

# **AGENDA**

## **COVID-19 Meeting Notice**

**To address concerns relating to COVID-19, this meeting will be accessible by remote video conferencing, as authorized by Governor Newsom's Executive Order N-29-20.**

**Members of the public who wish to observe the meeting and/or offer public comment by video conferencing should contact the District at least 24 hours before the meeting at (805) 967-4519 or [RMangus@GoletaSanitary.org](mailto:RMangus@GoletaSanitary.org) to obtain the meeting ID and passcode.**

**Members of the public with disabilities who wish to request a reasonable modification or accommodation to observe the meeting and/or offer public comment should contact the District at least 24 hours before the meeting at the foregoing telephone number or email address for instructions on how to access the meeting.**

**A G E N D A**  
REGULAR MEETING OF THE GOVERNING BOARD  
OF THE GOLETA SANITARY DISTRICT  
A PUBLIC AGENCY

One William Moffett Place  
Goleta, California 93117

May 3, 2021

**CALL TO ORDER: 6:30 p.m.**

**ROLL CALL OF MEMBERS**

**BOARD MEMBERS:** Jerry D. Smith  
Steven T. Majoewsky  
George W. Emerson  
Sharon Rose  
Edward Fuller

**CONSIDERATION OF THE MINUTES OF THE BOARD MEETING**

The Board will consider approval of the Minutes of the Regular Meeting of April 19, 2021.

**PUBLIC COMMENTS** - Members of the public may address the Board on items within the jurisdiction of the Board.

**POSTING OF AGENDA** – The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District’s web site 72 hours in advance of the meeting.

**BUSINESS:**

1. PRESENTATION ON THE CURRENT STATE OF OPERATIONS AT THE DISTRICT’S WATER RESOURCE RECOVERY FACILITY
2. CONSIDERATION OF LITIGATION FUNDING REQUEST FROM THE SOUTHERN CALIFORNIA ALLIANCE OF PUBLICLY OWNED TREATMENT WORKS  
(Board may take action on this item.)
3. CONSIDERATION OF PROPOSED ANNEXATION OF 1045 LA VISTA ROAD, SANTA BARBARA APN 055-110-007  
(Board may take action on this item.)

4. GENERAL MANAGER'S REPORT
5. LEGAL COUNSEL'S REPORT
6. COMMITTEE/DIRECTOR'S REPORTS AND APPROVAL/RATIFICATION OF DIRECTOR'S ACTIVITIES
7. PRESIDENT'S REPORT
8. ITEMS FOR FUTURE MEETINGS
9. CORRESPONDENCE  
(The Board will consider correspondence received by and sent by the District since the last Board Meeting.)
10. APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT  
(The Board will be asked to ratify claims.)

## **ADJOURNMENT**

***Any public records which are distributed less than 72 hours prior to this meeting to all, or a majority of all, of the District's Board members in connection with any agenda item (other than closed sessions) will be available for public inspection at the time of such distribution at the District's office located at One William Moffett Place, Goleta, California 93117.***

# MINUTES

**MINUTES**  
REGULAR MEETING OF THE GOVERNING BOARD  
GOLETA SANITARY DISTRICT  
A PUBLIC AGENCY  
DISTRICT OFFICE CONFERENCE ROOM  
ONE WILLIAM MOFFETT PLACE  
GOLETA, CALIFORNIA 93117

April 19, 2021

- CALL TO ORDER:** President Smith called the meeting to order at 6:30 p.m.
- BOARD MEMBERS PRESENT:** Jerry D. Smith, Steven T. Majoewsky, George W. Emerson, Sharon Rose, Edward Fuller (signed in at 6:32 p.m.)
- BOARD MEMBERS ABSENT:** None
- STAFF MEMBERS PRESENT:** Steve Wagner, General Manager/District Engineer, Rob Mangus, Finance and Human Resources Manager/Board Secretary, Laura Romano, Management Analyst and Richard Battles, Legal Counsel from Howell Moore & Gough LLP.
- OTHERS PRESENT:** Larry Meyer, Director, Goleta West Sanitary District  
Tom Evans, Director, Goleta Water District
- APPROVAL OF MINUTES:** Director Majoewsky made a motion, seconded by Director Fuller, to approve the minutes of the Regular Board meeting of 04/05/21. The motion carried by the following vote:
- (21/04/2188)
- |          |   |  |
|----------|---|--|
| AYES:    | 5 | Smith, Majoewsky, Emerson, Rose,<br>Fuller |
| NOES:    |   | None                                       |
| ABSENT:  |   | None                                       |
| ABSTAIN: |   | None                                       |
- POSTING OF AGENDA:** The agenda notice for this meeting was posted at the main gate of the Goleta Sanitary District and on the District's website 72 hours in advance of the meeting.
- PUBLIC COMMENTS:** None

**BUSINESS:**

1. PUBLIC HEARING AND ASSOCIATED ACTIONS RELATED TO THE AMENDMENT OF ORDINANCE NO. 73 TO UPDATE INDUSTRIAL WASTE CONTROL PERMIT CLASSIFICATIONS TO CORRESPOND TO SEWER USE ORDINANCE NO. 92 AND REVISE FEE SCHEDULE

Mr. Wagner gave the staff report.

Public Hearing opened at 6:35 p.m., no public comment, Public Hearing closed at 6:37 p.m.

Director Majoewsky made a motion, seconded by Director Emerson to approve and adopt Resolution 21-662 adopting findings, approving Preliminary Environmental Review Form, and authorizing filing of a Notice of Exemption relating to the adoption of Ordinance No. 93 amending Ordinance No. 73 and establishing revised fees for industrial waste control permits.

The motion carried by the following vote:

(21/04/2189)

AYES:	5	Smith, Majoewsky, Emerson, Rose, Fuller
NOES:		None
ABSENT:		None
ABSTAIN:		None

Director Emerson made a motion, seconded by Director Rose to approve and adopt Ordinance No. 93 amending Ordinance No. 73 and establishing revised fees for industrial waste control permits.

The motion carried by the following vote:

(21/04/2190)

AYES:	5	Smith, Majoewsky, Emerson, Rose, Fuller
NOES:		None
ABSENT:		None
ABSTAIN:		None

2. CONSIDERATION OF DRAFT OUTREACH PLAN FOR TRANSITION TO DISTRICT-BASED ELECTIONS

Mr. Wagner gave the staff report.

Director Rose made a motion, seconded by Director Majoewsky to approve the draft outreach plan for transition to District-Based elections, as revised.

The motion carried by the following vote:

(21/04/2191)

AYES: 5 Smith, Majoewsky, Emerson, Rose, Fuller  
NOES: None  
ABSENT: None  
ABSTAIN: None

3. CLOSED SESSION

(i) PUBLIC COMMENTS ON CLOSED SESSION ITEM

Board entered closed session at 6:53 p.m.

(ii) DESIGNATION OF STEVE WAGNER, GENERAL MANAGER, AS DISTRICT REPRESENTATIVE FOR LABOR NEGOTIATIONS

(iii) CLOSED SESSION PURSUANT TO GOVERNMENT CODE SECTION 54957.6 - CONFERENCE WITH LABOR NEGOTIATOR REGARDING EMPLOYEE REQUESTS FOR MODIFICATIONS TO COMPENSATION AND BENEFITS  
AGENCY DESIGNATED REPRESENTATIVE: STEVE WAGNER, GENERAL MANAGER  
EMPLOYEES: ALL EMPLOYEE TITLES

Board exited closed session at 6:58 p.m.

(iv) PUBLIC REPORT ON CLOSED SESSION

No reportable action was taken in closed session.

4. CONSIDERATION OF COST OF LIVING ADJUSTMENT TO COMPENSATION FOR ALL DISTRICT EMPLOYEES FOR FISCAL YEAR 2021-22

Mr. Wagner gave the staff report.

Director Rose made a motion, seconded by Director Emerson to authorize and approve a cost of living adjustment (COLA) of 1.3% for FY 2021-22 effective July 1, 2021 and direct staff to return with a resolution and pay scale for approval.

(21/04/2192)

AYES: 5 Smith, Majoewsky, Emerson, Rose, Fuller  
NOES: None  
ABSENT: None  
ABSTAIN: None

5. GENERAL MANAGER'S REPORT

Mr. Wagner gave the report.



6. LEGAL COUNSEL'S REPORT

Mr. Battles reported on a California Supreme Court decision in Kaanaana v. Barrett Business Services, Inc. holding that work done for a Special District can be considered a Public Work under the Labor Code section 1720(a)(2) and prevailing wages are therefore required whether or not the work being performed relates to the traditional subjects of the law, construction, alteration, demolition, installation or repair work.

7. COMMITTEE/DIRECTORS' REPORTS AND APPROVAL/RATIFICATION OF DIRECTORS' ACTIVITIES

Director Majoewsky – Reported on the Goleta West Sanitary District meeting he attended.

Director Fuller – Reported on the Goleta Water District meeting he attended.

Director Rose – Announced the next Local Chapter of CSDA meeting scheduled for April 26, 2021 at 5:00 p.m.

Director Emerson – No report.

8. PRESIDENT'S REPORT

President Smith – No report.

9. ITEMS FOR FUTURE MEETINGS

No Board action was taken to return with an item.

10. CORRESPONDENCE

The Board reviewed and discussed the list of correspondence to and from the District in the agenda.

11. CLAIMS PAID BY THE DISTRICT WILL BE PRESENTED AT OUR NEXT BOARD MEETING FOR APPROVAL OF BOARD COMPENSATION AND EXPENSES AND RATIFICATION OF CLAIMS PAID BY THE DISTRICT

**ADJOURNMENT**

There being no further business, the meeting was adjourned at 7:52 p.m.

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Jerry D. Smith  
Governing Board President

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Robert O. Mangus, Jr.  
Governing Board Secretary

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Steven T. Majoewsky

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George W. Emerson

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Sharon Rose

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Edward Fuller

# **AGENDA ITEM #1**

**AGENDA ITEM: 1**

**MEETING DATE: May 3, 2021**

**I. NATURE OF ITEM**

Presentation on the Current State of Operations at the District's Water Resource Recovery Facility

**II. BACKGROUND INFORMATION**

The District owns and operates a state of the art Water Resource Recovery Facility (WRRF) that can process up to 9.8 million gallons of wastewater and up to 3 million gallons of recycled water daily while simultaneously recovering energy for system heating and nutrients for soil amendment. To do this, the District has developed a highly trained group of dedicated staff to work 7 days a week to ensure the ongoing protection of our community's public health and its environment.

Over the last several years, increases in the concentration of flows coming into the WRRF have resulted in an ongoing battle with various forms of toxicity and overall plant process interference that has required the implementation of new and innovative processes. Our Plant Operations Manager, John Crisman will provide an overview of the recent improvements and process modifications that have been implemented to mitigate some of the problems that are also reducing our ongoing operational costs.

**III. COMMENTS AND RECOMMENDATIONS**

This presentation is for information purposes only. As such, no Board action is required.

**IV. REFERENCE MATERIALS**

None

# **AGENDA ITEM #2**

**AGENDA ITEM: 2**

**MEETING DATE: May 3, 2021**

**I. NATURE OF ITEM**

Consideration of Litigation Funding Request from the Southern California Alliance of Publicly Owned Treatment Works

**II. BACKGROUND INFORMATION**

The Southern California Alliance of Publicly Owned Treatment Works (SCAP) is a non-profit corporation organized to help ensure that regulations affecting wastewater agencies are reasonable, and in the public's best interest. SCAP is currently comprised of over 80 wastewater treatment and collection system agencies that together collect and/or treat wastewater for over 18 million Southern Californians in seven counties. The District is a founding member of SCAP and has been actively involved since its inception.

Although its attention is directed mainly towards the regulatory arena, SCAP also works on legislation that would similarly impact its members. In extreme situations, litigation may be pursued when SCAP members feel it is in the best interest of the public to challenge proposed or existing laws or regulations.

In 2010 the United States Environmental Protection Agency (USEPA) issued guidance documents and later approved a new regulatory procedure called the Alternative Test Procedure (ATP) for the Test of Significant Toxicity (TST). SCAP challenged the validity of the ATP and in 2015 the USEPA withdrew its approval. However, the State Water Resource Control Board has advised the Regional Water Quality Control Boards that they may continue to require agencies to use the unapproved TST. Several California wastewater agencies have received National Pollutant Discharge Elimination System (NPDES) permits with the unapproved TST requirement. Member agencies consider the new TST method to be significantly more unreliable than the existing test method, and that use of it will result in an increase in false positive test results, violations and associated regulatory fines.

SCAP has continued to pursue this issue on behalf of its member agencies and has filed a complaint in Federal Court for declaratory and injunctive relief. The complaint was joined by the Central Valley Clean Water Association (CVCWA), the Bay Area Clean Water Association (BACWA) and the National Association of Clean Water Agencies (NACWA).

### **III. COMMENTS AND DISCUSSION**

Given the costs associated with pursuing the toxicity litigation in Federal Court, SCAP sent out a letter requesting voluntary funding from its member agencies in 2018. The District contributed \$2,500 towards the effort. Since then, the litigation efforts have continued, and monies received from the 2018 funding request have been expended.

Most of the litigation costs were paid by NACWA, CVCWA and SCAP. SCAP's annual litigation budget is limited, and additional funding is needed.

As such, SCAP issued a 2<sup>nd</sup> funding request letter in April, 2021 that is attached to this report. They are looking to raise \$35,000 for this effort.

While the District's NPDES permit doesn't currently include the new TST requirement, if it becomes a standard practice, it can and likely will be added to our permit in the future. As such, staff recommends the Board consider authorizing the payment of \$2,500 toward this litigation effort.

### **IV. REFERENCE MATERIAL**

SCAP Litigation Funding Request letter dated April 6, 2021



April 6, 2021

To: SCAP Toxicity Stakeholders  
From: SCAP Executive Director

**Re: Toxicity Litigation Update and Request for Voluntary Funding of Toxicity Litigation – SCAP v. USEPA**

It has been well over a year since the last SCAP v. USEPA toxicity litigation funding request letter and it is time for another funding request. The letter will start with the current status followed by the toxicity litigation background. For clarity, this is a voluntary funding request for the SCAP v. USEPA litigation not the recently SCAP Board authorized SCAP v. State Water Board Toxicity Provision litigation which is separately funded by a group of SCAP stakeholders.

The issue in three sentences:

SCAP is seeking judicial review of the USEPA requiring an un-promulgated test method, the Test of Significant Toxicity (TST), for compliance purposes in NPDES permits. The TST when coupled with the freshwater test species, *Ceriodaphnia dubia*, has been shown to result in non-toxic laboratory blank water being identified as toxic in 50 percent of the tests. Using un-promulgated test methods for compliance purposes is inconsistent with the Clean Water Act and is the gist of our complaint.

Current Status:

On February 14, 2019, the federal district court granted the motion to dismiss filed by the EPA and closed our case. On March 7, 2019, the SCAP Board voted to file an appeal over this decision. NACWA, CVCWA and BACWA all agreed to be part of the appeal. The appeal was filed, and EPA responded with an Answering Brief for the Federal Appellees on October 23, 2019. The SCAP legal team submitted a response to the Answering Brief on December 16, 2019.

A mediation session with SCAP and EPA occurred on July 22, 2019 with no terms for out of court negotiation established.

The hearing in the United States Court of Appeals for the Ninth Circuit took place on June 8, 2020. Due to the COVID-19 Pandemic it was a virtual hearing. We are awaiting the court's decision which is overdue. SCAP has been soliciting voluntary contributions from the effected stakeholders and interested parties with reasonable success.





We are seeking contributions totaling approximately \$35,000 to replenish the Toxicity Litigation fund.

Please let me know if your agency would be interested in providing voluntary funds towards this proposed litigation. While any amount is welcome, a reasonable guideline follows:

- Small agencies: \$2,500
- Medium agencies: \$5,000
- Large agencies: \$10,000

Upon your response, SCAP will issue an invoice for the amount pledged.

A response to this request prior to April 22, 2021 would be appreciated.

For reference some potential costs associated with toxicity violations (real or based on false positive TST *Ceriodaphnia Dubia* test results) are stated below.

Since most NPDES permits regulate other toxic pollutants with limits, no MMPs will apply and instead the Water Boards can issue discretionary penalties of \$10,000 per day in which the violation occurs (which will be numerous days given that toxicity tests last up to 9 days) plus \$10 per gallon for each gallon discharged but not cleaned up that exceeds 1,000 gallons. For large dischargers, this would be a huge penalty for a toxicity result that might not even be real.

Under federal law, if a penalty has not been paid and injunctive relief has not been prescribed to resolve the toxicity, then USEPA or any person can sue under the Clean Water Act and can seek penalties of nearly \$54,000 per day, per violation, and citizen suits can also seek attorney's fees, which can be large amounts. Since there are few if any defenses under the Clean Water Act, these penalty amounts can add up quickly, particularly since 30 days of violation would be found if a monthly violation occurs.

If there are any question please reach out to me or feel free to contact Melissa Thorme with Downey Brand directly at [mthorme@DowneyBrand.com](mailto:mthorme@DowneyBrand.com) 916.520.5376

Background:

In response to EPA's release of a new statistical procedure for analyzing whole effluent toxicity test data (Test of Significant Toxicity or TST) and the SWRCB's subsequent incorporation of this requirement into several SCAP members' NPDES permits, lawsuits have been filed by SCAP against EPA. The lawsuits challenge the approval and use of the TST and well as other provisions relating to whole effluent toxicity testing requirements in NPDES permits. In a nutshell, the TST



has not been legally promulgated; the use of the TST on freshwater species results in unacceptably high false positive results for toxicity; and EPA has improperly required NPDES permits to include these inappropriate provisions for toxicity testing.

To date there have been three SCAP v. EPA lawsuits over NPDES toxicity test requirements.

First Toxicity Lawsuit – The first toxicity lawsuit was filed against EPA in mid-2014 by SCAP and CVCWA. This lawsuit challenged EPA’s approval of an Alternative Test Procedure (ATP) for toxicity testing that used the TST statistical procedure and only required two test concentrations (one plus one control) for effluent instead of six (five plus one control). This lawsuit was considered moot by the court when EPA withdrew its approval of the ATP in early 2015. SCAP then petitioned the court for reconsideration of the lawsuit against EPA in June 2015 subsequent to the issuance of a guidance letter from the SWRCB to its RWQCBs on the use of the ATP for toxicity testing. The judge in the case requested briefs from both parties, which were filed. On October 21, 2016, the court ruled that the ATP case was over by denying our motion to reopen the matter and amend the complaint.

Second Toxicity Lawsuit - In December 2014, SCAP filed a second lawsuit against EPA. This lawsuit contested an objection letter issued by EPA regarding LACSD’s Whittier Narrows WRP and Pomona WRP NPDES permits. The objection letter required the permits to include numeric effluent chronic toxicity limits, including daily maximum limits. The court ruled in favor of EPA citing jurisdictional issues. The merits of the TST as a legal compliance test were not heard. SCAP filed a Final Petition for Rehearing En Banc with the Ninth Circuit to try to reverse the previous decision on lack of jurisdiction. The Final Petition for Rehearing En Banc was denied. A Special SCAP Board Meeting was held on September 20, 2017 at which time the Board voted to continue with the lawsuit by appealing to the US Supreme Court in the form of filing a *writ of certiorari* (Writ) with the US Supreme Court. A Writ petition was docketed in the Supreme Court on October 11, 2017. On February 21, 2018, SCAP received notice that the US Supreme Court denied SCAP’s petition and would not hear the case.

The legal fees for the Writ were provided by Downey Brand *pro bono*. The City of San Bernardino paid for the hard costs associated with production and filing of the Supreme Court briefs.

Third Toxicity Lawsuit - On November 29, 2016, the SCAP Board of Directors voted to file a new complaint against EPA challenging the legality of the TST method for determining toxicity until such time as the method is promulgated as a rule by EPA. NACWA, BACWA and CVCWA joined SCAP in this complaint. EPA responded to the case with a motion for dismissal due to lack of jurisdiction and EPA not actually executing a final action that would support a federal suit. SCAP’s legal counsel, Downey Brand, responded with an amended complaint responding to the issues



raised by EPA. EPA's motion was denied as moot after SCAP's amended complaint was filed, but EPA amended its motion to dismiss due to lack of jurisdiction and untimeliness. The judge agreed with EPA's motion. SCAP responded with a second amended complaint to address the timing and jurisdiction issues including an *ultra vires* (excess of EPA's authority) component to the argument. EPA responded with a rebuttal in support of dismissal on May 30, 2018.

On September 11, 2018, SCAP and EPA engaged in a listening session discussing our position and objection to the TST. The SCAP team included BACWA, CVCWA, Downey Brand, two key stakeholders (one large from the north and one small from the south) and a hired TST expert (Pacific Eco Risk). The team all presented well-rehearsed and convincing testimony, but EPA's legal team elected not to pursue additional talks and to continue with litigation. SCAP submitted a revised complaint in response to the EPA's request for dismissal.

On February 14, 2019, the federal district court granted the motion to dismiss filed by the EPA and closed our case. On March 7, 2019, the SCAP Board voted to file an appeal over this decision. NACWA, CVCWA and BACWA all agreed to be part of the appeal. The appeal was filed and EPA responded with an Answering Brief for the Federal Appellees on October 23, 2019. The SCAP legal team provided a response to the Answering Brief, which was due on December 16, 2019.

A mediation session with SCAP and EPA occurred on July 22, 2019 with no terms for out of court negotiation established.

The hearing in the United States Court of Appeals for the Ninth Circuit took place on June 8, 2020. Due to the COVID-19 Pandemic it was a virtual hearing. We are awaiting the court's decision which is overdue.

### Closing

Although this litigation focuses on improper uses of the unpromulgated TST for regulatory compliance purposes, it represents the regulated community taking a firm stand on regulatory overreach by the USEPA, the State Water Board and Regional Water Boards.

This funding request is for the SCAP v. USEPA toxicity litigation, not the recent SCAP v. State Water Resources Control Board Toxicity Provisions litigation.

Thank you and please contact me if there are any questions regarding this request. I can be reached at 760.415.4332 or [sjepesen@scap1.org](mailto:sjepesen@scap1.org)

Sincerely,

**SCAP**

SOUTHERN CALIFORNIA ALLIANCE OF  
PUBLICLY OWNED TREATMENT WORKS



Steve Jepsen, Executive Director

# **AGENDA ITEM #3**

**AGENDA ITEM: 3**

**MEETING DATE: MAY 3, 2021**

**I. NATURE OF ITEM**

Consideration of Proposed Annexation of 1045 La Vista Road, Santa Barbara  
APN 055-110-007

**II. BACKGROUND INFORMATION**

Paul Kurth, the owner of 1045 La Vista Road, requested a Sewer Service Availability (SSA) letter on December 21, 2020. The SSA was issued on December 28, 2020. There is an existing single-family residence on the property. There is an existing District sewer line on La Vista Road near the lower portion of the property which could serve the property.

Since the property is not located within the District boundary and/or Sphere of Influence (SOI), annexation and an adjustment to the District's SOI must be processed through the Santa Barbara Local Agency Formation Commission (LAFCO) prior to connecting to the District's sewer system. District staff has been in communication with Mr. Kurth and his agent, Heidi Jones, of Suzanne Elledge Permitting and Planning Services (SEPPS), who is handling the annexation process through LAFCO.

Staff received a letter from LAFCO dated April 15, 2021 requesting District review of the proposed annexation. A copy of the letter is attached to this report and is presented herein for Board consideration.

**III. COMMENTS AND DISCUSSION**

It is the District's understanding that SEPPS staff is preparing the LAFCO submittals required for the annexation and SOI adjustment that is required to be completed to bring the property into the District boundary and jurisdiction. Staff is working with SEPPS and Tierra Contracting of Santa Barbara to make preliminary sewer connection plans to facilitate the issuance of the GSD connection permit once the annexation process has been completed.

This is a straightforward annexation/SOI boundary adjustment proposal for a single-family residential lot. Staff has reviewed the proposal and has prepared an SSA letter with standard conditions. As such staff recommends the Board authorize the General Manager to inform LAFCO that the District doesn't object to the proposed annexation.

**IV. REFERENCE MATERIAL**

LAFCO Letter dated April 15, 2020

LAFCO Memorandum – Informational report

APR 26 2021

Goleta Sanitary District

# LAFCO

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**Santa Barbara Local Agency Formation Commission**

105 East Anapamu Street ♦ Santa Barbara CA 93101

805/568-3391 ♦ FAX 805/568-2249

www.sblafco.org ♦ lafco@sblafco.org

April 15, 2021

Steve Wagner, General Manager  
Goleta Sanitary District  
One William Moffett Place  
Goleta, CA 93117

Subject: 1045 La Vista Road – Kurth Annexation to the Goleta Sanitary District (LAFCO № 21-01)

Dear Steve Wagner:

A petition has been submitted to the Santa Barbara County Local Agency Formation Commission (LAFCO) proposing the annexation of territory to the Goleta Sanitary District. A copy of the proposal is attached to this letter for LAFCO Proceeding № 21-01. This proposal will annex land to your district.

The above-titled application has been filed with LAFCO on March 16, 2021. This notice is pursuant to Government Code Sections 56658 (b) and 56662 (c). The Proposed Project includes an annexation of approximately 0.90-acres of property into the Goleta Sanitary District for sewer service. The property is within the sphere of influence and is located at 1045 La Vista Road nearest to State Highway 192.

We request that you review these documents and notify us of any questions, comments, concerns or conditions you have. If you identify conditions for this project, please explain why they are necessary. We also welcome any additional comments you wish to make concerning this proposal.

By state law your district has 60 days in which to request termination of these proceeding pursuant to Government Code Sections 56857. Please respond with your comments before June 15, 2021. Your input will be considered in the preparation of the staff report that will be presented to LAFCO.

This proposal will be on LAFCO's May 3, 2021 agenda for information purposes only. You will be notified in advance before LAFCO considers the merits of the proposal.

Sincerely,



Mike Prater  
Executive Officer

Attachments:  
Petition, Questionnaire, Map and Legal

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**Commissioners:** Roger Aceves ♦ Cynthia Allen ♦ Jay Freeman ♦ Craig Geyer ♦ Joan Hartmann ♦ Steve Lavagnino  
Holly Sierra ♦ Shane Stark ♦ Etta Waterfield, Chair ♦ Roger Welt Vice-Chair ♦ Das Williams **Executive Officer:** Mike Prater



**DATE:** 15 March 2021

**TO:** Executive Officer  
Santa Barbara LAFCO  
105 East Anapamu St.  
Santa Barbara, CA 93101

**VIA:** Electronic Submittal

**PURPOSE:** For processing

**SUBJECT:** Sewer Annexation – 1045 La Vista Road (APN: 055-111-007)

**ENCLOSED IS THE FOLLOWING:**

- One (1) Check in the amount of \$2,000.00 (LAFCO)  
delivered to Clerk of the Board
- One (1) Check in the amount of \$1,100.00 (County of Santa Barbara)  
delivered to Clerk of the Board
- One (1) Applicant letter including:
  - Petition of Landowner
  - Completed LAFCO Questionnaire
  - Map & Legal Description
  - Assessor Parcel Map
  - Topographic Survey
  - Cost Accounting & Indemnification Agreement
- One (1) Copy of Goleta Sanitary District Map
- One (1) Copy of Septic tank Inspection report dated 10.29.2020

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**COMMENTS:** If you have any questions or require additional information, please contact our office at 966-2758.

Sincerely,

**SUZANNE ELLEDGE**  
**PLANNING & PERMITTING SERVICES, INC.**

A handwritten signature in black ink that reads "Heidi Jones".

Heidi Jones, AICP  
Senior Planner



Cc: Property owner, Paul Kurth



March 15, 2021

Executive Officer  
Santa Barbara LAFCO  
105 East Anapamu Street  
Santa Barbara CA 93101

**SUBJECT: 1045 LA VISTA ROAD; APN 055-111-007  
SEWER ANNEXATION – LAFCO**

Dear Mr. Hood,

The undersigned hereby requests approval of the proposal of a new sewer connection, as described in the attached materials. It is proposed to process this application under the provisions of the Cortese/ Knox/Hertzberg Local Government Reorganization Act (Government Code Section 56000 et seq.).

Enclosed in support of this proposal are the following:

1. Petition of landowners initiating the change.
2. Completed LAFCO Proposal Questionnaire.
3. Map and legal description of the proposed district – Exhibits A & B.
4. Assessor Parcel Map showing proposal area outlined in red ink.
5. Topographic Survey.
6. Cost Accounting and Indemnification Agreement.
7. Processing fee payable to "Santa Barbara LAFCO" for \$2,000.
8. Fee payable to County Surveyor for \$1,100.

Everett Millais  
March 15, 2021  
Page 2

Written consent has been given to this annexation by all affected property owners and it is therefore requested that the Commission waive the protest hearing requirements.

If you have any questions regarding this proposal, please contact the undersigned at (805) 966-2758 x 115.

**Sincerely,**  
**SUZANNE ELLEDGE**  
**PLANNING & PERMITTING SERVICES, INC.**

A handwritten signature in black ink that reads "Haley Kolosieke". The signature is written in a cursive style with a large, prominent "H" and "K".

Haley Kolosieke  
Assistant Planner

**TO:**

Local Agency Formation Commission  
County of Santa Barbara  
105 East Anapamu Street, Rm 407  
Santa Barbara, CA 93101

**To be filled in by LAFCO**

File No: \_\_\_\_\_  
Date Presented: \_\_\_\_\_  
Officially Filed: \_\_\_\_\_  
Designated as: \_\_\_\_\_  
\_\_\_\_\_  
LAFCO Action: \_\_\_\_\_  
Date: \_\_\_\_\_

**PETITION FOR**

Annexation of 1045 La Vista Road

(Name of Proposal)

The undersigned by their signature hereon DO HEREBY REPRESENT REQUEST AND PETITION as follows:

1. The proposal is made pursuant to Part 3, Division 3, and Title 5 of the California Government Code (commencing with Section 56000, Cortese-Knox-Hertzberg Local Government Reorganization Act of 2000).

2. The nature of the proposed change of organization (i.e., annexation, detachment, Reorganization, etc.) is/are:

Annexation  
\_\_\_\_\_  
\_\_\_\_\_

3. The name or names of all districts and/or cities for which any such change or organization is proposed is as follows:

County of Santa Barbara  
\_\_\_\_\_  
\_\_\_\_\_

4. The names of all other affected counties, cities and districts are:

N/A  
\_\_\_\_\_

5. The territory(ies) proposed for Annexation

is/are: uninhabited  
(uninhabited (less than 12 people) or inhabited (12 or more people))

6. This proposal  is  is not within the sphere of influence of the affected city and/or district.  
(Circle one)

7. Complete description of the exterior boundaries of the territory proposed for annexation.  
**Please attach legal description to this petition.**

8. Do the boundaries of the districts or cities listed above overlap or conflict with the boundaries of the proposed annexation?       Yes       No

If yes, justify the need for overlapping or conflicting boundaries:

N/A  
\_\_\_\_\_  
\_\_\_\_\_

9. List any of the districts or cities, as above-listed, which possess authority to perform the same or similar function as requested herein.

Goleta Sanitary Districts  
\_\_\_\_\_

(Name of public agency or agencies)

10. Do the boundaries of the territory proposed split lines of assessment?  
       Yes       No

11. Do the boundaries of the territory proposed create an island or corridor of unincorporated territory or a strip?       Yes       No

If yes, justify the necessity for the island corridor or strip:

N/A  
\_\_\_\_\_  
\_\_\_\_\_

12. If the proposed boundary follows a street or highway, does it follow the center of the street or highway?       Yes       No

13. It is desired that this proposal provide for and be made subject to the following terms and conditions:

A.    TBD  
\_\_\_\_\_

B.    \_\_\_\_\_  
\_\_\_\_\_

14. The reasons for this proposal are:

A. Request to connect to GSD due to existing tank deterioration and leaking tank. Ease and proximity to existing infrastructure.

B. \_\_\_\_\_  
\_\_\_\_\_

15. The persons signing this petition have signed as \_\_\_\_\_ registered voters **OR**  owners of land.

16. If the formation of a new district is included in the proposal:

A. The principal act(s) under which said district(s) is/are proposed to be formed is/are: \_\_\_\_\_  
N/A

B. The proposed name(s) of the new district(s) is/are: \_\_\_\_\_  
N/A

C. The boundaries of the proposed new district(s) are as described in Exhibit(s) \_\_\_\_\_,  
N/A, heretofore incorporated herein.

17. If an incorporation or formation of a district is in the proposal:

A. The proposed name of the new city/district is: N/A

B. Provisions are requested for appointment of:

i. City/District Manager	_____ Yes	_____ No
ii. City Clerk & City Treasurer (City only)	_____ Yes	_____ No

C. Number of members proposed for initial Board of Directors/City Council, pursuant to Chapter Three commencing with §61120. (Please check one, below.)  
\_\_\_\_\_ 3 (Three)      \_\_\_\_\_ 5 (Five)


18. If the proposal includes the consolidation of special districts, the proposed name of the consolidated district(s) is/are: N/A

19. How will the new district be financed?

N/A  
\_\_\_\_\_  
\_\_\_\_\_

20. Proponents of this proposal: (Names of Chief Petitioners, not to exceed three (3), who hereby request that proceedings be taken in accordance with the provisions of Section 56000, et. seq. of the Government Code and herewith affix signatures) as follows:

Please sign on the top line and print on the line below.

Name	Mailing Address
1. Heidi Jones, Agent <i>Heidi Jones</i>	1625 State St., Suite 1 Santa Barbara, CA 93101
Suzanne Elledge Planning & Permitting	
2. Paul Kurth, Property Owner	49 Via Alicia, Santa Barbara, CA 93108
<i>Paul Kurth MS</i> 	
3.	

When a form is completed and the requisite number of qualified signatures has been obtained (after circulation), the petition is to be filed with the Executive Officer.

**The petition and signature sheets must be left intact. Removal of the signature sheets from one counterpart to another counterpart will invalidate the entire petition.**

**NOTE: THIS PAGE MUST BE COMPLETED AND ATTACHED TO EACH PETITION.**

According to Election Code, Section 104, whenever any petition is submitted to the elections official, each section of the petition shall have attached to it a declaration signed by the Circulator of the petition, setting forth, in the Circulator's own hand, the following:

**PRINTED NAME OF CIRCULATOR** (including given name, middle name or initial and last name):

Paul

**RESIDENCE ADDRESS OF CIRCULATOR:**

49 Via Alicia Santa Barbara, CA 93108

**DATES ON WHICH ALL SIGNATURES TO THE PETITION WERE OBTAINED:**

Starting date: 3/15/2021

Ending date: 3/15/2021

The Circulator, by affixing his/her signature below, hereby certifies:

1. That the Circulator circulated the attached petition and witnessed the appended signatures being written;
2. That, according to the best information and belief of the Circulator, each signature is the genuine signature of the person whose name it purports to be;
3. That the Circulator shall certify to the content of the declaration as to its truth and correctness, under penalty or perjury under the laws of the State of California, with the signature of his or her name at length, including given name, middle name or initial, and last name.


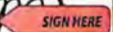
3/15/2021  
Date

Paul A. Kurth  
Name (as required above)



As a signer of this Petition, I hereby certify that I have read the content of the Petition and request that proceedings be taken for the proposal as provided by said Petition.

**PLEASE SIGN NAME ON THE TOP LINE**  
**PRINT NAME ON THE SECOND LINE**

Date signed	Signature & printed name of Petitioners	Residential Address of Petitioners	Official Use Only
	Sign: <i>Karen Kurth</i> 	49 Via Alicia	
	Print: Karen Kurth	Santa Barbara, CA 93108	
	Sign: <i>Paul Kurth</i> 	49 Via Alicia	
	Print: Paul A. Kurth	Santa Barbara, CA 93108	
	Sign:		
	Print:		
	Sign:		
	Print:		
	Sign:		
	Print:		
	Sign:		
	Print:		
	Sign:		
	Print:		
	Sign:		
	Print:		

SANTA BARBARA LOCAL AGENCY FORMATION COMMISSION

**Proposal Justification Questionnaire for Annexations,**

**Detachments and Reorganizations**

(Attach additional sheets as necessary)

1. Name of Application: (The name should match the title on the map and legal description; list all boundary changes that are part of the application)  
**Kurth Annexation to the Goleta Sanitary District**
2. Describe the acreage and general location; include street addresses if known:  
**1045 La Vista Road is a 0.90 acre parcel located on La Vista Road in the County of Santa Barbara.**
3. List the Assessor's Parcels within the proposal area:  
**APN: 055-111-007**
4. Purpose of proposal: (Why is this proposal being filed? List all actions for LAFCO approval. Identify other actions that are part of the overall project, i.e., a tract map or development permit.)  
**Owner request to connect to nearby GSD sewer main due to leak in existing septic tank. LAFCO approval requested in GSD annexation.**
5. Land Use and Zoning - Present and Future
  - A. Describe the existing land uses within the proposal area. Be specific.  
**1-E-1, Single Family Residential zoning.**
  - B. Describe any changes in land uses that would result from or be facilitated by this proposed boundary change. **No change.**
  - C. Describe the existing zoning designations within the proposal area.  
**1-E-1, Single Family Residential zoning.**
  - D. Describe any proposed change in zoning for the proposal area. Do the existing and proposed uses conform with this zoning? **No change.**
  - E. (For City Annexations) Describe the prezoning that will apply to the proposal area upon annexation. Do the proposed uses conform with this prezoning? **N/A**

- F. List all known entitlement applications pending for the property (i.e., zone change, land division or other entitlements). **Pending Building Permit application for required trenching and lateral installation.**

6. Describe the area surrounding the proposal

Using Table A, describe existing land uses, general plans and zoning designations for lands adjacent to and surrounding the proposal area. The application is incomplete without this table.

7. Conformity with Spheres of influence

- A. Is the proposal area within the sphere of influence of the annexing agency? **Yes.**
- B. If not, include a proposal to revise the sphere of influence.

8. Conformity with County and City General Plans

- A. Describe the existing County General Plan designation for the proposal area. **RES-1.0**
- B. (For City Annexations) Describe the City general plan designation for the area. **N/A**
- C. Do the proposed uses conform with these plans? If not, please explain. **Yes.**

9. Topography and Natural Features

- A. Describe the general topography of the proposal area and any significant natural features that may affect the proposal. **Relatively flat parcel, less than 10% slopes in areas of work, see Topographic Map.**
- B. Describe the general topography of the area surrounding the proposal. **Varies, La Vista Road slopes toward Foothill Road.**

10. Impact on Agriculture N/A

- A. Does the affected property currently produce a commercial agricultural commodity? **No.**
- B. Is the affected property fallow land under a crop rotational program or is it enrolled in an agricultural subsidy or set-aside program? **N/A**
- C. Is the affected property Prime Agricultural Land as defined in Government Code §56064? **N/A**
- D. Is any portion of the proposal area within a Land Conservation (Williamson) Act contract? **N/A**
  - 1) If “yes,” provide the contract number and the date the contract was executed.
  - 2) If “yes”, has a notice of non-renewal be filed? If so, when?

- 3) If this proposal is an annexation to a city, provide a copy of any protest filed by the annexing city against the contract when it was approved. N/A

11. Impact on Open Space

Is the affected property Open Space land as defined in Government Code Section 65560? **No.**

12. Relationship to Regional Housing Goals and Policies (City annexations only)

If this proposal will result in or facilitate an increase in the number of housing units, describe the extent to which the proposal will assist the annexing city in achieving its fair share of regional housing needs as determined by SBCAG. **No. SFR is existing.**

13. Population

A. Describe the number and type of existing dwelling units within the proposal area. **1 SFR**

B. How many new dwelling units could result from or be facilitated by the proposal?

Single-family     0     Multi-family     0    

14. Government Services and Controls – Plan for Providing Services (per §56653)

A. Describe the services to be extended to the affected territory by this proposal. **Goleta Sanitary District connection, sewer lateral connection to GSD main.**

B. Describe the level and range of the proposed services. **Linear sewer lateral installation, running approximalty 100-feet to GSD main connection.**

C. Indicate when the services can feasibly be provided to the proposal area. **Upon LAFCO approval, County building permit issuance and EHS septic tank abandonment approval.**

D. Indicate any improvements or upgrading of structures, roads, sewers or water facilities or other conditions that will be required as a result of the proposal. **No upgrades required. Sewer lateral insallation and connection only.**

E. Identify how these services will be financed. Include both capital improvements and ongoing maintenance and operation. **Owner responsibility.**

F. Identify any alternatives for providing the services listed in Section (A) and how these alternatives would affect the cost and adequacy of services. **No alternative. Sewer connection preferred over replacement tank installation.**

15. Ability of the annexing agency to provide services

Attach a statement from the annexing agency describing its ability to provide the services that are the subject of the application, including the sufficiency of revenues (per Gov't Code §56668j). **GSD Service letter provided.**

16. Dependability of Water Supply for Projected Needs (as per §56653)

If the proposal will result in or facilitate an increase in water usage, attach a statement from the retail water purveyor that describes the timely availability of water supplies that will be adequate for the projected needs. N/A

17. Bonded indebtedness and zones – These questions pertain to long term debt that applies or will be applied to the affected property.

- A. Do agencies whose boundaries are being changed have existing bonded debt? **NO**.  
If so, please describe.
  
- B. Will the proposal area be liable for payment of its share of this existing debt? N/A If yes, how will this indebtedness be repaid (property taxes, assessments, water sales, etc.)
  
- C. Should the proposal area be included within any 'Division or Zone for debt repayment?     
If yes, please describe. N/A
  
- D. (For detachments) Does the detaching agency propose that the subject territory continue to be liable for existing bonded debt?            . If yes, please describe. N/A

18. Environmental Impact of the Proposal

- A. Who is the "lead agency" for this proposal? LAFCO
  
- B. What type of environmental document has been prepared?  
None, Categorically Exempt -- Class             
EIR            Negative Declaration            Mitigated ND             
Subsequent Use of Previous EIR            Identify the prior report.
  
- C. If an EIR has been prepared, attach the lead agency's resolution listing significant impacts anticipated from the project, mitigation measures adopted to reduce or avoid significant impacts and, if adopted, a "Statement of Overriding Considerations." N/A



19. Boundaries

- A. Why are these particular boundaries being used? Ideally, what other properties should be included in the proposal? **Property is located in GSD service boundary. Request for annexation and connection. Applicant is not aware of needed additional properties to be annexed.**
- B. If any landowners have included only part of the contiguous land under their ownership, explain why the additional property is not included. **N/A**

20. Final Comments

- A. Describe any conditions that should be included in LAFCO's resolution of approval. **County building permit approval and EHS septic tank abandonment.**
- B. Provide any other comments or justifications regarding the proposal. **None.**
- C. Enclose all pertinent staff reports and supporting documentation related to this proposal. Note any changes in the approved project that are not reflected in these materials. **N/A**

21. Notices and Staff Reports

List up to three persons to receive copies of the LAFCO notice of hearing and staff report.

<u>Name</u>	<u>Address</u>
A. Paul A. & Karen Kurth	49 Via Alicia, Santa Barbara, CA 93101
B. Heidi Jones, SEPPS, Inc. (Agent)	1625 State St., Suite 1 Santa Barbara, CA 93101
C.	

Who should be contacted if there are questions about this application?

<u>Name</u>	<u>Address</u>	<u>Phone</u>
Heidi Jones, SEPPS, Inc. (Agent)	1625 State St., Suite 1 Santa Barbara, CA 93101	805-966-2758

[Heidi@sepps.com](mailto:Heidi@sepps.com)

Signature Heidi Jones Date 3/16/2021

Proposal Justification Questionnaire – Annexations, detachments, reorganizations (10-4-01)  
This form can be downloaded from [www.sblafco.org](http://www.sblafco.org)



TABLE A

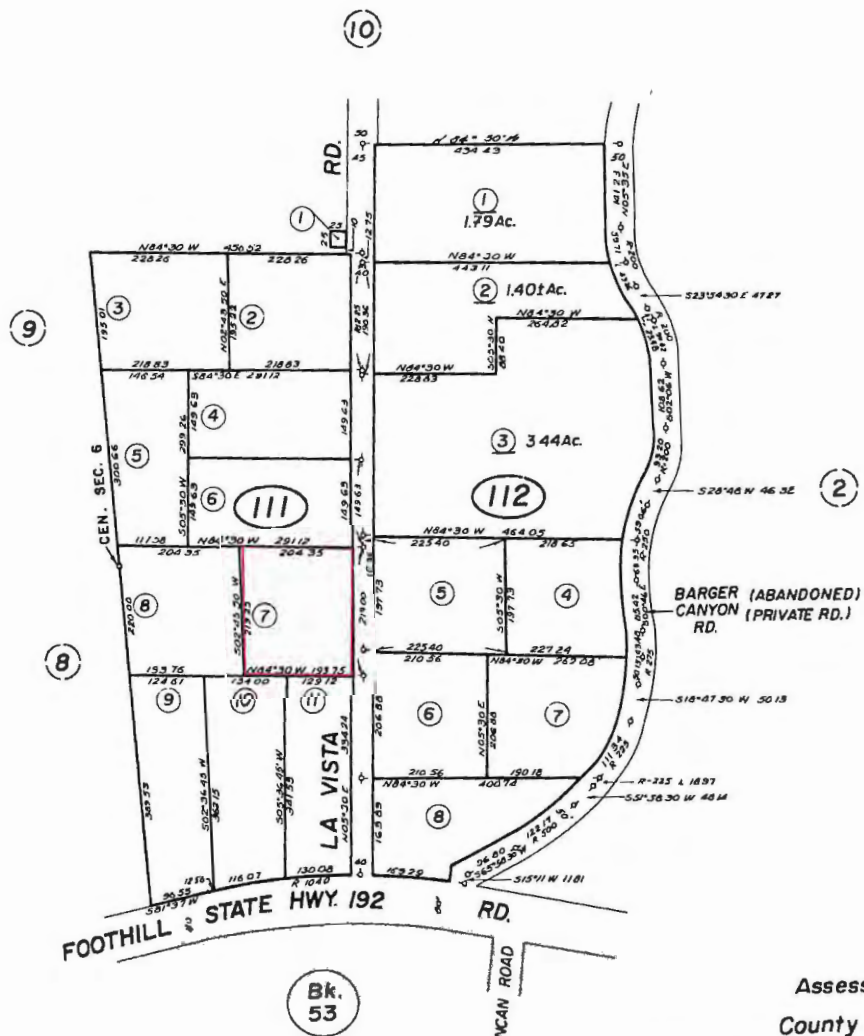
Information regarding the areas surrounding the proposal area

	Existing Land Use	General Plan Designation	Zoning Designation
East	Residenital	RES -1.0	1-E-1
West	Residenital	RES -1.0	1-E-1
North	Residenital	RES -1.0	1-E-1
South	Residenital	RES -1.0	1-E-1

Other comments or notations:

POR. SEC. 6, T.4N., R.27 W., S.B.B. & M.

55-11



NOTE - Assessor's Block Numbers Shown in Ellipses  
Assessor's Parcel Numbers Shown in Circles

Assessor's Map Bk. 55 - Pg. 11  
County of Santa Barbara, Calif.

6/78

## Exhibit A

### Legal Description

LAFCO 21-\_\_

Kurth Annexation to the Goleta Sanitary District


APN 055-111-007

That portion of the East one-half of Section 6, Township 4 North, Range 27 West, San Bernardino Meridian, in the County of Santa Barbara, State of California, according to the official plat thereof, as described in the Quitclaim Deed recorded December 14, 2012 as Instrument No. 2012-0085717 Official Records of said County and State, more specifically described as follows:

Commencing at the Southwest corner of the land described in the Grant Deed recorded November 7, 2019 as Instrument No. 2019-0051211 Official Records of said County and State, said corner also being the Southeast corner of the Morgan Property Annexation 132 to the Goleta Sanitary District, the Certificate of Completion recorded April 18, 1968 in Book 2235 of Official Records, Page 15, records of said County and State; thence, S84°30'00"E, along the south line of the land described in said Grant Deed, said line also being the easterly prolongation of the southerly line of the land described in said Annexation, 86.77 feet to the Northwest corner of the land described in said Quitclaim Deed, being the TRUE POINT OF BEGINNING; thence, along the exterior boundary of the land described in said Quitclaim Deed,

- 1) S84°30'00"E, 204.35 feet to the Northeast corner, said corner also being a point in the center of La Vista Road; thence,
- 2) S05°30'00"W, 219.00 feet along the centerline of said La Vista Road to the Southeast corner; thence,
- 3) N 84°30'00"W, 193.75 feet to the Southwest corner; thence,
- 4) N02°43'50"E, 219.25 feet to the Northwest corner and the True Point of Beginning

Containing 1.000 acres more or less

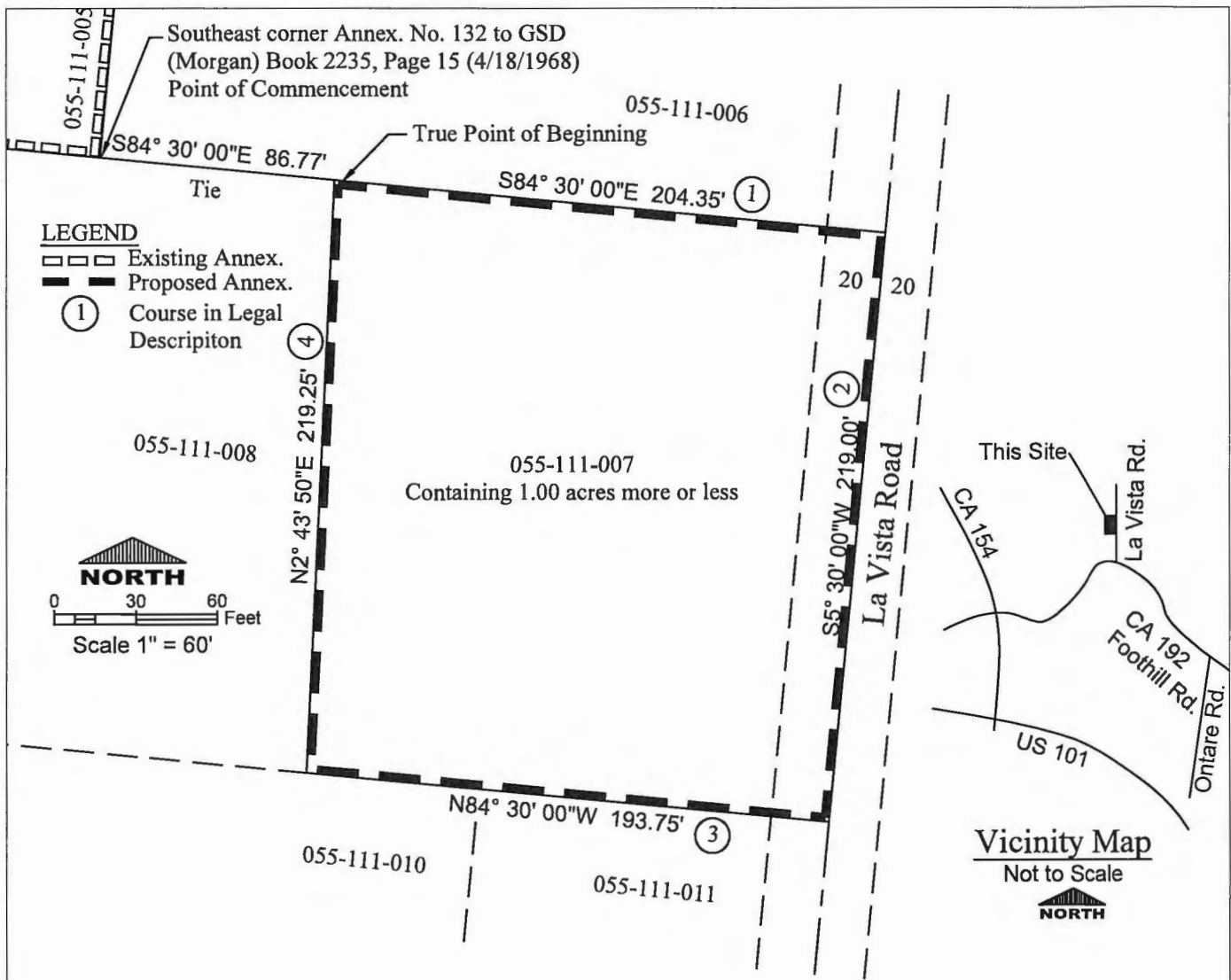
  
2/21/21  
Prepared by: Jon McKellar, PLS 7578

End of Description

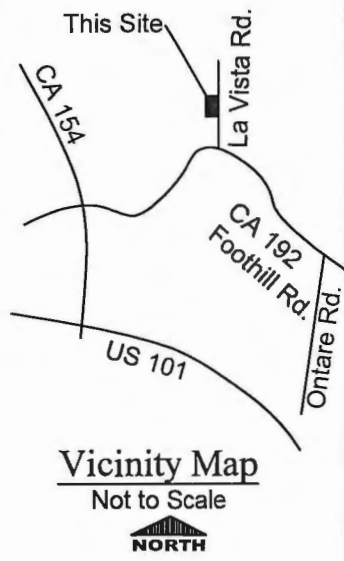
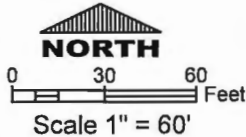


Approved As To Form  
And Surveying Content

\_\_\_\_\_  
Aleksandar Jevremovic, PLS 8378  
Santa Barbara County Surveyor

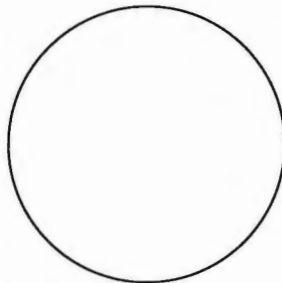


**LEGEND**  
 □ Existing Annex.  
 ■ Proposed Annex.  
 ① Course in Legal Description



Approved As To Form And Surveying Content

Aleksandar Jevremovic, PLS 8378  
 Santa Barbara County Surveyor



*J*  
 2/21/21

**Exhibit B**

Kurth Annexation to the Goleta Sanitary District  
 LAFCO 21-\_\_\_\_\_  
 APN 055-111-007  
 a portion of the East one-half of Section 6,  
 Township 4 North, Range 27 West, San Bernardino  
 Meridian



A Professional Land Surveying & Consulting Company  
 PO Box 2341  
 Santa Maria, CA 93457  
 805-680-1895 bus.  
 jon@jonmckellar.com  
 http://www.jonmckellar.com

# County of Santa Barbara Septic Tank Inspection Report

( Please Print or Type )

Department Date Stamp

Date of Service/Maintenance: 10-29-2020  
 Owner's name: Dr Paul Kurth Phone No.: \_\_\_\_\_  
 Location of inspection: 1045 La Vista Rd SB 93110  
(ADDRESS) (CITY) (ZIP)  
 No. of Bedrooms: Unknown Year Septic System Built: Unknown  
 Septage disposal location / date: 23 N. Quarantina SB 10-29-2020

System Components: Unknown  
 Septic tank with leach field or drywell  
  Septic Tank With Seepage Pit (Hollow)  
  Cesspool  
  Other  
 Estimated capacity of septic tank or cesspool: 800 gal. No. of compartments: 2 Amount pumped: 785 gal.  
 No. of Access Lids: 1 Depth to Access Lids: 0 Diameter of Access Lids: 24"  
 Construction of septic tank or cesspool:  
 Rectangular  
  Round  
  Other  
 Concrete  
  Fiberglass  
  Plastic  
 Brick  
 Other \_\_\_\_\_

Condition of tank:	No	Yes	Inlet tee present	No	Yes
Tank deteriorated	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Baffle wall deteriorated	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Outlet tee present	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Lids are deteriorated	<input checked="" type="checkbox"/>	<input type="checkbox"/>	House lateral open	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Heavy grease build-up	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Needs pumping	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Minimum concrete thickness of tank top, measured at lids: 5 Method of Measurement: \_\_\_\_\_  
 Prior to pumping, was effluent level above outflow tee?  No  Yes (may indicate failing system)

Signs of surfacing effluent?  No  Yes, location: \_\_\_\_\_  
 Any signs of past drainage problems?  No  Yes

Maintenance Performed: \_\_\_\_\_

System appears to be functioning satisfactorily? No  Yes

Repairs / upgrade required? (see reverse side) No  Yes

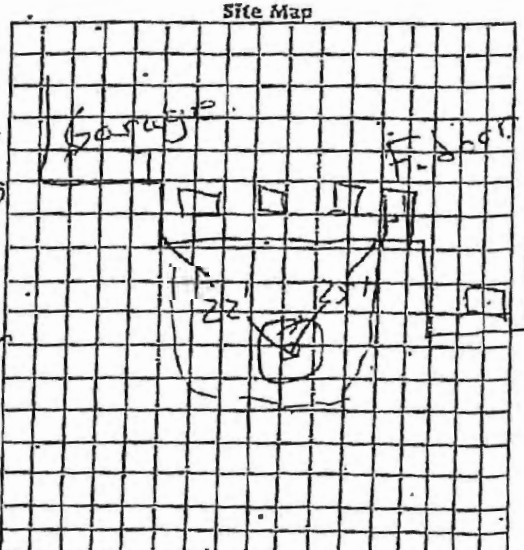
1. N.T.C - 1 Tank is leaking
2. \_\_\_\_\_
3. \_\_\_\_\_

Comments / Recommendations: \_\_\_\_\_

Did a Qualified Inspector personally inspect system? No  Yes

( Complete or Stamp )

Company: LEE & NEAL/MARBORG INDUSTRIES  
 Registration/Contractor's License No.: 4244



The useful life of any septic system is determined by numerous factors including, but not limited to, soil characteristics, water usage and proper maintenance. This inspection report is based on observations by the inspector and information provided by the system-owner. It is not a guarantee of system adequacy.

Signature of Qualified Inspector: DONTONIA D Date: 10-29-2020 Phone: \_\_\_\_\_



# GOLETA SANITARY

Water Resource Recovery District

Board of Directors: December 28, 2020

Sharon Rose  
President  
Paul Kurth  
8 Via Alicia  
Santa Barbara, CA 93108

Jerry D. Smith

Steven T. Majoewsky  
George W. Emerson  
**SUBJECT: Sewer Service Availability  
Proposed Annexation and Sewer Service Connection for One  
Existing Single-Family Residence**

Edward Fuller  
**A.P.N. 055-110-007 at 1045 La Vista Road, Santa Barbara**

Steve D. Wagner, PE  
General Manager  
District Engineer

Dear Mr. Kurth:

This letter is in response to your recent inquiry relative to the availability of sewer service for the above-mentioned property.

The subject property, as shown on the attached parcel map, is currently outside the Goleta Sanitary District service area (sphere of influence) and is not annexed to the District. Based on the District's preliminary understanding from the information you provided, you propose to connect to the District's sewage collection facilities one existing single-family residence. Currently the existing parcel is being served by a septic system.

Please be advised that adequate sewage collection, treatment, and disposal capacity is currently available to serve the proposed project and that the District does not currently have a moratorium or similar restriction on new sewer connections. Subject to the terms specified in this letter, and upon satisfaction of the conditions set forth in the attached Exhibit "A", the District will issue a sewer connection permit and authorize the connection of the project to the District's sewer collection system. Although adequate sewer capacity is currently available to serve the project, issuing this letter does not guarantee sewer service by the District or reserve capacity for the project. The District provides all new sewer service on a first-come, first-serve basis, as determined from the date on which the connection permit is issued. The District cannot predict the pace of future development in the community and cannot anticipate the demand for new sewer service. In addition, the District is unable to predict what new regulatory requirements might be imposed in the future by Federal, State and/or local agencies, or exactly what effects said requirements might have on the District's ability to accept any new connections.

One William Moffett Place, Goleta CA 93117

(805) 967-4519 office (805) 964 3583 fax

[www.GoletaSanitary.org](http://www.GoletaSanitary.org)



This letter does not constitute a sewer connection permit for the proposed project, but sets forth the terms on which a connection permit is issued. By providing this letter, the responsibility or liability for sewer service or matters pertaining to this project will not be the responsibility of the District.

Please note that the District's current assessment with respect to capacity availability, along with terms and conditions stipulated in Exhibit "A" for this project, are valid for two years from the date of this letter. At the end of the two-year period, the applicant, if still interested in the District's availability of service, must submit in writing a request for reassessment of its service conditions and capacity availability outlined in this letter.

If you have any questions regarding this matter please call Mr. Luis Astorga at this office.

Sincerely,

A handwritten signature in black ink that reads "Steve Wagner". The signature is written in a cursive, flowing style.

Steve D. Wagner, P.E.  
General Manager/District Engineer

SDW: LA

Attachments  
Exhibit A  
Parcel Map

cc: Luis Astorga, Goleta Sanitary District

**EXHIBIT "A"**  
**TERMS AND CONDITIONS**

Applicant shall comply with all applicable District provisions of its Standards and Ordinances.

The District Sphere of Influence (SOI) must be amended and the property must be annexed to the District. SOI amendment and annexation to the District may incur additional costs by other agencies, depending on the specific application. Please contact LAFCO for annexation information and application materials. LAFCO can be contacted at:

Santa Barbara LAFCO  
105 E. Anapamu Street  
Room 407  
Santa Barbara, CA 93101  
(805) 568-3391  
(805) 568-2249 FAX  
Email [lafco@sblafco.org](mailto:lafco@sblafco.org)

Upon completion of the annexation, the applicant/owner(s) must submit a complete copy of the final building structure site and floor plans to the District. The District will review the plans and contact the applicant and the County of Santa Barbara Building and Safety Division after plans are reviewed. The County of Santa Barbara may require that you apply for additional permits.

The site plans need to show the proposed 4" diameter building structure sewer connection, building floor and rim elevation of the upstream manhole from the proposed connection to the structure.

Building structures on the lot, not directly connected to a public sewer, will have to be separately connected with the public sewer upon subsequent subdivision of the lot.

Each property has to be separately connected to District facilities.

If there is an inability to achieve gravity flow from the building structure to the District's sewage collection facilities, an injector pump system design will need to be submitted to the County of Santa Barbara Building and Safety Division for approval prior to connection of any portion of your force main sewer system. The design must include dual pump and alarm system.

A backflow preventer encased in a concrete vault with a metal lid, embossed with "sewer" or "clean-out", must be installed within the private property whenever the residential interior plumbing fixtures are lower than the District's upstream manhole rim elevation. This manhole is the next immediate manhole upstream from the structure sewer service connection to the main sewerline.

Once the plans have been received, reviewed and accepted, the District will stamp the plans approved. A sewer connection permit may be obtained by the applicant once they have paid all applicable fees, posted all required bonds and satisfied all applicable ordinances, regulations, standards and requirements of the District and any other local, state or federal agency with jurisdiction over the project.

As of the date of this letter, the required fees are as follows:

District Annexation Fees:

District Annexation Processing Fee: **\$200.00**  
District Annexation Fee: **\$1,905.00** for 1 acre or less, for properties greater than 1 acre: **\$1,905.00** multiplied by the total acreage  
There are other fees associated with annexation from other agencies such as LAFCO, County of Santa Barbara and State Board of Equalization, please contact LAFCO for additional information.

Other District Fees:

Connection Fees:

Single Family Dwelling Unit: **\$2,266.00 / Unit**  
Apartment, Duplex, Mobile Home Space, Condominium Unit: **\$1,587.00 / Unit**  
Connection fees for commercial/industrial and other non-residential establishments are based on the number of equivalent residential units (ERUs) of the proposed development. The number of ERUs are defined as the ratio of the proposed total number of plumbing fixtures of the proposed development and that of a single-family dwelling (20 fixture units per dwelling). The connection fee for the proposed development is determined by multiplying the proposed ERUs by the connection fee of a single-family dwelling. Under no circumstance shall the fee be less than that of a single-family dwelling.

Permit fee: **\$187.00** (for project)

Permit fee: **\$187.00** (for cleanout installation at property line only, inspection fee waived)

Industrial Waste Control Annual Permit fee: **\$248.00 to \$2,000.00** (Based on Discharger Classification)

Inspection fee: **\$187.00** (per residential or commercial building structure connection)

Inspection fee: **\$248.00** (per industrial/manufacturing building structure connection)

Inspection fee: **\$500.00** (per 100 feet of mainline extension)

Plan check and review fee: **\$126.00** per hour (**\$126.00** minimum fee)

Deposit, as required **\$500.00**

Credit will be given for the existing connection and existing plumbing fixtures.

These fees are subject to periodic adjustments and applicant shall pay the fees in effect at the time application is made for a connection permit.

**Key to Features**

**Structures**

- CO
- DROP
- MH
- WYE

○ Wet Well

**Sewer Pipe**

- FORCE MAIN
- LATERAL SEWER
- MAIN LINE
- SANITARY SEWER
- SIPHON INVERTED

— Outfall Pipe

— Service Laterals

□ Goleta Sanitary District Bounda

**Streets**

**Tax Parcels**

© 2020 Goleta Sanitary Water Resource Recovery District. All rights reserved. This map is for informational purposes only and does not constitute a contract. The District is not responsible for any errors or omissions on this map. The District is not liable for any damages, including consequential damages, arising from the use of this map. The District is not responsible for any damages, including consequential damages, arising from the use of this map.



Feet

0 90

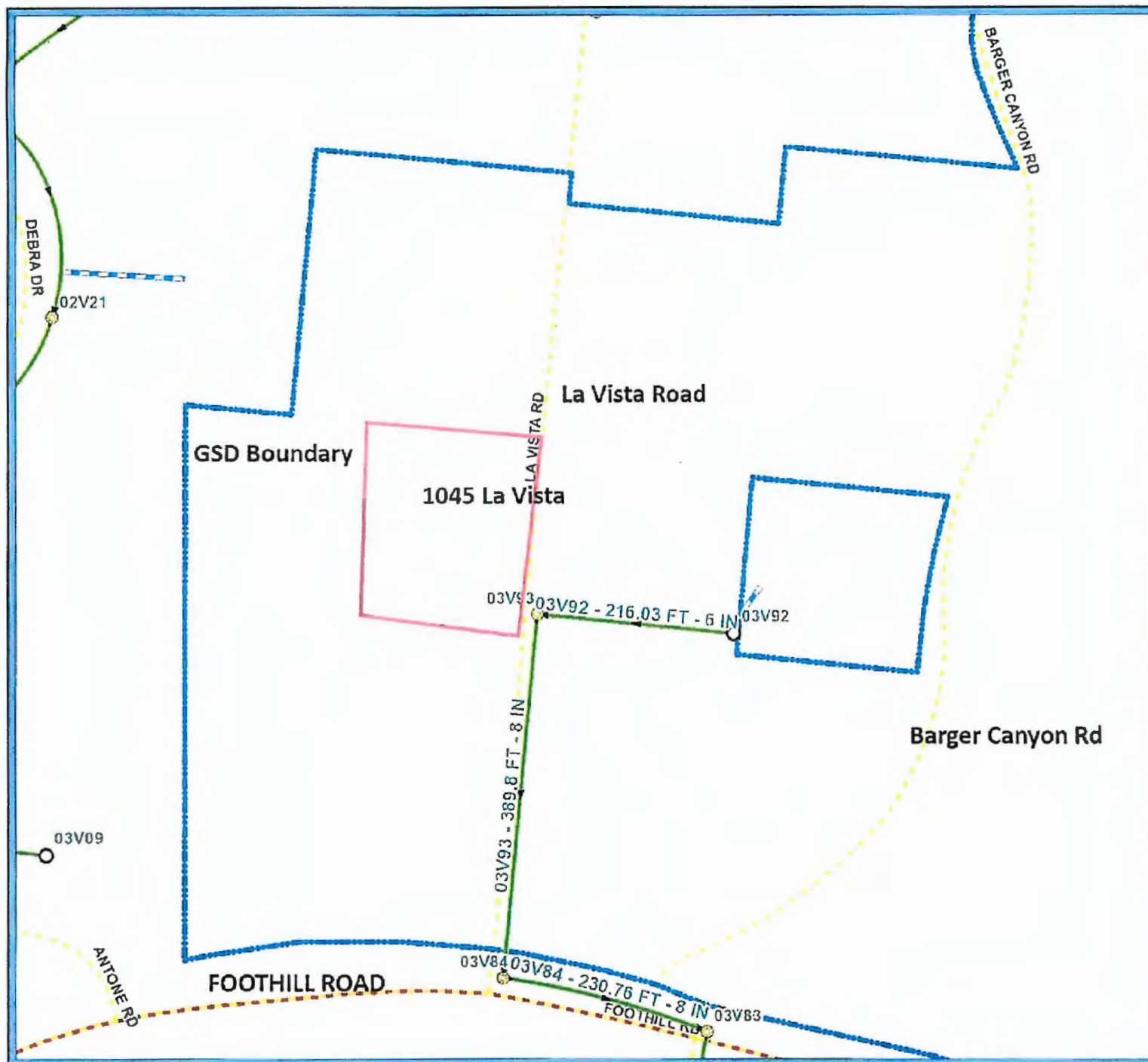
State Plane California Zone V NAD 83

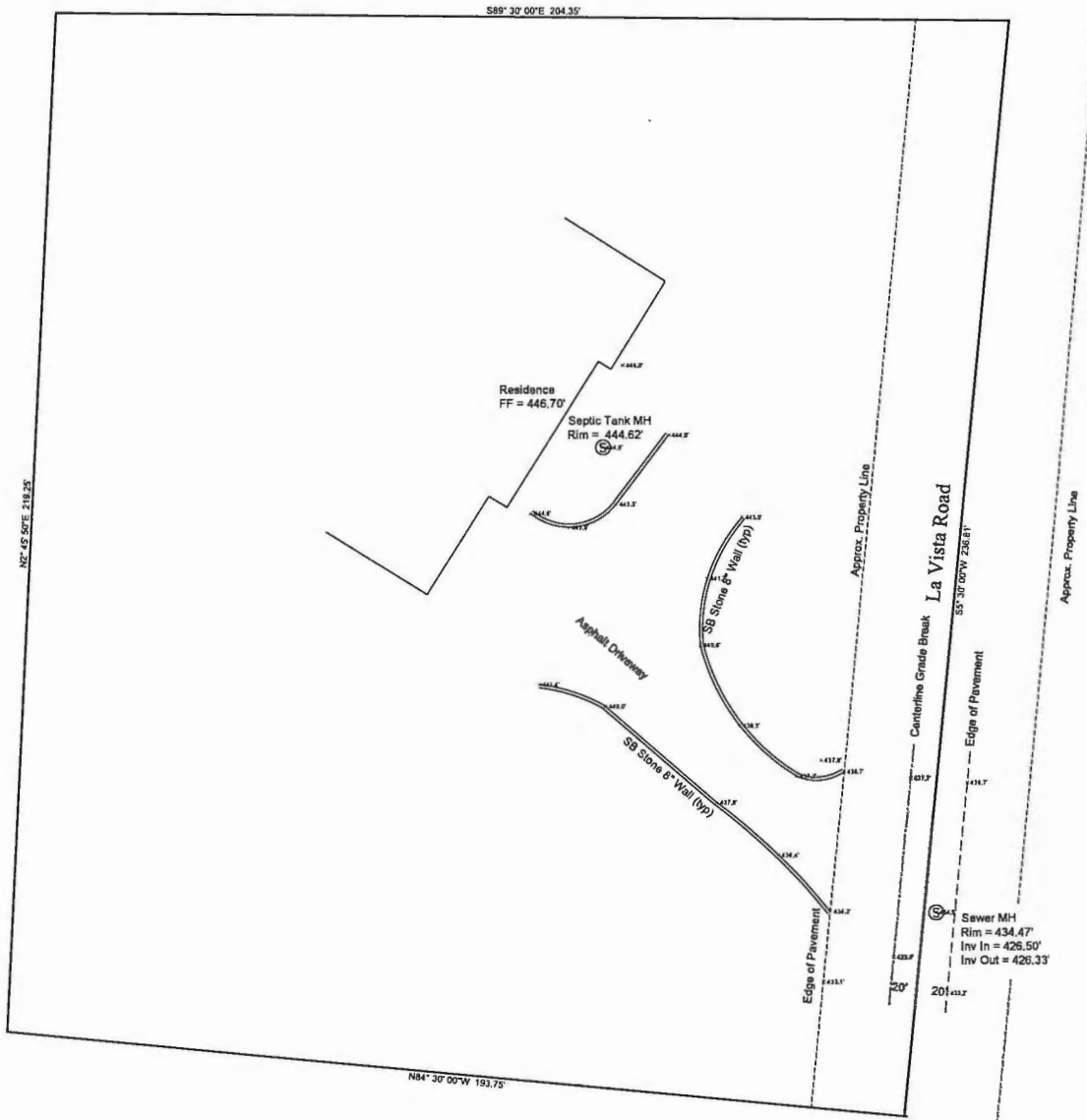
Santa Barbara County, California

**Goleta Sanitary District**

Compiled on 12-23-2020

Printed by cadmaps.com





**Topographic Survey**

of a portion of  
 1045 La Vista Road  
 Santa Barbara, CA 93110  
 APN 055-110-007



Elevations are referenced to NAVD83 datum determined by GPS observation

This partial topographic survey was prepared by me or under my direction in  
 conformance with the requirements of the Professional Land Surveyor's Act this  
 12th day of February, 2021. Elevations are NAVD 88 datum derived by GPS  
 observation.

Jon McKelvey  
 PLS 7578  
 2/12/2021



A Professional Land Surveying & Consulting Company  
 PO Box 2311  
 Santa Barbara, CA 93107  
 805-460-1813  
 jon@jcmckelvey.com  
 http://www.jcmckelvey.com

## **NOTICE OF EXEMPTION**

### **Filing of Notice of Exemption in Compliance with Section 21108 of the Public Resources Code**

**TO:** County Clerk  
County of Santa Barbara  
105 East Anapamu Street  
Santa Barbara CA 93101

**FROM:** Local Agency Formation Commission 105  
East Anapamu Street, Room 407 Santa  
Barbara CA 93101  
805/568-3391

**PROJECT TITLE:** **LAFCO 21-01** 1045 La Vista Road – Kurth Annexation into Goleta Sanitary District

### **PROJECT LOCATION AND DESCRIPTION:**

#### Project Location:

0.90 acres located at 1045 La Vista Road, nearest to Highway 192  
(APN 055-111-007)

#### Description of Nature, Purpose, and Beneficiaries of Project:

The Proposed Annexation and sewer service connection for an existing single-family residence from the Goleta Sanitary District. The property is currently served by an on-site septic system that has been documented to be leaking.

The existing residence is allowed under County zoning of 1-E-1 within the Eastern Goleta Valley Community Plan. Activity is covered under existing zoning with connection to sewer system with no change in use. The proposal is categorically exempt from the California Environmental Quality Act, Public Resources Code section 21000 et seq. ("CEQA") pursuant to CEQA Guidelines section 15319, Class 19 – Annexation of Existing Facilities and Lots for Exempt Facilities.

#### Name of Person or Agency Carrying Out the Project:

Santa Barbara Local Agency Formation Commission

**Reasons for Exemption.** LAFCO's approval of the proposed annexation into the Goleta Sanitary District would be consistent with a Categorical Exemption Class 19, annexation of existing facilities and lots of exempt facilities. There will be no changes in land use.

\_\_\_\_\_  
Mike Prater, Executive Officer

April 15, 2021  
\_\_\_\_\_  
Date

---

The "project" is to provide sewer services from the Goleta Sanitary District to the existing residence located at 1045 La Vista Road, in the unincorporated area of the County. This property has an existing single-family residence previously approved by Santa Barbara County. The existing sanitary waste discharge cannot be handled by the current on-site septic system. A Categorical Exemption Class 19, annexation of existing facilities and lots of exempt facilities would apply.

The Santa Barbara Local Agency Formation Commission will approve the above-referenced project on August 5, 2021 and has determined it to be exempt from further environmental review under the requirements of California Environmental Quality Act (CEQA) of 1970, as defined in the State and local Guidelines for the implementation of CEQA.

Exempt Status:

- Ministerial
- Statutory
- Categorical Exemption:  
Project is a sewer connection to existing SFR exempt from CEQA pursuant to CEQA Guidelines Section 15319. Class 19
- Emergency Project
- No Possibility of Significant Effect [Sec. 15061 (b,3)]

By: \_\_\_\_\_  
**Commission Clerk**

Date: \_\_\_\_\_

# LAFCO MEMORANDUM

## ***SANTA BARBARA LOCAL AGENCY FORMATION COMMISSION***

105 East Anapamu Street • Santa Barbara CA 93101 • (805) 568-3391 + Fax (805) 568-2249

May 6, 2021 (Agenda)

TO: Each Member of the Commission

FROM: Mike Prater  
Executive Officer

SUBJECT: RECEIVE FOR INFORMATION PURPOSES PROPOSAL FILE No 21-01 FOR THE  
1045 LA VISTA KURTH SOI AMENDMENT AND ANNEXATION TO GOLETA  
SANITARY DISTRICT

*This is an Informational Report. No Action is Necessary*

### FISCAL IMPACT

The deposit to process the application has been paid by Karen Kurth.

### DISCUSSION

This memo provides the Commission with a formal notice of the receipt of a new application. Staff will provide appropriate notice and requests for information to affected agencies and interested individuals consistent with Government Code section 56857(a).

The application was received March 16, 2021, as a petition of application. It is to annex a single parcel consisting of 0.90 acres, for the purpose of sewer services. The application will also require an amendment to the sphere of influence. The application will be processed through the LAFCO system coordinating with all affected agencies and interested parties.

### Attachments

Attachment A – Map & Legal Description

Please contact the LAFCO office if you have any questions.



# Exhibit A

## Legal Description

LAFCO 21-\_\_

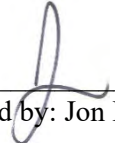
Kurth Annexation to the Goleta Sanitary District  
APN 055-111-007

That portion of the East one-half of Section 6, Township 4 North, Range 27 West, San Bernardino Meridian, in the County of Santa Barbara, State of California, according to the official plat thereof, as described in the Quitclaim Deed recorded December 14, 2012 as Instrument No. 2012-0085717 Official Records of said County and State, more specifically described as follows:

Commencing at the Southwest corner of the land described in the Grant Deed recorded November 7, 2019 as Instrument No. 2019-0051211 Official Records of said County and State, said corner also being the Southeast corner of the Morgan Property Annexation 132 to the Goleta Sanitary District, the Certificate of Completion recorded April 18, 1968 in Book 2235 of Official Records, Page 15, records of said County and State; thence, S8430'00"E, along the south line of the land described in said Grant Deed, said line also being the easterly prolongation of the southerly line of the land described in said Annexation, 86.77 feet to the Northwest corner of the land described in said Quitclaim Deed, being the TRUE POINT OF BEGINNING; thence, along the exterior boundary of the land described in said Quitclaim Deed,

- 1) S84°30'00"E, 204.35 feet to the Northeast corner, said corner also being a point in the center of La Vista Road; thence,
- 2) S05°30'00"W, 219.00 feet along the centerline of said La Vista Road to the Southeast corner; thence,
- 3) N 84°30'00"W, 193.75 feet to the Southwest corner; thence,
- 4) N02°43'50"E, 219.25 feet to the Northwest corner and the True Point of Beginning

Containing 1.000 acres more or less

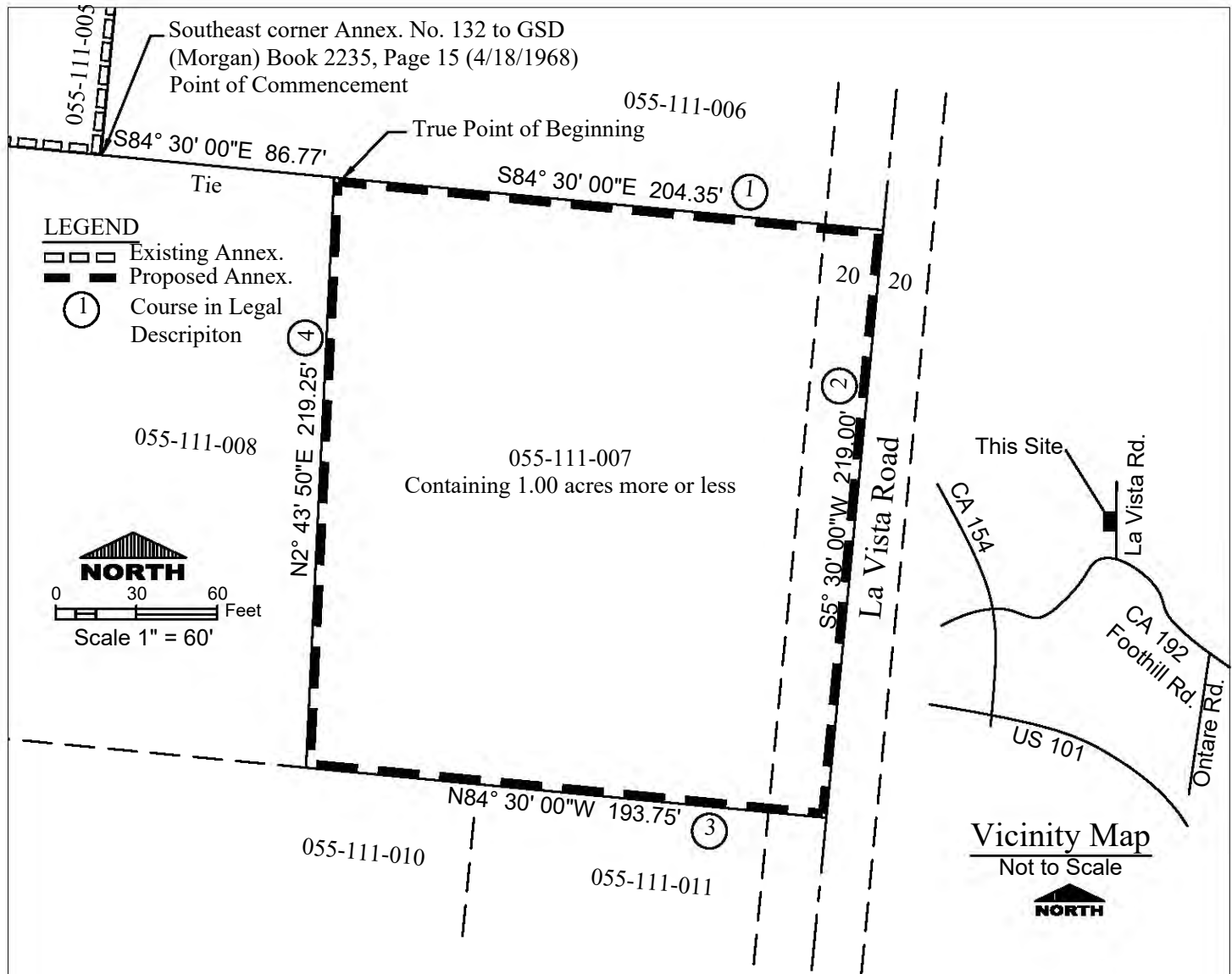
  
2/21/21  
Prepared by: Jon McKellar, PLS 7578

End of Description



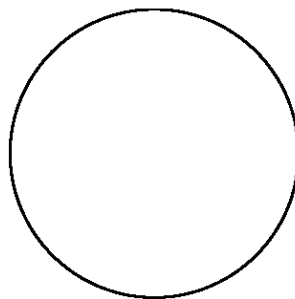
Approved As To Form  
And Surveying Content

Aleksandar Jevremovic, PLS 8378  
Santa Barbara County Surveyor



Approved As To Form And Surveying Content

Aleksandar Jevremovic, PLS 8378  
 Santa Barbara County Surveyor



*J*  
 2/21/21

**Exhibit B**

Kurth Annexation to the Goleta Sanitary District  
 LAFCO 21-\_\_\_\_\_  
 APN 055-111-007  
 a portion of the East one-half of Section 6,  
 Township 4 North, Range 27 West, San Bernardino  
 Meridian



A Professional Land Surveying & Consulting Company  
 PO Box 2341  
 Santa Maria, CA 93457  
 805-680-1895 bus.  
 jon@jonmckellar.com  
 http://www.jonmckellar.com

# **GENERAL MANAGER'S REPORT**

## **GOLETA SANITARY DISTRICT GENERAL MANAGER'S REPORT**

The following summary report describes the District's activities from April 20, 2021 through May 3, 2021. It provides updated information on significant activities under three major categories: Collection System, Treatment/Reclamation and Disposal Facilities, and General and Administration Items.

### **1. COLLECTION SYSTEM REPORT**

#### **LINES CLEANING**

Staff is conducting routine lines cleaning in the area of Cathedral Oaks Road and N. Fairview Avenue.

#### **CCTV INSPECTION**

Staff continues routine Closed-Circuit Television (CCTV) inspections in the area of Cathedral Oaks and Turnpike Roads.

#### **GREASE AND OIL INSPECTIONS**

Staff continues with the annual Grease and Oil inspections.

#### **COMPETENCY-BASED TRAINING (CBT)**

Staff continues working with DKF Solutions on various procedures. The Confined Space Entry training has been rescheduled for June 2021 due to COVID 19 restrictions and previous DKF staff commitments.

#### **REPAIR AND MAINTENANCE**

Staff replaced the fuel filters on the Vactor truck. An order of replacement manhole frames and covers has been delivered for use in the upcoming County and City paving programs. Staff is preparing a list of sewer lines to be root foamed in the month of May when the contractor will be working in the area with other agencies.

#### **PROFESSIONAL DEVELOPMENT**

CSMT Tim Siciliano passed the CWEA Collection System Maintenance Grade 2 examination and CSMT Sam Madera passed the CWEA Collection System Maintenance Grade 1 examination.

### **2. TREATMENT, RECLAMATION AND DISPOSAL FACILITIES REPORT**

Plant flows have increased to an average of 4.4 million gallons per day (MGD). Reclamation demand has increased to 1.2 MGD. Flows are starting to increase as Covid-19 restrictions are lifted. Flow concentrations and loadings during the weekends are causing intermittent challenges and various levels of plant interference.

Centrifuge operations are continuing as planned. Dredging operations have been completed across approximately 80% of the lagoon. We plan to extend the dredging operations through the end of FY 2020-21 to maximize operational benefit, given the reduction in overall solids coming into the plant.

The Lystek refeed project has resumed. The refeed process is now feeding continuously

at a lower rate, but at the same volume per week as before the shutdown. Operations staff will continue to monitor digester foaming; to date foaming has not increased significantly with the new digester feeding routine. Lystek feed will increase incrementally over the next two weeks until the final refeed level of 50% is reached.

Plant maintenance staff continues to work on the replacement of the telemetry communication equipment. The equipment being replaced was near the end of its useful life. The new equipment should service the plant for the next 10-15 years.

Operations staff will be taking primary clarifier 3 out of service for maintenance and putting primary clarifier 2 in service. We will transition to using just primary clarifier 2 during the warmer months when storm flows are not expected. This transition helps reduce wear on the equipment and has other benefits to the process such as reduced loadings on the biological treatment process and associated reduced energy costs.

### **3. GENERAL AND ADMINISTRATIVE ITEMS**

#### **Financial Report**

The District account balances as of May 3, 2021 shown below are approximations to the nearest dollar and indicate the overall funds available to the District at this time.

Operating Checking Accounts:	\$ 3,806,617
Investment Accounts:	\$ 28,365,003
Total District Funds:	\$ 32,171,619

The following transactions are reported herein for the period 04/05/21 – 05/03/21.

Regular, Overtime, Cash-outs and Net Payroll:	\$ 262,287
Claims:	\$ 276,316
Total Expenditures:	\$ 538,602
Total Deposits:	\$ 3,572,969

Transfers of funds:

LAIF to Community West Bank Operational (CWB):	\$ - 0 -
CWB Operational to CWB Money Market:	\$ - 0 -
CWB Money Market to CWB Operational:	\$ - 0 -

The District's investments comply with the District's Investment Policy adopted per Resolution No. 16-606. The District has adequate funds to meet the next six months of normal operating expenses.

#### **Local Agency Investment Fund (LAIF)**

LAIF Monthly Statement – Previously submitted.

LAIF Quarterly Report – March, 2021

General Manager's Report

May 3, 2021

Page 3

PMIA/LAIF Performance – March, 2021

PMIA Effective Yield – March, 2021

**Community West Bank (CWB)**

CWB Money Market Account – Previously submitted.

**Deferred Compensation Accounts**

CalPERS 457 Deferred Compensation Plan – March, 2021

Lincoln 457 Deferred Compensation Plan – Previously submitted.

**COVID-19 Response Plan Update**

A verbal update will be provided at the meeting.



BETTY T. YEE

California State Controller

LOCAL AGENCY INVESTMENT FUND  
REMITTANCE ADVICE

Agency Name

GOLETA SANITARY DISTRICT

Account Number

70-42-002

As of 04/15/2021, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 03/31/2021.

Earnings Ratio		.00001214175683392
Interest Rate		0.44%
Dollar Day Total	\$	181,526,440.36
Quarter End Principal Balance	\$	2,020,014.55
Quarterly Interest Earned	\$	2,204.05



# PMIA/LAIF Performance Report as of 04/15/21



## PMIA Average Monthly Effective Yields<sup>(1)</sup>

Mar	<b>0.357</b>
Feb	0.407
Jan	0.458

## Quarterly Performance Quarter Ended 03/31/21

LAIF Apportionment Rate <sup>(2)</sup> :	0.44
LAIF Earnings Ratio <sup>(2)</sup> :	0.00001214175683392
LAIF Fair Value Factor <sup>(1)</sup> :	1.001269853
PMIA Daily <sup>(1)</sup> :	0.35%
PMIA Quarter to Date <sup>(1)</sup> :	0.41%
PMIA Average Life <sup>(1)</sup> :	220

## Pooled Money Investment Account Monthly Portfolio Composition <sup>(1)</sup> 03/31/21 \$126.7 billion

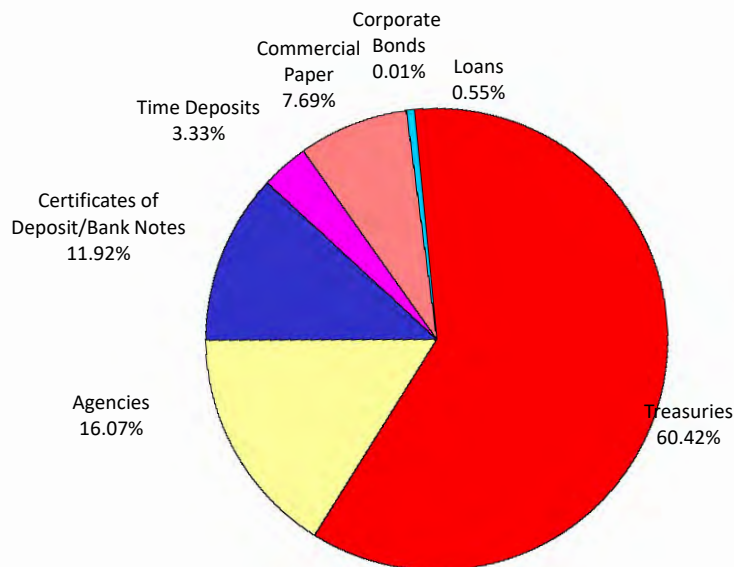


Chart does not include 0.01% of mortgages. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

<sup>(1)</sup> State of California, Office of the Treasurer

<sup>(2)</sup> State of California, Office of the Controller





# CalPERS 457 Plan

March 31, 2021

This document includes important information to help you compare the investment options under your retirement plan. If you want additional information about your investment options, you can go to <https://calpers.voya.com>.

A free paper copy of the information available on the website can be obtained by contacting:

Voya Financial  
Attn: CalPERS 457 Plan  
P.O. Box 55772  
Boston, MA 02205-5772  
(800) 260-0659

## **Document Summary**

This document has two parts. Part I consists of performance information for the plan investment options. This part shows you how well the investments have performed in the past. Part I also shows the total annual operating expenses of each investment option.

Part II provides additional information concerning Plan administrative fees that may be charged to your individual account.

# CalPERS 457 PLAN

## Part I. Performance Information For Periods Ended March 31, 2021

<https://calpers.voya.com>

Table 1 focuses on the performance of investment options that do not have a fixed or stated rate of return. Table 1 shows how these options have performed over time and allows you to compare them with an appropriate benchmark for the same time periods<sup>1</sup>. Past performance does not guarantee how the investment option will perform in the future. Your investment in these options could lose money. Information about an investment option's principal risks is available on the website listed above.

Table 1 also shows the Total Annual Operating Expenses of each investment option. Total Annual Operating Expenses are expenses that reduce the rate of return of the investment option<sup>2</sup>. The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the U.S. Department of Labor's website for an example showing the long-term fees and expenses at <http://www.dol.gov/ebsa>. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals.

Table 1 - Variable Net Return Investments								
Name of Fund / Name of Benchmark	Performance		Annualized Performance				Total Annual Operating Expenses <sup>3</sup>	
	3 Month	1 Year	5 Years	10 Years	Since Inception	Inception Date	As a %	Per \$1000
<b>Equity Funds</b>								
State Street Russell All Cap Index Fund - Class I	6.31	62.04	16.20	-	13.79	10/07/13	0.31%	\$3.10
<i>Russell 3000 Index</i>	6.35	62.53	16.64	-	14.18			
State Street Global All Cap Equity ex-US Index Fund - Class I	3.76	52.84	9.69	-	5.61	10/07/13	0.32%	\$3.20
<i>MSCI ACWI ex-USA IMI Index (net)</i>	3.77	51.94	9.84	-	5.82			
<b>Fixed Income</b>								
State Street US ShortTerm Gov't/Credit Bond Index Fund - Class I	-0.13	1.16	1.55	-	1.20	10/07/13	0.32%	\$3.20
<i>Bloomberg Barclays US 1-3 yr Gov't/Credit Bond Index</i>	-0.04	1.57	2.00	-	1.68			
State Street US Bond Fund Index - Class I	-3.49	0.44	2.76	-	3.03	10/07/13	0.31%	\$3.10
<i>Bloomberg Barclays US Aggregate Bond Index</i>	-3.37	0.71	3.10	-	3.33			
<b>Real Assets</b>								
State Street Real Asset Fund - Class A	5.99	35.37	5.97	-	2.35	10/08/13	0.44%	\$4.40
<i>State Street Custom Benchmark<sup>4</sup></i>	6.10	35.66	6.32	-	2.71			
<b>Cash (Cash Equivalents)</b>								
State Street STIF	-0.04	-0.06	0.99	-	0.71	09/02/14	0.33%	\$3.30
<i>BofA ML 3-month US T-Bill</i>	0.03	0.12	1.19	-	0.92			
<b>Target Retirement Date Funds<sup>5</sup></b>								
CalPERS Target Income Fund	-0.24	17.44	5.53	4.54	5.79	12/01/08	0.32%	\$3.20
<i>SIP Income Policy Benchmark<sup>6</sup></i>	-0.15	17.58	5.75	4.87	6.32			
CalPERS Target Retirement 2015	-0.07	19.14	5.58	4.78	6.89	12/01/08	0.32%	\$3.20
<i>SIP 2015 Policy Benchmark<sup>6</sup></i>	0.02	19.27	5.79	5.22	7.44			
CalPERS Target Retirement 2020	0.95	26.02	6.48	5.33	7.62	12/01/08	0.32%	\$3.20
<i>SIP 2020 Policy Benchmark<sup>6</sup></i>	1.02	26.07	6.69	5.77	8.14			
CalPERS Target Retirement 2025	1.94	32.98	7.89	6.08	8.52	12/01/08	0.32%	\$3.20
<i>SIP 2025 Policy Benchmark<sup>6</sup></i>	2.00	32.99	8.09	6.55	9.02			
CalPERS Target Retirement 2030	2.95	40.24	8.89	6.72	9.39	12/01/08	0.32%	\$3.20
<i>SIP 2030 Policy Benchmark<sup>6</sup></i>	3.01	40.24	9.17	7.24	9.90			
CalPERS Target Retirement 2035	3.97	48.00	10.04	7.34	10.14	12/01/08	0.32%	\$3.20
<i>SIP 2035 Policy Benchmark<sup>6</sup></i>	4.01	47.93	10.31	7.89	10.70			
CalPERS Target Retirement 2040	4.79	53.82	11.15	7.92	10.68	12/01/08	0.32%	\$3.20
<i>SIP 2040 Policy Benchmark<sup>6</sup></i>	4.82	53.70	11.43	8.48	11.22			
CalPERS Target Retirement 2045	4.79	53.82	11.77	8.21	10.88	12/01/08	0.32%	\$3.20
<i>SIP 2045 Policy Benchmark<sup>6</sup></i>	4.82	53.70	12.06	8.77	11.46			
CalPERS Target Retirement 2050	4.79	53.82	11.77	8.21	10.96	12/01/08	0.32%	\$3.20
<i>SIP 2050 Policy Benchmark<sup>6</sup></i>	4.82	53.70	12.06	8.77	11.46			
CalPERS Target Retirement 2055	4.79	53.82	11.77	-	8.49	10/07/13	0.32%	\$3.20
<i>SIP 2055 Policy Benchmark<sup>6</sup></i>	4.82	53.70	12.06	-	8.85			
CalPERS Target Retirement 2060	4.79	53.82	-	-	16.03	11/01/18	0.32%	\$3.20
<i>SIP 2060 Policy Benchmark<sup>6</sup></i>	4.82	53.70	-	-	16.33			
<b>Broad-Based Benchmarks<sup>7</sup></b>								
<i>Russell 3000 Index</i>	6.35	62.53	16.64	13.79	-	-	-	-
<i>MSCI ACWI ex-USA IMI Index (net)</i>	3.77	51.94	9.84	5.11	-	-	-	-
<i>Bloomberg Barclays US Aggregate Bond Index</i>	-3.37	0.71	3.10	3.44	-	-	-	-

## Part II. Explanation of CalPERS 457 Plan Expenses March 31, 2021

<https://calpers.voya.com>

Table 2 provides information concerning Plan administrative fees and expenses that may be charged to your individual account if you take advantage of certain features of the Plan. In addition to the fees and expenses described in Table 2 below, some of the Plan's administrative expenses are paid from the Total Annual Operating Expenses of the Plan's investment options.

Table 2 - Fees and Expenses				
Individual Expenses <sup>8</sup>				
Service	Fee Amount	Frequency	Who do you pay this fee to?	Description
Loan Origination Fee	\$50	Per loan application	Voya	The charge covers the processing of your loan and applies each time you request a loan from your retirement account. This fee is deducted from your Plan account.
Maintenance Fee (For loans taken on or after April 1, 2020)	\$35 (\$8.75 assessed quarterly)	Annual	Voya	The charge covers the maintenance costs of your loan and applies on a quarterly basis. This fee is deducted from your Plan account.
Self-Managed Account (SMA) Maintenance Fee	\$50	Annual fee deducted monthly on a pro-rata basis	Voya	Schwab Personal Choice Retirement Account is available to you if your Employer has elected it as an option. This fee is deducted pro rata on a monthly basis from your core fund investments <sup>9</sup> in your CalPERS 457 account. For more information about SMAs, including a complete list of fees charged by Schwab for different types of investment transactions, please contact Schwab at (888) 393-PCRA (7272). Fees may also be incurred as a result of actual brokerage account trades. Before purchasing or selling any investment through the SMA, you should contact Schwab at (888) 393-PCRA (7272) to inquire about any fees, including any undisclosed fees, associated with the purchase or sale of such investment.
Self-Managed Account (SMA) Plan Administrative Fee	0.29% (\$2.90 per \$1,000)	Annual fee deducted monthly on a pro-rata basis	Voya	The SMA Plan Administrative fee pays for recordkeeping costs for assets in your SMA account. This fee is deducted pro rata on a monthly basis from your core fund investments in your CalPERS 457 account. The SMA Plan Administrative Fee is subject to change based on total Plan assets.

### Footnotes for Table 1 and Table 2:

- <sup>1</sup> Fund returns shown are net of investment management and administrative expenses and fees unless otherwise noted. Benchmark performance returns do not reflect any management fees, transaction costs or expenses. Benchmarks are unmanaged. You cannot invest directly in a benchmark.
- <sup>2</sup> Historical annual operating expenses are not available. Reported annual operating expenses are estimated based on SSGA investment management, Voya recordkeeping, and SSGA capped operating expenses.
- <sup>3</sup> Total annual operating expenses are comprised of investment management and administrative expenses and fees incurred by the funds.
- <sup>4</sup> State Street Real Asset Fund has a custom benchmark comprised of 25% Bloomberg Roll Select Commodity Index, 25% S&P® Global Large MidCap Commodity and Resources Index, 15% Dow Jones U.S. Select REIT Index, 25% Bloomberg Barclays U.S. TIPS Index, and 10% S&P Global Infrastructure Index.
- <sup>5</sup> If the ending market value (EMV) falls to zero in any one month, the inception date resets to the next month with an EMV. Performance is then calculated from the new inception date.
- <sup>6</sup> The benchmark for each Target Retirement Date Fund is a composite of asset class benchmarks that are weighted according to each Fund's policy target weights. The asset class benchmarks are Russell 3000 Index, MSCI ACWI ex-USA IMI Index (net), Bloomberg Barclays US Aggregate Bond Index, the SSGA customized benchmark for Real Assets (see footnote 4), and BofA ML 3-month US T-Bill.
- <sup>7</sup> Broad-based benchmarks grouped here provide comparative performance standards for domestic equity, international equity and fixed income.
- <sup>8</sup> The CalPERS Board of Administration periodically reviews the plan administrative fees and adjusts fees to reflect expenses incurred by the Plan. Participant fees are charged to reimburse CalPERS for actual administrative fees of the Plan.
- <sup>9</sup> Core fund investments are listed in Table 1 above the Target Retirement Date funds. Core funds include: State Street Russell All Cap Index Fund (Class I), State Street Global All Cap Equity ex-US Index Fund (Class I), State Street US Short Term Government/Credit Bond Index Fund (Class I), State Street US Bond Fund Index (Class I), State Street Real Asset Fund (Class A), and State Street Short Term Investment Fund ("STIF").

**DISTRICT  
CORRESPONDENCE**  
Board Meeting of May 3, 2021



**Date:**                    **Correspondence Sent To:**

1. 04/08/2021    The Honorable Gavin Newsom  
Governor, State of California  
**Subject:** COVID-19 Impacts – Fiscal Assistance for California's  
Independent Special Districts  
Letter also sent to:
  - The Honorable Toni Atkins  
Senate President pro Tempore
  - The Honorable Anthony Rendon  
Speaker of the Assembly
  
2. 04/20/2021    Environmental Science Associates  
Nick Garrity, P.E.  
**Subject:** Proposal for Preparation of Climate Adaptation Plan

**Date:**                    **Correspondence Received From:**

1. 04/15/2021    LAFCO  
**Subject:** 1045 La Vista Road – Kurth Annexation to the Goleta Sanitary  
District (LAFCO No. 21-01)
  
2. 04/23/2021    Joseph E. Holland  
Santa Barbara County Clerk, Recorder and Assessor  
**Subject:** Statement of Economic Interests – Notice of Non-Filing  
First Non-Filer Notification
  
3. 04/23/2021    Reward Strategy Group  
**Subject:** Compensation and Benefits Survey Proposal  
Proposals also received from:
  - Koff & Associates  
Georg S. Krammer, CEO
  - CPS HR Consulting  
Vicki Quintero Brashear  
Director of Products and Services
  
4. 04/29/2021    Jacquelyne Alexander  
Chief Deputy Clerk of the Board of Supervisors  
**Subject:** May 6, 2021 LAFCO Meeting Notice – Final Budget for  
Fiscal Year 2021-2022

***Hard Copies of the Correspondence are available at the District's Office for review***