's employment hereunder is unilaterally terminated by Employer without cause pursuant to Section 8.b below, Employee shall be entitled to severance pay in an amount equal to six (6) months' salary. Said severance pay shall be in addition to any other amounts payable to Employee hereunder and shall be paid to the Employee within fifteen (15) days of the effective date of termination. The payment of the severance pay shall be contingent upon and shall be deferred until (i) Employer's receipt of a general release from Employee in a form acceptable to Employer, and (ii) the expiration of any

revocation period provided for in such release.

**6.4 Tax Withholding.** Employer shall have the right to deduct or withhold from the compensation due to Employee hereunder any and all then-requisite income tax withholdings and payroll taxes.

**6.5 Reimbursement by Employee.** Pursuant to Article 2.6 (commencing with Section 53243) of Chapter 2 of Part 1 of Division 2 of Title 5 of the Government Code, if Employee is convicted of a crime involving an abuse of Employee's office or position, Employee shall fully reimburse Employer for any (i) paid leave salary offered by Employer to Employee pending an investigation, (ii) funds for the legal criminal defense of Employee, and (iii) any cash settlement related to the termination of this Agreement. For purposes of this this section, "abuse of Employee's office or position" means either of the following:

- a. An abuse of public authority, including, but not limited to, waste, fraud, and violation of the law under color of authority; or
- b. A crime against public justice, including, but not limited to, a crime described in Title 7 (commencing with Section 92) of Part 1 of the Penal Code.

**7. Employee Benefits.**

**7.1 Vacation.** Employee shall be entitled to three (3) weeks of vacation each year without loss of compensation. Employee may be absent from his employment for vacation only at such times as Employer shall determine from time to time, which determination shall be made by Employer in a reasonable manner. Paid vacation benefits shall be subject to and governed by Employer's general policies regarding paid vacation.

**7.2 Sick Leave.** Employee shall receive and be entitled to use paid sick leave benefits in accordance with Employer's standard policies on paid sick leave, as the same may be modified by Employer from time to time at Employer's sole discretion.

**7.3 Holidays.** Employee shall be entitled to vacation and holidays with pay, as are determined by the policies adopted by Employer and in effect from time to time.

**7.4 Automobile Allowance.** Employee shall not be entitled to receive an automobile from Employer for personal use but may use Employer's automobiles for purposes related to Employer's business.

**7.5 Additional Benefits.** Employee shall be entitled to participation in the "Public Employees' Retirement System" ("PERS"). As long as Employee is employed by Employer pursuant to this Agreement, Employer shall make PERS contributions in accordance with Employer's policies relating to such contributions, as the same may be modified by Employer from time to time at Employer's sole discretion. In addition, Employer shall provide medical coverage for Employee in accordance with Employer's policies concerning medical coverage for employees, as the same may be modified by Employer from time to time at Employer's sole discretion. Employee shall be entitled to

participate in any other health, life or disability insurance plans of Employer to the same extent and on the same terms and conditions as other employees of Employer (as the same may be modified by Employer from time to time at Employer's sole discretion). Employee shall also be entitled to participate in Employer's Deferred Compensation Plan on the terms and conditions established for such Plan, as the same may be modified by Employer from time to time at Employer's sole discretion.

**8. Termination of Employment.** This Agreement and Employee's employment with Employer shall be terminated if any of the following occurs:

- a. whenever Employee and Employer shall mutually agree in writing to termination and on such date as they mutually agree;
- b. without cause thirty (30) days after either party giving written notice to the other of the party's desire to terminate this Agreement. If either Employer or Employee gives such notice, Employer may advance the effective date of such termination, reduce Employee's hours of employment and/or reduce Employee's employment duties, as it may deem advisable, by written notice to Employee within three (3) days after delivery of such notice of termination; provided, however, that in all events, Employee shall receive his salary for the entire thirty (30) day notice period, either on the dates of his regular paydays or in a single sum, as Employer shall determine;
- c. on the death of Employee;
- d. For cause by Employer immediately upon the occurrence of any of the following events:
  - (i) Employee's inability to perform the essential functions of his position (even with any reasonable accommodation that would not impose an undue hardship on Employer as assessed under the Americans with Disabilities Act and any comparable applicable California law) due to a physical or mental impairment;
  - (ii) Employee pleading guilty or nolo contendere to, or Employee being convicted of, any felony or any misdemeanor Employer deems material;
  - (iii) Employee committing any act of dishonesty, fraud or willful misrepresentation or any similar act involving moral turpitude;
  - (iv) Employer's good faith belief that Employee has engaged in material misconduct that, in Employer's judgment, cannot be cured (for example, material violations of Employer's antiharassment policies, off duty misconduct that has a material adverse effect on Employer's reputation or on Employee's reputation and/or working relationships with coworkers, insubordination, willful breach of duties, abuse of authority or abuse of discretion with respect to Employer, etc.); or
  - (v) Employee's failure to cure any deficiencies in the adequate performance

of his duties and responsibilities within thirty (30) calendar days after receiving written notice from Employer describing such deficiencies.

**9. General Provisions.**

**9.1 Notices.** Any notices to be given hereunder by either party to the other shall be in writing and shall be transmitted by personal delivery or by first class mail, postage prepaid. Mailed notices shall be addressed to the parties at their last known address.

**9.2 Modifications.** Any modification of this Agreement will be effective only if it is in writing and signed by the party to be charged.

**9.3 Effect of Waiver.** The failure of either party to insist on strict compliance with any of the terms, covenants or conditions of this Agreement by the other party shall not be deemed a waiver of that term, covenant or condition, nor shall any waiver or relinquishment of any right or power at any one time or times be deemed a waiver or relinquishment of that right or power for all or any other times.

**9.4 Partial Invalidity.** If any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remaining provisions shall nevertheless continue in full force without being impaired or invalidated in any way.

**9.5 Governing Law.** This Agreement shall be governed by and construed in accordance with the laws of the State of California.

**9.6 Sums Due Deceased Employee.** If Employee dies prior to the expiration of the term of his employment, any sums that may be due him from Employer under this Agreement as of the date of death shall be paid to Employee's legal executors, administrators, heirs, beneficiaries, personal representatives, successors and assigns.

**9.7 Records.** All books, files, records, charts, agreements, documents and other data and similar items relating to the operations of Employer ("Records"), whether prepared by Employee or otherwise coming into his possession during the term of this Agreement, shall remain the property of Employer and shall not be removed from the premises where the work of Employer is carried on, without the prior consent of Employer.

**9.8 Assignment.** This Agreement is a personal employment contract and the rights and interests of Employee hereunder may not be sold, transferred, assigned, pledged or hypothecated.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date(s) set forth below.

“Employer”

GOLETA SANITARY DISTRICT  
By:   
**COPY**  
George W. Emerson, President  
of the Governing Board

Dated: June 1, 2015

“Employee”

  
**COPY**  
Steven D. Wagner

Dated: June 1, 2015

Countersigned:

By:   
**COPY**  
Robert O. Mangus, Jr., Secretary  
of the Governing Board

Dated: June 1, 2015

**AMENDMENT ONE TO EMPLOYMENT AGREEMENT**

**THIS AMENDMENT ONE TO EMPLOYMENT AGREEMENT** (this “Amendment”), dated as of \_\_\_\_\_, 2021, is entered into by and between the **GOLETA SANITARY DISTRICT**, hereinafter referred to as “Employer,” and **STEVE D. WAGNER**, hereinafter referred to as “Employee.”

**Recitals**

**A.** Employer and Employee have entered into an Employment Agreement dated July 1, 2015 (the “Agreement”).

**B.** Employer and Employee desire to amend the Agreement to increase Employee’s vacation time from three (3) weeks to four (4) weeks per year.

**NOW, THEREFORE**, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Employer and Employee agree as follows:

**1. Vacation.** Effective as of July 1, 2021, the first sentence of Section 7.1 of the Agreement is hereby deleted and is replaced with the following:

Employee shall be entitled to four (4) weeks of vacation each year without loss of compensation.

**2. Continued Effect.** Except as specifically amended herein, all of the terms and provisions of the Agreement shall continue in full force and effect.

**IN WITNESS WHEREOF**, the parties have executed this Amendment on the date first set forth above.

**“Employer”**

**“Employee”**

GOLETA SANITARY DISTRICT

By: \_\_\_\_\_  
Jerry D. Smith, President  
of the Governing Board

\_\_\_\_\_  
Steve D. Wagner

Countersigned:

By: \_\_\_\_\_  
Robert O. Mangus, Jr., Secretary  
of the Governing Board

# **GENERAL MANAGER'S REPORT**

## **GOLETA SANITARY DISTRICT GENERAL MANAGER'S REPORT**

The following summary report describes the District's activities from July 6, 2021 through July 19, 2021. It provides updated information on significant activities under three major categories: Collection System, Treatment/Reclamation and Disposal Facilities, and General and Administration Items.

### **1. COLLECTION SYSTEM REPORT**

#### **LINES CLEANING**

Staff is conducting priority lines cleaning through-out the District.

#### **CCTV INSPECTION**

Staff is conducting routine Closed-Circuit Television (CCTV) inspections in easement areas near Foothill and La Cumbre Roads.

#### **GREASE AND OIL INSPECTIONS**

Staff continues with the annual Grease and Oil inspections.

#### **COMPETENCY-BASED TRAINING (CBT)**

DKF Solutions conducted a three-day Traffic Control and Excavation Safety training on July 14-16, 2021.

#### **REPAIR AND MAINTENANCE**

Staff is preparing for an upcoming County of Santa Barbara paving project at the end of July 2021. Staff removed several large chunks of concrete, approximately 40 lbs. in weight, from the main trunkline coming into the plant.

#### **2021 CCTV PROJECT REVIEW**

Staff continues to work with Hazen & Sawyer to update the District Asset Management Program.

#### **FY 2020-21 HANDBILLED SEWER SERVICE CHARGES**

Staff continues working on the annual hand billing sewer service charge invoices.

#### **SMOKE TESTING**

Staff conducted a one-day smoke test on Via Salerno near N. Cambridge Drive and N. Patterson Avenue in response to an ongoing odor issue.

### **2. TREATMENT, RECLAMATION AND DISPOSAL FACILITIES REPORT**

Plant flows have reduced to an average of 4.1 million gallons per day (MGD). The demand for reclaimed water is consistent at 1.5 MGD. Flow concentrations and loadings during the weekends continue to cause intermittent challenges and various levels of plant interference.

Centrifuge operations are continuing as planned. Dredging operations have begun in lagoon #2.

The Lystek refeed project is in its last phase of biosolids recycling. We have attained 50% solids refeed. We will continue to collect data until we have a full 30 days of operation at this rate.

Plant Maintenance staff continue to work on the replacement of the telemetry communication equipment. The equipment being replaced was near the end of its useful life. The new equipment should service the plant for the next 10-15 years.

### 3. **GENERAL AND ADMINISTRATIVE ITEMS**

#### **Financial Report**

The District account balances as of July 19, 2021 shown below are approximations to the nearest dollar and indicate the overall funds available to the District at this time.

Operating Checking Accounts:	\$ 974,027
Investment Accounts:	\$ 29,885,650
Total District Funds:	\$ 30,859,677

The following transactions are reported herein for the period 07/06/21 – 07/19/21.

Regular, Overtime, Cash-outs and Net Payroll:	\$ 120,194
Claims:	\$ 332,275
Total Expenditures:	\$ 452,470
Total Deposits:	\$ 102,407

Transfers of funds:

LAIF to Community West Bank Operational (CWB):	\$ - 0 -
CWB Operational to CWB Money Market:	\$ - 0 -
CWB Money Market to CWB Operational:	\$ 800,000

The District's investments comply with the District's Investment Policy adopted per Resolution No. 16-606. The District has adequate funds to meet the next six months of normal operating expenses.

#### **Local Agency Investment Fund (LAIF)**

LAIF Monthly Statement – Previously submitted.

LAIF Quarterly Report – June, 2021.

PMIA/LAIF Performance – June, 2021.

PMIA Effective Yield – June, 2021.

#### **Community West Bank (CWB)**

CWB Money Market Account – Previously submitted.

**Deferred Compensation Accounts**

CalPERS 457 Deferred Compensation Plan – June, 2021

Lincoln 457 Deferred Compensation Plan – Previously submitted.

**COVID-19 Response Plan Update**

A verbal update will be provided at the meeting.

**Personnel Update**

A verbal update will be provided at the meeting.



BETTY T. YEE  
California State Controller

LOCAL AGENCY INVESTMENT FUND  
REMITTANCE ADVICE

Agency Name GOLETA SANITARY DISTRICT  
Account Number 70-42-002

As of 07/15/2021, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 06/30/2021.

Earnings Ratio		.00000897371743018
Interest Rate		0.33%
Dollar Day Total	\$	183,991,035.90
Quarter End Principal Balance	\$	2,022,218.60
Quarterly Interest Earned	\$	1,651.08



# PMIA/LAIF Performance Report as of 07/07/21



## PMIA Average Monthly Effective Yields<sup>(1)</sup>

Jun	0.262
May	0.315
Apr	0.339

## Quarterly Performance Quarter Ended 03/31/21

LAIF Apportionment Rate <sup>(2)</sup> :	0.44
LAIF Earnings Ratio <sup>(2)</sup> :	0.00001214175683392
LAIF Fair Value Factor <sup>(1)</sup> :	1.001269853
PMIA Daily <sup>(1)</sup> :	0.35%
PMIA Quarter to Date <sup>(1)</sup> :	0.41%
PMIA Average Life <sup>(1)</sup> :	220

## Pooled Money Investment Account Monthly Portfolio Composition <sup>(1)</sup> 05/31/21 \$153.4 billion

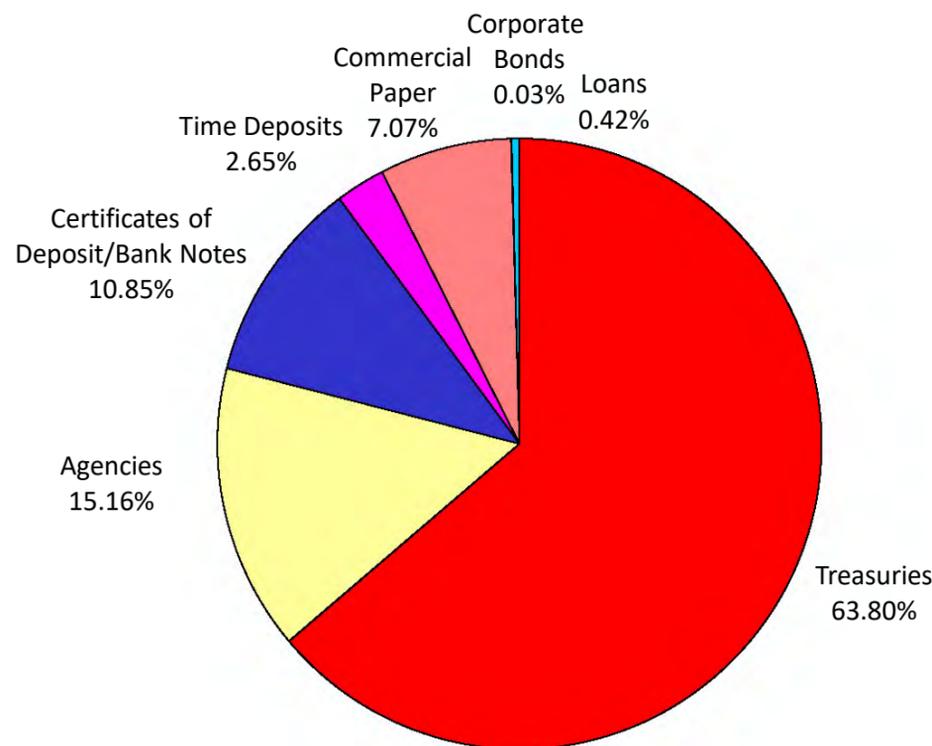


Chart does not include 0.01% of mortgages. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

<sup>(1)</sup> State of California, Office of the Treasurer

<sup>(2)</sup> State of California, Office of the Controller



# PMIA Average Monthly Effective Yields

## POOLED MONEY INVESTMENT ACCOUNT

07/14/21

### PMIA Average Monthly Effective Yields

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1991	8.164	8.002	7.775	7.666	7.374	7.169	7.098	7.072	6.859	6.719	6.591	6.318
1992	6.122	5.863	5.680	5.692	5.379	5.323	5.235	4.958	4.760	4.730	4.659	4.647
1993	4.678	4.649	4.624	4.605	4.427	4.554	4.438	4.472	4.430	4.380	4.365	4.384
1994	4.359	4.176	4.248	4.333	4.434	4.623	4.823	4.989	5.106	5.243	5.380	5.528
1995	5.612	5.779	5.934	5.960	6.008	5.997	5.972	5.910	5.832	5.784	5.805	5.748
1996	5.698	5.643	5.557	5.538	5.502	5.548	5.587	5.566	5.601	5.601	5.599	5.574
1997	5.583	5.575	5.580	5.612	5.634	5.667	5.679	5.690	5.707	5.705	5.715	5.744
1998	5.742	5.720	5.680	5.672	5.673	5.671	5.652	5.652	5.639	5.557	5.492	5.374
1999	5.265	5.210	5.136	5.119	5.086	5.095	5.178	5.225	5.274	5.391	5.484	5.639
2000	5.760	5.824	5.851	6.014	6.190	6.349	6.443	6.505	6.502	6.517	6.538	6.535
2001	6.372	6.169	5.976	5.760	5.328	4.958	4.635	4.502	4.288	3.785	3.526	3.261
2002	3.068	2.967	2.861	2.845	2.740	2.687	2.714	2.594	2.604	2.487	2.301	2.201
2003	2.103	1.945	1.904	1.858	1.769	1.697	1.653	1.632	1.635	1.596	1.572	1.545
2004	1.528	1.440	1.474	1.445	1.426	1.469	1.604	1.672	1.771	1.890	2.003	2.134
2005	2.264	2.368	2.542	2.724	2.856	2.967	3.083	3.179	3.324	3.458	3.636	3.808
2006	3.955	4.043	4.142	4.305	4.563	4.700	4.849	4.946	5.023	5.098	5.125	5.129
2007	5.156	5.181	5.214	5.222	5.248	5.250	5.255	5.253	5.231	5.137	4.962	4.801
2008	4.620	4.161	3.777	3.400	3.072	2.894	2.787	2.779	2.774	2.709	2.568	2.353
2009	2.046	1.869	1.822	1.607	1.530	1.377	1.035	0.925	0.750	0.646	0.611	0.569
2010	0.558	0.577	0.547	0.588	0.560	0.528	0.531	0.513	0.500	0.480	0.454	0.462
2011	0.538	0.512	0.500	0.588	0.413	0.448	0.381	0.408	0.378	0.385	0.401	0.382
2012	0.385	0.389	0.383	0.367	0.363	0.358	0.363	0.377	0.348	0.340	0.324	0.326
2013	0.300	0.286	0.285	0.264	0.245	0.244	0.267	0.271	0.257	0.266	0.263	0.264
2014	0.244	0.236	0.236	0.233	0.228	0.228	0.244	0.260	0.246	0.261	0.261	0.267
2015	0.262	0.266	0.278	0.283	0.290	0.299	0.320	0.330	0.337	0.357	0.374	0.400
2016	0.446	0.467	0.506	0.525	0.552	0.576	0.588	0.614	0.634	0.654	0.678	0.719
2017	0.751	0.777	0.821	0.884	0.925	0.978	1.051	1.084	1.111	1.143	1.172	1.239
2018	1.350	1.412	1.524	1.661	1.755	1.854	1.944	1.998	2.063	2.144	2.208	2.291
2019	2.355	2.392	2.436	2.445	2.449	2.428	2.379	2.341	2.280	2.190	2.103	2.043
2020	1.967	1.912	1.787	1.648	1.363	1.217	0.920	0.784	0.685	0.620	0.576	0.540
2021	0.458	0.407	0.357	0.339	0.315	0.262						

# CalPERS 457 Plan

June 30, 2021

This document includes important information to help you compare the investment options under your retirement plan. If you want additional information about your investment options, you can go to <https://calpers.voya.com>.

A free paper copy of the information available on the website can be obtained by contacting:

Voya Financial  
Attn: CalPERS 457 Plan  
P.O. Box 55772  
Boston, MA 02205-5772  
(800) 260-0659

## **Document Summary**

This document has two parts. Part I consists of performance information for the plan investment options. This part shows you how well the investments have performed in the past. Part I also shows the total annual operating expenses of each investment option.

Part II provides additional information concerning Plan administrative fees that may be charged to your individual account.

# CalPERS 457 PLAN

## Part I. Performance Information For Periods Ended June 30, 2021

<https://calpers.voya.com>

Table 1 focuses on the performance of investment options that do not have a fixed or stated rate of return. Table 1 shows how these options have performed over time and allows you to compare them with an appropriate benchmark for the same time periods<sup>1</sup>. Past performance does not guarantee how the investment option will perform in the future. Your investment in these options could lose money. Information about an investment option's principal risks is available on the website listed above.

Table 1 also shows the Total Annual Operating Expenses of each investment option. Total Annual Operating Expenses are expenses that reduce the rate of return of the investment option<sup>2</sup>. The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the U.S. Department of Labor's website for an example showing the long-term fees and expenses at <http://www.dol.gov/ebsa>. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals.

Table 1 - Variable Net Return Investments								
Name of Fund / Name of Benchmark	Performance		Annualized Performance				Total Annual Operating Expenses <sup>3</sup>	
	3 Month	1 Year	5 Years	10 Years	Since Inception	Inception Date	As a %	Per \$1000
<b>Equity Funds</b>								
State Street Russell All Cap Index Fund - Class I	8.18	43.83	17.46	-	14.47	10/07/13	0.31%	\$3.10
<i>Russell 3000 Index</i>	8.24	44.16	17.89	-	14.87			
State Street Global All Cap Equity ex-US Index Fund - Class I	5.60	36.56	10.89	-	6.17	10/07/13	0.32%	\$3.20
<i>MSCI ACWI ex-USA IMI Index (net)</i>	5.60	37.18	11.20	-	6.38			
<b>Fixed Income</b>								
State Street US ShortTerm Gov't/Credit Bond Index Fund - Class I	-0.04	0.08	1.43	-	1.16	10/07/13	0.32%	\$3.20
<i>Bloomberg Barclays US 1-3 yr Gov't/Credit Bond Index</i>	0.04	0.44	1.88	-	1.63			
State Street US Bond Fund Index - Class I	1.77	-0.66	2.69	-	3.16	10/07/13	0.31%	\$3.10
<i>Bloomberg Barclays US Aggregate Bond Index</i>	1.83	-0.33	3.03	-	3.47			
<b>Real Assets</b>								
State Street Real Asset Fund - Class A	6.74	30.51	5.88	-	3.14	10/08/13	0.44%	\$4.40
<i>State Street Custom Benchmark<sup>4</sup></i>	6.86	31.16	6.22	-	3.51			
<b>Cash (Cash Equivalents)</b>								
State Street STIF	-0.05	-0.14	0.98	-	0.68	09/02/14	0.33%	\$3.30
<i>BofA ML 3-month US T-Bill</i>	0.00	0.09	1.17	-	0.89			
<b>Target Retirement Date Funds<sup>5</sup></b>								
CalPERS Target Income Fund	3.52	12.22	5.99	4.80	5.96	12/01/08	0.32%	\$3.20
<i>SIP Income Policy Benchmark<sup>6</sup></i>	3.57	12.59	6.22	5.11	6.49			
CalPERS Target Retirement 2015	3.62	13.19	6.06	5.11	7.05	12/01/08	0.32%	\$3.20
<i>SIP 2015 Policy Benchmark<sup>6</sup></i>	3.67	13.57	6.28	5.51	7.59			
CalPERS Target Retirement 2020	4.28	18.09	7.08	5.75	7.82	12/01/08	0.32%	\$3.20
<i>SIP 2020 Policy Benchmark<sup>6</sup></i>	4.33	18.48	7.31	6.16	8.34			
CalPERS Target Retirement 2025	4.92	23.09	8.62	6.60	8.76	12/01/08	0.32%	\$3.20
<i>SIP 2025 Policy Benchmark<sup>6</sup></i>	4.97	23.51	8.85	7.04	9.26			
CalPERS Target Retirement 2030	5.58	28.26	9.73	7.33	9.67	12/01/08	0.32%	\$3.20
<i>SIP 2030 Policy Benchmark<sup>6</sup></i>	5.63	28.69	10.04	7.81	10.17			
CalPERS Target Retirement 2035	6.26	33.67	11.02	8.05	10.46	12/01/08	0.32%	\$3.20
<i>SIP 2035 Policy Benchmark<sup>6</sup></i>	6.29	34.12	11.32	8.55	11.02			
CalPERS Target Retirement 2040	6.79	37.98	12.22	8.69	11.04	12/01/08	0.32%	\$3.20
<i>SIP 2040 Policy Benchmark<sup>6</sup></i>	6.82	38.44	12.56	9.21	11.57			
CalPERS Target Retirement 2045	6.79	37.98	12.83	8.98	11.23	12/01/08	0.32%	\$3.20
<i>SIP 2045 Policy Benchmark<sup>6</sup></i>	6.82	38.44	13.18	9.49	11.80			
CalPERS Target Retirement 2050	6.79	37.98	12.83	8.98	11.31	12/01/08	0.32%	\$3.20
<i>SIP 2050 Policy Benchmark<sup>6</sup></i>	6.82	38.44	13.18	9.49	11.80			
CalPERS Target Retirement 2055	6.79	37.98	12.83	-	9.13	10/07/13	0.32%	\$3.20
<i>SIP 2055 Policy Benchmark<sup>6</sup></i>	6.82	38.44	13.18	-	9.48			
CalPERS Target Retirement 2060	6.79	37.98	-	-	17.29	11/01/18	0.32%	\$3.20
<i>SIP 2060 Policy Benchmark<sup>6</sup></i>	6.82	38.44	-	-	17.58			
<b>Broad-Based Benchmarks<sup>7</sup></b>								
<i>Russell 3000 Index</i>	8.24	44.16	17.89	14.70	-	-	-	-
<i>MSCI ACWI ex-USA IMI Index (net)</i>	5.60	37.18	11.20	5.65	-	-	-	-
<i>Bloomberg Barclays US Aggregate Bond Index</i>	1.83	-0.33	3.03	3.39	-	-	-	-

## Part II. Explanation of CalPERS 457 Plan Expenses June 30, 2021

<https://calpers.voya.com>

Table 2 provides information concerning Plan administrative fees and expenses that may be charged to your individual account if you take advantage of certain features of the Plan. In addition to the fees and expenses described in Table 2 below, some of the Plan's administrative expenses are paid from the Total Annual Operating Expenses of the Plan's investment options.

Table 2 - Fees and Expenses				
Individual Expenses <sup>8</sup>				
Service	Fee Amount	Frequency	Who do you pay this fee to?	Description
Loan Origination Fee	\$50	Per loan application	Voya	The charge covers the processing of your loan and applies each time you request a loan from your retirement account. This fee is deducted from your Plan account.
Maintenance Fee (For loans taken on or after April 1, 2020)	\$35 (\$8.75 assessed quarterly)	Annual	Voya	The charge covers the maintenance costs of your loan and applies on a quarterly basis. This fee is deducted from your Plan account.
Self-Managed Account (SMA) Maintenance Fee	\$50	Annual fee deducted monthly on a pro-rata basis	Voya	Schwab Personal Choice Retirement Account is available to you if your Employer has elected it as an option. This fee is deducted pro rata on a monthly basis from your core fund investments <sup>9</sup> in your CalPERS 457 account. For more information about SMAs, including a complete list of fees charged by Schwab for different types of investment transactions, please contact Schwab at (888) 393-PCRA (7272). Fees may also be incurred as a result of actual brokerage account trades. Before purchasing or selling any investment through the SMA, you should contact Schwab at (888) 393-PCRA (7272) to inquire about any fees, including any undisclosed fees, associated with the purchase or sale of such investment.
Self-Managed Account (SMA) Plan Administrative Fee	0.29% (\$2.90 per \$1,000)	Annual fee deducted monthly on a pro-rata basis	Voya	The SMA Plan Administrative fee pays for recordkeeping costs for assets in your SMA account. This fee is deducted pro rata on a monthly basis from your core fund investments in your CalPERS 457 account. The SMA Plan Administrative Fee is subject to change based on total Plan assets.

### Footnotes for Table 1 and Table 2:

- <sup>1</sup> Fund returns shown are net of investment management and administrative expenses and fees unless otherwise noted. Benchmark performance returns do not reflect any management fees, transaction costs or expenses. Benchmarks are unmanaged. You cannot invest directly in a benchmark.
- <sup>2</sup> Historical annual operating expenses are not available. Reported annual operating expenses are estimated based on SSGA investment management, Voya recordkeeping, and SSGA capped operating expenses.
- <sup>3</sup> Total annual operating expenses are comprised of investment management and administrative expenses and fees incurred by the funds.
- <sup>4</sup> State Street Real Asset Fund has a custom benchmark comprised of 25% Bloomberg Roll Select Commodity Index, 25% S&P® Global Large MidCap Commodity and Resources Index, 10% Dow Jones U.S. Select REIT Index, 20% Bloomberg Barclays U.S. Government Inflation-Linked 1-10 Year Bond Index, and 20% S&P Global Infrastructure Index.
- <sup>5</sup> If the ending market value (EMV) falls to zero in any one month, the inception date resets to the next month with an EMV. Performance is then calculated from the new inception date.
- <sup>6</sup> The benchmark for each Target Retirement Date Fund is a composite of asset class benchmarks that are weighted according to each Fund's policy target weights. The asset class benchmarks are Russell 3000 Index, MSCI ACWI ex-USA IMI Index (net), Bloomberg Barclays US Aggregate Bond Index, the SSGA customized benchmark for Real Assets (see footnote 4), and BofA ML 3-month US T-Bill.
- <sup>7</sup> Broad-based benchmarks grouped here provide comparative performance standards for domestic equity, international equity and fixed income.
- <sup>8</sup> The CalPERS Board of Administration periodically reviews the plan administrative fees and adjusts fees to reflect expenses incurred by the Plan. Participant fees are charged to reimburse CalPERS for actual administrative fees of the Plan.
- <sup>9</sup> Core fund investments are listed in Table 1 above the Target Retirement Date funds. Core funds include: State Street Russell All Cap Index Fund (Class I), State Street Global All Cap Equity ex-US Index Fund (Class I), State Street US Short Term Government/Credit Bond Index Fund (Class I), State Street US Bond Fund Index (Class I), State Street Real Asset Fund (Class A), and State Street Short Term Investment Fund ("STIF").

**DISTRICT  
CORRESPONDENCE**  
Board Meeting of July 19, 2021



**Date:**                    **Correspondence Sent To:**

1. 07/07/2021    Jacob Niksto  
                         Becker Henson Niksto Architects  
**Subject:** Proposed Sewer Service Connection for a proposed single-family residence with accessory structures  
                         A.P.N. 061-292-019 at 652 Via Trepadora, Santa Barbara
  
2. 07/07/2021    Jacob Niksto  
                         Becker Henson Niksto Architects  
**Subject:** Proposed Sewer Service Connection for a proposed single-family residence with accessory structures  
                         A.P.N. 061-292-004 at Via Trepadora, Santa Barbara

***Hard Copies of the Correspondence are available at the District's Office for review***